# Climate, variability, and climate sensitivity of "Middle Atmosphere" chemistry configurations of the Community Earth System Model Version 2, Whole Atmosphere Community Climate Model Version 6 (CESM2(WACCM6))

Nicholas Alexander Davis<sup>1</sup>, Daniele Visioni<sup>2</sup>, Rolando R. Garcia<sup>3</sup>, Douglas Edward Kinnison<sup>4</sup>, Daniel R. Marsh<sup>5</sup>, Michael James Mills<sup>5</sup>, Jadwiga H. Richter<sup>5</sup>, Simone Tilmes<sup>5</sup>, Charles Bardeen<sup>5</sup>, Andrew Gettelman<sup>6</sup>, Anne A. Glanville<sup>5</sup>, Douglas G MacMartin<sup>7</sup>, Anne K. Smith<sup>5</sup>, and Francis Vitt<sup>5</sup>

<sup>1</sup>National Center for Atmospheric Research
<sup>2</sup>Sibley School of Mechanical and Aerospace Engineering, Cornell University
<sup>3</sup>National Center for Atmospheric Research (NCAR)
<sup>4</sup>NCAR/CLAS
<sup>5</sup>National Center for Atmospheric Research (UCAR)
<sup>6</sup>Pacific Northwest National Laboratory
<sup>7</sup>Cornell University

December 16, 2022

### Abstract

Simulating whole atmosphere dynamics, chemistry, and physics is computationally expensive. It can require high vertical resolution throughout the middle and upper atmosphere, as well as a comprehensive chemistry and aerosol scheme coupled to radiation physics. An unintentional outcome of the development of one of the most sophisticated and hence computationally expensive model configurations is that it often excludes a broad community of users with limited computational resources. Here, we analyze two configurations of the Community Earth System Model Version 2, Whole Atmosphere Community Climate Model Version 6 (CESM2(WACCM6)) with simplified "middle atmosphere" chemistry at nominal 1 and 2 degree horizontal resolutions. Using observations, a reanalysis, and direct model comparisons, we find that these configurations generally reproduce the climate, variability, and climate sensitivity of the 1 degree nominal horizontal resolution configurations during volcanic eruptions. For any purposes other than those needing an accurate representation of tropospheric organic chemistry and secondary organic aerosols, these simplified chemistry configurations deliver reliable simulations of the whole atmosphere that require 35% to 86% fewer computational resources at nominal 1 and 2 degree horizontal resolution, respectively.





























# Climate, variability, and climate sensitivity of "Middle Atmosphere" chemistry configurations of the Community Earth System Model Version 2, Whole Atmosphere Community Climate Model Version 6 (CESM2(WACCM6))

<sup>6</sup> N. A. Davis<sup>1</sup>, D. Visioni<sup>2</sup>, R. R. Garcia<sup>1</sup>, D. E. Kinnison<sup>1</sup>, D. R. Marsh<sup>3</sup>, M.

Mills<sup>1</sup>, J. H. Richter<sup>3</sup>, S. Tilmes<sup>1</sup>, C. G. Bardeen<sup>1</sup>, A. Gettelman<sup>4</sup>, A. A. Glanville<sup>3</sup>, D. G. MacMartin<sup>2</sup>, A. K. Smith<sup>1</sup>, F. Vitt<sup>1,5</sup>

9	$^1\mathrm{Atmospheric}$ Chemistry and Modeling Observations Laboratory, National Center for Atmospheric
10	Research, Boulder, CO, USA
11	<sup>2</sup> Sibley School of Mechanical and Aerospace Engineering, Cornell University, Ithaca, NY, USA
12	$^{3}$ Climate and Global Dynamics Laboratory, National Center for Atmospheric Research, Boulder, CO
13	USA
14	<sup>4</sup> Pacific Northwest National Laboratory, Richland, WA, USA
15	<sup>5</sup> High Altitude Observatory, National Center for Atmospheric Research, Boulder, CO, USA

# <sup>16</sup> Key Points:

7

8

21

17	•	There are differences in stratospheric aerosol optical depth between comprehen-
18		sive and simplified middle atmosphere chemistry configurations
19	•	Simplifying the chemistry scheme generally has smaller global impacts than coars-
20		ening the horizontal resolution

• All configurations have similar climate sensitivities and responses to forcings

Corresponding author: N. A. Davis, nadavis@ucar.edu

#### 22 Abstract

Simulating whole atmosphere dynamics, chemistry, and physics is computationally ex-23 pensive. It can require high vertical resolution throughout the middle and upper atmo-24 sphere, as well as a comprehensive chemistry and aerosol scheme coupled to radiation 25 physics. An unintentional outcome of the development of one of the most sophisticated 26 and hence computationally expensive model configurations is that it often excludes a broad 27 community of users with limited computational resources. Here, we analyze two config-28 urations of the Community Earth System Model Version 2, Whole Atmosphere Com-29 munity Climate Model Version 6 (CESM2(WACCM6)) with simplified "middle atmo-30 sphere" chemistry at nominal 1 and 2 degree horizontal resolutions. Using observations, 31 a reanalysis, and direct model comparisons, we find that these configurations generally 32 reproduce the climate, variability, and climate sensitivity of the 1 degree nominal hor-33 izontal resolution configuration with comprehensive chemistry. While the background 34 stratospheric aerosol optical depth is elevated in the middle atmosphere configurations 35 as compared to the comprehensive chemistry configuration, it is comparable between all 36 configurations during volcanic eruptions. For any purposes other than those needing an 37 accurate representation of tropospheric organic chemistry and secondary organic aerosols, 38 these simplified chemistry configurations deliver reliable simulations of the whole atmo-39 sphere that require 35% to 86% fewer computational resources at nominal 1 and 2 de-40 gree horizontal resolution, respectively. 41

42 Plain Language Summary

Modeling the entire atmosphere, from the surface to an altitude of 140 kilometers 43 (87 miles), and all of its unique physics takes a lot of computer resources. There are many 44 people who would like to simulate the whole atmosphere to study climate change, space 45 weather, and extreme events, but they can't because these models have become too com-46 putationally expensive to run. We examined a whole atmosphere model with a simpler 47 chemistry scheme, and at a lower horizontal resolution, to see if it still reproduces ma-48 jor features of climate and climate change. The two configurations perform similarly to 49 the high resolution simulation with complex chemistry, with some minor and understand-50 able differences. Anyone looking to simulate the whole atmosphere, using fewer compu-51 tational resources, can do so confidently using the described model configurations, as long 52 as they are aware of some of the deficiencies. 53

## 54 1 Introduction

Whole atmosphere climate models resolve the interactions between atmospheric dy-55 namics, chemistry, aerosols, and upper atmosphere physics, and are needed to study a 56 wide range of scientific problems. This includes: stratospheric ozone loss (Solomon et 57 al., 1986; Solomon, 1999), its recovery (Fang et al., 2019), and the potential limits of re-58 covery due to future aircraft (J. Zhang et al., 2021) and wildfire emissions (Solomon et 59 al., 2022); geoengineering intended to offset greenhouse gas-induced warming (National 60 Academies of Sciences, Engineering, and Medicine, 2021; Kravitz et al., 2015; Tilmes et 61 al., 2020; Visioni et al., 2021; Weisenstein et al., 2022) and its side effects (Visioni et al., 62 2020; Tilmes et al., 2021, 2022); sudden stratospheric warming impacts on upper atmo-63 sphere variability (Baldwin et al., 2021; Pedatella et al., 2021); space weather (Sinnhuber 64 et al., 2012; Damiani et al., 2016; Sinnhuber et al., 2018; Meraner & Schmidt, 2018) and 65 meteor (Plane, 2012) impacts on stratospheric ozone; and the acceleration of the Brewer-66 Dobson circulation (Abalos et al., 2019; Polvani et al., 2019; Chrysanthou et al., 2020; 67 Abalos et al., 2021), its potential impacts on stratospheric (Butchart & Scaife, 2001; Ma-68 liniemi et al., 2021) and tropospheric ozone (Neu et al., 2014), and its implications for 69 global volcanic aerosol transport (Aubry et al., 2021). 70

These problems have motivated the development of the Community Earth System 71 Model Version 2, Whole Atmosphere Community Climate Model Version 6 (CESM2(WACCM6)), 72 a state of the art fully-coupled whole atmosphere chemistry-climate model with a do-73 main that extends from the surface to the lower thermosphere. The configuration with 74 comprehensive troposphere-stratosphere-mesosphere-lower thermosphere ("TSMLT") chem-75 istry (Emmons et al., 2020) at nominal 1 degree horizontal resolution was evaluated by 76 Gettelman, Mills, et al. (2019). However, its computational cost is prohibitive to many 77 researchers and for certain applications, such as long climate integrations. 78

While simulating the whole atmosphere requires comprehensive treatments of middle and upper atmosphere physics, including ion chemistry (Verronen et al., 2016) and energetic particle precipitation (Andersson et al., 2016), gravity wave transport (Garcia & Solomon, 1985; Garcia et al., 2017), and molecular diffusion (Chabrillat et al., 2002; Smith et al., 2011; Garcia et al., 2014), the elevated computational cost is primarily due to the inclusion of interactive whole atmosphere chemistry and aerosols. We present here two simpler configurations of CESM2(WACCM6) (Table 1) that make use of the simTable 1. Approximate number of central processor unit (CPU) core hours needed to complete one simulated year of the specified configuration of CESM2(WACCM6), and approximate number of simulated years per day. All configurations assume interactive ocean, sea ice, and land model components. A core hour is the computational resource of running one CPU for one hour. 1 degree configurations were run with 3,564 cores, while the 2 degree configuration was run with 576 cores due to the inherent scaling limit of the finite volume dynamical core.

Core hours	Throughput	
	(sim. year/day)	
19,900	4.3	
12,800	6.7	
2,700	5.1	
	Core hours 19,900 12,800 2,700	

plified middle atmosphere ("MA") chemistry scheme, at both nominal 1 degree and nom-86 inal 2 degree horizontal resolutions. These configurations require 35% and 86% fewer com-87 putational resources, respectively, compared to the TSMLT configuration at a nominal 88 1 degree resolution. The MA scheme neglects non-methane hydrocarbon species and re-89 actions that may otherwise be important for simulating the chemical composition of the 90 troposphere (Kinnison et al., 2007). An important difference, though, is that the MA 91 scheme produces a higher background stratospheric aerosol optical depth, in part due 92 to the design of the modal aerosol scheme (Visioni et al., 2022). 93

Here we describe in detail the climate and variability of the middle and upper at-94 mosphere, with a focus on zonal mean temperature and zonal mean zonal wind, sudden 95 stratospheric warmings (SSWs), the Quasi-Biennial Oscillation (QBO), tropical strato-96 spheric upwelling, and the tropical tape recorder, as well as several measures of surface 97 climate, including global mean surface temperature, Arctic sea ice, and climate sensi-98 tivity. We show that many aspects of surface climate and middle atmospheric climate ٩q and variability are similar in these lower-cost configurations. With a few caveats, they 100 can be used in studies that do not require all of the complexities of the comprehensive 101 TSMLT configuration. 102

# <sup>103</sup> 2 Model configurations

Our analysis focuses on configurations of CESM2(WACCM6) that use the finite volume dynamical core (Lin & Rood, 1997), with 70 vertical levels from the surface to  $4.5 \times 10^{-6}$  hPa - approximately 140 km altitude. The finite volume dynamical core is run at either a 1 degree nominal ( $0.95^{\circ} \times 1.25^{\circ}$ ) or 2 degree nominal ( $1.95^{\circ} \times 2.25^{\circ}$ ) horizontal resolution.

CESM2(WACCM6) inherits the physics of the low-top Community Atmosphere Model 109 Version 6.0, including: Zhang-McFarlane deep convection (G. J. Zhang & McFarlane, 110 1995); Cloud Layers Unified By Binormals (Golaz et al., 2002; Larson, 2017), a unified 111 turbulence and cloud scheme; Morrison-Gettelman Version 2 microphysics (Gettelman 112 & Morrison, 2015); subgrid orographic drag (Beljaars et al., 2004); an orographic grav-113 ity wave scheme based on Scinocca and McFarlane (2000); the Rapid Radiative Trans-114 fer Model for General circulation models radiation (Mlawer et al., 1997; Iacono et al., 115 2008); and the Modal Aerosol Model Version 4 (Liu et al., 2016; Mills et al., 2016). 116

In addition to these shared physics schemes, CESM2(WACCM6) also includes convectively-117 and frontally-generated gravity wave schemes (Richter et al., 2010), molecular diffusion 118 (Garcia et al., 2007), resolved gas-phase and aerosol chemistry, and photoionization, pho-119 todissociation, and photoelectron production by solar and geomagnetic forcings. The TSMLT 120 (Gettelman, Mills, et al., 2019; Emmons et al., 2020) and MA (Kinnison et al., 2007) chem-121 ical mechanisms model the extended  $O_x$ ,  $NO_x$ ,  $HO_x$ ,  $ClO_x$ , and  $BrO_x$  chemical fami-122 lies,  $CH_4$  and its degradation products,  $N_2O$ ,  $H_2O$ ,  $CO_2$ , CO, and  $ClO_x$  and  $BrO_x$  pre-123 cursors. The TSMLT mechanism also models nonmethane hydrocarbons, oxygenated or-124 ganics, two very short-lived halogens, and secondary organic aerosols via the volatility 125 basis set approach (Hodzic et al., 2016; Tilmes et al., 2019). The TSMLT mechanism 126 includes a total of 231 species, 403 gas-phase reactions, and 30 heterogeneous reactions, 127 while the MA mechanism includes a total of 59 species, 217 gas-phase reactions, and 17 128 heterogeneous reactions. 129

Surface area density derived from MAM4 is used to drive heterogeneous chemistry
 (Mills et al., 2016). Tropospheric heterogeneous reactions consider sulfate, black carbon,
 particulate organic matter, and secondary organic aerosol, while stratospheric hetero geneous reactions consider sulfate, nitric acid trihydrate, and water-ice (Mills et al., 2016).

-5-

2017; Gettelman, Mills, et al., 2019). A more complete description of the chemistry and
aerosol suite can be found in Section 2.4 of Gettelman, Mills, et al. (2019).

WACCM6 is coupled to the Parallel Ocean Program Version 2 (POP2) (Danabasoglu 136 et al., 2012), the Community Ice CodE Version 5 (CICE5) (Hunke et al., 2015), the Com-137 munity Land Model Version 5 (CLM5) (Lawrence et al., 2019), and the Model for Scale 138 Adaptive River Transport (MOSART) (Li et al., 2013) via the Community Infrastruc-139 ture for Modeling Earth (CIME) coupler (Danabasoglu et al., 2020). POP2 is a com-140 prehensive ocean model discretized onto 60 vertical levels and a "Greenland pole" hor-141 izontal mesh. POP2 includes parameterized ocean biogeochemistry. CICE5, a prognos-142 tic sea ice model, shares the same horizontal grid as POP2. Soil and vegetation dynam-143 ics and land surface biogeochemistry are modeled with CLM5, while river transport is 144 modeled with MOSART. 145

Surface mixing ratios for greenhouse gases, reactive gases, and aerosols from anthropogenic sources and biomass burning are specified, while biogenic emissions from CLM5 and  $NO_x$  production by lightning are interactive and computed online. Volcanic emissions of SO<sub>2</sub> are prescribed from Volcanic Emissions for Earth System Models (Neely III & Schmidt, 2016) with modifications described in Mills et al. (2016).

The QBO is driven spontaneously by a mix of resolved tropical waves and parameterized gravity wave drag in both 1 degree configurations of the model. The 70 vertical levels in these simulations are insufficient to accurately resolve wave dissipation and the descent of the QBO, though this can be ameliorated by increasing the number of vertical levels to 110 (Garcia & Richter, 2019). However, the tropical zonal winds are nudged to observations between 4 and 86 hPa in the MA 2° configuration as it was not tuned to have a spontaneous QBO.

We conducted three Coupled Model Intercomparison Project Phase 6 (CMIP6) experiments: three Historical (HIST) simulation ensemble members, from 1850-2014; one preindustrial control (piControl) simulation from arbitrary years 0-1000; and one abrupt quadrupling of  $CO_2$  (4xCO<sub>2</sub>) simulation from arbitrary years 0-150 (Eyring et al., 2016), for each configuration. While 150 years is sufficient to obtain an estimate of climate sensitivity, it is likely to be an underestimate (Rugenstein et al., 2020). We also conducted one SSP2-4.5 simulation for the TSMLT and MA configurations to evaluate the mechanisms' stratospheric ozone recovery. All simulations are fully coupled, with prognos tic ocean, sea ice, land, and river runoff components.

#### <sup>167</sup> **3 Evaluation datasets**

We evaluate the zonal mean climate of the whole atmosphere using a combination of Modern Era Retrospective Reanalysis version 2 (MERRA2; Gelaro et al. (2017)) output and National Aeronautics and Space Administration (NASA) Sounding of the Atmosphere using Broadband Radiometry version 2.0 (SABER; Remsberg et al. (2008); Dawkins et al. (2018)) retrievals, in addition to NASA Microwave Limb Sounder version 4.2 (MLS; Lambert et al. (2007)) and NASA Solar Backscatter Ultraviolet (SBUV; McPeters et al. (2013)) satellite retrievals.

MERRA2 is a reanalysis that assimilates in-situ and remotely-sensed observations 175 of the atmosphere to produce a highly-constrained reconstruction of atmospheric vari-176 ability from 1980 to the present. Here we use temperature and zonal wind output from 177 the assimilation product through 2014 (Global Modeling and Assimilation Office (GMAO), 178 2015). SABER, an instrument onboard the NASA Thermosphere Ionosphere Mesosphere 179 Energetics and Dynamics (TIMED) satellite, makes limb measurements of  $CO_2$ ,  $O_3$ , and 180  $H_2O$  infrared emissions, with temperature and geopotential retrievals available between 181 approximately 100 and 0.0001 hPa. 182

While MERRA2 has a model lid at 0.01 hPa (Molod et al., 2015), its sponge layer 183 begins at 0.24 hPa (Fujiwara et al., 2017). For this reason, we create a combined "MERRA2" 184 & SABER" evaluation dataset that combines MERRA2 from the surface to 0.24 hPa, 185 and SABER from 0.24 to 0.0001 hPa. In zonal mean plots, we leave the altitude regions 186 between 0.24 hPa and 0.1 hPa shaded grey to note this transition. SABER only has con-187 tinuous coverage between 53°S and 53°N (Randel et al., 2016), so we exclude all SABER 188 retrievals poleward of 53° and similarly shade them grey. For SABER, daily average tem-189 perature and geopotential are gridded by interpolating each profile to a common pres-190 sure grid and then averaging into 1 degree zonal mean bins. Daily mean zonal winds are 191 derived from gridded SABER geopotential through geostrophic balance. Monthly means 192 are constructed by averaging these daily means. 193

194 195 MLS version 4.2 retrievals of water vapor are used as an evaluation dataset for the stratospheric tape recorder (Mote et al., 1996). MLS is situated onboard NASA's Earth

-7-

#### manuscript submitted to Journal of Advances in Modeling Earth Systems (JAMES)

Observing System Aura satellite and measures microwave emissions from the atmospheric limb. As in Glanville and Birner (2017), daily profiles of water vapor are averaged between 10°S and 10°N to produce daily average stratospheric water vapor, from which monthly averages are constructed.

We use SBUV Version 8.6 merged ozone retrievals to evaluate polar stratospheric ozone. The merged dataset is constructed from ozone retrievals from nine satellites from 1970 to the present, including the Nimbus-4 BUV, Nimbus-7 SBUV, and NOAA SBUV/2 instruments. Excepting Nimbus-4, there is overlap among the different missions which allows for a more direct calibration, which presents some additional uncertainty for retrievals from 1970 to 1972.

Global mean surface temperatures are evaluated with two observational datasets: 206 Goddard Institute for Space Studies Surface Temperature version 4 (GISSTEMPv4; Lenssen 207 et al. (2019)) and Hadley Centre/Climatic Research Unit Temperature version 5 (Had-208 CRUT5; Morice et al. (2012)). Both datasets combine observations of sea surface tem-209 peratures and air temperatures over land, with slightly different homogenization and hole-210 filling methods. We also evaluate Arctic sea ice with two observational datasets: sea ice 211 area derived from the National Snow and Ice Data Center Sea Ice Index version 3 (NSIDC; 212 Fetterer et al. (2017)), and sea ice volume from the Pan-Arctic Ice Ocean Modeling and 213 Assimilation System (PIOMAS; Schweiger et al. (2011)). NSIDC is a fully observational 214 product derived from passive microwave satellite measurements, while PIOMAS sea ice 215 volume is derived from a sea ice model that assimilates satellite and in situ measurements 216 (J. Zhang & Rothrock, 2003). 217

218

# 4 Methods and definitions

Following the World Meteorological Organization, the tropopause is defined as the first level at which the tropospheric lapse rate decreases to 2 K/km, provided it remains below 2 K/km between that level and all levels within 2 km above. We define the stratopause as the warmest level between the tropopause and 0.01 hPa, and the mesopause as the coldest level above the stratopause. The "pauses" are evaluated with monthly mean, zonal mean output.

SSWs are identified as in Charlton and Polvani (2007), which classifies the central date of an SSW as the date when the daily average zonal mean zonal wind at 10 hPa

-8-

- $_{227}$  and  $60^{\circ}$ N becomes easterly from November through March. After an SSW is identified,
- subsequent events are identified only if the central date occurs more than 20 days after
- the central date of the preceding event.
- Tropical stratospheric upwelling, M, is defined as the area average of all transformed Eulerian mean (TEM) upward motion at each vertical level,

$$M(p) = 2\pi \int_{-90}^{90} [w^*](p,\phi)\delta(p,\phi)a\cos(\phi)d\phi$$
(1)

where *a* is the radius of the earth, *p* is the pressure,  $\phi$  is the latitude,  $[w^*]$  is the TEM residual vertical velocity, defined by

$$[w^*] = [w] + \frac{1}{a} \frac{\partial}{\partial \phi} \frac{[v'\theta']}{\partial [\theta]/\partial p}$$
(2)

where w is the vertical wind,  $\theta$  is the potential temperature, brackets indicate the zonal mean, and primes indicate zonal deviations, and  $\delta(p, \phi)$  is equal to 1 for positive  $[w^*]$  and 0 otherwise.

<sup>237</sup> Climate sensitivity to a doubling of  $CO_2$  is evaluated with the 4xCO<sub>2</sub> experiment <sup>238</sup> through the method detailed in Gregory et al. (2004). Annual mean top-of-atmosphere <sup>239</sup> net downward radiative flux,  $F_{TOA}$ , is regressed on the annual mean global mean sur-<sup>240</sup>face temperature anomaly,  $T_{anom}$ , producing slope *a* and intercept *b*:

$$F_{TOA} = aT_{anom} + b \tag{3}$$

 $T_{anom}$  is the difference between the global mean surface temperature and the time-mean global mean surface temperature from the final 100 years of the piControl simulation. The global mean surface temperature anomaly corresponding to a top-of-atmosphere net downward radiative flux of zero is considered the balanced response, or equilibrium climate sensitivity (ECS), and is calculated directly as

$$ECS = -\frac{b}{a} \tag{4}$$

We derive a power spectral density-weighted period to objectively assess the period of the QBO. A Fourier transform is applied to the daily zonal mean zonal wind averaged between 10°S and 10°N at each vertical level, and the period of the QBO,  $T_{QBO}$ , is estimated by weighting all periods by their power spectral density,

$$T_{QBO} = \frac{\sum_{n=1}^{N} P(n) / f(n)}{\sum_{n=1}^{N} P(n)}$$
(5)

where f is the frequency in month<sup>-1</sup>, P is the power spectral density, and the sum is taken over all frequencies from n = 1 to N, where N is the frequency with period equal to half of the length of the time series. This summation excludes the mean, which has an infinite period.

As in Dunkerton and Delisi (1985), the QBO amplitude is estimated from the standard deviation of the climatological anomalies in the zonal mean zonal wind averaged between 10S and 10N.

Age of air is a hypothetical measure of the residence time of air within the strato-257 sphere that captures the sum total of all transport processes (Waugh & Hall, 2002). Here 258 we assess age of air with the artificial AOA1 tracer, which has no sinks but a linearly-259 increasing upward flux at the lower boundary, in contrast to (Garcia et al., 2011) which 260 used a linearly-increasing specified lower boundary condition. For each grid point, we 261 determine the time interval between the mixing ratio of AOA1 in a given month and the 262 month AOA1 reached the same value at the reference latitude and pressure. We apply 263 a 12-month running mean to AOA1 before calculating the age of air, and set the refer-264 ence latitude and pressure to 0.1 °N and 100 hPa, respectively. 265

266

## 5 Preindustrial control climate

We begin with a brief survey of some global mean parameters in the piControl cli-267 mates, displayed in Table 2, including: shortwave and longwave cloud radiative effects, 268 global mean precipitation, global mean surface temperature, and the top-of-model net 269 radiative imbalance. The configurations all have statistically indistinguishable top-of-270 model net radiative imbalances, and the shortwave and total cloud radiative effects are 271 indistinguishable between the TSMLT and MA configurations. In all other cases, the global 272 mean variables are statistically significantly different. In the MA  $2^{\circ}$  configuration, the 273 shortwave and longwave cloud radiative effects are weaker, the global-mean precipita-274 tion rate is higher, and the surface temperature is warmer than in TSMLT (and MA). 275 In the MA configuration the differences are the opposite, with stronger cloud radiative 276 effects and a cooler surface temperature than TSMLT. Curiously, MA 2° has both the 277 highest global mean surface temperature and highest total cloud radiative effect, which 278 likely indicates that the cloud radiative effect is not responsible for the difference in global 279 mean surface temperature. Overall, horizontal resolution impacts some aspects of the 280

-10-

Table 2. Global mean values of key variables derived from monthly mean output from the last 100 years of each piControl simulation. 95% confidence intervals assume one degree of freedom per season. Daggers indicate the value in the MA or MA  $2^{\circ}$  configuration is statistically significantly different from its value in the TSMLT configuration at the 95% confidence level, based on a two-sided t-test for the difference of means, assuming 1 degree of freedom per season.

	1 deg., TSMLT	1 deg., MA	2 deg., MA
Shortwave cloud radiative effect	$-48.3\pm0.4 \text{ W/m}^2$	$-48.8 \pm 0.4 \text{ W/m}^2$	$-46.7^\dagger \pm 0.4 \mathrm{~W/m^2}$
Longwave cloud radiative effect	$25.3{\pm}0.1~\mathrm{W/m^2}$	$25.7^\dagger{\pm}0.1~\mathrm{W/m^2}$	$22.8^\dagger{\pm}0.1~\mathrm{W/m^2}$
Total cloud radiative effect	-23.0 $\pm 0.5 \text{ W/m}^2$	$-23.1{\pm}0.5~{\rm W/m^2}$	$-23.9^\dagger {\pm} 0.4 \ \mathrm{W/m^2}$
Precipitation	$2.9{\pm}0.1~\mathrm{mm/day}$	$2.9^\dagger{\pm}0.1~\mathrm{mm/day}$	$3.0^\dagger{\pm}0.1~\mathrm{mm/day}$
Surface temperature	$287.1 {\pm} 0.1 {\rm ~K}$	$286.9^\dagger{\pm}0.1~\mathrm{K}$	$287.3^\dagger{\pm}0.1~\mathrm{K}$
Top-of-model net radiative imbalance	$0.1{\pm}0.7~\mathrm{W/m^2}$	$0.0{\pm}0.7~\mathrm{W/m^2}$	$0.1{\pm}0.7~\mathrm{W/m^2}$

global mean climate more than the chemistry scheme. However, the differences among these configurations are generally smaller than the differences between WACCM6 and WACCM4 (Gettelman, Mills, et al., 2019).

# <sup>284</sup> 6 Zonal mean climate and variability

A comparison of zonal mean temperatures for December-January-February and June-July-August is shown in Fig. 1. The middle and upper atmosphere exhibit a strong seasonality in temperature, with a markedly warmer stratosphere and colder mesosphere, as well as lower stratopause and mesopause, in summer (Fig. 1 a,b,f,g). MERRA2 and SABER exhibit good continuity throughout SABER's continuous-coverage latitude range (Fig. 1a,f).

The TSMLT configuration largely reflects the seasonality observed in MERRA2 & SABER (Fig. 1b,g). However, TSMLT is generally warmer in the tropics just above the stratopause and just below the mesopause (Fig. 1c,h). It's also warmer in the upper polar stratosphere in winter, and cooler in the Southern Hemisphere stratosphere in both seasons. Additionally, the summer mesosphere is slightly colder in TSMLT, such that the mesopause drops off in altitude more sharply with latitude than observed in the subtropics.



Figure 1. 1980-2015 average zonal mean temperature in (first column) MERRA2 & SABER, (second column) TSMLT, and difference in zonal mean temperature between (third column) TSMLT and MERRA2 & SABER, (fourth column) MA and TSMLT, and (fifth column) MA 2° and TSMLT, for both (top row) December-January-February and (bottom row) June-July-August. Climatology shaded in a, b, f, and g; while differences are shaded in c, d, e, h, i, and j. The MERRA2 & SABER climatology is contoured in c and h and the TSMLT climatology is contoured in d, e, i, and j. Values not statistically significantly different at the 95% confidence level are hatched. The tropopause, stratopause, and mesopause are shown by the yellow lines.



Figure 2. As in Fig. 1, but for the zonal mean zonal wind.

Simplifying the chemistry scheme has no impact on these temperature biases, even 298 in the troposphere where the impact on chemical climate would be the largest (Fig. 1d,i). 299 However, the zonal mean temperature in MA  $2^{\circ}$  is significantly different than in TSMLT 300 at the summer mesopause and throughout the lower thermosphere (Fig. 1e,j). The dipole 301 around the summer mesopause indicates the mesopause is higher in altitude in MA  $2^{\circ}$ 302 than in TSMLT, which corrects some of the bias in TSMLT relative to SABER. On the 303 other hand, the warmer winter and tropical lower thermosphere in MA  $2^{\circ}$  reinforces the 304 bias already present in TSMLT relative to SABER, where SABER observations are avail-305 able. Both of these differences could be related to the vertical distribution of parame-306 terized gravity wave drag (see Fig. S1 in the Supplementary Information). 307

While the zonal mean surface zonal wind is set by the column-integrated momentum stress, the vertical shear in the zonal mean zonal wind at any given level is proportional to the vertically-integrated meridional temperature gradient below. In the troposphere, the symmetric equator-to-pole temperature gradient leads to westerly jets in each hemisphere (Fig. 2a,b,f,g), which rapidly taper off into the lower stratosphere due to the reversal of the equator-to-pole temperature gradient.

On a global scale, however, the meridional temperature gradient of the stratosphere is primarily pole-to-pole. Accordingly, both the winter westerly and summer easterly stratospheric/mesospheric jet core is situated near the stratopause, where the pole-to-pole temperature gradient changes sign (Fig. 2a,b,f,g). Above the stratopause, the pole-to-pole temperature gradient maintains its sign through the mesosphere and into the lower thermosphere, leading to the winter easterly and summer westerly thermospheric jets.

In TSMLT a westerly stratospheric/mesospheric jet weaker than in MERRA2 (Fig. 320 2c,h) is associated with the warmer pole (Fig. 1c,h), while a westerly thermospheric jet 321 stronger than in SABER is associated with the warmer equator. As is the case for the 322 zonal mean temperature, there is no impact from simplifying the chemistry scheme (Fig. 323 2d,i). In MA 2°, minor temperature differences in the tropical mesosphere (Fig. 1e,j) are 324 associated with significant differences in the tropical zonal mean zonal winds (Fig. 2e,j). 325 These differences are tilted toward the summer thermosphere, where the mesopause is 326 higher in MA 2° than in TSMLT, and tend to exacerbate the biases in the lower ther-327 mosphere (Fig. 2c,h). The differences among the model configurations are generally smaller 328 than the model biases, however. 329

The climate and variability of the Northern and Southern Hemisphere stratospheric 330 polar vortices are similarly consistent among the different configurations (Fig. 3). In both 331 hemispheres, the vortex strength exhibits increased variability in winter due to wave forc-332 ing. From November through April, the distributions of daily Northern Hemisphere po-333 lar vortex strength in all configurations of WACCM6 are significantly different from the 334 distributions in MERRA2 (Fig. 3a,c,e). The distributions in WACCM6 are narrower, 335 due to both a lower maximum and higher minimum. In the Southern Hemisphere, the 336 vortex in WACCM6 is significantly stronger throughout the seasonal cycle (Fig. 3b,d,f). 337 Only one (major) SSW has been observed in the Southern Hemisphere over the reanal-338 ysis era, but none are simulated in WACCM6. 339

SSWs occur on average every two years in the Northern Hemisphere from December through March, with approximately equal frequency in all months (Fig. 4). All WACCM6 ensemble members simulate at least one November SSW, but of these, only 2 members are statistically significantly different from the frequency of 0 in MERRA2. Here, we es-

-14-



Figure 3. Stratospheric polar vortex strength for the (left column) Northern and (right column) Southern Hemisphere, in (top row) TSMLT, (middle row) MA, and (bottom row) MA 2°. WACCM6 statistics shown by black lines and shading, while the MERRA2 minimum, maximum, and median are shown by the red lines. Differences in the vortex strength distribution that are statistically significantly different at the 95% confidence level are shown by the black line along the date axis. The polar vortex is defined as the zonal mean zonal wind at 60 degrees latitude and 10 hPa.



Figure 4. Northern Hemisphere sudden stratospheric warming frequency in each ensemble member and in MERRA2. 95% confidence intervals are shown as whiskers, while red x's indicate ensemble members with frequencies statistically significantly different from MERRA2 at the 95% confidence level based on a binomial distribution.

timate the monthly 95% confidence intervals using a binomial distribution based on N =344 25 yearly samples. For a binomial distribution to be valid, we must assume that only one 345 SSW occurs in a given month in a given year (which is never violated). These early win-346 ter SSWs in WACCM6 can be seen in the vortex statistics, where the minimum wind 347 line becomes negative approximately one month before MERRA2 (Fig. 3a,c,e). Apart 348 from these November SSWs, there are some MA ensemble members that simulate too 349 few SSWs relative to MERRA2 in February. Overall, though, we do not find that the 350 SSW frequencies in any of the WACCM6 configurations are consistently biased relative 351 to the observed frequencies. 352

In the tropical stratosphere, the dominant mode of variability is the QBO (Baldwin 353 et al., 2001), which has wide-ranging impacts on global teleconnections (Scaife et al., 2014; 354 Toms et al., 2020). The dissipation of upward-propagating gravity, Kelvin, and mixed 355 Rossby-gravity waves in the stratosphere drives the downward propagation of each phase 356 of the QBO (Garcia & Richter, 2019; Holt et al., 2022), producing its characteristic 28-357 month period (Fig. 5a,b). In WACCM6, the spontaneously-generated QBO in TSMLT 358 and MA has a slightly shorter period than in MERRA2 throughout the middle and up-359 per stratosphere (Fig. 5d,e,g,h). Further, the wind anomalies are weaker than those in 360 MERRA2 - which can be seen in the weaker QBO amplitude (Fig. 5f,i) - and they do 361 not descend below 50 hPa (Fig. 5d,g). Instead, the tropical lower stratosphere has steady 362



**Figure 5.** Daily mean zonal mean zonal wind averaged from 10°S to 10°N from (a) MERRA2 and (d,g,j) the second ensemble member of each configuration of WACCM6, (b,e,h,k) the power-weighted period of the zonal mean zonal wind, and (c,f,i,l) the QBO amplitude, with MERRA2 displayed in red.



**Figure 6.** 1980-2014 tropical stratospheric upwelling a) mean and b) trend. Circles in a) denote values statistically significantly different from MERRA2 at the 95% confidence level, while circles in b) denote trends statistically significant at the 95% confidence level.

westerly winds. The QBO in MA 2° is highly correlated with the observed QBO in MERRA2 because it is nudged (Fig. 5j-l). However, some higher-frequency variability visible in MERRA2 (Fig. 5a) is missing in MA 2° (Fig. 5j).

Upwelling by the wave-driven residual circulation in the tropics is one the key path-366 ways through which tracers enter the stratosphere. Both the TSMLT and MA config-367 urations have stronger climatological stratospheric upwelling than MERRA2 below 60 368 hPa, whereas MA 2° has significantly stronger upwelling than MERRA2 above 80 hPa 369 (Fig. 6a). This may be due to an apparent upward shift of the upwelling profile in the 370 1 degree configurations relative to both MERRA2 and the MA  $2^{\circ}$  configuration. Over 371 the historical period, MERRA2 exhibits a statistically significant and consistent 5%/decade 372 acceleration of upwelling at all levels. While the upwelling trends in the WACCM6 con-373 figurations are approximately 50% weaker and only significant below 30 hPa, they are 374 consistent with one another. 375



**Figure 7.** Tropical stratospheric water vapor averaged between 10S and 10N, with the maximum and minimum values at the model level closest to 90 hPa shown in each panel. Shading shows the climatology in a) and b), while shading shows differences in c)-e), with contours indicating the MLS climatology in c)-e). Differences not statistically significantly different from MLS at the 95% confidence level are hatched in c)-e).



Figure 8. Stratospheric age of air averaged over the historical experiment in a) TSMLT, and b-c) the difference in age of air from TSMLT. Hatching indicates differences not statistically significant at the 95% confidence level. The tropopause is indicated by the yellow line. The reference location is indicated by the asterisk.

The residual circulation is only the advective component of the Brewer-Dobson cir-376 culation. The other component - horizontal and vertical mixing by eddies - can drive ap-377 parent vertical transport in the tropics (Glanville & Birner, 2017). The mixing ratio of 378 water vapor at the tropical tropopause has a seasonal cycle and is quasi-conserved dur-379 ing ascent, excepting the source from methane oxidation, giving rise to the water vapor 380 tape recorder (Fig. 7a,b; Mote et al. (1996)). Below 70 hPa, both the TSMLT and MA 381  $2^{\circ}$  configurations have a pronounced dry bias relative to MLS in boreal summer. Above 382 70 hPa, the 1 degree configurations are up to 0.5 ppm drier in and above the dry part 383 of the signal, and up to 0.5 ppm wetter in and above the wet part of the signal (Fig. 7c,d). 384 This dipole indicates stronger net ascent, with the dry signal reaching 25 hPa (Fig. 7b) 385 rather than 30 hPa (Fig. 7a) within one year. On the other hand, MA  $2^{\circ}$  is significantly 386 wetter than MLS throughout most of the dry part of the signal (Fig. 7e). 387

Age of air provides a more global perspective of stratospheric transport (Fig. 8). In the stratosphere the air is youngest at the tropopause and reaches a maximum of nearly 5 years in the polar upper stratosphere (Fig. 8a). Age of air in the MA configuration is approximately 2 months younger throughout the stratosphere, with a maximum difference of 1 year in the Northern Hemisphere subtropical jet (Fig. 8b). On the other hand,

-20-



Figure 9. Time series of monthly 5-year running mean a) absolute global mean surface temperature and b) global mean surface temperature anomalies, as well as c) the 1850-2014 average global mean surface temperature and d) 1980-2014 trend in global mean surface temperature. x's in d) indicate trends statistically significantly different from both HadCRUT5 and GISSTEMPv4 at the 95% confidence level.

the age of air in the lower stratosphere in the MA 2° configuration is up to 6 months older, and oriented approximately parallel with midlatitude isentropic eddy mixing.

395

# 7 Historical climate change and climate sensitivity

An important question is whether simplified chemistry or horizontal resolution impact climate sensitivity. While the different configurations have statistically significantly different absolute global mean surface temperatures (Fig. 9a,b) - with MA cooler than TSMLT by 0.2 deg but MA 2° warmer than TSMLT by 0.3 deg, consistent with their piControl climates (Table 2) - their historical trends are similar, ranging from just over 0.2 deg/dec to 0.35 deg/dec (Fig. 9c,d). All WACCM6 ensemble members have global mean surface temperature trends statistically significantly larger than both HadCRUT5



Figure 10. Time series of a) September Arctic sea ice area and b) its 1980-2014 trend, and c) Annual mean Arctic sea ice volume and d) its 1980-2014 trend. x's in b) and d) indicate trends statistically significantly different from NSIDC or PIOMAS at the 95% confidence level.

and GISSTEMPv4, which is consistent with the known higher climate sensitivity of CESM2
(Gettelman, Hannay, et al., 2019).

This enhanced response to forcings is reflected in Northern Hemisphere sea ice trends, 405 as well (Fig. 10). September Arctic sea ice area trends are statistically significantly stronger 406 than observed across WACCM6 configurations, with the lone exception being one MA 407 ensemble member (Fig. 10a,b). Similarly, trends in annual mean Arctic sea ice volume 408 are statistically significantly stronger in all TSMLT and MA 2° ensemble members than 409 in observations (Fig. 10c,d). Only one of three MA ensemble members has an annual 410 mean Arctic sea ice volume trend statistically significantly stronger than observed. These 411 more negative trends are partially related to the more abundant sea ice in WACCM6 in 412 the 1980's than was observed (Fig. 10a,c). 413



Figure 11. Equilibrium climate sensitivity estimated from the  $4xCO_2$  experiment based on the regression between the global mean surface temperature anomaly and the top-of-atmosphere net radiative flux. See text for details.

The historical simulations include a multitude of anthropogenic and natural forcings. Isolating the cause of these differences - both across ensemble members and between WACCM6 and observations - is difficult. On the other hand, the 4xCO<sub>2</sub> experiment provides a direct measure of ECS by isolating the climate response to CO<sub>2</sub> forcing alone, with the drawback that it cannot be directly constrained by observations.

All WACCM6 configurations exhibit an ECS to a doubling of CO<sub>2</sub> of around 5 K, 419 slightly higher than the CMIP6 multi-model-mean (Zelinka et al., 2020). The cloud scheme, 420 and in particular high latitude ice processes, are partially responsible (Gettelman, Han-421 nay, et al., 2019). There is some nonlinearity apparent in the regression, with high top-422 of-atmosphere radiative flux values well above the regression line in the first few years 423 of the experiment, and a broad cluster at higher global mean surface temperature anoma-424 lies and lower top-of-atmosphere radiative fluxes. This behavior is consistent across the 425 different configurations, though. 426

In sum, we find that climate sensitivity and the simulation of historical climate vari ability is similar across all WACCM6 configurations and not systematically impacted by
 either simplified chemistry or coarser resolution.

-23-

#### 430 8 Chemistry and aerosols

Here we evaluate changes in some key chemical components of the atmosphere be-431 tween the model versions. In general, we don't expect the MA version to perform much 432 differently in the stratosphere given identical chemistry schemes above the tropopause. 433 Indeed we observe no changes in stratospheric ozone (Fig. 12a-c) except very close to 434 the tropopause; those differences can be traced to the transport of different concentra-435 tions of ozone in tropospheric air being advected upward, as the two model configura-436 tions do show significant differences in the troposphere, particularly pronounced in the 437 tropical upper troposphere (Fig. 12d-f). Similarities and differences between the two con-438 figurations are consistent when considering a period with no increased concentrations 439 of halogens (1850-1900) and a period with higher halogen concentrations (2004-2010), 440 as shown in (Fig. 12e-g) for the total tropospheric and stratospheric ozone column; the 441 stratospheric ozone column is consistent between all model configurations except over 442 the Antarctic, where the MA  $2^{\circ}$  configuration shows lower concentrations of around 10 443 DU in both periods. In the troposphere, the two MA configurations show lower ozone 444 concentrations ranging between 4 and 2 DU; but while at higher latitudes the concen-445 trations are more comparable, MA 2° shows lower concentrations than both 1° config-446 urations in the tropics. 447

In general, the low-ozone bias of the MA  $2^{\circ}$  configuration is visible throughout the 448 entire evolution of the Antarctic ozone hole (Fig. 13a), and is consistent with an older 449 age of air in the polar lower stratosphere (Fig. 8c). On the other hand, the two  $1^{\circ}$  con-450 figurations present very similar evolutions up to 2100 under the SSP2-4.5 scenario. Com-451 parisons with OMI/MLS data (Ziemke et al., 2006, 2019) for the 2004-2010 period for 452 both the tropospheric and stratospheric ozone column in Fig. 12e-g indicates a very good 453 agreement in the tropics, while at high Southern latitudes all model configurations seem 454 to overestimate ozone loss (Fig. 13a). However, all of the model configurations repro-455 duce the ozone hole anomaly relative to the 1970-1989 average (Fig. 13b). A good agree-456 ment is also present in the tropospheric column, especially, as expected, for the TSMLT 457 configuration in the southern hemisphere and in the tropics. However, in terms of 458

As previous versions of CESM(WACCM) have been used extensively for the assessment of both past volcanic eruptions (Mills et al., 2016) and geoengineering (Tilmes et al., 2021), we also look at differences between the model configurations in terms of stratospheric aerosol optical depth (AOD), which is almost exclusively due to sulfates. The

-24-



Figure 12. Comparison of atmospheric ozone between TSMLT and MA in the period 1850-1900. a-b) Stratospheric ozone concentration (ppm). c) Match (%) between the two CESM2 versions for stratospheric ozone, defined as  $(100 - |O_{3,TSMLT}-O_{3,MA}|/O_{3,TSMLT})$ . d-f) same as the row above, but for tropospheric ozone (note the different color scales). The tropopause pressure height averaged over the same period is also shown (black for TSMLT, blue for MA). g-h) Stratospheric and tropospheric ozone column for the two versions and for MA 2°, averaged over 1850-1900 (dashed lines) and over 2004-2010 (continuous lines), and comparison with OMI/MLS satellite data for the same period (black circles).



Figure 13. Evolution of Southern Hemispheric Polar Ozone column during October. Solid lines represent the ensemble averages. A comparison with the SBUV Merged Ozone Dataset is provided (black line with circles) (McPeters et al., 2013). A 3-year running mean is applied to model results. After 2015, values for the SSP2-4.5 emission scenarios are used. Values are shown for both a) absolute Dobson units and b) Dobson units relative to the 1970-1989 mean.

#### manuscript submitted to Journal of Advances in Modeling Earth Systems (JAMES)

model configurations use the same aerosol microphysical model, MAM4 (Liu et al., 2016),
but differences may arise in the concentration and evolution of aerosol precursors. Comparison is provided with the CMIP6 volcanic aerosol dataset that is available for the full
1850-2016 period (Eyring et al., 2016), with the 1980-2015 period composed of the Global
Space-based Stratospheric Aerosol Climatology (GloSSAC) (Thomason et al., 2018), which
combines a large series of ground and space based measurements, and the 1850-1979 period based on a 2-D interactive stratospheric aerosol model (Arfeuille et al., 2014).

Fig. 14a shows the global mean stratospheric AOD evolution in the historical pe-470 riod; some large differences are present in periods with no important volcanic activity 471 (prescribed in all models from SO<sub>2</sub> injections following Neely III and Schmidt (2016)), 472 where TSMLT shows a consistently lower value compared to the two MA configurations. 473 However, in all periods with a substantive emission of  $SO_2$  from volcanic eruptions di-474 rectly in the stratosphere, the differences between the model configurations are greatly 475 reduced, and all model configurations show similar peaks both in magnitude and in tim-476 ing that coincide with the values found by GloSSAC. This change is also highlighted in 477 Fig. 14b, where the differences with TSMLT are shown as a percentage, and the differ-478 ences drop close to zero in the year following a stratospheric  $SO_2$  injection. This indi-479 cates that differences in the baseline stratospheric aerosol load are not due to differences 480 in the underlying stratospheric oxidation process, as also highlighted by the similarities 481 in stratospheric OH shown in Fig. 15. 482

On the other hand, a comparison of tropospheric OH between the two configura-483 tions highlights large differences in MA, where the OH peak in the tropics is located lower 484 down at 400 hPa. The background stratospheric aerosol layer, when unperturbed by the 485 direct injection of  $SO_2$  from volcanic sources, is largely dominated by carbonyl sulphide 486 (COS) (Brühl et al., 2012) and surface  $SO_2$  emissions from minor effusive volcanoes and 487 anthropogenic sources (Neely III et al., 2013; Pitari et al., 2016); however, COS is non 488 reactive in the troposphere and only produced  $SO_x$  after photolysis above 20 km, and 489 its sources are independent from the model configuration. It is therefore likely that dif-490 ferences in the stratospheric AOD are mainly driven by differences in upper tropospheric 491  $SO_2$  oxidation and subsequent transport of newly formed aerosols into the lowermost strato-492 sphere. This is further confirmed by looking at the different aerosol modes for sulfate 493 (Fig. S2): in quiescient periods, the main difference in the aerosol burden are found in 494 the Aitken (smaller) mode in the upper troposphere and lower stratosphere, while in the 495

-27-



Figure 14. a) Monthly means of globally-averaged stratospheric AOD in the historical period for TSMLT (red), MA (blue), MA  $2^{\circ}$  (green) and the CMIP6 volcanic aerosol dataset (Eyring et al., 2016); the GloSSAC period (Thomason et al., 2018) [1980-2015] has been marked with a thicker line. b) percent difference between TSMLT and MA, and TSMLT and MA  $2^{\circ}$  smoothed with a 3-months running mean. c) Latitudinal mean of stratospheric AOD in periods with no volcanic activity (chosen as all months in panel a) where global stratospheric AOD does not go above 0.001) between 1980 and 2015. d) as in c), but averaged over the 18 months after the Pinatubo June 1991 eruption.

Accumulation (intermediate) and Coarse (larger) mode, the two configurations are highly
 comparable; and also by the larger agreement of the MA configurations with the CMIP6
 volcanic aerosol dataset, which in the pre-1980 period is based solely on interactive strato spheric aerosol simulations and may thus miss the correct tropospheric contribution present
 in TSMLT.

The analyses of other, mostly tropospheric, aerosol species (Fig. 16) also indicate that the lack of a proper representation of oxidants due to a very simplified chemical description in the troposphere tends to not affect larger particles such as those formed by sea salt and dust, whereas black carbon and primary organic matter (POM), which are emitted in a separated, smaller primary carbon mode (Liu et al., 2016) and then aged


Figure 15. Comparison of atmospheric OH radical between TSMLT and MA in the historical period. a-b) Stratospheric OH concentration (ppm) between 1850 and 1900. c) Match between the two CESM2 versions for stratospheric OH defined as  $(100 - |OH_{TSMLT}-OH_{MA}|)/OH_{TSMLT})$ . d-f) same as the row above, but for tropospheric OH (note the difference color scales). The tropopause pressure height averaged over the same period is also shown (black for TSMLT, blue for MA).

into larger modes, are much lower due to the lack of ageing processes into secondary or-506 ganic aerosols (SOA) as present in the TMSLT configuration (Tilmes et al., 2019), which 507 results in reduced aging of BC and POM, and therefore a slower removal. Overall, given 508 that in MAM4 different aerosol species are treated as internally mixed for number con-509 centration purposes (i.e., all aerosol species are described by a shared number concen-510 tration, but have different masses), this would then tend to produce similar changes in 511 black carbon as well in the primary nucleation and Atkinson mode. Differences in sur-512 face dust as observed in Fig. 16 may on the other hand be due to slight differences in 513 the surface climate (Fig. 9), resulting in different regional emissions. 514

### 515 9 Conclusions

We evaluated two simplified chemistry configurations of CESM2(WACCM6) at nominal 1 and 2 degree horizontal resolution against observations, a reanalysis, and a scientificallyvalidated configuration with comprehensive troposphere-stratosphere-mesosphere-lower thermosphere chemistry. Simplifying the chemistry - by eliminating halogen precursors, organic chemistry, and secondary organic aerosol formation - has little impact on zonal mean climate, middle atmosphere variability, or climate sensitivity. It does reduce the



Figure 16. a) Annual means of globally-averaged tropospheric AOD in the historical period for TSMLT (black) and MA (blue). b) Sea salt concentration (ppb) in TSMLT. c) match between TSMLT and MA defined as  $(100 - |\chi_{TSMLT} - \chi_{MA}|)/\chi_{TSMLT}$ ). d-e,f-g and h-i) same as b-c), for dust, Black carbon and POM.

absolute global mean surface temperature (of the nominal 1 degree horizontal configuration), which may be due to an elevated background stratospheric aerosol optical depth.

While there are some differences in stratospheric ozone incurred by simplifying the 524 chemistry scheme, they are generally smaller than the impact of coarsening the nomi-525 nal horizontal resolution from 1 to 2 degrees. Again, this may be due to differences in 526 the parameterized gravity wave drag, which can be addressed with more targeted tun-527 ing in future releases. As long as model users do not require a faithful recreation of tro-528 pospheric chemistry and background aerosols in the upper troposphere/lower stratosphere, 529 CESM2(WACCM6) with middle atmosphere chemistry can probably be used in lieu of 530 CESM2(WACCM6) with comprehensive chemistry. 531

Coarsening the nominal horizontal resolution from 1 to 2 degrees has little material impact on zonal mean climate, middle atmospheric variability, or climate sensitivity, though the zonal mean circulation of the mesosphere and lower thermosphere shows some significant deviations. Where satellite observations of the upper atmosphere have adequate coverage, some of these differences tend to reduce model biases. The 2 degree

-30-

simplified chemistry configuration - without an internally-generated QBO - may be appropriate for applications where a specified QBO is acceptable.

These two configurations of CESM2(WACCM6) - nominal 1 and 2 degree horizon-539 tal resolution with middle atmosphere chemistry - are 35% and 86% computationally cheaper 540 than the nominal 1 degree horizontal configuration of CESM2(WACCM6) with compre-541 hensive chemistry. In some cases, they may provide support for ensemble experiments 542 and long climate integrations to study climate change, geoengineering, and historical vari-543 ability. Users will need to keep in mind the limitations of these configurations, but can 544 be confident there are no major caveats to their zonal mean atmosphere or their global 545 mean response to forcings. Future versions of CESM(WACCM) will continue to support 546 economical configurations to ensure the user community has the ability to simulate the 547 coupling of the whole atmosphere to the Sun and Earth systems. 548

## <sup>549</sup> 10 Open Research

MERRA2 can be accessed from the NASA Goddard Earth Sciences (GES) Data 550 and Information Services Center (DISC) at https://disc.gsfc.nasa.gov/datasets?project=MERRA-551 2 (registration may be required). SABER retrievals are accessible from GATS at http://saber.gats-552 inc.com/data.php, while MLS retrievals are accessible from the NASA Jet Propulsion 553 Laboratory at https://mls.jpl.nasa.gov/ (registration may be required). The merged SBUV 554 ozone retrievals can be downloaded directly from https://acd-ext.gsfc.nasa.gov/Data\_services/merged/index.html. 555 GISSTEMPv4 is available from the NASA Goddard Institute for Space Studies at https://data.giss.nasa.gov/gistemp 556 while CRUTEM5 is available from the Met Office Hadley Centre at https://www.metoffice.gov.uk/hadobs/crutem5/. 557 The NSIDC Sea Ice Index, Version 3, is available via FTP from https://nsidc.org/data/g02135/versions/3, 558 and PIOMAS sea ice volume is available at http://psc.apl.uw.edu/research/projects/arctic-559 sea-ice-volume-anomaly/data/. All WACCM6 output is available on the Earth System 560 Grid. 561

## 562 Acknowledgments

<sup>563</sup> The CESM project is supported primarily by the National Science Foundation (NSF).

<sup>564</sup> This work was supported by the National Center for Atmospheric Research, which is a

- <sup>565</sup> major facility sponsored by the National Science Foundation under Cooperative Agree-
- <sup>566</sup> ment 1852977. Computing and data storage resources, including the Cheyenne super-
- computer (doi:10.5065/D6RX99HX), were provided by the Computational and Informa-

568	tion Systems Laboratory	(CISL	) at NCAR	. Portions of	of this	study were	supported	by the
		· - · -	,					/

<sup>569</sup> Regional and Global Model Analysis (RGMA) component of the Earth and Environmen-

- tal System Modeling Program of the U.S. Department of Energy's Office of Biological
- <sup>571</sup> & Environmental Research (BER) via National Science Foundation IA 1844590.

#### 572 References

- Abalos, M., Calvo, N., Benito-Barca, S., Garny, H., Hardiman, S. C., Lin, P., ... 573 Yoshida, K. (2021). The Brewer-Dobson circulation in CMIP6. Atmospheric 574 Chemistry and Physics, 21(17), 13571-13591. doi: 10.5194/acp-21-13571-2021 575 Abalos, M., Polvani, L., Calvo, N., Kinnison, D., Ploeger, F., Randel, W., & 576 Solomon, S. (2019).New insights on the impact of ozone-depleting sub-577 stances on the Brewer-Dobson circulation. Journal of Geophysical Research: 578 Atmospheres, 124(5), 2435-2451. doi: https://doi.org/10.1029/2018JD029301 579 Andersson, M. E., Verronen, P. T., Marsh, D. R., Päivärinta, S.-M., & Plane, 580 J. M. C. (2016).WACCM-D: Improved modeling of nitric acid and ac-581 tive chlorine during energetic particle precipitation. Journal of Geophysical 582 Research: Atmospheres, 121(17), 10,328-10,341. Retrieved from https:// 583 agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/2015JD024173 doi: 584 https://doi.org/10.1002/2015JD024173 585 Arfeuille, F., Weisenstein, D., Mack, H., Rozanov, E., Peter, T., & Brönnimann, S. 586 (2014).Volcanic forcing for climate modeling: a new microphysics-based data 587 set covering years 1600-present. Climate of the Past, 10(1), 359-375. 588 Aubry, T. J., Staunton-Sykes, J., Marshall, L. R., Haywood, J., Abraham, N. L., 589 & Schmidt, A. (2021). Climate change modulates the stratospheric volcanic 590 sulfate aerosol lifecycle and radiative forcing from tropical eruptions. Nature 591 Communications, 12, 4708. doi: https://doi.org/10.1038/s41467-021-24943-7 592 Baldwin, M. P., Ayarzagüena, B., Birner, T., Butchart, N., Butler, A. H., Charlton-593 Perez, A. J., ... Pedatella, N. M. (2021).Sudden stratospheric warmings. 594 Reviews of Geophysics, 59(1), e2020RG000708. doi: https://doi.org/10.1029/ 595 2020RG000708 596
- Baldwin, M. P., Gray, L. J., Dunkerton, T. J., Hamilton, K., Haynes, P. H.,
  Randel, W. J., ... Takahashi, M. (2001). The quasi-biennial oscillation. Reviews of Geophysics, 39(2), 179-229. Retrieved from https://

600	agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/1999RG000073 doi:
601	https://doi.org/10.1029/1999 RG 000073
602	Beljaars, A. C. M., Brown, A. R., & Wood, N. (2004). A new parametrization of
603	turbulent orographic form drag. Quarterly Journal of the Royal Meteorological
604	Society, $130(599)$ , 1327-1347. doi: https://doi.org/10.1256/qj.03.73
605	Brühl, C., Lelieveld, J., Crutzen, P. J., & Tost, H. (2012). The role of car-
606	bonyl sulphide as a source of stratospheric sulphate aerosol and its impact
607	on climate. Atmospheric Chemistry and Physics, 12(3), 1239–1253. Re-
608	trieved from https://acp.copernicus.org/articles/12/1239/2012/ doi:
609	10.5194/acp-12-1239-2012
610	Butchart, N., & Scaife, A. (2001). Removal of chlorofluorocarbons by increased mass
611	exchange between the stratosphere and troposphere in a changing climate. $Na$ -
612	ture, 410, 799-802. doi: https://doi.org/10.1038/35071047
613	Chabrillat, S., Kockarts, G., Fonteyn, D., & Brasseur, G. (2002). Impact
614	of molecular diffusion on the CO2 distribution and the temperature in
615	the mesosphere. $Geophysical Research Letters, 29(15), 19-1-19-4.$ doi:
616	https://doi.org/10.1029/2002GL015309
617	Charlton, A. J., & Polvani, L. M. (2007). A new look at stratospheric sudden
618	warmings. Part I: Climatology and modeling benchmarks. Journal of Climate,
619	$2\theta(3), 449 - 469.$ Retrieved from https://journals.ametsoc.org/view/
620	journals/clim/20/3/jcli3996.1.xml doi: 10.1175/JCLI3996.1
621	Chrysanthou, A., Maycock, A. C., & Chipperfield, M. P. (2020). Decomposing
622	the response of the stratospheric Brewer-Dobson circulation to an abrupt
623	quadrupling in $CO_2$ . Weather and Climate Dynamics, $1(1)$ , 155–174. doi:
624	10.5194/wcd-1-155-2020
625	Damiani, A., Funke, B., López Puertas, M., Santee, M. L., Cordero, R. R., &
626	Watanabe, S. (2016). Energetic particle precipitation: A major driver of
627	the ozone budget in the antarctic upper stratosphere. $Geophysical Research$
628	Letters, $43(7)$ , 3554-3562. doi: https://doi.org/10.1002/2016GL068279
629	Danabasoglu, G., Bates, S. C., Briegleb, B. P., Jayne, S. R., Jochum, M., Large,
630	W. G., Yeager, S. G. (2012). The CCSM4 ocean component. Journal of
631	Climate, 25(5), 1361 - 1389. doi: 10.1175/JCLI-D-11-00091.1
632	Danabasoglu, G., Lamarque, JF., Bacmeister, J., Bailey, D. A., DuVivier, A. K.,

633	Edwards, J., Strand, W. G. (2020). The Community Earth System Model
634	Version 2 (CESM2). Journal of Advances in Modeling Earth Systems, 12(2),
635	e2019MS001916. doi: https://doi.org/10.1029/2019MS001916
636	Dawkins, E. C. M., Feofilov, A., Rezac, L., Kutepov, A. A., Janches, D., Höffner,
637	J., Russell III, J. (2018). Validation of SABER v2.0 operational temper-
638	ature data with ground-based lidars in the mesosphere-lower thermosphere
639	region (75-105 km). Journal of Geophysical Research: Atmospheres, 123(17),
640	9916-9934. doi: https://doi.org/10.1029/2018JD028742
641	Dunkerton, T. J., & Delisi, D. P. (1985). Climatology of the equatorial lower
642	stratosphere. Journal of Atmospheric Sciences, 42(4), 376 - 396. Re-
643	trieved from https://journals.ametsoc.org/view/journals/atsc/
644	42/4/1520-0469_1985_042_0376_cotels_2_0_co_2.xml doi: 10.1175/
645	$1520-0469(1985)042\langle 0376: COTELS \rangle 2.0.CO; 2$
646	Emmons, L. K., Schwantes, R. H., Orlando, J. J., Tyndall, G., Kinnison, D., Lamar-
647	que, JF., Pétron, G. (2020). The chemistry mechanism in the Community
648	Earth System Model Version 2 (CESM2). Journal of Advances in Model-
649	ing Earth Systems, $12(4)$ , e2019MS001882. doi: https://doi.org/10.1029/
650	2019MS001882
651	Eyring, V., Bony, S., Meehl, G. A., Senior, C. A., Stevens, B., Stouffer, R. J., &
652	Taylor, K. E. (2016). Overview of the Coupled Model Intercomparison Project
653	Phase 6 (CMIP6) experimental design and organization. Geoscientific Model
654	Development, 9(5), 1937-1958. doi: 10.5194/gmd-9-1937-2016
655	Fang, X., Pyle, J. A., Chipperfield, M. P., Daniel, J. S., Park, S., & Prinn, R. G.
656	(2019). Challenges for the recovery of the ozone layer. Nature Geoscience, $12$ ,
657	592-596. doi: https://doi.org/10.1038/s41561-019-0422-7
658	Fetterer, F., Knowles, K., Meier, W. N., Savoie, M., & Windnagel, A. K. (2017). Sea
659	<i>ice index, version 3.</i> Boulder, Colorado USA: NSIDC: National Snow and Ice
660	Data Center.
661	Fujiwara, M., Wright, J. S., Manney, G. L., Gray, L. J., Anstey, J., Birner, T.,
662	Zou, CZ. (2017). Introduction to the SPARC Reanalysis Intercomparison
663	Project (S-RIP) and overview of the reanalysis systems. Atmospheric Chem-
664	istry and Physics, 17(2), 1417–1452. doi: 10.5194/acp-17-1417-2017
	Carcia R R López-Puertas M Funke R Marsh D R Kinnison D E Smith

666	A. K., & González-Galindo, F. (2014). On the distribution of CO2 and CO
667	in the mesosphere and lower thermosphere. Journal of Geophysical Research:
668	Atmospheres, 119(9), 5700-5718. doi: https://doi.org/10.1002/2013JD021208
669	Garcia, R. R., Marsh, D. R., Kinnison, D. E., Boville, B. A., & Sassi, F. (2007).
670	Simulation of secular trends in the middle atmosphere, 1950-2003. Journal of
671	Geophysical Research: Atmospheres, 112(D9). doi: https://doi.org/10.1029/
672	2006JD007485
673	Garcia, R. R., Randel, W. J., & Kinnison, D. E. (2011). On the determination of
674	age of air trends from atmospheric trace species. Journal of the Atmospheric
675	Sciences, 68(1), 139 - 154. Retrieved from https://journals.ametsoc.org/
676	view/journals/atsc/68/1/2010jas3527.1.xml doi: 10.1175/2010JAS3527
677	.1
678	Garcia, R. R., & Richter, J. H. (2019). On the momentum budget of the quasi-
679	biennial oscillation in the whole atmosphere community climate model. Jour-
680	nal of the Atmospheric Sciences, 76(1), 69 - 87. Retrieved from https://
681	journals.ametsoc.org/view/journals/atsc/76/1/jas-d-18-0088.1.xml
682	doi: 10.1175/JAS-D-18-0088.1
683	Garcia, R. R., Smith, A. K., Kinnison, D. E., Álvaro de la Cámara, & Murphy, D. J.
684	(2017). Modification of the gravity wave parameterization in the Whole At-
685	mosphere Community Climate Model: Motivation and results. Journal of the
686	Atmospheric Sciences, 74(1), 275 - 291. doi: 10.1175/JAS-D-16-0104.1
687	Garcia, R. R., & Solomon, S. (1985). The effect of breaking gravity waves on the
688	dynamics and chemical composition of the mesosphere and lower thermo-
689	sphere. Journal of Geophysical Research: Atmospheres, $90(D2)$ , 3850-3868.
690	doi: https://doi.org/10.1029/JD090iD02p03850
691	Gelaro, R., McCarty, W., Suàrez, M. J., Todling, R., Molod, A., Takacs, L.,
692	Zhao, B. (2017). The Modern-Era Retrospective Analysis for Research and
693	Applications, Version 2 (MERRA-2). Journal of Climate, 30(14), 5419 - 5454.
694	doi: 10.1175/JCLI-D-16-0758.1
695	Gettelman, A., Hannay, C., Bacmeister, J. T., Neale, R. B., Pendergrass, A. G.,
696	Danabasoglu, G., Mills, M. J. (2019). High climate sensitivity in the
697	community earth system model version 2 (cesm2). $Geophysical Research Let-$
698	ters, 46(14), 8329-8337. Retrieved from https://agupubs.onlinelibrary

 .wiley.com/doi/abs/10.1029/2019GL083978
 doi: https://doi.org/10.1029/

 700
 2019GL083978

- Gettelman, A., Mills, M. J., Kinnison, D. E., Garcia, R. R., Smith, A. K., Marsh,
  D. R., ... Randel, W. J. (2019). The Whole Atmosphere Community Climate
  Model Version 6 (WACCM6). Journal of Geophysical Research: Atmospheres,
  124 (23), 12380-12403. doi: https://doi.org/10.1029/2019JD030943
  Gettelman, A., & Morrison, H. (2015). Advanced two-moment bulk microphysics for
  global models. Part I: Off-line tests and comparison with other schemes. Jour-
- nal of Climate, 28(3), 1268 1287. doi: 10.1175/JCLI-D-14-00102.1
- Glanville, A. A., & Birner, T. (2017). Role of vertical and horizontal mixing in the
   tape recorder signal near the tropical tropopause. Atmospheric Chemistry and
   Physics, 17(6), 4337–4353. doi: 10.5194/acp-17-4337-2017
- Global Modeling and Assimilation Office (GMAO). (2015). inst3\_3d\_asm\_Np:
   MERRA-2 3D IAU State. Meteorology Instantaneous 3-hourly (p-coord.
- 0.625x0.5L42), version 5.12.4. https://www.nasa.gov/nh/pluto-the
  -other-red-planet. Greenbelt, MD, USA: Goddard Space Flight Center Distributed Active Archive Center (GSFC DAAC). doi: 10.5067/
  QBZ6MG944HW0
- Golaz, J.-C., Larson, V. E., & Cotton, W. R. (2002). A PDF-Based Model for
  Boundary Layer Clouds. Part I: Method and Model Description. Journal of the Atmospheric Sciences, 59(24), 3540 3551. doi: 10.1175/
  1520-0469(2002)059(3540:APBMFB)2.0.CO;2
- Gregory, J. M., Ingram, W. J., Palmer, M. A., Jones, G. S., Stott, P. A., Thorpe,
   R. B., ... Williams, K. D. (2004). A new method for diagnosing radiative
- forcing and climate sensitivity. Geophysical Research Letters, 31(3). doi: https://doi.org/10.1029/2003GL018747
- Hodzic, A., Kasibhatla, P. S., Jo, D. S., Cappa, C. D., Jimenez, J. L., Madronich,
- S., & Park, R. J. (2016). Rethinking the global secondary organic
  aerosol (SOA) budget: stronger production, faster removal, shorter life-
- time. Atmospheric Chemistry and Physics, 16(12), 7917–7941. doi: 10.5194/acp-16-7917-2016
- Holt, L. A., Lott, F., Garcia, R. R., Kiladis, G. N., Cheng, Y.-M., Anstey,
  J. A., ... Yukimoto, S. (2022). An evaluation of tropical waves and

732	wave forcing of the QBO in the QBOi models. Quarterly Journal of
733	the Royal Meteorological Society, 148(744), 1541-1567. Retrieved from
734	https://rmets.onlinelibrary.wiley.com/doi/abs/10.1002/qj.3827
735	doi: https://doi.org/10.1002/qj.3827
736	Hunke, E. C., Lipscomb, W. H., Turner, A. K., Jeffery, N., & Elliott, S. (2015).
737	CICE: The Los Alamos Sea Ice Model. Documentation and Software User's
738	Manual. Version 5.1. Los Alamos National Laboratory, Tech. Rep. LA-CC-06-
739	012: T-3 Fluid Dynamics Group.
740	Iacono, M. J., Delamere, J. S., Mlawer, E. J., Shephard, M. W., Clough, S. A., &
741	Collins, W. D. (2008). Radiative forcing by long-lived greenhouse gases: Cal-
742	culations with the AER radiative transfer models. $Journal of Geophysical Re-$
743	search: Atmospheres, 113 (D13). doi: https://doi.org/10.1029/2008 JD009944
744	Kinnison, D. E., Brasseur, G. P., Walters, S., Garcia, R. R., Marsh, D. R., Sassi,
745	F., Simmons, A. J. (2007). Sensitivity of chemical tracers to meteoro-
746	logical parameters in the MOZART-3 chemical transport model. Journal of
747	Geophysical Research: Atmospheres, 112(D20). doi: https://doi.org/10.1029/
748	2006JD007879
749	Kravitz, B., Robock, A., Tilmes, S., Boucher, O., English, J. M., Irvine, P. J.,
750	Watanabe, S. (2015). The Geoengineering Model Intercomparison Project
751	Phase 6 (GeoMIP6): Simulation design and preliminary results. Geoscientific
752	Model Development, $\mathcal{S}(10)$ , 3379–3392. doi: 10.5194/gmd-8-3379-2015
753	Lambert, A., Read, W. G., Livesey, N. J., Santee, M. L., Manney, G. L., Froidevaux,
754	L., Atlas, E. (2007). Validation of the Aura Microwave Limb Sounder
755	middle atmosphere water vapor and nitrous oxide measurements. Journal of
756	Geophysical Research: Atmospheres, 112(D24). doi: https://doi.org/10.1029/
757	2007JD008724
758	Larson, V. E. (2017). CLUBB-SILHS: A parameterization of subgrid variability in
759	the atmosphere. arXiv preprint arXiv:1711.03675.
760	Lawrence, D. M., Fisher, R. A., Koven, C. D., Oleson, K. W., Swenson, S. C., Bo-
761	nan, G., Zeng, X. (2019). The Community Land Model Version 5: De-
762	scription of new features, benchmarking, and impact of forcing uncertainty.
763	Journal of Advances in Modeling Earth Systems, 11(12), 4245-4287. doi:
764	https://doi.org/10.1029/2018MS001583

765	Lenssen, N. J. L., Schmidt, G. A., Hansen, J. E., Menne, M. J., Persin, A., Ruedy,
766	R., & Zyss, D. (2019). Improvements in the gistemp uncertainty model.
767	Journal of Geophysical Research: Atmospheres, 124(12), 6307-6326. doi:
768	https://doi.org/10.1029/2018JD029522
769	Li, H., Wigmosta, M. S., Wu, H., Huang, M., Ke, Y., Coleman, A. M., & Leung,
770	L. R. (2013). A physically based runoff routing model for land surface and
771	earth system models. Journal of Hydrometeorology, $14(3)$ , 808 - 828. doi:
772	10.1175/JHM-D-12-015.1
773	Lin, SJ., & Rood, R. B. (1997). An explicit flux-form semi-lagrangian shallow-
774	water model on the sphere. Quarterly Journal of the Royal Meteorological Soci-
775	$ety,\ 123(544),\ 2477\text{-}2498.$ doi: https://doi.org/10.1002/qj.49712354416
776	Liu, X., Ma, PL., Wang, H., Tilmes, S., Singh, B., Easter, R. C., Rasch,
777	P. J. (2016). Description and evaluation of a new four-mode version of the
778	Modal Aerosol Module (MAM4) within version 5.3 of the Community At-
779	mosphere Model. $Geoscientific Model Development, 9(2), 505-522.$ doi:
780	$10.5194/ m{gmd}-9-505-2016$
781	Maliniemi, V., Nesse Tyssøy, H., Smith-Johnsen, C., Arsenovic, P., & Marsh,
782	D. R. (2021). Effects of enhanced downwelling of $no_x$ on antarctic upper-
783	stratospheric ozone in the 21st century. Atmospheric Chemistry and Physics,
784	21(14), 11041-11052. Retrieved from https://acp.copernicus.org/
785	articles/21/11041/2021/ doi: $10.5194/acp-21-11041-2021$
786	McPeters, R. D., Bhartia, P. K., Haffner, D., Labow, G. J., & Flynn, L. (2013). The
787	version 8.6 sbuv ozone data record: An overview. Journal of Geophysical Re-
788	search: Atmospheres, 118(14), 8032-8039. Retrieved from https://agupubs
789	.onlinelibrary.wiley.com/doi/abs/10.1002/jgrd.50597 doi: $https://doi$
790	.org/10.1002/jgrd.50597
791	Meraner, K., & Schmidt, H. (2018). Climate impact of idealized winter polar
792	mesospheric and stratospheric ozone losses as caused by energetic particle
793	precipitation. Atmospheric Chemistry and Physics, 18(2), 1079–1089. doi:
794	10.5194/acp-18-1079-2018
795	Mills, M. J., Richter, J. H., Tilmes, S., Kravitz, B., MacMartin, D. G., Glanville,
796	A. A., Kinnison, D. E. (2017). Radiative and chemical response to inter-
797	active stratospheric sulfate aerosols in fully coupled cesm1(waccm). Jour-

798	nal of Geophysical Research: Atmospheres, 122(23), 13,061-13,078. doi:
799	https://doi.org/10.1002/2017JD027006
800	Mills, M. J., Schmidt, A., Easter, R., Solomon, S., Kinnison, D. E., Ghan, S. J.,
801	Gettelman, A. (2016). Global volcanic aerosol properties derived from emis-
802	sions, 1990-2014, using CESM1(WACCM). Journal of Geophysical Research:
803	Atmospheres, 121(5), 2332-2348. doi: https://doi.org/10.1002/2015JD024290
804	Mlawer, E. J., Taubman, S. J., Brown, P. D., Iacono, M. J., & Clough, S. A. (1997).
805	Radiative transfer for inhomogeneous atmospheres: RRTM, a validated
806	correlated-k model for the longwave. Journal of Geophysical Research: At-
807	mospheres, 102(D14), 16663-16682. doi: https://doi.org/10.1029/97JD00237
808	Molod, A., Takacs, L., Suarez, M., & Bacmeister, J. (2015). Development of the
809	GEOS-5 atmospheric general circulation model: evolution from MERRA
810	to MERRA2. Geoscientific Model Development, $\mathcal{S}(5)$ , 1339–1356. doi:
811	10.5194/gmd-8-1339-2015
812	Morice, C. P., Kennedy, J. J., Rayner, N. A., & Jones, P. D. (2012). Quantifying
813	uncertainties in global and regional temperature change using an ensemble of
814	observational estimates: The hadcrut4 data set. Journal of Geophysical Re-
815	search: Atmospheres, $117(D8)$ . doi: https://doi.org/10.1029/2011JD017187
816	Mote, P. W., Rosenlof, K. H., McIntyre, M. E., Carr, E. S., Gille, J. C., Holton,
817	J. R., Waters, J. W. (1996). An atmospheric tape recorder: The im-
818	print of tropical tropopause temperatures on stratospheric water vapor.
819	Journal of Geophysical Research: Atmospheres, 101(D2), 3989-4006. doi:
820	https://doi.org/10.1029/95JD03422
821	National Academies of Sciences, Engineering, and Medicine. (2021). Reflecting
822	sunlight: Recommendations for solar geoengineering research and research
823	governance. Washington, D. C.: The National Academies Press. doi:
824	https://doi.org/10.17226/25762
825	Neely III, R. R., & Schmidt, A. (2016). Volcaneesm: Global volcanic sulphur dioxide
826	(SO2) emissions database from 1850 to present.
827	Neely III, R. R., Toon, O. B., Solomon, S., Vernier, JP., Alvarez, C., En-
828	glish, J. M., Thayer, J. P. (2013). Recent anthropogenic increases
829	in so2 from asia have minimal impact on stratospheric aerosol. $Geo$ -
830	physical Research Letters, 40(5), 999-1004. Retrieved from https://

831	agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/grl.50263 doi:
832	https://doi.org/10.1002/grl.50263
833	Neu, J. L., Flury, T., Manney, G. L., Santee, M. L., Livesey, N. J., & Worden, J.
834	(2014). Tropospheric ozone variations governed by changes in stratospheric
835	circulation. Nature Geoscience, 7(5), 340-344. doi: https://doi.org/10.1038/
836	ngeo2138
837	Pedatella, N. M., Richter, J. H., Edwards, J., & Glanville, A. A. (2021). Pre-
838	dictability of the mesosphere and lower thermosphere during major sudden
839	stratospheric warmings. Geophysical Research Letters, $48(15)$ , e2021GL093716.
840	Retrieved from https://agupubs.onlinelibrary.wiley.com/doi/abs/
841	10.1029/2021GL093716 (e2021GL093716 2021GL093716) doi: https://
842	doi.org/10.1029/2021GL093716
843	Pitari, G., Visioni, D., Mancini, E., Cionni, I., Di Genova, G., & Gandolfi, I. (2016).
844	Sulfate aerosols from non-explosive volcanoes: Chemical-radiative effects in
845	the troposphere and lower stratosphere. Atmosphere, $7(7)$ . Retrieved from
846	https://www.mdpi.com/2073-4433/7/7/85 doi: 10.3390/atmos7070085
847	Plane, J. M. C. (2012). Cosmic dust in the earth's atmosphere. Chemical Society
848	Reviews, 41, 6507-6518. doi: 10.1039/C2CS35132C
849	Polvani, L. M., Wang, L., Abalos, M., Butchart, N., Chipperfield, M. P., Dameris,
850	M., Stone, K. A. (2019). Large impacts, past and future, of ozone-depleting
851	substances on Brewer-Dobson circulation trends: A multimodel assessment.
852	Journal of Geophysical Research: Atmospheres, $124(13)$ , 6669-6680. doi:
853	https://doi.org/10.1029/2018JD029516
854	Randel, W. J., Smith, A. K., Wu, F., Zou, CZ., & Qian, H. (2016). Stratospheric
855	temperature trends over 1979-2015 derived from combined SSU, MLS, and
856	SABER satellite observations. Journal of Climate, $29(13)$ , 4843 - 4859. doi:
857	10.1175/JCLI-D-15-0629.1
858	Remsberg, E. E., Marshall, B. T., Garcia-Comas, M., Krueger, D., Lingenfelser,
859	G. S., Martin-Torres, J., Thompson, R. E. (2008). Assessment of the
860	quality of the Version $1.07$ temperature-versus-pressure profiles of the middle
861	atmosphere from TIMED/SABER. Journal of Geophysical Research: Atmo-
862	spheres, 113 (D17). doi: https://doi.org/10.1029/2008 JD010013
863	Richter, J. H., Sassi, F., & Garcia, R. R. (2010). Toward a physically based gravity

-40-

864	wave source parameterization in a general circulation model. Journal of the $At$ -
865	mospheric Sciences, $67(1)$ , 136 - 156. doi: 10.1175/2009JAS3112.1
866	Rugenstein, M., Bloch-Johnson, J., Gregory, J., Andrews, T., Mauritsen, T., Li, C.,
867	$\ldots$ Knutti, R. (2020). Equilibrium climate sensitivity estimated by equilibrat-
868	ing climate models. Geophysical Research Letters, $47(4)$ , e2019GL083898. doi:
869	https://doi.org/10.1029/2019GL083898
870	Scaife, A. A., Athanassiadou, M., Andrews, M., Arribas, A., Baldwin, M., Dunstone,
871	N., Williams, A. (2014). Predictability of the quasi-biennial oscillation
872	and its northern winter teleconnection on seasonal to decadal timescales.
873	Geophysical Research Letters, 41(5), 1752-1758. Retrieved from https://
874	agupubs.onlinelibrary.wiley.com/doi/abs/10.1002/2013GL059160 doi:
875	https://doi.org/10.1002/2013GL059160
876	Schweiger, A., Lindsay, R., Zhang, J., Steele, M., Stern, H., & Kwok, R. (2011). Un-
877	certainty in modeled arctic sea ice volume. Journal of Geophysical Research:
878	Oceans, 116 (C8). doi: https://doi.org/10.1029/2011JC007084
879	Scinocca, J. F., & McFarlane, N. A. (2000). The parametrization of drag induced
880	by stratified flow over anisotropic orography. Quarterly Journal of the Royal
881	$\label{eq:Meteorological Society, 126(568), 2353-2393.  \text{doi: https://doi.org/10.1002/qj}$
882	.49712656802
883	Sinnhuber, M., Berger, U., Funke, B., Nieder, H., Reddmann, T., Stiller, G.,
884	Wissing, J. M. (2018). $NO_y$ production, ozone loss and changes in net radia-
885	tive heating due to energetic particle precipitation in 2002-2010. Atmospheric
886	Chemistry and Physics, $18(2)$ , 1115–1147. doi: 10.5194/acp-18-1115-2018
887	Sinnhuber, M., Nieder, H., & Wieters, N. (2012). Energetic particle precipitation
888	and the chemistry of the mesosphere/lower thermosphere. Surveys in Geo-
889	physics, $33(6)$ , 1281-1334. doi: 10.1007/s10712-012-9201-3
890	Smith, A. K., Garcia, R. R., Marsh, D. R., & Richter, J. H. (2011). WACCM
891	simulations of the mean circulation and trace species transport in the winter
892	mesosphere. Journal of Geophysical Research: Atmospheres, $116(D20)$ . doi:
893	https://doi.org/10.1029/2011JD016083
894	Solomon, S. (1999). Stratospheric ozone depletion: A review of concepts and his-
895	tory. Reviews of Geophysics, 37(3), 275-316. doi: https://doi.org/10.1029/
896	1999 RG 900008

897	Solomon, S., Dube, K., Stone, K., Yu, P., Kinnison, D., Toon, O. B., Degenstein,
898	D. (2022). On the stratospheric chemistry of midlatitude wildfire smoke.
899	Proceedings of the National Academy of Sciences, $119(10)$ , e2117325119. doi:
900	10.1073/pnas.2117325119
901	Solomon, S., Garcia, R., Rowland, F. S., & Wuebbles, D. J. (1986). On the deple-
902	tion of antarctic ozone. Nature, $321$ , 755–758. doi: https://doi.org/10.1038/
903	321755a0
904	Thomason, L. W., Ernest, N., Millán, L., Rieger, L., Bourassa, A., Vernier, JP.,
905	$\ldots$ Peter, T. (2018). A global space-based stratospheric aerosol climatology:
906	1979–2016. Earth System Science Data, 10(1), 469–492.
907	Tilmes, S., Hodzic, A., Emmons, L. K., Mills, M. J., Gettelman, A., Kinnison, D. E.,
908	Liu, X. (2019). Climate forcing and trends of organic aerosols in the Com-
909	munity Earth System Model (CESM2). Journal of Advances in Modeling Earth
910	Systems, $11(12)$ , 4323-4351. doi: https://doi.org/10.1029/2019MS001827
911	Tilmes, S., MacMartin, D. G., Lenaerts, J. T. M., van Kampenhout, L., Muntjew-
912	erf, L., Xia, L., Robock, A. (2020). Reaching 1.5 and 2.0 $^\circ$ global surface
913	temperature targets using stratospheric aerosol geoengineering. $Earth\ System$
914	Dynamics, 11(3), 579-601.doi: 10.5194/esd-11-579-2020
915	Tilmes, S., Richter, J. H., Kravitz, B., MacMartin, D. G., Glanville, A. S., Visioni,
916	D., Müller, R. (2021). Sensitivity of total column ozone to strato-
917	spheric sulfur injection strategies. $Geophysical Research Letters, 48(19),$
918	e2021GL094058. doi: https://doi.org/10.1029/2021GL094058
919	Tilmes, S., Visioni, D., Jones, A., Haywood, J., Séférian, R., Nabat, P., Niemeier,
920	U. (2022). Stratospheric ozone response to sulfate aerosol and solar dimming
921	climate interventions based on the G6 Geo engineering Model Intercomparison $% \left( {{{\rm{A}}_{{\rm{B}}}}} \right)$
922	Project (GeoMIP) simulations. Atmospheric Chemistry and Physics, 22(7),
923	4557–4579. doi: 10.5194/acp-22-4557-2022
924	Toms, B. A., Barnes, E. A., Maloney, E. D., & van den Heever, S. C. (2020).
925	The global teleconnection signature of the madden-julian oscillation and its
926	modulation by the quasi-biennial oscillation. Journal of Geophysical Re-
927	search: Atmospheres, 125(7), e2020JD032653. Retrieved from https://
928	<pre>agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2020JD032653</pre>
929	$(e2020JD032653\ 10.1029/2020JD032653) \qquad doi:\ https://doi.org/10.1029/$

# 2020 JD032653

930

931	Verronen, P. T., Andersson, M. E., Marsh, D. R., Kovács, T., & Plane, J. M. C.
932	(2016). WACCM-D: Whole Atmosphere Community Climate Model with D-
933	region ion chemistry. Journal of Advances in Modeling Earth Systems, $\mathcal{S}(2)$ ,
934	954-975. Retrieved from https://agupubs.onlinelibrary.wiley.com/doi/
935	abs/10.1002/2015MS000592 doi: https://doi.org/10.1002/2015MS000592
936	Visioni, D., MacMartin, D. G., Kravitz, B., Boucher, O., Jones, A., Lurton, T.,
937	$\dots$ Tilmes, S. (2021). Identifying the sources of uncertainty in climate
938	model simulations of solar radiation modification with the G6sulfur and
939	G6solar Geoengineering Model Intercomparison Project (GeoMIP) simu-
940	lations. Atmospheric Chemistry and Physics, 21(13), 10039–10063. doi:
941	10.5194/acp-21-10039-2021
942	Visioni, D., MacMartin, D. G., Kravitz, B., Lee, W., Simpson, I. R., & Richter,
943	J. H. (2020). Reduced poleward transport due to stratospheric heating under
944	stratospheric aerosols geoengineering. $Geophysical Research Letters, 47(17),$
945	e2020GL089470. doi: https://doi.org/10.1029/2020GL089470
946	Visioni, D., Tilmes, S., Bardeen, C., Mills, M., MacMartin, D. G., Kravitz, B.,
947	& Richter, J. H. (2022). Limitations of assuming internal mixing be-
948	tween different aerosol species: a case study with sulfate geoengineering
949	simulations. Atmospheric Chemistry and Physics, 22(3), 1739–1756. doi:
950	10.5194/acp-22-1739-2022
951	Waugh, D., & Hall, T. (2002). Age of stratospheric air: Theory, observations, and
952	models. Reviews of Geophysics, $40(4)$ , 1-1-1-26. Retrieved from https://
953	agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2000RG000101 doi:
954	https://doi.org/10.1029/2000RG000101
955	Weisenstein, D. K., Visioni, D., Franke, H., Niemeier, U., Vattioni, S., Chiodo, G.,
956	Keith, D. W. (2022). An interactive stratospheric aerosol model in-
957	tercomparison of solar geoengineering by stratospheric injection of $SO_2$ or
958	accumulation-mode sulfuric acid aerosols. Atmospheric Chemistry and Physics,
959	22(5), 2955-2973.doi: 10.5194/acp-22-2955-2022
960	Zelinka, M. D., Myers, T. A., McCoy, D. T., Po-Chedley, S., Caldwell, P. M.,
961	Ceppi, P., Taylor, K. E. (2020). Causes of higher climate sensitivity in
962	cmip6 models. Geophysical Research Letters, $47(1)$ , e2019GL085782. doi:

963	https://doi.org/10.1029/2019GL085782
964	Zhang, G. J., & McFarlane, N. M. (1995). Sensitivity of climate simulations to
965	the parameterization of cumulus convection in the canadian climate cen-
966	tre general circulation model. $Atmosphere-Ocean, 33(3), 407-446.$ doi:
967	10.1080/07055900.1995.9649539
968	Zhang, J., & Rothrock, D. A. (2003). Modeling global sea ice with a thick-
969	ness and enthalpy distribution model in generalized curvilinear coordi-
970	nates. Monthly Weather Review, 131(5), 845 - 861. Retrieved from
971	https://journals.ametsoc.org/view/journals/mwre/131/5/1520-0493
972	_2003_131_0845_mgsiwa_2.0.co_2.xml doi: 10.1175/1520-0493(2003)131(0845:
973	$MGSIWA \rangle 2.0.CO;2$
974	Zhang, J., Wuebbles, D., Kinnison, D., & Baughcum, S. L. (2021). Stratospheric
975	ozone and climate forcing sensitivity to cruise altitudes for fleets of potential
976	supersonic transport aircraft. Journal of Geophysical Research: Atmospheres,
977	126(16), e2021JD034971. doi: https://doi.org/10.1029/2021JD034971
978	Ziemke, J. R., Chandra, S., Duncan, B. N., Froidevaux, L., Bhartia, P. K., Lev-
979	elt, P. F., & Waters, J. W. (2006). Tropospheric ozone determined from
980	Aura OMI and MLS: Evaluation of measurements and comparison with
981	the Global Modeling Initiative's Chemical Transport Model. Journal of
982	Geophysical Research: Atmospheres, 111(D19). Retrieved from https://
983	agupubs.onlinelibrary.wiley.com/doi/abs/10.1029/2006JD007089 doi:
984	https://doi.org/10.1029/2006JD007089
985	Ziemke, J. R., Oman, L. D., Strode, S. A., Douglass, A. R., Olsen, M. A., McPeters,
986	R. D., Taylor, S. L. (2019). Trends in global tropospheric ozone inferred
987	from a composite record of TOMS/OMI/MLS/OMPS satellite measurements
988	and the MERRA-2 GMI simulation. Atmospheric Chemistry and Physics,
989	19(5), 3257 - 3269.

Figure 1.



Figure 2.



Figure 3.



Figure 4.



Figure 5.



Figure 6.



Figure 7.



Water vapor mole fraction [ppm]

 $\Delta$  Water vapor mole fraction [ppm]

Figure 8.



Figure 9.



Figure 10.


Figure 11.



Figure 12.















## f) Tropospheric ozone match (%) between TSMLT and MA



30

60

90



-30

-60

Figure 13.



Year

Figure 14.









-80

-6(

-40

40

20

. 20

60

80

Figure 15.





# c) Stratospheric OH match (%)

Figure 16.







Supplemental Information for "Climate, variability, and climate sensitivity of "Middle Atmosphere" chemistry configurations of the Community Earth System Model Version 2, Whole Atmosphere Community Climate Model Version 6 (CESM2(WACCM6))"

N. A. Davis<sup>1</sup>, D. Visioni<sup>2</sup>, R. R. Garcia<sup>1</sup>, D. E. Kinnison<sup>1</sup> D. R. Marsh<sup>3</sup>, M. Mills<sup>1</sup>, J. H. Richter<sup>3</sup>, S. Tilmes<sup>1</sup>, C. G. Bardeen<sup>1</sup>, A. Gettelman<sup>4</sup>, A. A. Glanville<sup>3</sup>, D. G. MacMartin<sup>2</sup>, A. K. Smith<sup>1</sup>, F. Vitt<sup>1,5</sup>

<sup>1</sup> Atmospheric Chemistry and Modeling Observations Laboratory, National Center for Atmospheric Research, Boulder, CO, USA

<sup>2</sup> Sibley School of Mechanical and Aerospace Engineering, Cornell University, Ithaca, NY, USA
<sup>3</sup> Climate and Global Dynamics Laboratory, National Center for Atmospheric Research, Boulder, CO, USA

<sup>4</sup> Pacific Northwest National Laboratory, Richland, WA, USA

<sup>5</sup> High Altitude Observatory, National Center for Atmospheric Research, Boulder, CO, USA



**Figure S1**: Total parameterized gravity wave drag in (left column) the TSMLT configuration and (middle and right column) MA and MA 2° difference from the TSMLT configuration. Total drag shaded in a and d, and difference shaded in b, c, e, and f. Total drag from the TSMLT configuration is contoured in b, c, e, and f.



**Figure S2**: (Top row) number concentration of different aerosol sizes in the TSMLT configuration, and (bottom row) match between the number concentration in the TSMLT and MA configurations. See text for details.