Dynamic phenotypic switching and group behavior help non-small cell lung cancer cells evade chemotherapy

Arin Nam¹, Atish Mohanty¹, Supriyo Bhattacharya², Sourabh Kotnala¹, Srisairam Achuthan², Kishore Hari³, Saumya Srivastava¹, Linlin Guo¹, Anusha Nathan¹, Rishov Chatterjee², Maneesh Jain⁴, Wasim Nasser⁴, Surinder Batra⁴, Govindan Rangarajan³, Erminia Massarelli¹, Herbert Levine⁵, Mohit Kumar Jolly³, Prakash Kulkarni¹, and Ravi Salgia¹

¹City of Hope National Medical Center Department of Medical Oncology and Therapeutics Research
²City of Hope Comprehensive Cancer Center Duarte
³Indian Institute of Science
⁴University of Nebraska Medical Center
⁵Northeastern University College of Engineering

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Abstract

Drug resistance, a major challenge in cancer therapy, is typically attributed to mutations and genetic heterogeneity. On the other hand, emerging evidence suggests that dynamic cellular interactions and group behavior also contribute to drug resistance. However, the underlying mechanisms remain poorly understood. Here, we present a new mathematical approach with game theoretical underpinnings that we developed to model real-time growth data of non-small cell lung cancer (NSCLC) cells and discern patterns in response to treatment 68 with cisplatin. We show that the cisplatin-sensitive and cisplatin-tolerant NSCLC cells when co69 cultured in the absence or presence of the drug, display dynamic group behavior strategies. Tolerant cells exhibit a 'persister-like' behavior and are attenuated by sensitive cells; they also appear to 'educate' sensitive cells to evade chemotherapy. Further, tolerant cells can switch phenotypes to become sensitive, especially at low cisplatin concentrations. Finally, switching treatment from continuous to an intermittent regimen can attenuate the emergence of tolerant cells, suggesting that intermittent chemotherapy may improve outcomes in lung cancer.

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7 8 9	Levine ^{8,9} , Mohit Kumar Jolly ⁴ , Prakash Kulkarni ¹ , Ravi Salgia ^{1*}										
10 11 12 13 14 15 16 17 18 19 20	¹ Department of Medical Oncology and Therapeutics Research, City of Hope National Medical Center, Duarte, CA 91010, USA, ² Translational Bioinformatics, Center for Informatics, Department of Computational and Quantitative Medicine, City of Hope National Medical Center, Duarte, CA 91010, USA, ³ Center for Informatics, Division of Research Informatics, City of Hope National Medical Center, Duarte, CA 91010, USA, ⁴ Center for BioSystems Science and Engineering, Indian Institute of Science, Bangalore 560012, India, ⁵ Department of Biochemistry and Molecular Biology, College of Medicine, University of Nebraska Medical Center, Omaha, NE, USA, ⁶ Department of Mathematics, and ⁷ Center for Neuroscience, Indian Institute of Science, Bangalore 560012, India, ⁸ Department of Physics and ⁹ Department of Bioengineering, Northeastern University, Boston, MA 02115, USA										
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23 24 25 26 27 28 29 30	*Corresponding Author:	Dr. Ravi Salgia, MD, PhD Professor and Chair Department of Medical Oncology and Therapeutics Research Arthur & Rosalie Kaplan Endowed Chair in Medical Oncology Associate Director of Clinical Services 1500 E. Duarte Road, Duarte, CA 91010-3000 Phone 626-471-9200, Fax 626-471-7322 Email: <u>rsalgia@coh.org</u>									
31											
32 33	[†] These three authors contributed equally to this work and hence, they should be considered as co-first authors.										
34	[¶] Present address: Harvard Medical School, 25 Shattuck St, Boston, MA 02115, USA										
35 36	Declaration of Interest and Financial Disclosures : The authors declare they have no conflict of interests or any financial disclosures										
37 38	Animal Welfare Assurances: All zebrafish studies were approved by City of Hope (COH) Institutional Animal Care and Use Committee (IACUC), and all experiments were performed										

- 39 conforming to the relevant regulatory standards under protocol IACUC# 18119. The zebrafish
- 40 larvae used for microinjections were 48 h old i.e., 2 days post fertilization (dpf), and were
- 41 continuously observed for tumor development until 7 dpf. During this period, no sex
- 42 differentiation is observed. Ovarian development is the default pathway, which is initiated at 10
- 43 dpf and around 21 dpf sexual differentiation into females and males is established. The two cell
- 44 lines used for microinjections were H2009 and H23. The cell lines were obtained from ATCC.
- 45 H2009 is an adenocarcinoma cell line derived from a 68-year-old female patient and H23 is
- 46 another adenocarcinoma cell line derived from a 51-year-old male patient.
- 47
- 48 **Code Availability:** The codes used in our data analysis have been deposited in a private
- 49 repository on Github. This will be made public once the article is accepted. However, to share it
- 50 with the reviewers, we have prepared a Google Drive folder containing the compressed files of
- 51 the private GitHub repository
- 52 (https://drive.google.com/drive/folders/1AcT6gd8pOokq6uTCQlGiGscUNYOnMoBq?usp=sharin
- 53 g). Once unzipped, the README.md file within the master directory will give all code viewers
- 54 clear directions and guidance for navigating sub-directories and running all relevant codes for
- 55 models used in the manuscript."
- 56
- 57 Running title: Modeling chemoresistance
- 58
- 59 Key Words: chemoresistance, cisplatin, lung cancer, evolutionary game theory, group behavior,
- 60 persister trait, phenotypic switching

61 Summary

62 Drug resistance, a major challenge in cancer therapy, is typically attributed to mutations 63 and genetic heterogeneity. On the other hand, emerging evidence suggests that dynamic 64 cellular interactions and group behavior also contribute to drug resistance. However, the 65 underlying mechanisms remain poorly understood. Here, we present a new mathematical approach with game theoretical underpinnings that we developed to model real-time growth 66 data of non-small cell lung cancer (NSCLC) cells and discern patterns in response to treatment 67 68 with cisplatin. We show that the cisplatin-sensitive and cisplatin-tolerant NSCLC cells when co-69 cultured in the absence or presence of the drug, display dynamic group behavior strategies. 70 Tolerant cells exhibit a 'persister-like' behavior and are attenuated by sensitive cells; they also 71 appear to 'educate' sensitive cells to evade chemotherapy. Further, tolerant cells can switch 72 phenotypes to become sensitive, especially at low cisplatin concentrations. Finally, switching 73 treatment from continuous to an intermittent regimen can attenuate the emergence of 74 tolerant cells, suggesting that intermittent chemotherapy may improve outcomes in lung 75 cancer.

76

77 Introduction

Drug resistance in cancer is generally believed to arise stochastically through random 78 genetic mutations and the subsequent expansion of mutant clones via Darwinian selection.^{1,2} 79 However, emerging evidence suggests that non-genetic and epigenetic mechanisms may also 80 play a critical role³⁻⁵, leading to enhanced adaptability and cooperation under stressful 81 conditions.³⁻⁵ Nonetheless, such mechanisms have not been fully explored and are rarely 82 integrated into clinical trials or in precision oncology initiatives.⁶⁻⁸ Furthermore, combining 83 conventional therapies with treatment strategies based on cancer ecology could potentially 84 delay or even prevent drug tolerance and eventually, drug resistance.^{2,8-10} 85

86 Several studies have reported the existence of drug-resistant and tolerant (clones that are weakly or moderately resistant) clones in pre-treatment tumors¹¹, although the population 87 of these clones is usually low in the presence of drug-sensitive cells ¹². This raises the question. 88 how do drug-sensitive and resistant/tolerant clones in a tumor influence each other's fitness 89 90 (growth), and whether cooperation and competition (group behavior) between the sensitive and tolerant cells influence the response to drug therapy. Thus, discerning group behavior by 91 monitoring interactions between drug-tolerant and -sensitive cells in real time in absence or 92 presence of the drug is a powerful tool to elucidate the role of group behavior.^{13,14} 93

94 Here, we have used human non-small cell lung cancer (NSCLC) cells that are sensitive or tolerant to cisplatin, one of the most commonly used chemotherapy, to understand the role of 95 96 group behavior in emergence of drug-tolerant clones, and eventually resistant clones. The cells 97 expressing red fluorescent protein (RFP) or green fluorescent protein (GFP) were mixed 98 (heterotypic culture) and cultured in different ratios. The proliferation of the two cell types was 99 followed in real time and compared to the same cells grown alone (monotypic culture). The cell 100 counts were used to determine the dynamic behavior of the population, and results were 101 correlated with the cell-autonomous and non-cell-autonomous fitness (growth rate) effects⁵. Since cell-autonomous fitness effects are defined as those inherent to the cell, the growth rates 102 from monotypic cultures provided the necessary information for determining these effects.⁴ In 103 104 contrast, non-cell-autonomous effects are those that allow fitness to depend on a cell's 105 microenvironment including the frequency of other cellular phenotypes as well as diffusible factors in the media.¹⁵ 106

107 Since standard models based on evolutionary game theory proved inadequate to analyze the data, we developed a new approach, Phenotypic Switch Model with Stress 108 109 Response (PSMSR), that incorporates concepts from chemical reaction kinetics and the 110 cooperative behavior of drug-tolerant phenotypes in the community. A distinguishing feature of the PSMSR model is that it considers the ability of cancer cells to switch phenotypes. Employing 111 112 PSMSR, we showed that quantitatively, the two cell populations when co-cultured in the absence of cisplatin, display dynamic group behavior that can be interpreted using evolutionary 113 game theory as payoffs (benefit or loss of individual players associated with a set of game 114 strategies such as competition or cooperation). Due to phenotypic switching by the cells, the 115 116 game strategies were dynamically altered based on cell frequencies and stress level while 117 maximizing group survival. However, in presence of cisplatin, the group behavior (cooperation)

118 was attenuated in favor of self-survival. Furthermore, we demonstrate that switching 119 treatments from a continuous to an intermittent regimen can attenuate the emergence of 120 tolerant cells, underscoring a potentially new treatment option that could benefit patients with 121 NSCLC.

122 Results

123 Cisplatin-sensitive and tolerant cells demonstrate different behaviors in monotypic and

124 *heterotypic cultures*

A schematic overview summarizing the experiments and the source of data collection 125 used in developing the theoretical models are presented in Fig.1A-C. Fluorescently labeled 126 cisplatin-sensitive H23 and cisplatin-tolerant H2009 NSCLC cells¹⁶ were co-cultured and 127 128 monitored in real time (Supplementary Fig. 1A & B). To discern differences in their behavior, 129 the two cell cultures were grown as monotypic or as heterotypic cultures in a 1:1 ratio. To 130 determine the short-term effects of heterotypic culture, we incubated the cells for 12 h before 131 the start of the experiment (Supplementary Fig. 2A, schematic). But to determine the long-132 term effects, they were co-cultured for 3 weeks (without cisplatin) before the start of the 133 experiment (Fig. 2A, schematic).

134 At a 1:1 ratio, there was no significant difference in the fold-change (ratio of the cell 135 population at any time point relative to t = 0 hours) of the sensitive cell counts between the 136 monotypic (grown by themselves) and heterotypic cultures (mixed with tolerant cells for 12 h 137 or 3 weeks prior to counting), and they were equally sensitive to 5 μ M cisplatin in all three 138 conditions (Fig. 2A, left panel bar graph in red). These monotypic and heterotypic culture 139 experiments were also performed using tolerant cells, and no significant change in cell count or drug tolerance was observed for the cells mixed only for 12 h prior to the experiment (Fig. 2A, 140 141 right panel bar graph in green, bars labeled "Alone" and "Mix before"). However, when tolerant 142 cells were co-cultured with sensitive cells for 3 weeks prior to the experiment, they showed a 143 marked reduction in cell proliferation (Fig. 2A green bar graph, dark green bar labeled "3-wk co-144 culture"). Moreover, when cisplatin was added to this 3-week co-cultured cells, the tolerant cells showed a smaller reduction in cell growth compared to when cultured separately or mixed 145 12 h before the experiment was started (Fig. 2A, right panel bar graph in green), and their 146 147 proliferation was significantly attenuated by long coexistence with the sensitive cells, 148 suggesting that tolerant cells appear to exhibit a 'persister-like' trait (please see the "Discussion" section).^{17,18} 149

150 We further explored the long term 3-week co-culture experiments by seeding the cells 151 at increasing tolerant to sensitive ratios (1:1, 2:1, 4:1, 8:1), and recorded their growth every 2 h 152 using the IncuCyte live cell imaging system (Supplementary Fig. 1C schematic). At a seeding 153 ratio of 1:1, the sensitive cells showed an 8-fold increase in cell count within 96 h, and reached 154 a plateau post 96 h, whereas the tolerant cells exhibited a 4-fold increase in cell count within 72 hours, followed by a drop and plateau for the rest of the time (Fig. 2B). At the end of the 155 156 experiment i.e. 144 h, the sensitive cell growth was 2.5-fold more than the tolerant cells for 1:1 157 ratio, compared to 1.5, 1.4 and 1.2-fold for the 2:1, 4:1 and 8:1 ratios, respectively (Fig. 2C). 158 These data revealed that the tolerant cell proliferation was suppressed in presence of sensitive 159 cells but could be rescued by increasing the fraction of tolerant cells in the co-cultures.

160 Next, we determined the proliferation profile for all the seeding ratios in presence of cisplatin. Cisplatin had a cytostatic effect on the sensitive cells, and the fold change in the cell 161 count remained approximately 1 for all the ratios (Fig. 2D, purple bar graph). However, the 162 163 tolerant cell proliferation was approximately 1.4, 2.09, 1.95, and 2.04 fold at the tolerant:sensitive seeding ratios 1:1, 2:1, 4:1 and 8:1, respectively. Therefore, the 164 165 administration of cisplatin rescued the tolerant cell growth from the suppressive effect of the 166 sensitive cells by selectively curtailing the sensitive cell growth. In fact, the tolerant cells proliferated better in the presence of cisplatin and increasing the seeding ratio of tolerant cells 167 168 in the population also favored their growth (Fig. 2D).

169 The suppressive effect of the sensitive cells on the tolerant cells in a frequency-170 dependent manner was also evident by analyzing the change in tolerant to sensitive fraction at 171 the end of 144 hours in the untreated conditions (0.2, 1.4, 6.3, 17.4 at T:S seeding ratios of 1:1, 172 2:1, 4:1 and 8:1, respectively, Fig. 2E, black bars). In addition, the tolerant to sensitive fractions 173 in presence of cisplatin were 0.8, 4.9, 16.9 and 38.8 for the same seeding ratios (Fig. 2E, orange 174 bars). Thus, there was an increase in the tolerant to sensitive cell fraction in the population 175 treated with cisplatin, suggesting a reduction in the sensitive cell population as well as better 176 fitness of the tolerant cells in presence of cisplatin.

177 Sensitive cells suppress growth of tolerant cells in absence of drug

178 To discern the effect of short-term association between the sensitive and the tolerant 179 cells on their group behavior, we repeated the above experiments by incubating the co-culture 180 for only 12 h instead of 3 weeks prior to starting the experiments (Supplementary Fig. 2A, 181 schematic). Here, at 1:1 tolerant:sensitive seeding ratio, the fold change in the tolerant cell 182 population at the end of 144 h was 8-fold (Supplementary Fig. 2B), whereas after three weeks 183 of co-culture, we observed a 4 fold change in the growth (Fig. 2C and Supplementary Table 1). 184 Also, compared to the 3 weeks co-culture, where the tolerant cell fold changes were 185 significantly lower (p<0.0001) than that of the sensitive cells for all seeding ratios except 8:1, the 12-hour co-culture showed less difference in fold changes between the two cell types (p< 186 187 0.001) at seeding ratios 2:1 and 4:1, and insignificant at 8:1 (Supplementary Fig. 2E). The 188 tolerant to sensitive fraction in the population at 144 hours was also higher than in the 3 weeks 189 co-culture experiments for seeding ratios 1:1 and 2:1 (1.2 and 3.3 vs. 0.2 and 1.4 in the 3 weeks 190 co-culture, Supplementary Fig. 2G). Taken together, these data show that the short-term 191 association between the two cell types did not suppress the tolerant cell population as 192 efficiently as the long-term association. However, in presence of cisplatin, the tolerant cell 193 proliferation was significantly higher compared to the sensitive cells (p<0.0001, Supplementary 194 Fig. 2C and F). Next, we compared the change in the tolerant cells to the sensitive cell fraction 195 and observed a similar trend as seen in the 3 weeks co-culture for all seeding ratios. The 196 increase in growth of the tolerant cells was also supported by the increase in their fraction in 197 the population in absence of cisplatin, and further the presence of cisplatin supported their 198 growth. (Supplementary Fig. 2C, 2D orange line graph and 2G orange bar graph,).

The growth trends indicated a competition between the two cell types which was enhanced by long-term association. In contrast, the behavior of the tolerant cells suggested mutual cooperation to improve survival, which was favored by the higher seeding ratios. To explore the frequency-dependent competition of the sensitive cells towards the tolerant cells, we expanded the seeding ratios to increased proportions of sensitive cells in the population (i.e. sensitive to tolerant ratios of 1:1 to 8:1) (**Supplementary Fig. 3A**, schematic).

205 Consistent with the previous experiments, in the absence of cisplatin, the sensitive cells 206 suppressed the growth of tolerant cells (Supplementary Fig. 3B and E). Again, in the presence 207 of cisplatin, the tolerant cell growth was dominated in the population by approximately 1.9, 2.2, 208 2.7 or 3.8-fold over sensitive cells for the sensitive to tolerant ratios of 1:1, 2:1, 4:1 or 8:1, 209 respectively (Supplementary Fig. 3F). The increase in growth of the tolerant cells was also 210 supported by the presence of cisplatin (Supplementary Fig. 3C, 3D orange line graph and 3G 211 orange bar graph). Together, these experiments indicated that in a heterogeneous population, dynamic competition and cooperation exist between the sensitive and the tolerant cells, and 212 213 the sensitive cells dominate over the tolerant cells. In contrast, the presence of cisplatin favors 214 the survival and proliferation of the tolerant cells by inducing cell death among the sensitive 215 cells.

216 Sensitive cells secrete a factor(s) that retards the growth of tolerant cells

To discern whether a physical interaction between the two cell types is necessary for 217 218 this, or the sensitive cells secrete an 'inhibitory factor' to attenuate tolerant cell growth, the 219 cells were grown in conditioned medium from sensitive or tolerant cell monocultures (Fig. 2F, 220 schematic). As seen in the right graph in Fig. 2G, conditioned medium from the sensitive cells 221 impeded proliferation of tolerant cells by ~4.5-fold. In contrast, the conditioned medium from 222 the tolerant cells had no significant effect on the growth of the sensitive cells (Fig. 2G, left 223 graph), alluding to the presence of one or more inhibitory factors in the conditioned medium 224 from the sensitive cells. Furthermore, at a lower seeding density (Fig. 2H, schematic), the 225 conditioned medium had a greater inhibitory effect (approximately 6-fold) on the tolerant cells 226 and reduced in a dose-dependent fashion with an increase in tolerant cell seeding density (Fig. 227 21). Thus, the suppressive effect of the sensitive cell-conditioned medium was reduced with a 228 greater number of tolerant cells (Fig. 2I).

Intermittent therapy can sustain a population of cisplatin-sensitive tumor cells while attenuating the proliferation of resistant cells

231 Since the proliferation of the tolerant cells was remarkably impeded when co-cultured 232 with sensitive cells for prolonged periods prior to cisplatin treatment, we asked if continuous or 233 intermittent cisplatin treatments would differentially affect a mixed population of the two cell 234 types. Toward this end, we mixed the two cell types at different sensitive to tolerant ratios and treated them as described in Supplementary Fig. 4, schematic. Within 10 days, we observed 235 236 that the ratio of tolerant to sensitive cells increased by 50- to 100-fold for the initial seeding 237 ratios of 1:1, 2:1 and 4:1 (sensitive:tolerant), respectively, under continuous treatment. On the 238 other hand, the tolerant to sensitive (T:S) ratio for the intermittent therapy increased only 3- to

8-fold (Fig. 3A-C), suggesting that sensitive cells were able to recover from the drug toxicity andproliferate.

We prolonged the intermittent therapy by splitting the cells growing in cisplatin-free media post cisplatin treatment into two sets: 'Intermittent 1 cycle' and 'Intermittent 2 cycles' as described **Supplementary Fig. 4**. In 'Intermittent 1', we observed the (initially cisplatin exposed) cells cisplatin free for 25 days, whereas in 'Intermittent 2', we treated the cells with one extra dose of cisplatin for 4 days, before observing them in cisplatin-free media for the rest of the duration. We then asked if the sensitive cells once exposed to cisplatin would outgrow the tolerant cells to recapitulate the data shown in **Fig. 2B** and **Supplementary Fig. 3B**.

248 We continued the culture for 24 days, to let the cells grow and once confluent, passaged 249 1 to 5 every 6-8 days. We observed that the tolerant vs. sensitive ratio fell to approximately 2, 1.6 and 0.4 for initial seeding densities of 1:1, 2:1 or 4:1, respectively, for the cells treated only 250 251 once with cisplatin on Day 3 (Intermittent – 1 cycle) (Fig. 3D-F, black line). In contrast, the ratio 252 of cells that received the 2nd dose of cisplatin treatment ('Intermittent - 2 cycles') and were 253 allowed to recover in fresh media, did not show any decrease in the tolerant population and 254 maintained a S:T ratio of 100/800 (Fig. 3D-F, red line). To validate the in vitro observations in 255 vivo, we injected zebrafish larvae with fluorescently tagged cells and treated them with 256 cisplatin (see **Supplemental Information** for details). While continuous cisplatin treatment for 5 257 days resulted in a tumor with predominantly tolerant cells, intermittent treatment led to no 258 significant change in the T:S ratio (Fig. 3G), indicating that intermittent treatment can ensure a 259 stable disease whereas the continues therapy favors emergence of drug refractory disease.

260 *Epigenetic modulation can distinguish drug sensitivity, tolerance and resistance in lung cancer* 261

262 To test the possibility that drug sensitivity can be regulated at the epigenetic level in a 263 reversible way, as opposed to genetic mutations alone, we used two different epigenetic 264 modulators namely, 5-azacytidine (5-AZA), a DNA methyltransferase inhibitor, and 265 suberoylanilide hydroxamic acid (SAHA), a histone deacetylase inhibitor, and determined their 266 effects on cisplatin resistance. While SAHA treatment did not enhance the effect of cisplatin on 267 sensitive H23 cells (Fig. 3H) or H1993 cells that are resistant to cisplatin (IC50>300µM) (Fig. 3J), it had a significant additive effect on the H2009 cells, suggesting that these cells can become 268 sensitive through epigenetic intervention (Fig. 3I). However, 5-AZA had no discernable effect 269 270 (not shown), suggesting that epigenetic regulation of chromatin rather than specific cytosine 271 residues in the DNA modulates cisplatin tolerance in the H2009 cells. Based on these criteria, 272 H2009 gualify as cisplatin-tolerant (reversible) rather than resistant (irreversible) while H1993 273 may represent a truly resistant phenotype. Taken together, these observations suggest that 274 tolerance to cisplatin can be reversed unless the tolerant cells acquire mutations making them 275 irreversibly resistant.

276

277 Modeling cancer group behavior using experimentally derived growth curves

278 Typically, group behavior among cancer cell populations is studied using variants of the 279 Lotka-Volterra (LV) model, where the inter-species competition and cooperation depend on the species frequencies ¹⁹⁻²¹. We tested one such model (Li et al) which has been successful in 280 explaining the evolutionary dynamics in bacterial co-cultures ²². The major difference of the Li 281 et al model to LV is the implementation of growth rates that depend on the species 282 283 frequencies, leading to more complex dynamics than what could be captured by the classical LV 284 model. However, the Li et al model did not quantitatively explain our experimental data (details 285 in the Supplementary text, Section 1 and Supplementary Fig. 5) warranting alternative and 286 possibly more complex models. We think the models like LV or Li et al are not suitable for our 287 system partly due to the fact that they treat each cell identity as immutable, therefore ignoring 288 the plastic phenotypes of cancer cells due to phenotypic switching. To address these 289 deficiencies, we have developed a new model (Phenotype Switch Model with Stress Response 290 or PSMSR), incorporating the knowledge about our specific cellular system and the observed 291 growth trends.

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- 293

The key evidence that motivated the new model PSMSR are as follows:

(1) Sensitive cells suppress the proliferation of the tolerant cells by secreting diffusible
 factors (can be overcome by increasing the frequency of tolerant cells)

- 296
 297 (2) The suppressive effect is only prominent after co-culture of the two cell types for
 298 three weeks, not if the cells are mixed and monitored immediately
 - (3) Competition by the sensitive cells is eliminated in presence of cisplatin, and
- 300 301

299

302 (4) Epigenetic modifier SAHA can switch the tolerant cells to be drug-sensitive through 303 non-genetic means, implying that these cells can switch their phenotypes in response to the 304 environment.

304 305

Based on the above observations, the following are the key premises involved in PSMSR (Fig. 3074A):

308

(1) Sensitive cells generate one or more products that affect the proliferation of the
 tolerant cells (and possibly their own as well). We call this hypothetical product(s) 'stress' (we
 explain its significance in Supplementary Text Section 2).

312

313 (2) Since the cohabitation of the cells appear to change their phenotypes (e.g. stronger 314 suppression of tolerant cells by the sensitive cells after three weeks of co-culture), and we do 315 not have enough information to model this phenotypic change as function of the cohabitation 316 conditions, every system (i.e. monotypic, heterotypic-12 h and heterotypic-3 weeks) must be 317 treated as distinct with their own phenotypic parameters.

318

(3) Through mutual cooperation, the tolerant cells can mitigate or neutralize the 'stress'generated by the sensitive cells in a frequency-dependent manner.

321
322 (4) Due to the stochastic phenotypic switching (sensitive ≓ tolerant) by the two cellular
323 species, a state of equilibrium exists between the two phenotypes at any point of time, where
324 the equilibrium constant depends on the stress. As stress increases in the system, the
325 equilibrium shifts to the right to increase the fraction of the tolerant phenotype.

The model presented here reflects the following mechanisms: i) cellular growth leads to stress accumulation, ii) accumulated stress reduces growth, iii) tolerant cells are efficient in neutralizing stress, iv) stress accumulation triggers the switching of sensitive cells to the tolerant phenotype. Hence, the growth rates of the sensitive (S) and the tolerant cells (T) can be expressed as,

$$\frac{\mathrm{d}S}{\mathrm{dt}} = -K_a S + K_b T + K_{GS} S \tag{1}$$

331

$$\frac{\mathrm{d}T}{\mathrm{dt}} = -K_b T + K_a S + K_{GT} T \tag{2}$$

332 Here, K_{GS} and K_{GT} are the stress-dependent effective growth rates (incorporating both 333 proliferation and cell death) of the sensitive and tolerant cells respectively. K_a and K_b are the rate of switching from sensitive to the tolerant phenotype and vice versa and K is the 334 equilibrium constant of phenotypic switching (eqn. 3).²³ We assume K_{GS}, K_{GT}, K to be linearly 335 dependent on stress and K_b to be fixed, although the exact functional forms that map these 336 quantities to stress is less important, as long as a monotonic relationship is maintained. 337 338 Notably, we also fit the PSMSR model assuming sigmoidal as opposed to linear relationships of 339 the above rate parameters with stress (Supplementary Fig. 6), without significant worsening of 340 fitting error (Supplementary Fig. 7). For details, see Supplementary section 3.

341
$$K = \frac{K_a}{K_b}$$
 (3), where *K* is the equilibrium constant for phenotypic switching.

Next, we assume that stress is predominantly generated by the fast-growing sensitive cells at a rate proportional to the cell population and neutralized by the tolerant cells. The resulting rate equation is given by:

$$\frac{\mathrm{d}C_{Str}}{\mathrm{dt}} = K_{Str}S - K_{Str,d}T \tag{4}$$

where C_{Str} is a hidden variable representing the stress level, and K_{Str} and $K_{Str,d}$ are the rates of stress generation and removal, respectively.

347 PSMSR and cisplatin response

To model the effect of cisplatin, we added a cisplatin dose-dependent cellular death rate term to equations 1 and 2 to obtain equations 5 and 6. AUC stands for "area under the curve" (AUC = cisplatin concentration x time of exposure), which represents the memory effect of cisplatin exposure on the cellular growth (**Fig. 5A** and **Supplementary Text Section 2**).²⁴⁻²⁶ *Sigmoid(AUC)* is the sigmoidal function (equation 7) that varies between 0 and 1, depending on the magnitude of AUC, which multiplied by the scale factor SCALE gives the cellular death rate.

- 354 AUC₅ and AUC₉₅ represent the AUC values where 5% and 95% of the cisplatin death effect are
- achieved respectively. The SCALE, AUC₅ and AUC₉₅ parameters are specific for the sensitive and the tolerant cell types.

$$\frac{dS}{dt} = -K_a S + K_b T + K_{Gs} S - SCALE_S \times sigmoid(AUC) \times S$$

$$\frac{dT}{dT}$$
(5)

$$\frac{dT}{dt} = -K_bT + K_aS + K_{Gt}T - SCALE_t \times sigmoid(AUC) \times T$$
358

$$sigmoid(AUC) = \left\{ 1 + exp \left[ln19 \left(1 - 2 \frac{AUC - AUC_5}{AUC_{95} - AUC_5} \right) \right] \right\}^{-1}$$
(7)

In total, the PSMSR includes 9 unknown parameters (16, when including cisplatin effect). We 360 361 used roughly 2900 cell population data collected over several days of cellular growth under various conditions to fit (Fig. 4B-C) and analyze the model parameters. The fitting was 362 performed using the global optimization method, Genetic Algorithm (GA)²⁷, as implemented in 363 the package GA in R.²⁸ (also see Supplementary text Section 2). The suitability of the PSMSR 364 model in describing the experimental observations was assessed by constructing the log 365 366 likelihood profiles for each parameter as described in Supplementary text Section 4 and 367 Supplementary Figs. 8-9.

368 PSMSR in monotypic and heterotypic cultures

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369 Our experimental observations indicated that the sensitive and the tolerant cells behave 370 quite differently when cultured alone, as opposed to being in presence of one another 371 (Supplementary Fig. 10A-C). Therefore, by deconvoluting the growth trends using PSMSR, we 372 examined whether a few or all parameters of the model are different between the monotypic 373 and the heterotypic cultures. In general, several phenotypic parameters for the heterotypic 374 cultures were different in magnitude compared to the values for the monotypic cultures 375 (Supplementary Fig. 10J). This indicates an influence of the cellular phenotypes on each other. 376 The parameters that showed a consistent difference between the mono- and heterotypic cultures include K₀ and K_b (the parameters for phenotypic switching), K_{Gt0} (growth rate for the 377 tolerant phenotype) and K_s (rate of stress generation). One interesting observation is that the 378 growth rate for the tolerant phenotype in monotypic and 3-week co-cultures is 7-10 times 379 380 smaller than that of the sensitive phenotype (Supplementary Fig. 10J), reminiscent of the 381 persister trait (please see "Discussion").

382 By incorporating the cellular growth dynamics under different seeding ratios, The 383 PSMSR model has the potential to provide insights into the mechanism of phenotypic switching 384 in response to a changing microenvironment (Fig. 4D-I). Here, we have calculated the 385 emergence of tolerant cell population from sensitive cells or vice versa in both monotypic (Fig. 4D-E, Supplementary Fig. 11A, 11B, 11E and 11F) and heterotypic cultures (Fig. 4G-H, 386 387 Supplementary Fig. 12A, 12B, 12F and 12G). Of note, these cells are still experimentally 388 detected as red or green, irrespective of their true phenotypes. Since in our model, stress is the 389 driver for phenotypic switching, the switched phenotype cells only appear once stress builds up 390 in the system over time (Fig. 4I and Supplementary Fig. 12). In both monotypic and heterotypic

(6)

391 cultures, the model predicts a rapid switch by the tolerant cells to the sensitive phenotype (up 392 to 97%, Supplementary Fig. 11E, 12B, 12D and 12G), while maintaining a low but steady 393 tolerant population throughout the observations (Fig. 4E, Supplementary Fig. 11B, 11F). Thus, 394 we have seen how the cancer cells use phenotypic switching to maintain the overall fitness of 395 the community under different stress levels. To maintain steady growth, stress must be 396 mitigated, where switching to the tolerant phenotype pays off, since the tolerant cells are 397 capable of neutralizing stress. However, the fraction of tolerant phenotype in the population is 398 predicted to be low overall (10%, Fig. 4G, Supplementary Fig. 12C and 12H). This may be due to 399 a balance between the necessity for stress removal and the energy or other costs required to 400 maintain the tolerant phenotype.

401 *Effects of phenotypic switching and stress give rise to diverse game-theoretical strategies in* 402 *mixed cell populations*

403 Cancer cell behavior is widely studied using game theory-based models where the inter-404 species game strategies (competition and cooperation) are assumed to be constant throughout 405 the growth regime. A familiar example of such a model is the competitive Lotka-Volterra 406 equation, although more specialized models exist in the literature.²⁹ The phenotypic diversity 407 available to cancer cells suggest that their game strategic landscape will be considerably 408 complex, where the inter-species competition and cooperation are dynamically altered based 409 on changing scenarios.

410 Therefore, we have asked whether the PSMSR model can capture this complex strategic 411 landscape. Due to the complexity of the PSMSR equations, it is not possible to analytically derive evolutionary payoffs (such as those given by the Lotka-Volterra equations³⁰). Moreover, 412 413 the payoffs are likely to vary over time, unlike in the classical game theoretical models, where 414 they are assumed to be constant. Therefore, we followed a different approach, where we 415 numerically fitted the Lotka-Volterra equation to the growth rates given by PSMSR over moving 416 time windows (Supplementary Fig. 13). At small (12 h) and very large time-windows (6 days), the fitting seemed to be worse, while at moderate time windows such as 4-5 days, the fitting 417 418 appeared reasonable between the two models (Supplementary Fig. 13). By fitting the growth 419 rates obtained from the PSMSR model to the competitive Lotka-Volterra equations, we 420 determined the inter-species competition parameters as function of time, for different sensitive 421 to tolerant seeding ratios (Fig. 4J and K). Fig. 4J shows that the tolerant cells are initially 422 competitive towards the sensitive cells, but they become cooperative after 2-3 days of growth. 423 This coincides with the accumulation of stress (Fig. 4G) indicating that the microenvironment 424 plays a major role in altering the game strategies of the cancer phenotypes. Notably, the effect 425 of the sensitive cells towards the tolerant cells (Fig. 4K) is significantly smaller in magnitude. 426 Within the tumor, where the microenvironment is significantly more complex than our 427 experimental setup, multiple agents such as the various cancer-associated macrophages and immune cells can dynamically alter the game strategies adopted by the tumor cells and steer 428 resistance evolution ³¹. In summary, the PSMSR model, combined with the payoff calculation 429 scheme described above, demonstrates the diverse strategic landscape explored by the 430 431 sensitive and tolerant cells under varying cell population and stress levels.

432 PSMSR model demonstrates the effectiveness of the intermittent cisplatin therapy

433 Using the PSMSR model, we simulated the continuous and intermittent therapy 434 experiments, as explained before. We first calculated the model parameters by fitting to the 435 experimental growth trends measured in presence of cisplatin. The best agreement was 436 obtained when community cooperation such as phenotypic switching and stress removal were 437 turned off (Fig. 5B and C). This implies that high cisplatin levels trigger the tolerant cells to focus 438 on their own survival, similar to other social communities where imminent danger promotes 439 self-survival. Also, at high cisplatin levels, the phenotypic switching to sensitive is detrimental to 440 the survival of the community. Together, these observations are indicative of the adaptability of 441 the cancer cells for survival in adverse environments. In Fig. 5D, the magnitudes of the SCALE 442 parameter quantify the difference in cisplatin sensitivity between the sensitive and the tolerant 443 phenotypes.

444 Using the PSMSR model, we simulated the cisplatin dose cycles as explained in 445 Supplementary Fig. 4. Analogous to the experimental observations, the tolerant cell proportion 446 increased with time in the continuous therapy, while it remained relatively small and increased 447 at a slower rate during the intermittent cycles (Fig. 5E-F). Also, the intermittent 2 cycles of 448 cisplatin dosage created more tolerant cell population than the single cycle, in agreement with 449 the experiments (Fig. 3D-F). While the actual magnitudes of the tolerant cell population in the 450 simulations are different than in the experiments, the qualitative behaviors agree. The 451 quantitative difference between the predictions and the experiments could be partly attributed 452 to the growth attenuation due to confluence that is not accounted for by the PSMSR model. 453 Overall, these simulations show that the PSMSR model is able to qualitatively reproduce the 454 drug-induced behavior of the cancer cell population.

455 Discussion

456

Several studies have applied evolutionary game theory to cancer^{3-5,9,29,32-35} but as far as 457 we are aware, the adaptive strategies NSCLC cancer cells adopt in response to environmental 458 459 perturbations have not been investigated employing drug-naïve and drug-tolerant cells. We 460 demonstrated that the growth dynamics of these cells can only be explained by invoking dynamic phenotypic switching upregulated by environmental stress. Consistent with this 461 assumption, the present data with the epigenetic regulator together with our previous 462 studies^{16,36} and those from others^{37,38}, strongly support the possibility that the two cell types 463 464 can stochastically switch their phenotypes via non-genetic mechanisms.

465 The present study also highlights the complex behavioral landscape of cancer cells, 466 where the payoff strategies are dynamically evolving via phenotypic plasticity induced by 467 environmental pressure (Fig. 4J, K). These inner level traits are cell frequency dependent and 468 can affect the carrying capacity of the population. The proposed PSMSR model can provide 469 important information about dynamic payoff strategies of multiple cellular phenotypes in a 470 time and frequency-dependent manner. In contrast, traditional evolutionary models (e.g., the 471 competitive LV) hold those payoffs to be fixed but are otherwise useful in understanding broad 472 game strategies of the system, due to their mathematical simplicity. Combining the PSMSR with a model analogous to LV can thus give additional insight into the complex group behavior of
multiple cellular phenotypes including the role of the microenvironment, as was demonstrated
in Fig. 4J and K.

476 The mathematical modeling combined with the experimental observations 477 demonstrated that accumulated stress (induced by cell growth and microenvironment), 478 promotes phenotypic switching of sensitive cells to tolerant phenotypes. Thus, cells that switch 479 phenotypes help to partly neutralize the stress and allow the fast-growing sensitive cells to 480 proliferate, thereby sustaining the carrying capacity of the system. Thus, the resulting carrying 481 capacity is a function of both stress and the level of tolerant phenotype in the system. 482 Interestingly, the behavior of the tolerant cells appeared to be beneficial to the overall 483 community. They helped the proliferation of the sensitive cells by removing stress, and they 484 themselves adopt to a slower proliferation rate (when co-cultured for 3 weeks with the sensitive cells, the parameter K_{Gt0} in **table 1**), so as to not compete for limited resources 485 486 (altruism). This altruistic behavior is even more beneficial in the crowded environment of a 487 tumor, where nutrients and oxygen could run low. The tolerant cells elucidated the 488 evolutionary strategy of bet-hedging where they display low evolutionary fitness under normal conditions, but high fitness under stressful conditions, such as in presence of cisplatin.³⁹ The 489 intermittent therapy simulations show that the PSMSR model reproduces this behavior of the 490 491 tolerant cell population (Fig. 5E-F). Comparing the growth data with and without cisplatin in 492 conjunction with the PSMSR model, we also find that the phenotypic switching (and the 493 consequent altruism) may be turned off at high stress, when cisplatin is administered. 494 Therefore, it appears that the altruistic stress removal benefit by the tolerant cells could be 495 effective under normal conditions in the tumor, where a small tolerant cell population benefits 496 the drug-sensitive cells to sustain proliferation. However, under high stress of chemotherapy, 497 such stress removal mechanisms may be insufficient to sustain the sensitive cell viability. It is 498 therefore prudent to turn off phenotypic switching under such situations and allow the 499 sensitive cells to become extinct and the tolerant cells to proliferate. While bet-hedging strategies by drug-tolerant phenotypes are well discussed in the literature³⁹, altruism by such 500 phenotypes has hitherto been unexplored. There is overwhelming evidence that such tolerant 501 502 persister phenotypes exist in the tumor in small proportions, even in non-drug-resistant 503 disease. However, it is not clear whether they have a specific ecological role other than adding 504 to the tumor heterogeneity, although recent evidence indicate that persisters can facilitate 505 escape from drug induced toxicity by reversibly switching to slow cycling phenotypes ¹⁸.

506 Taken together, the behavior of the tolerant cells provides novel insights into the phenotypic traits in cancer that emerge due to survival pressure as well as the cost-benefit 507 508 basis of such evolution. Of note, when co-cultured for 3 weeks prior to starting the experiment, 509 both the sensitive and tolerant cell types had equal opportunity to switch their strategy; either 510 they could have reduced or increased their proliferation rate to compete with each other, but they followed an unexpected path where the sensitive cells remained unaffected and the 511 512 tolerant cells reduced their proliferation rate. This strategy could be helpful because most of 513 the genotoxic drugs used for chemotherapy target actively dividing cells. This behavior of tolerant cells resembles phenotypic switching behavior reminiscent of persisters that are well 514 known in microbial systems⁴⁰ and more recently being recognized in cancer.^{39,41-43} 515

From a translational perspective, the present study also suggests that intermittent rather than continuous chemotherapy may result in better outcomes in lung cancer. Although, it may not cure the patient of the disease, it could potentially result in stable disease that can be managed while sparing the patient of undesirable effects of excessive chemotherapy. The fact that intermittent therapy has shown promise in other solid tumors^{7,9} should serve as a motivation to try it in lung cancer.

522 Materials and Methods

523

524 The details of the cell lines, antibodies and reagents used, the protocol used for live cell 525 imaging, the ratios in which the heterotypic cultures were grown and observed in real time 526 along with the details of the zebrafish microinjection, drug treatment, and animal handling, are 527 provided in full detail in the Supplemental Section.

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530 from BioRender.

531

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653

654 Figure Legends

655

Figure 1. Schematic summarizing the experiment and the source of data used in developing the theoretical cell growth models. (A) The schematic representation of the different incubation duration, ratios, and treatments used for generating the data to develop the mathematical model. (B) schematic describing the principles based on which the mathematical model PSMSR was developed; (C) panel representing the functional form of PSMSR (please see the main text for further details).

662

663 Figure 2. Behavior of cisplatin-sensitive (S) and tolerant (T) NSCLC cells in 2D co-culture. (A) 664 Schematic representation of the experimental design of co-culturing S and T cells in a ratio of 1:1 and collection of data points. Proliferation of sensitive (red) and tolerant (green) cells under 665 different culture conditions in the absence or presence of cisplatin. Two-way ANOVA test 666 (multiple comparison) showing statistical significance ****p<0.0001. (B) Sensitive and tolerant 667 cells were plated in increasing T:S ratios and cultured for 3 weeks. Proliferation rate of sensitive 668 669 cells (red) and tolerant cells (green) in heterotypic culture over the course of 144 hours. (C) Fold 670 change in cell count of sensitive cells (red) and tolerant cells (green) in heterotypic culture was 671 measured after 144 hours for ratios 1:1, 2:1, 4:1 and 8:1. Two-way ANOVA was used for 672 calculating statistical significance ****p<0.0001, ns-not significant. (D) Fold change in cell count of sensitive cells (purple) and tolerant cells (blue) in heterotypic culture was measured after 673 144 hours in presence of cisplatin for ratios 1:1, 2:1, 4:1 and 8:1. Two-way ANOVA was used for 674 calculating statistical significance ****p<0.0001, ns-not significant. (E) Change in 675 tolerant/sensitive cells ratio with (orange) and without (black) 5 µM cisplatin over the course of 676 677 144 hours was measured. (F) Schematic representation of the conditioned medium experiment. (G) The left line graph representing the effect of tolerant cell conditioned medium on sensitive 678 679 cells, and the right line graph representing the inhibitory effect of tolerant cell conditioned 680 medium on sensitive cells growth. (H) Schematic representation of conditioned medium 681 experiment to correlate the stoichiometry between cell number and inhibitory effect secreted 682 by sensitive cells. (I) The bar graph representing the inhibitory effect of condition medium on 683 different cell number of tolerant or sensitive cells. Statistical significance information can be 684 found in Supplementary Table 2 and 3. 685

686 Figure 3. Tolerant cells reversibly switch their phenotype to become sensitive with 687 intermittent therapy. (A-C) Bar graph showing the ratio of tolerant versus sensitive cell 688 population over a period of 10 days. The cell ratio for the "Continuous" group wherein the cells 689 were continuously treated with cisplatin is shown in blue and the ratio for the "Intermittent" 690 group wherein the cells were treated with cisplatin for 2 days and released in fresh medium 691 (intermittent) is shown in black. (D-F) Media from "Intermittent – 2 cycles" group was removed 692 after 4 days of cisplatin treatment and replaced with fresh medium and the cells were allowed 693 to grow until confluent. These cells were monitored in real-time to determine the ratio of 694 tolerant vs sensitive over the course of 25 days. Similarly, the cells that only received cisplatin 695 once ("Intermittent -1 cycle") throughout the experiment were also followed for 25 days. (G) 696 Sensitive (S, red fluorescence) and tolerant (T, green fluorescence) cells were mixed at S:T ratio 697 of 4:1 and microinjected into the perivitelline space of zebrafish larvae 48 h post fertilization 698 (hpf). Twenty-four hours after microinjection, larvae were randomly divided into 3 groups: 699 Group 1 received no drug treatment (Untreated), Group 2 received cisplatin 20 µM for 3 days 700 and released with no drug for 2 days (Intermittent), and Group 3 received cisplatin 20 µM 701 continuously for 5 days (Continuous). Ratio of tolerant versus sensitive cells was determined by 702 measuring fluorescence intensity. (H) Effect of suberoylanilide hydroxamic acid (SAHA) on 703 cisplatin-sensitive (H23), tolerant (H2009), and resistant (H1993) cells, demonstrating that 704 tolerant cells can reversibly switch their phenotype to become sensitive. Statistical significance 705 information can be found in Supplementary Table 4.

706

707 Figure 4. Cooperativity and stress response as described by the PSMSR model. (A) Schematic 708 describing the PSMSR model; initially, the sensitive and the tolerant cells proliferate 709 independently; as stress builds up, sensitive cells switch their phenotype to tolerant cells and 710 vice versa; tolerant cells remove stress and maintain a small population, while enabling the 711 sensitive cells to proliferate. (B-C) Fitting of the phenotype-switch model to the cellular growth 712 curves of sensitive and tolerant cell populations, where the cells were mixed at different 713 proportions and counting was started immediately; the colors represent the growth curves 714 from different initial seeding proportions, as indicated in the legend (sensitive to tolerant cell 715 seeding ratios); (D-F) predicted evolution of phenotypic switching and stress in monotypic 716 cultures; (D-E) populations of sensitive and (switched) tolerant phenotypes with time, when 717 seeded with sensitive cells only; (F) stress as function of time; (G-I) predicted evolution of 718 switched phenotypes and stress in heterotypic culture experiments, where cell growth was 719 monitored immediately after mixing; (G) fraction of sensitive cells that have switched to the 720 tolerant phenotype, as function of time; (H) fraction of tolerant cells that have switched to the 721 sensitive phenotype, as function of time; (I) stress with time; colors are according to the initial 722 seeding ratio of sensitive to tolerant cells as shown in the legend; the total cell population in 723 each case was close to 5000; (J-K) evolving game strategy landscape of cellular population due 724 to stress and phenotypic switching; the heatmaps of time varying payoff values representative 725 of inter-species competition/cooperation are shown as function of sensitive to tolerant seeding 726 ratio; payoff values are derived by fitting the PSMSR model to the competitive Lotka-Volterra 727 equations; orange areas in the maps represent competitive behavior, green areas represent 728 cooperative behavior; (J) α_{12} representing the effect of tolerant cells towards the sensitive cells; (K) α_{21} representing the effect of sensitive cells towards the tolerant cells. 729

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- 731

732 Figure 5. Mathematical model for cisplatin resistance. (A) Schematic demonstration of AUC 733 and cellular death rate as function of AUC; (B-C) fitting of the experimental growth data where 734 the cells were co-cultured for three weeks; B: sensitive cells; C: tolerant cells; circles and lines 735 represent the experimental and fitted trends respectively; (D) SCALE parameter as measure of 736 cisplatin sensitivity for the sensitive and the tolerant cells; the error bars represent 95% 737 confidence limits (E-F) simulation of intermittent and continuous cisplatin treatment according 738 to the protocols described in Fig. 3; the initial sensitive to tolerant cell ratio was set to 4:1 with 739 a total cell population of 50,000. (G) An illustrative model depicting the presence (and absence) 740 of group behavior among sensitive and tolerant cells under varying conditions of stress and 741 effects of continuous versus intermittent therapy.

742

743 Table 1: Model parameters and parameter search ranges for PSMSR, including the 95%744 confidence limits.

745

Condition	K ₀	K _b	K _{Gs0}	K _{Gt0}	K _{str}	K _{str,d}	а	b	g	S _{drug}	AUC _s ⁵	AUC _s 95	SCALEs	AUC _t ⁵	AUCt ⁹⁵	SCALE _t
Heterotypic	0.049 ±0.0003	3.57±0.02	0.713±0.001	0.687±0.004	7.14x10 ⁻⁴ ±3x10 ⁻⁶	5.64x10 ⁻³ ±4x10 ⁻⁵	0.046 ±0.0004	0.038 ±0.0002	0.018 ±0.0006							
Heterotypic, 3 weeks	0.052 ±0.0007	2.6±0.05	0.708±0.002	0.189±0.016	6.74x10 ⁻⁴ ±8x10 ⁻⁶	5.52x10 ⁻³ ±1x10 ⁻⁴	0.05 ±0.001	0.038 ±0.0006	0.02 ±0.0006							
Heterotypic, cisplatin 5µM	0.033 ±0.0017	0	1.033±0.046	0.976±0.054	5.9x10 ⁻⁴ ±2.8x10 ⁻⁵	0	NA	0.04 ±0.003	0.034 ±0.003	108±14.5	239±12	1153±58	8.61±0.5	233±17	1201±49	5.79±0.6
Heterotypic, 3 weeks, cisplatin 5µM	0.033 ±0.0015	0	0.966±0.05	0.967±0.05	5.8x10 ⁻⁴ ±3.3x10 ⁻⁵	0	NA	0.039 ±0.002	0.036 ±0.003	96.8±9.95	271±14	1098±44	8.91±0.5	250±14	1098±47	6.89±0.7
Parameter search range	0-0.1	0-5	0-2	0-2	0-0.001	0-0.02	0-0.1	0-0.1	0-0.1	1-500	1-500	10-1500	0.1-20	1-500	10-1500	0.1-20

746

Figure 1





Added sensitive-conditioned media







Cell seeding number



Plated 4 million sensitive cells on 10 cm dish to condition media

Н

Added sensitive-conditioned media to cells on 96-well plate (added centrifuged fresh media to cells as control)

Plotted the difference

Fig. 3

Cont Rx





Day 3

Day 2

0.5

0.0

Day 1

Day 3

Day 5

0.5

0.0

Day 1

Day 3

Day 5

0.5

0.0

Day 1

Figure 4

















Tolerant Tumor