

Impact of Regional Marine Cloud Brightening Interventions on Climate Tipping Points

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Effect of Regional Marine Cloud Brightening Interventions on Climate Tipping Points

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Key Points:

- The magnitude and pattern of the Marine Cloud Brightening (MCB) climate impact depends strongly on the location of the intervention
- The MCB impact generally indicates reduced tipping point risk overall, but certain intervention patterns may exacerbate some tipping points
- We find MCB impacts that have qualitative similarities to prior work, but we find discrepancies that suggest key inter-model uncertainties

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Abstract

It has been proposed that increasing greenhouse gas (GHG)-driven climate tipping point risks may prompt consideration of Solar Radiation Modification (SRM) climate intervention to reduce those risks. Here, we study marine cloud brightening (MCB) SRM interventions in three subtropical oceanic regions using the Community Earth System Model 2 (CESM2) experiments. We assess the response of tipping point-related metrics to estimate the extent to which such interventions could reduce tipping point risk. Both the pattern and magnitude of the MCB cooling depend strongly on location of the MCB intervention. We find the MCB cooling effect reduces tipping point risk overall; however, the distinct pattern effects of MCB versus GHG means it is an imperfect remedy. Indeed, if MCB is applied in certain oceanic regions, it may exacerbate some tipping point risks. It is therefore crucial to carefully assess the potential remote teleconnected response to MCB interventions to reduce unintended climate impacts.

Plain Language Summary

Marine Cloud Brightening (MCB) is a proposed technology where sea salt particles would be sprayed into clouds over oceans to increase scattering of sunlight by the clouds, thus cooling the surface. If greenhouse gas warming continues to intensify, solar radiation modification (SRM) technologies like MCB might be considered as methods to avoid the potentially devastating climate changes, such as climate system tipping points. Here, we analyse the MCB impact on a set of tipping point-related metrics in a set state-of-the-art climate model experiments. Our experiments indicate that MCB reduces risks for most tipping points considered here, such as by reducing sea ice loss and increasing Atlantic overturning circulation. However, the MCB impact strongly depends on the location of the intervention, meaning the pattern of MCB deployment must be carefully considered to avoid unintended effects on regional climate.

1 Introduction

Current net-zero pledges are projected to cause approximately 2C of warming above preindustrial (Meinshausen et al., 2022), a level of warming that at which there is a substantial risk of crossing some climate tipping point thresholds McKay et al. (2022). Thus, unless more aggressive mitigation is undertaken, projected emissions could induce self-perpetuating regional and global climate changes that would hinder future efforts to re-

47 turn the climate to its past state via greenhouse gas (GHG) reductions. Given that we
48 may fail to fulfil mitigation commitments, that climate sensitivity may be higher than
49 expected, and/or that some tipping points may be more sensitive than expected, climate
50 interventions may become the only sufficiently rapid method to avert catastrophic im-
51 pacts. One class of climate intervention methods, known as solar radiation modification
52 (SRM; also called solar geoengineering), has been proposed as a means to reduce the prob-
53 ability of tipping points as these methods are able rapidly reduce surface temperatures
54 (The Royal Society, 2009; National Academies of Sciences, Engineering, and Medicine,
55 2021; United Nations Environment Programme, 2023). However, Earth System Model
56 (ESM) studies suggest SRM interventions are imperfect methods for counteracting GHG-
57 induced climate changes. Thus, it is crucial to judiciously evaluate the extent to which
58 SRM could indeed reduce tipping point risks relative to a warming world.

59 Here we use a state-of-the-art ESM to assess one proposed SRM technique, ma-
60 rine cloud brightening (MCB), and its potential effects on the risk of crossing tipping
61 point thresholds. MCB is a proposed method intended to increase the reflectivity of ma-
62 rine boundary layer clouds by emitting sea salt aerosol in certain oceanic regions. These
63 emissions would increase cloud condensation nuclei (CCN) concentrations, increasing cloud
64 droplet number concentrations (CDNC), and decreasing cloud droplet radii. This would
65 increase the scattering of sunlight back to space and ultimately cool surface tempera-
66 tures (Latham, 2002; Latham et al., 2012). These changes in CDNC can also induce changes
67 in cloud water amount and cloud lifetime that can modulate the CDNC brightening ef-
68 fect, though optimized MCB strategies would be designed to avoid aerosol injections where
69 these responses would substantially offset CDNC brightening (Wood, 2021). MCB is ex-
70 pected to be most effective in oceanic regions with extensive shallow stratocumulus cloud
71 decks, which are sensitive to aerosol perturbations (Rasch et al., 2009; Latham et al., 2012).

72 In contrast to stratospheric aerosol injections which cause forcing over broad zonal
73 bands (Tilmes et al., 2017), cloud responses to MCB injections are highly localized due
74 to the short atmospheric lifetime of tropospheric aerosols and their impacts on cloud prop-
75 erties. The associated radiative response to MCB-induced cloud changes (termed MCB
76 forcing hereafter) will also be localized (Latham et al., 2012). Thus, there are many dif-
77 ferent possible MCB forcing patterns with differing regional climate impacts which re-
78 duce the GHG impacts to varying degrees. Because much of MCB impact on climate will
79 be remote from the MCB forcing regions themselves, there may be unintended telecon-

80 nected MCB climate impacts (Diamond et al., 2022). Thus, ESM representation of these
81 teleconnections and the general circulation response are important considerations when
82 assessing the feasibility of MCB interventions.

83 Past studies of MCB climate impacts have taken two main approaches. The first,
84 exemplified by the Geoengineering Model Intercomparison Project MCB experiments,
85 imposes uniform MCB perturbations over all oceans (Latham et al., 2008; Bala et al.,
86 2011; Kravitz et al., 2013; Stjern et al., 2018; Duan et al., 2018) or over low-latitude oceans
87 (Alterskjær et al., 2013; Muri et al., 2018). The second imposes MCB perturbations in
88 regions with high concentrations of marine low clouds, which are more susceptible to aerosols
89 and are typically found in subtropical regions at the eastern boundaries of oceanic basins
90 (Rasch et al., 2009; Jones et al., 2009; Korhonen et al., 2010; Partanen et al., 2012; Hill
91 & Ming, 2012; Stuart et al., 2013). The former protocol is more easily compared with
92 stratospheric aerosol injection, a more extensively studied SRM technology, and more
93 easily compared across ESMs. However, here we consider the latter protocol, as in prac-
94 tice MCB interventions are more likely to be focused in those regions in which sea salt
95 emissions would most efficiently achieve cooling.

96 In particular, we use a protocol similar to those used by Jones et al. (2009) and Hill
97 and Ming (2012). In these studies, MCB perturbations are applied the three regions most
98 susceptible to aerosol increases (the subtropical Northeast Pacific - NEP, Southeast Pa-
99 cific - SEP, and Southeast Atlantic - SEA). Both studies showed substantial differences
100 in the global mean and pattern of climate response to MCB depending on which region
101 is perturbed. These studies used Coupled Model Intercomparison Project 3 (CMIP3) gen-
102 eration models and consequently lack many of the improvements made in ESMs since.
103 Thus, our ESM experiments provide an updated analysis of the MCB forcing mean cli-
104 mate responses in the three regions using a state-of-the-art CMIP6-generation ESM and
105 provide a novel investigation of MCB effect on key climate tipping point metrics (TPM).

106 **2 Methods**

107 Our experiments are conducted using the Community Earth System Model 2 (CESM2;
108 Danabasoglu et al., 2020). MCB forcing is approximated by prescribing the in-cloud liq-
109 uid CDNC as a constant value at all vertical levels over ocean grid points in the South-
110 east Pacific (SEP - 30S to 0, 110W to 70W), Northeast Pacific (NEP - 0 to 30N, 150W

111 to 110W), and Southeast Atlantic (SEA - 30S to 0, 25W to 15E). As in previous work
 112 (Rasch et al., 2009; Jones et al., 2009), we use this method to avert uncertainties in the
 113 representation of sea salt aerosol generation and conversion to cloud droplets. That is,
 114 we assume sea salt injections will increase CDNC as hypothesized and study the climate
 115 responses of such cloud perturbations.

116 We specify the strength of the CDNC increase in the three regions (SEP, NEP, and
 117 SEA) such that the MCB effective radiative forcing (ERF) is -1.8Wm^{-2} , approximately
 118 half the ensemble mean forcing due to a doubling of CO_2 (Smith et al., 2018). Using fixed
 119 SST simulations, we find prescribing CDNC to 600cm^{-3} in the three regions achieves
 120 this with an ERF of $-1.9\pm 0.1\text{Wm}^{-2}$ (2-standard error uncertainty). The forcing is largely
 121 confined to the perturbed regions and is dominated by the cloud shortwave effect (Fig.
 122 1a). If we set CDNC to 600cm^{-3} in each of the regions individually, we find ERFs of $-0.7\pm$
 123 0.1Wm^{-2} for the SEP, $-0.6\pm 0.1\text{Wm}^{-2}$ for the NEP, and $-0.5\pm 0.1\text{Wm}^{-2}$ for the SEA.
 124 The sum of ERFs from CDNC perturbation each region individually is approximately
 125 equal to the ERF from CDNC perturbations in all three regions simultaneously, and we
 126 do not find evidence of forcing non-linearity (in contrast to Jones et al., 2009).

127 We assess the MCB climate response with coupled CESM2 experiments wherein
 128 we use a SSP2-4.5 baseline forcing and set CDNC to 600cm^{-3} in all three regions simul-
 129 taneously (ALL MCB) and each region separately (SEP, NEP, SEA) from 2015 to 2064.
 130 SSP2-4.5 is chosen as the baseline scenario following GeoMIP (Kravitz et al., 2015) and
 131 ARISE-SAI (Richter et al., 2022), which assessed SSP2-4.5 to be the most suitable pol-
 132 icy relevant emission scenario. Three ensemble members are simulated in each MCB forc-
 133 ing case. Historical baseline data is obtained from the CESM2 Large Ensemble histor-
 134 ical smoothed biomass burning experiments (BMB; see Rodgers et al., 2021). The cou-
 135 pled CESM2 experiments we use are summarized in Table 1. Statistical significance is
 136 tested using the Student’s *t*-test with a *p*-value threshold as the lesser of $p < 0.05$ and
 137 the false discovery rate p_{fdr} for $\alpha = 0.1$ (Wilks, 2016).

138 2.1 Tipping points

139 Climate tipping points occur when a part of the climate system is in a state where
 140 a small perturbation can cause substantial qualitative alterations to the state or devel-
 141 opment of that system (Lenton et al., 2008). In section 4, we assess the MCB effect on

Table 1. Coupled CESM2 experiments used in this work

Experiment name	Configuration	Baseline Forcing	MCB forcing	Years	Ensemble Members
Historical LE	Coupled CESM2	Historical with smoothed biomass burning	None	1850 - 2014	50
SSP2-4.5 LE	Coupled CESM2	SSP2-4.5	None	2015 - 2100	17
ALL MCB	Coupled CESM2	SSP2-4.5	600cm ⁻³ in NEP, SEP, SEA	2015 - 2064	3
ALL MCB rebound	Coupled CESM2	SSP2-4.5	None	2065 - 2074	3
NEP	Coupled CESM2	SSP2-4.5	600cm ⁻³ in NEP	2015 - 2064	3
SEP	Coupled CESM2	SSP2-4.5	600cm ⁻³ in SEP	2015 - 2064	3
SEA	Coupled CESM2	SSP2-4.5	600cm ⁻³ in SEA	2015 - 2064	3

142 regional climate metrics associated with 14 of the tipping points identified by McKay
 143 et al. (2022) (tipping point metrics - TPM). The definitions for these TPMs are discussed
 144 in section S1 and outlined in table S1. Owing to difficulties in process representation,
 145 there is significant uncertainty among ESMs in the representation of tipping points (Drijfhout
 146 et al., 2015). Like many ESMs, CESM2 does not represent processes that drive certain
 147 tipping points. For example, the configuration used here does not include dynamic ice
 148 sheets, nor does it include dynamic forest cover (a key factor in Amazon and Sahel feed-
 149 backs). Furthermore, many tipping points occur at temperature thresholds above the
 150 warming induced under SSP2-4.5 up to 2065 (McKay et al., 2022). Thus, the TPM changes
 151 herein can only be interpreted as the tendency of anthropogenic GHG emissions to in-
 152 stigate a tipping point and the effect of MCB interventions on that tendency, as direct
 153 assessments of tipping point risks are largely not possible. Nevertheless, assessing the
 154 relative effects of MCB interventions on these key regional climate indicators provides
 155 insight into the benefits and risks associated with different MCB intervention strategies.

156 **3 Results**

157 The global mean temperature (GMST) and precipitation (GMPR) effects of 600cm^{-3}
 158 MCB interventions are shown in Fig. 1b, c. For the 2020 to 2060 average, we find that
 159 the ALL MCB forcing in CESM2 causes a $-1.05 \pm 0.02\text{K}$ (2-standard error uncertainty)
 160 GMST cooling relative to SSP2-4.5. Like Jones et al. (2009) and Hill and Ming (2012),
 161 we find that SEP forcing is the largest driver of cooling at $-0.77 \pm 0.02\text{K}$ in CESM2.
 162 However, we find relatively weaker NEP ($-0.20 \pm 0.02\text{K}$) and SEA ($-0.02 \pm 0.02\text{K}$),
 163 than these previous studies. The sum of GMST effects from the three regions is $-0.98 \pm$
 164 0.04K . Thus, there is a modest, but nevertheless statistically significant non-linearity in
 165 the global cooling effects. Because the areal extent and ERF of each region is similar,
 166 the divergent GMST cooling suggests large differences in temperature sensitivity to MCB
 167 forcing in each region (NEP: $0.31 \pm 0.05\text{Km}^2/\text{W}$; SEP: $1.03 \pm 0.07\text{Km}^2/\text{W}$; SEA: $0.04 \pm$
 168 $0.08\text{Km}^2/\text{W}$).

169 The ALL MCB intervention decreases GMPR by $0.088 \pm 0.001\text{mm}/\text{day}$. Thus, there
 170 is a higher sensitivity of GMPR to GMST for MCB compared to SSP2-4.5 warming (-
 171 $0.087\text{mm}/\text{day}/\text{K}$ for ALL MCB vs. $0.061\text{mm}/\text{day}/\text{K}$ for SSP2-4.5). In this sense, MCB
 172 is similar to other shortwave scattering forcing such as historical tropospheric sulphate
 173 aerosol emissions (Andrews et al., 2010; Samset et al., 2016; Myhre et al., 2017) and strato-

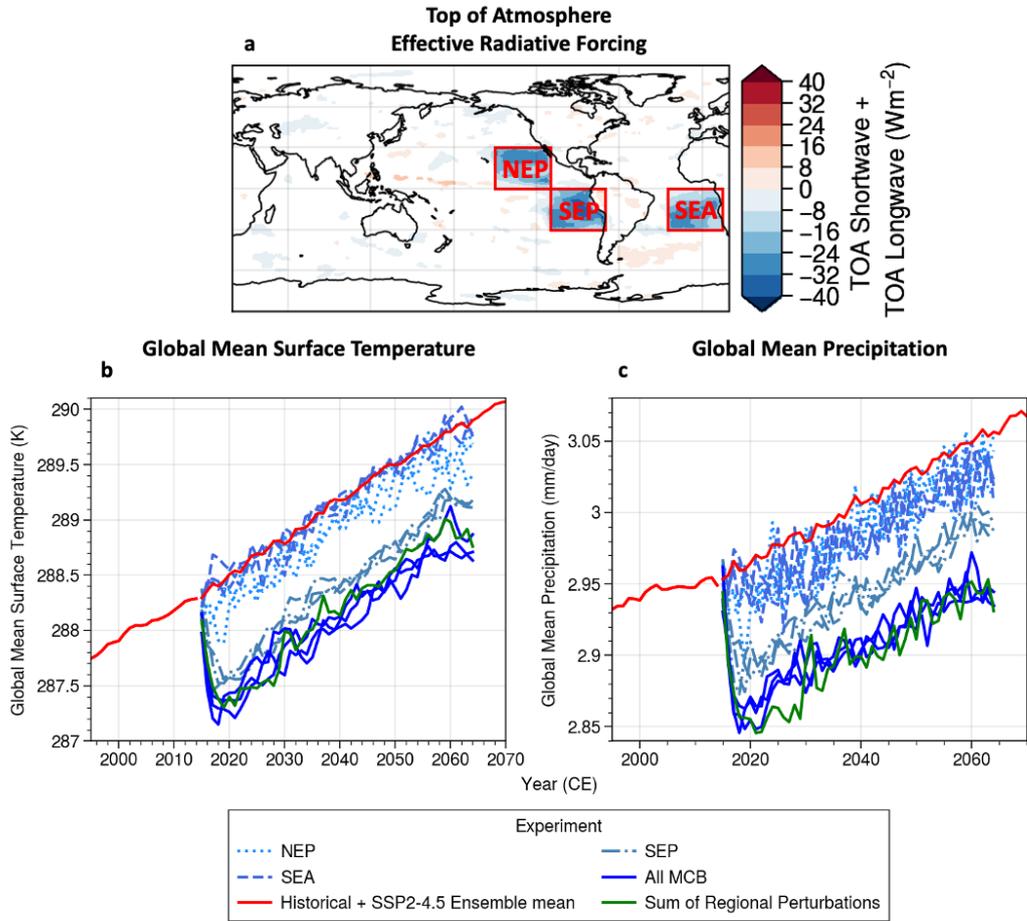


Figure 1. Map of annual mean top of atmosphere (TOA) net radiative flux (a) NEP, SEP, and SEA region definitions are shown in red boxes (non significant grid points are masked in white, $p > p_{fdr} = 0.007$). Global annual mean surface temperature (b) and precipitation (c) in the CESM2 historical and SSP2-4.5 experiments (red) and SSP2-4.5 + MCB experiments (blue shades). Ensemble mean values are shown for the historical and SSP2-4.5 ensembles while individual ensemble members are shown for the MCB experiments. Solid blue lines show the ALL MCB effect, dotted blue lines show the NEP effect, dash-dotted lines show the SEP effect, and dashed lines show the SEA effect. The solid green line shows the sum anomaly due to each region individually plus SSP2-4.5.

174 spheric aerosol injections (Tilmes et al., 2013; Duan et al., 2018). The GMPR response
 175 is less heavily dominated by SEP forcing than GMST. NEP and SEA forcing cause $-0.019 \pm$
 176 $0.003mm/day$ and $-0.020 \pm 0.002mm/day$ drying respectively compared to $-0.055 \pm$

177 0.002mm/day for SEP. Thus, the GMPR sensitivity is regionally dependent, with SEA
 178 in particular causing drying in spite of a near-zero GMST effect.

179 **3.1 Regional Climate Response to MCB Intervention**

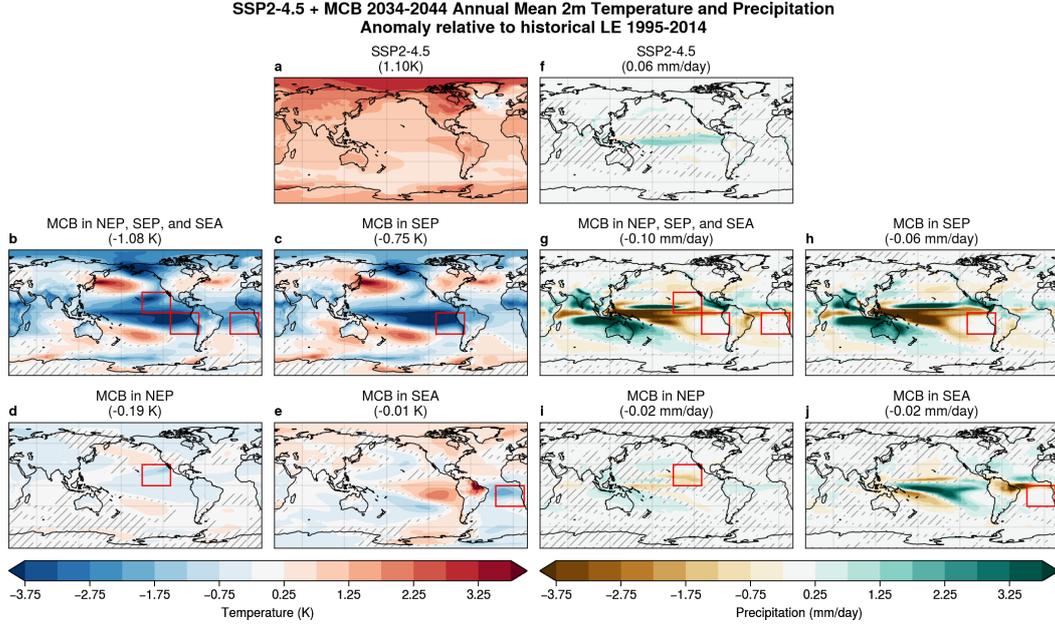


Figure 2. Maps of annual mean 2m temperature (left side: a-e) and precipitation (right side: f-j) anomalies in CESM2 SSP2-4.5 and MCB experiments for 2034-2044 relative to the CESM2 historical 1995-2015 baseline. The panels shown the SSP2-4.5 forcing response (a,f) and the MCB response for ALL MCB (b,g), SEP (c,h), NEP (d,i), and SEA (e,j). Red boxes indicate the regions in which MCB forcing is applied in each case. Global mean anomalies are shown in parentheses above each panel. Non-significant points are denoted by gray hatching. $p_{fdr} > 0.05$ for all cases.

180 In the following analysis (Fig. 2, Fig. 3), we compute the SSP2-4.5 response in 2034-
 181 2044 relative to the 1995-2015 historical mean. We compare this to the MCB response,
 182 the difference between the MCB and the SSP2-4.5 experiments for 2034-2044. This decade
 183 is chosen as it is the period where ALL MCB GMST cooling is approximately equal and
 184 opposite to the SSP2-4.5 warming since the baseline historical 1995-2014 mean (GMST
 185 anomalies in titles of Fig. 2a,b). Our experiments indicate that ALL MCB forcing would
 186 induce temperature anomalies that strongly resemble composite La Niña SST anoma-

187 lies (NOAA Physical Science Laboratory, 2023) with tropical Pacific cooling and warm-
188 ing in regions such as the Kuroshio and Gulf stream extensions (Fig. 2b).

189 The SEP experiment shows a strong La Niña-like response pattern, indicating the
190 ALL MCB effect is mainly due to SEP MCB (Fig. 2c). The NEP experiment shows cool-
191 ing in the NH generally except for warming in patches of the midlatitude North and South
192 Pacific (Fig. 2d). The SEA experiment shows cooling in the tropical Atlantic (2e) and
193 warming in the tropical east Pacific, northern South America, and the northern hemi-
194 sphere (NH) generally. Thus, in CESM2, the interventions tested here amplify SSP2-4.5
195 warming in certain regions. Conversely, there are many regions where MCB cooling is
196 stronger than SSP2-4.5 warming when the GMST responses are equal and opposite, re-
197 sulting in colder conditions than the historical baseline.

198 The ALL MCB precipitation response also resembles La Niña composite (again pri-
199 marily due to the SEP forcing; see Fig. 2h), with strong tropical Pacific drying and wet-
200 ting on the poleward flanks of the Pacific and Indian ocean inter-tropical convergence
201 zones (ITCZ). Over land, the SEP experiment shows wetting in Australian, South and
202 East Asian, and West African monsoon regions and drying in tropical central Africa and
203 midlatitude regions such as North America, Europe, southern Africa, and southern South
204 America. The NEP experiment shows drying locally in the NEP forcing region and over
205 North America and Europe (Fig. 2i). The SEA experiment shows a northward shift of
206 the ITCZ in the Atlantic, with drying in the south of the equator and in the Amazon
207 and wetting north of the equator and in West Africa (Fig. 2j). There is also wetting in
208 the tropical Pacific and drying in poleward flanks of the ITCZ.

209 The CESM2 responses here bear broad qualitative similarities to previous HadGEM2
210 results (Jones et al., 2009), such as the SEP La Niña-like response and SEA Amazon dry-
211 ing. However, we also see key differences that indicate inter-model uncertainty in the tele-
212 connections that drive remote climate responses to MCB. For example, the midlatitude
213 warming, central African drying, and land monsoon wetting signals in the CESM2 SEP
214 response are absent or much weaker in HadGEM2. Furthermore, north and tropical Pa-
215 cific cooling due to NEP is weaker in CESM2 versus HadGEM2. These discrepancies are
216 partially due to differences in forcing region definitions and forcing amount. However,
217 the MCB ERF applied in this study is similar to Jones et al. (2009) and thus ERF dif-
218 ferences are unlikely to account for the bulk of the differences in response.

3.2 Tipping Point Metric Response to MCB Intervention

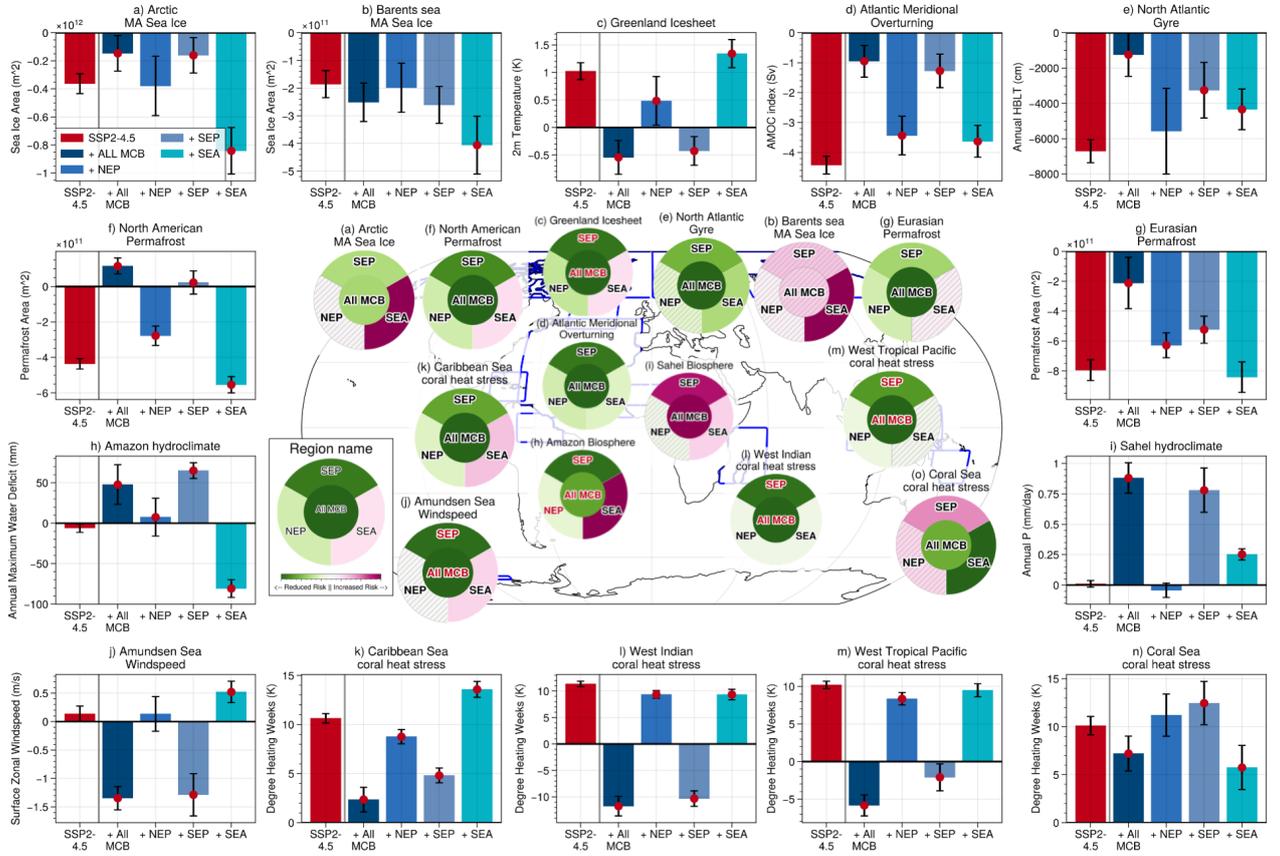


Figure 3. SSP2-4.5 and MCB impacts on tipping point metrics. Bar plots around the edge of the figure (a-o) show the 2034-2044 minus 1995-2014 anomalies for each TPM (described in Table S1) for SSP2-4.5 (red bar) and SSP2-4.5 + MCB (blue bars - from left to right: ALL MCB, NEP, SEP, and SEA). Error bars indicate the two standard error range and red dots on blue MCB bars indicate cases where the MCB effect is statistically significant using the Student’s t-test ($p < 0.05$). The centre panel shows colour wheels displaying the direction of MCB impacts on each tipping element. Pink indicates a shift toward a tipping point and green indicates a shift away from it. MCB impact of SEP, NEP, and SEA (top - SEP, bottom left - NEP, bottom right - SEA) are shown in the outer wheel and the ALL MCB impact is shown in the centre circle. The colour scale of each wheel is scaled to the maximum anomaly of the four MCB experiments. Hatching indicates where MCB effects are not statistically significant at the $p < 0.05$ level. Red text labels indicate where MCB overcorrects the SSP2-4.5 effect (effect greater than and opposite to SSP2-4.5).

220 Fig. 3 shows the impact of SSP2-4.5 and MCB forcing on selected climate TPMs
221 for the 2034-2044 period relative to 1995-2014. SSP2-4.5 experiments show significant
222 changes to the selected TPMs that indicate increased tipping risk in all cases except for
223 Sahel precipitation (Fig. 3i). The weak Sahel precipitation effect is likely a model de-
224 pendent signal, as there is model uncertainty regarding the sign of the GHG precipita-
225 tion impact in the region (Gaetani et al., 2017; Monerie et al., 2020).

226 The ALL MCB cooling results in statistically significant TPM changes that indi-
227 cate reduced risk for most temperature related tipping points. Our experiments show
228 reduced Arctic winter sea ice loss (Fig. 3a), Greenland warming (Fig. 3c), Eurasian/North
229 American permafrost loss (Fig. 3g, f), and coral heat stress in the Caribbean sea (Fig.
230 3k), West Indian ocean (Fig. 3l), West Tropical Pacific (Fig. 3m) and Coral sea (Fig.
231 3o). We also find significant circulation responses with reduced Amundsen sea zonal wind
232 speed (Fig. 3j), indicating reduced West Antarctic ice sheet melt, and increased AMOC
233 index (Fig. 3d), indicating reduced AMOC collapse risk. Furthermore, contrasting the
234 GMPR decrease, we see reductions in Amazon water deficit (Fig. 3h), indicating reduced
235 Amazon rainforest drought risk. However, the ALL MCB experiment shows negligible
236 effects on Barents Sea winter sea ice area (Fig. 3b) and an increase in Sahel rainfall (Fig.
237 3i), indicating an increased Sahel greening risk. Due to the differing climate response pat-
238 terns to MCB versus GHG in our experiments, the ALL MCB does not mask the entire
239 SSP2-4.5 signal in many regions (Fig. 3a, d, g, k, n). In others, the MCB response ex-
240 ceeds the GHG response (Fig. 3c, f, h, j, l, m), sometimes quite substantially, such as
241 for Amundsen sea zonal wind speed where ALL/SEP MCB shows a strong decrease.

242 We find the ALL MCB changes are largely related to SEP forcing for all TPMs ex-
243 cept Coral sea heat stress (where we see local warming; Fig. 3o). NEP forcing causes
244 NH cooling, thus NH TPMs generally shift to indicate reduced risk, and NEP has neg-
245 ligible effects on TPMs in all other cases. However, the NH warming in the SEA forc-
246 ing experiment drives changes that indicate increased tipping point risk many cases, as
247 it adds to SSP2-4.5 changes for Arctic-wide and Barents winter sea ice area (Fig. 3a,b),
248 North American permafrost (Fig. 3f), and Caribbean sea coral heat stress (Fig. 3k). Fur-
249 thermore, Amazon rainfall reductions in the SEA experiment substantially increase the
250 Amazon moisture deficit, increasing forest dieback risk (Fig. 3h), which is offset by mois-
251 ture deficit decreases in the SEP and NEP experiments. On the other hand, The SEA
252 experiment shows AMOC strengthening and reduced Coral sea heat stress, the latter of

253 which counteracts the warming effect of SEP forcing. Thus, SEA MCB forcing could merit
254 further study in combination with MCB in other regions.

255 4 Discussion

256 In this study, we have conducted Community Earth System Model 2 (CESM2) ex-
257 periments to explore the climate responses to Marine Cloud Brightening in three regions
258 known for their extensive decks of marine stratus and stratocumulus clouds, with the
259 aim of reducing the response to greenhouse gas-driven climate change. Our experiments
260 provide a novel assessment of a key set of MCB intervention scenarios that have not been
261 studied since CMIP3-generation models (Jones et al., 2009; Rasch et al., 2009; Hill &
262 Ming, 2012). These scenarios are distinct from the idealized global more uniform inter-
263 ventions used in GeoMIP (Kravitz et al., 2013; Stjern et al., 2018), as they target regions
264 with enhanced sensitivity to aerosol perturbations and would therefore be more efficient
265 to brighten (Rasch et al., 2009; Latham et al., 2012). Our study reaffirms that MCB has
266 the potential to reduce many of the climate effects of rising anthropogenic greenhouse
267 gas concentrations. We further find that this effect extends to a range of climate indices
268 which suggest a reduction in the risk of crossing tipping point thresholds under MCB
269 intervention.

270 As noted in previous studies, the pattern and magnitude of the climate response
271 to MCB forcing strongly depends on the location and amplitude of the intervention (Jones
272 et al., 2009; Hill & Ming, 2012). We find qualitative agreement for many aspects of the
273 response, although CESM2 appears more sensitive to SEP forcing and less sensitive to
274 SEA forcing compared to models used in prior studies. Because the SEP forcing produces
275 a response with strong similarities to La Niña anomalies, the strong SEP response may
276 be a result of the too-strong ENSO amplitudes in CESM2 (Planton et al., 2021). The
277 MCB pattern effect results in substantial residual regional temperature and precipita-
278 tion anomalies even when the global temperature effects of SSP2-4.5 forcing and MCB
279 are equal and opposite. Indeed, CESM2 suggests that MCB in some regions could in-
280 duce (likely circulation-driven) patches of warming away from the intervention region,
281 though this effect is less pronounced in other models (Jones et al., 2009; Hill & Ming,
282 2012). Thus, model representations of climate feedbacks and circulation changes play
283 a key role in estimating the effect of MCB intervention.

284 It has been argued that a potential use case for SRM interventions is for rapid re-
285 sponses to prevent imminent climate tipping points (The Royal Society, 2009; United Na-
286 tions Environment Programme, 2023). We find that MCB shows some promise in this
287 application, as the ALL MCB intervention (forcing in all three regions considered here)
288 causes a general shift across almost all of the TPMs we considered that indicates a re-
289 duced risk of crossing tipping point thresholds (McKay et al., 2022). However, the in-
290 tervention is imperfect as the MCB pattern effect results in TPM changes that are sig-
291 nificantly greater or less than the SSP2-4.5 effect depending on the region. Furthermore,
292 in the case of Sahel greening, the ALL MCB intervention significantly increases rainfall
293 in the region, increasing tipping point risk. On the other hand, over-cooling may also
294 have negative consequences, such for coral reefs, where anomalously cold conditions can
295 increase coral mortality (Kemp et al., 2011).

296 The MCB effect on TPMs is sensitive to pattern of the forcing such that some cases
297 may exacerbate the SSP2-4.5 effect. For example, our SEA experiment shows substan-
298 tially reduce rainfall in eastern Brazil, increasing the risk of drought and rainforest dieback
299 in the region (as also noted by Jones et al. (2009)). However, we note that many of these
300 regional effects are non-additive, such that MCB in SEA could be considered in combi-
301 nation with MCB in other regions. In addition, many tipping points occur in regions where
302 ESMs have substantial biases and are subject to uncertainties in process representation
303 (see section S1). Thus, tipping point representation presents an important uncertainty
304 in the evaluation of SRM interventions. The prominent role of the pattern effect neces-
305 sitates comprehensive assessment across different tipping elements and scenarios to eval-
306 uate MCB as an intervention option.

307 The MCB “pattern response” poses a significant challenge to exploring and assess-
308 ing MCB as an option for climate intervention. Combined with the fact that MCB in-
309 tervention could be applied over relatively small temporal and spatial scales, this sig-
310 nificantly expands MCB scenario uncertainty and introduces additional degrees of free-
311 dom to consider when performing MCB “controller” simulations (of the kind used in SAI
312 simulations; see Tilmes et al., 2018; Richter et al., 2022). On the other hand, the large
313 possibility space of MCB intervention patterns leaves open the potential to identify spe-
314 cific MCB intervention patterns that reduce tipping point risks while minimizing unin-
315 tended negative remote consequences.

316 Though we only assess one model here, the differences in the global mean and pat-
317 tern of climate response to MCB between this and past studies suggest substantial inter-
318 model uncertainties stemming from uncertainty in the representation of climate feedbacks
319 and atmosphere-ocean circulation. Such uncertainties are distinct from uncertainties aris-
320 ing from differences in aerosol injection methods or aerosol microphysics representation.
321 Because many of the desired responses to MCB would occur away from the forcing re-
322 gions themselves, it is crucial that such circulation uncertainties are understood and re-
323 duced in order to evaluate the feasibility of MCB interventions (Diamond et al., 2022).

324 Our experiments model MCB perturbations by directly perturbing CDNC, which
325 neglects the sea salt direct aerosol forcing and the effect of aerosol transport on the forc-
326 ing patterns (Partanen et al., 2012; Ahlm et al., 2017). We also do not model the effect
327 of sea salt on atmospheric chemistry (Horowitz et al., 2020). While we anticipate that
328 the remote response to MCB interventions will be mostly insensitive to the specifics the
329 MCB shortwave forcing in a given region, this may not necessarily be the case. Further-
330 more, CESM2 has among the highest aerosol-cloud interaction effects in the CMIP6 en-
331 semble (Smith et al., 2020), meaning weaker CDNC perturbations are required to achieve
332 a given forcing compared to other models. These issues highlight a need for systematic
333 assessment of MCB intervention in key high susceptibility regions and their consequent
334 climate responses. Evaluating such uncertainties will be a key aim of a forthcoming multi-
335 model intercomparison of regional MCB applications.

336 **Open Research Section**

337 CESM2 code modifications and model output and analysis scripts available at Haruki
338 Hirasawa, Dipti Swapnil Hingmire, Hansi Alice Singh, Philip J. Rasch, and Peetak Mi-
339 tra. (2023). Replication data for: Effect of Regional Marine Cloud Brightening Inter-
340 ventions on Climate Tipping Points [Data set]. Zenodo. <https://doi.org/10.5281/zenodo.7884575>,
341 CC BY-NC-SA 4.0. CESM2 LE historical and SSP2-4.5 data available from the National
342 Center for Atmospheric Research [https://www.cesm.ucar.edu/community-projects/lens2/data-](https://www.cesm.ucar.edu/community-projects/lens2/datasets)
343 [sets](https://www.cesm.ucar.edu/community-projects/lens2/datasets).

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Effect of Regional Marine Cloud Brightening Interventions on Climate Tipping Points

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Key Points:

- The magnitude and pattern of the Marine Cloud Brightening (MCB) climate impact depends strongly on the location of the intervention
- The MCB impact generally indicates reduced tipping point risk overall, but certain intervention patterns may exacerbate some tipping points
- We find MCB impacts that have qualitative similarities to prior work, but we find discrepancies that suggest key inter-model uncertainties

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Abstract

It has been proposed that increasing greenhouse gas (GHG)-driven climate tipping point risks may prompt consideration of Solar Radiation Modification (SRM) climate intervention to reduce those risks. Here, we study marine cloud brightening (MCB) SRM interventions in three subtropical oceanic regions using the Community Earth System Model 2 (CESM2) experiments. We assess the response of tipping point-related metrics to estimate the extent to which such interventions could reduce tipping point risk. Both the pattern and magnitude of the MCB cooling depend strongly on location of the MCB intervention. We find the MCB cooling effect reduces tipping point risk overall; however, the distinct pattern effects of MCB versus GHG means it is an imperfect remedy. Indeed, if MCB is applied in certain oceanic regions, it may exacerbate some tipping point risks. It is therefore crucial to carefully assess the potential remote teleconnected response to MCB interventions to reduce unintended climate impacts.

Plain Language Summary

Marine Cloud Brightening (MCB) is a proposed technology where sea salt particles would be sprayed into clouds over oceans to increase scattering of sunlight by the clouds, thus cooling the surface. If greenhouse gas warming continues to intensify, solar radiation modification (SRM) technologies like MCB might be considered as methods to avoid the potentially devastating climate changes, such as climate system tipping points. Here, we analyse the MCB impact on a set of tipping point-related metrics in a set state-of-the-art climate model experiments. Our experiments indicate that MCB reduces risks for most tipping points considered here, such as by reducing sea ice loss and increasing Atlantic overturning circulation. However, the MCB impact strongly depends on the location of the intervention, meaning the pattern of MCB deployment must be carefully considered to avoid unintended effects on regional climate.

1 Introduction

Current net-zero pledges are projected to cause approximately 2C of warming above preindustrial (Meinshausen et al., 2022), a level of warming that at which there is a substantial risk of crossing some climate tipping point thresholds McKay et al. (2022). Thus, unless more aggressive mitigation is undertaken, projected emissions could induce self-perpetuating regional and global climate changes that would hinder future efforts to re-

47 turn the climate to its past state via greenhouse gas (GHG) reductions. Given that we
48 may fail to fulfil mitigation commitments, that climate sensitivity may be higher than
49 expected, and/or that some tipping points may be more sensitive than expected, climate
50 interventions may become the only sufficiently rapid method to avert catastrophic im-
51 pacts. One class of climate intervention methods, known as solar radiation modification
52 (SRM; also called solar geoengineering), has been proposed as a means to reduce the prob-
53 ability of tipping points as these methods are able rapidly reduce surface temperatures
54 (The Royal Society, 2009; National Academies of Sciences, Engineering, and Medicine,
55 2021; United Nations Environment Programme, 2023). However, Earth System Model
56 (ESM) studies suggest SRM interventions are imperfect methods for counteracting GHG-
57 induced climate changes. Thus, it is crucial to judiciously evaluate the extent to which
58 SRM could indeed reduce tipping point risks relative to a warming world.

59 Here we use a state-of-the-art ESM to assess one proposed SRM technique, ma-
60 rine cloud brightening (MCB), and its potential effects on the risk of crossing tipping
61 point thresholds. MCB is a proposed method intended to increase the reflectivity of ma-
62 rine boundary layer clouds by emitting sea salt aerosol in certain oceanic regions. These
63 emissions would increase cloud condensation nuclei (CCN) concentrations, increasing cloud
64 droplet number concentrations (CDNC), and decreasing cloud droplet radii. This would
65 increase the scattering of sunlight back to space and ultimately cool surface tempera-
66 tures (Latham, 2002; Latham et al., 2012). These changes in CDNC can also induce changes
67 in cloud water amount and cloud lifetime that can modulate the CDNC brightening ef-
68 fect, though optimized MCB strategies would be designed to avoid aerosol injections where
69 these responses would substantially offset CDNC brightening (Wood, 2021). MCB is ex-
70 pected to be most effective in oceanic regions with extensive shallow stratocumulus cloud
71 decks, which are sensitive to aerosol perturbations (Rasch et al., 2009; Latham et al., 2012).

72 In contrast to stratospheric aerosol injections which cause forcing over broad zonal
73 bands (Tilmes et al., 2017), cloud responses to MCB injections are highly localized due
74 to the short atmospheric lifetime of tropospheric aerosols and their impacts on cloud prop-
75 erties. The associated radiative response to MCB-induced cloud changes (termed MCB
76 forcing hereafter) will also be localized (Latham et al., 2012). Thus, there are many dif-
77 ferent possible MCB forcing patterns with differing regional climate impacts which re-
78 duce the GHG impacts to varying degrees. Because much of MCB impact on climate will
79 be remote from the MCB forcing regions themselves, there may be unintended telecon-

80 nected MCB climate impacts (Diamond et al., 2022). Thus, ESM representation of these
81 teleconnections and the general circulation response are important considerations when
82 assessing the feasibility of MCB interventions.

83 Past studies of MCB climate impacts have taken two main approaches. The first,
84 exemplified by the Geoengineering Model Intercomparison Project MCB experiments,
85 imposes uniform MCB perturbations over all oceans (Latham et al., 2008; Bala et al.,
86 2011; Kravitz et al., 2013; Stjern et al., 2018; Duan et al., 2018) or over low-latitude oceans
87 (Alterskjær et al., 2013; Muri et al., 2018). The second imposes MCB perturbations in
88 regions with high concentrations of marine low clouds, which are more susceptible to aerosols
89 and are typically found in subtropical regions at the eastern boundaries of oceanic basins
90 (Rasch et al., 2009; Jones et al., 2009; Korhonen et al., 2010; Partanen et al., 2012; Hill
91 & Ming, 2012; Stuart et al., 2013). The former protocol is more easily compared with
92 stratospheric aerosol injection, a more extensively studied SRM technology, and more
93 easily compared across ESMs. However, here we consider the latter protocol, as in prac-
94 tice MCB interventions are more likely to be focused in those regions in which sea salt
95 emissions would most efficiently achieve cooling.

96 In particular, we use a protocol similar to those used by Jones et al. (2009) and Hill
97 and Ming (2012). In these studies, MCB perturbations are applied the three regions most
98 susceptible to aerosol increases (the subtropical Northeast Pacific - NEP, Southeast Pa-
99 cific - SEP, and Southeast Atlantic - SEA). Both studies showed substantial differences
100 in the global mean and pattern of climate response to MCB depending on which region
101 is perturbed. These studies used Coupled Model Intercomparison Project 3 (CMIP3) gen-
102 eration models and consequently lack many of the improvements made in ESMs since.
103 Thus, our ESM experiments provide an updated analysis of the MCB forcing mean cli-
104 mate responses in the three regions using a state-of-the-art CMIP6-generation ESM and
105 provide a novel investigation of MCB effect on key climate tipping point metrics (TPM).

106 **2 Methods**

107 Our experiments are conducted using the Community Earth System Model 2 (CESM2;
108 Danabasoglu et al., 2020). MCB forcing is approximated by prescribing the in-cloud liq-
109 uid CDNC as a constant value at all vertical levels over ocean grid points in the South-
110 east Pacific (SEP - 30S to 0, 110W to 70W), Northeast Pacific (NEP - 0 to 30N, 150W

111 to 110W), and Southeast Atlantic (SEA - 30S to 0, 25W to 15E). As in previous work
 112 (Rasch et al., 2009; Jones et al., 2009), we use this method to avert uncertainties in the
 113 representation of sea salt aerosol generation and conversion to cloud droplets. That is,
 114 we assume sea salt injections will increase CDNC as hypothesized and study the climate
 115 responses of such cloud perturbations.

116 We specify the strength of the CDNC increase in the three regions (SEP, NEP, and
 117 SEA) such that the MCB effective radiative forcing (ERF) is -1.8Wm^{-2} , approximately
 118 half the ensemble mean forcing due to a doubling of CO_2 (Smith et al., 2018). Using fixed
 119 SST simulations, we find prescribing CDNC to 600cm^{-3} in the three regions achieves
 120 this with an ERF of $-1.9\pm 0.1\text{Wm}^{-2}$ (2-standard error uncertainty). The forcing is largely
 121 confined to the perturbed regions and is dominated by the cloud shortwave effect (Fig.
 122 1a). If we set CDNC to 600cm^{-3} in each of the regions individually, we find ERFs of $-0.7\pm$
 123 0.1Wm^{-2} for the SEP, $-0.6\pm 0.1\text{Wm}^{-2}$ for the NEP, and $-0.5\pm 0.1\text{Wm}^{-2}$ for the SEA.
 124 The sum of ERFs from CDNC perturbation each region individually is approximately
 125 equal to the ERF from CDNC perturbations in all three regions simultaneously, and we
 126 do not find evidence of forcing non-linearity (in contrast to Jones et al., 2009).

127 We assess the MCB climate response with coupled CESM2 experiments wherein
 128 we use a SSP2-4.5 baseline forcing and set CDNC to 600cm^{-3} in all three regions simul-
 129 taneously (ALL MCB) and each region separately (SEP, NEP, SEA) from 2015 to 2064.
 130 SSP2-4.5 is chosen as the baseline scenario following GeoMIP (Kravitz et al., 2015) and
 131 ARISE-SAI (Richter et al., 2022), which assessed SSP2-4.5 to be the most suitable pol-
 132 icy relevant emission scenario. Three ensemble members are simulated in each MCB forc-
 133 ing case. Historical baseline data is obtained from the CESM2 Large Ensemble histor-
 134 ical smoothed biomass burning experiments (BMB; see Rodgers et al., 2021). The cou-
 135 pled CESM2 experiments we use are summarized in Table 1. Statistical significance is
 136 tested using the Student's *t*-test with a *p*-value threshold as the lesser of $p < 0.05$ and
 137 the false discovery rate p_{fdr} for $\alpha = 0.1$ (Wilks, 2016).

138 2.1 Tipping points

139 Climate tipping points occur when a part of the climate system is in a state where
 140 a small perturbation can cause substantial qualitative alterations to the state or devel-
 141 opment of that system (Lenton et al., 2008). In section 4, we assess the MCB effect on

Table 1. Coupled CESM2 experiments used in this work

Experiment name	Configuration	Baseline Forcing	MCB forcing	Years	Ensemble Members
Historical LE	Coupled CESM2	Historical with smoothed biomass burning	None	1850 - 2014	50
SSP2-4.5 LE	Coupled CESM2	SSP2-4.5	None	2015 - 2100	17
ALL MCB	Coupled CESM2	SSP2-4.5	600cm ⁻³ in NEP, SEP, SEA	2015 - 2064	3
ALL MCB rebound	Coupled CESM2	SSP2-4.5	None	2065 - 2074	3
NEP	Coupled CESM2	SSP2-4.5	600cm ⁻³ in NEP	2015 - 2064	3
SEP	Coupled CESM2	SSP2-4.5	600cm ⁻³ in SEP	2015 - 2064	3
SEA	Coupled CESM2	SSP2-4.5	600cm ⁻³ in SEA	2015 - 2064	3

142 regional climate metrics associated with 14 of the tipping points identified by McKay
 143 et al. (2022) (tipping point metrics - TPM). The definitions for these TPMs are discussed
 144 in section S1 and outlined in table S1. Owing to difficulties in process representation,
 145 there is significant uncertainty among ESMs in the representation of tipping points (Drijfhout
 146 et al., 2015). Like many ESMs, CESM2 does not represent processes that drive certain
 147 tipping points. For example, the configuration used here does not include dynamic ice
 148 sheets, nor does it include dynamic forest cover (a key factor in Amazon and Sahel feed-
 149 backs). Furthermore, many tipping points occur at temperature thresholds above the
 150 warming induced under SSP2-4.5 up to 2065 (McKay et al., 2022). Thus, the TPM changes
 151 herein can only be interpreted as the tendency of anthropogenic GHG emissions to in-
 152 stigate a tipping point and the effect of MCB interventions on that tendency, as direct
 153 assessments of tipping point risks are largely not possible. Nevertheless, assessing the
 154 relative effects of MCB interventions on these key regional climate indicators provides
 155 insight into the benefits and risks associated with different MCB intervention strategies.

156 **3 Results**

157 The global mean temperature (GMST) and precipitation (GMPR) effects of 600cm^{-3}
 158 MCB interventions are shown in Fig. 1b, c. For the 2020 to 2060 average, we find that
 159 the ALL MCB forcing in CESM2 causes a $-1.05 \pm 0.02\text{K}$ (2-standard error uncertainty)
 160 GMST cooling relative to SSP2-4.5. Like Jones et al. (2009) and Hill and Ming (2012),
 161 we find that SEP forcing is the largest driver of cooling at $-0.77 \pm 0.02\text{K}$ in CESM2.
 162 However, we find relatively weaker NEP ($-0.20 \pm 0.02\text{K}$) and SEA ($-0.02 \pm 0.02\text{K}$),
 163 than these previous studies. The sum of GMST effects from the three regions is $-0.98 \pm$
 164 0.04K . Thus, there is a modest, but nevertheless statistically significant non-linearity in
 165 the global cooling effects. Because the areal extent and ERF of each region is similar,
 166 the divergent GMST cooling suggests large differences in temperature sensitivity to MCB
 167 forcing in each region (NEP: $0.31 \pm 0.05\text{Km}^2/\text{W}$; SEP: $1.03 \pm 0.07\text{Km}^2/\text{W}$; SEA: $0.04 \pm$
 168 $0.08\text{Km}^2/\text{W}$).

169 The ALL MCB intervention decreases GMPR by $0.088 \pm 0.001\text{mm}/\text{day}$. Thus, there
 170 is a higher sensitivity of GMPR to GMST for MCB compared to SSP2-4.5 warming (-
 171 $0.087\text{mm}/\text{day}/\text{K}$ for ALL MCB vs. $0.061\text{mm}/\text{day}/\text{K}$ for SSP2-4.5). In this sense, MCB
 172 is similar to other shortwave scattering forcing such as historical tropospheric sulphate
 173 aerosol emissions (Andrews et al., 2010; Samset et al., 2016; Myhre et al., 2017) and strato-

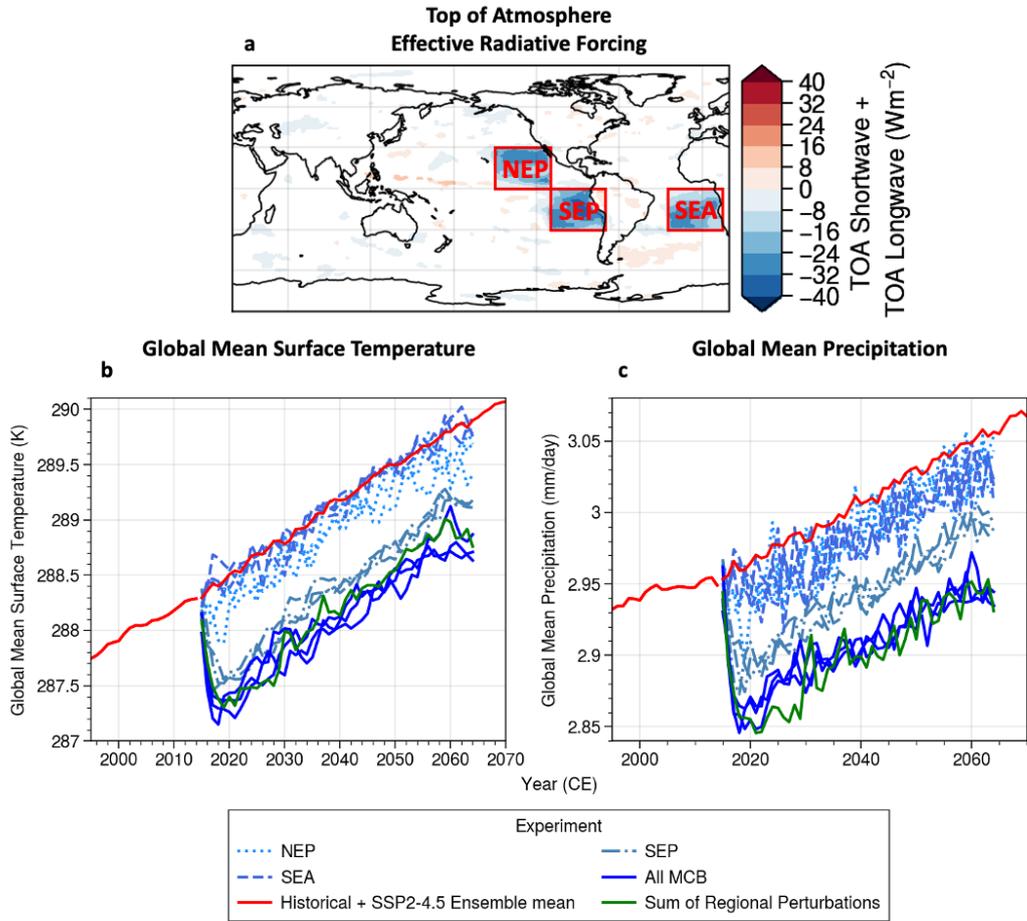


Figure 1. Map of annual mean top of atmosphere (TOA) net radiative flux (a) NEP, SEP, and SEA region definitions are shown in red boxes (non significant grid points are masked in white, $p > p_{fdr} = 0.007$). Global annual mean surface temperature (b) and precipitation (c) in the CESM2 historical and SSP2-4.5 experiments (red) and SSP2-4.5 + MCB experiments (blue shades). Ensemble mean values are shown for the historical and SSP2-4.5 ensembles while individual ensemble members are shown for the MCB experiments. Solid blue lines show the ALL MCB effect, dotted blue lines show the NEP effect, dash-dotted lines show the SEP effect, and dashed lines show the SEA effect. The solid green line shows the sum anomaly due to each region individually plus SSP2-4.5.

174 spheric aerosol injections (Tilmes et al., 2013; Duan et al., 2018). The GMPR response
 175 is less heavily dominated by SEP forcing than GMST. NEP and SEA forcing cause $-0.019 \pm$
 176 0.003 mm/day and -0.020 ± 0.002 mm/day drying respectively compared to $-0.055 \pm$

177 0.002mm/day for SEP. Thus, the GMPR sensitivity is regionally dependent, with SEA
 178 in particular causing drying in spite of a near-zero GMST effect.

179 **3.1 Regional Climate Response to MCB Intervention**

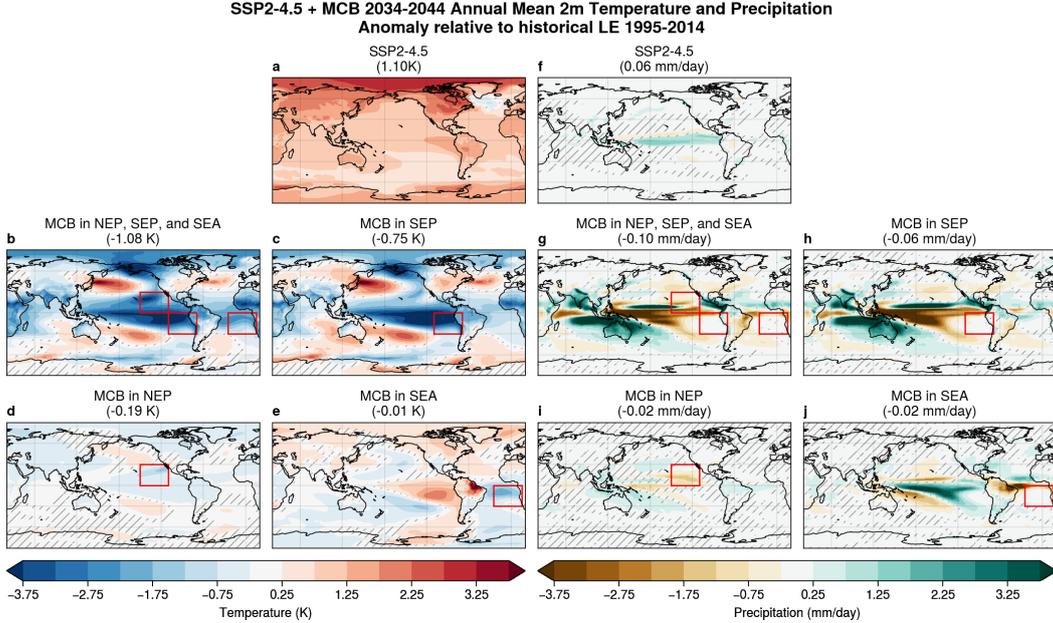


Figure 2. Maps of annual mean 2m temperature (left side: a-e) and precipitation (right side: f-j) anomalies in CESM2 SSP2-4.5 and MCB experiments for 2034-2044 relative to the CESM2 historical 1995-2015 baseline. The panels shown the SSP2-4.5 forcing response (a,f) and the MCB response for ALL MCB (b,g), SEP (c,h), NEP (d,i), and SEA (e,j). Red boxes indicate the regions in which MCB forcing is applied in each case. Global mean anomalies are shown in parentheses above each panel. Non-significant points are denoted by gray hatching. $p_{fdr} > 0.05$ for all cases.

180 In the following analysis (Fig. 2, Fig. 3), we compute the SSP2-4.5 response in 2034-
 181 2044 relative to the 1995-2015 historical mean. We compare this to the MCB response,
 182 the difference between the MCB and the SSP2-4.5 experiments for 2034-2044. This decade
 183 is chosen as it is the period where ALL MCB GMST cooling is approximately equal and
 184 opposite to the SSP2-4.5 warming since the baseline historical 1995-2014 mean (GMST
 185 anomalies in titles of Fig. 2a,b). Our experiments indicate that ALL MCB forcing would
 186 induce temperature anomalies that strongly resemble composite La Niña SST anoma-

187 lies (NOAA Physical Science Laboratory, 2023) with tropical Pacific cooling and warm-
188 ing in regions such as the Kuroshio and Gulf stream extensions (Fig. 2b).

189 The SEP experiment shows a strong La Niña-like response pattern, indicating the
190 ALL MCB effect is mainly due to SEP MCB (Fig. 2c). The NEP experiment shows cool-
191 ing in the NH generally except for warming in patches of the midlatitude North and South
192 Pacific (Fig. 2d). The SEA experiment shows cooling in the tropical Atlantic (2e) and
193 warming in the tropical east Pacific, northern South America, and the northern hemi-
194 sphere (NH) generally. Thus, in CESM2, the interventions tested here amplify SSP2-4.5
195 warming in certain regions. Conversely, there are many regions where MCB cooling is
196 stronger than SSP2-4.5 warming when the GMST responses are equal and opposite, re-
197 sulting in colder conditions than the historical baseline.

198 The ALL MCB precipitation response also resembles La Niña composite (again pri-
199 marily due to the SEP forcing; see Fig. 2h), with strong tropical Pacific drying and wet-
200 ting on the poleward flanks of the Pacific and Indian ocean inter-tropical convergence
201 zones (ITCZ). Over land, the SEP experiment shows wetting in Australian, South and
202 East Asian, and West African monsoon regions and drying in tropical central Africa and
203 midlatitude regions such as North America, Europe, southern Africa, and southern South
204 America. The NEP experiment shows drying locally in the NEP forcing region and over
205 North America and Europe (Fig. 2i). The SEA experiment shows a northward shift of
206 the ITCZ in the Atlantic, with drying in the south of the equator and in the Amazon
207 and wetting north of the equator and in West Africa (Fig. 2j). There is also wetting in
208 the tropical Pacific and drying in poleward flanks of the ITCZ.

209 The CESM2 responses here bear broad qualitative similarities to previous HadGEM2
210 results (Jones et al., 2009), such as the SEP La Niña-like response and SEA Amazon dry-
211 ing. However, we also see key differences that indicate inter-model uncertainty in the tele-
212 connections that drive remote climate responses to MCB. For example, the midlatitude
213 warming, central African drying, and land monsoon wetting signals in the CESM2 SEP
214 response are absent or much weaker in HadGEM2. Furthermore, north and tropical Pa-
215 cific cooling due to NEP is weaker in CESM2 versus HadGEM2. These discrepancies are
216 partially due to differences in forcing region definitions and forcing amount. However,
217 the MCB ERF applied in this study is similar to Jones et al. (2009) and thus ERF dif-
218 ferences are unlikely to account for the bulk of the differences in response.

3.2 Tipping Point Metric Response to MCB Intervention

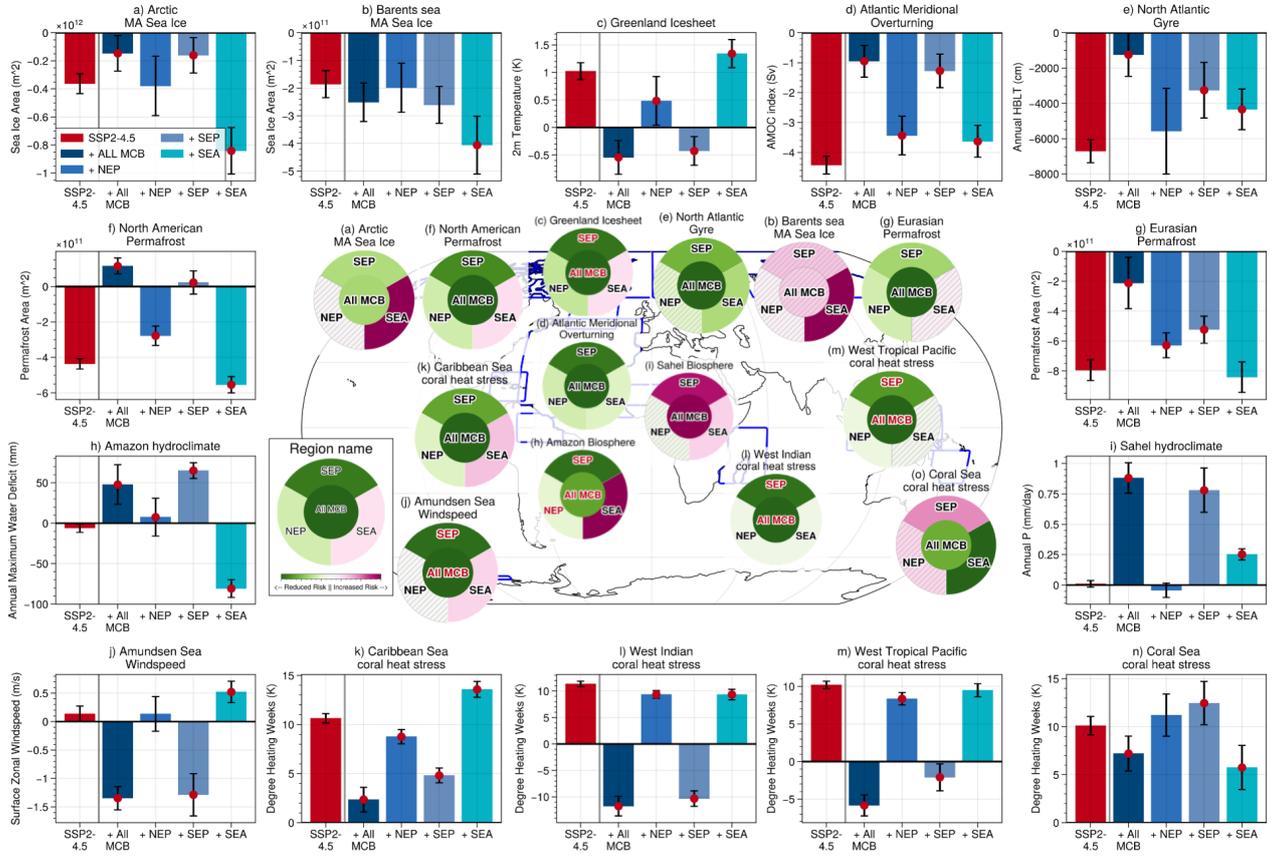


Figure 3. SSP2-4.5 and MCB impacts on tipping point metrics. Bar plots around the edge of the figure (a-o) show the 2034-2044 minus 1995-2014 anomalies for each TPM (described in Table S1) for SSP2-4.5 (red bar) and SSP2-4.5 + MCB (blue bars - from left to right: ALL MCB, NEP, SEP, and SEA). Error bars indicate the two standard error range and red dots on blue MCB bars indicate cases where the MCB effect is statistically significant using the Student's t-test ($p < 0.05$). The centre panel shows colour wheels displaying the direction of MCB impacts on each tipping element. Pink indicates a shift toward a tipping point and green indicates a shift away from it. MCB impact of SEP, NEP, and SEA (top - SEP, bottom left - NEP, bottom right - SEA) are shown in the outer wheel and the ALL MCB impact is shown in the centre circle. The colour scale of each wheel is scaled to the maximum anomaly of the four MCB experiments. Hatching indicates where MCB effects are not statistically significant at the $p < 0.05$ level. Red text labels indicate where MCB overcorrects the SSP2-4.5 effect (effect greater than and opposite to SSP2-4.5).

220 Fig. 3 shows the impact of SSP2-4.5 and MCB forcing on selected climate TPMs
221 for the 2034-2044 period relative to 1995-2014. SSP2-4.5 experiments show significant
222 changes to the selected TPMs that indicate increased tipping risk in all cases except for
223 Sahel precipitation (Fig. 3i). The weak Sahel precipitation effect is likely a model de-
224 pendent signal, as there is model uncertainty regarding the sign of the GHG precipita-
225 tion impact in the region (Gaetani et al., 2017; Monerie et al., 2020).

226 The ALL MCB cooling results in statistically significant TPM changes that indi-
227 cate reduced risk for most temperature related tipping points. Our experiments show
228 reduced Arctic winter sea ice loss (Fig. 3a), Greenland warming (Fig. 3c), Eurasian/North
229 American permafrost loss (Fig. 3g, f), and coral heat stress in the Caribbean sea (Fig.
230 3k), West Indian ocean (Fig. 3l), West Tropical Pacific (Fig. 3m) and Coral sea (Fig.
231 3o). We also find significant circulation responses with reduced Amundsen sea zonal wind
232 speed (Fig. 3j), indicating reduced West Antarctic ice sheet melt, and increased AMOC
233 index (Fig. 3d), indicating reduced AMOC collapse risk. Furthermore, contrasting the
234 GMPR decrease, we see reductions in Amazon water deficit (Fig. 3h), indicating reduced
235 Amazon rainforest drought risk. However, the ALL MCB experiment shows negligible
236 effects on Barents Sea winter sea ice area (Fig. 3b) and an increase in Sahel rainfall (Fig.
237 3i), indicating an increased Sahel greening risk. Due to the differing climate response pat-
238 terns to MCB versus GHG in our experiments, the ALL MCB does not mask the entire
239 SSP2-4.5 signal in many regions (Fig. 3a, d, g, k, n). In others, the MCB response ex-
240 ceeds the GHG response (Fig. 3c, f, h, j, l, m), sometimes quite substantially, such as
241 for Amundsen sea zonal wind speed where ALL/SEP MCB shows a strong decrease.

242 We find the ALL MCB changes are largely related to SEP forcing for all TPMs ex-
243 cept Coral sea heat stress (where we see local warming; Fig. 3o). NEP forcing causes
244 NH cooling, thus NH TPMs generally shift to indicate reduced risk, and NEP has neg-
245 ligible effects on TPMs in all other cases. However, the NH warming in the SEA forc-
246 ing experiment drives changes that indicate increased tipping point risk many cases, as
247 it adds to SSP2-4.5 changes for Arctic-wide and Barents winter sea ice area (Fig. 3a,b),
248 North American permafrost (Fig. 3f), and Caribbean sea coral heat stress (Fig. 3k). Fur-
249 thermore, Amazon rainfall reductions in the SEA experiment substantially increase the
250 Amazon moisture deficit, increasing forest dieback risk (Fig. 3h), which is offset by mois-
251 ture deficit decreases in the SEP and NEP experiments. On the other hand, The SEA
252 experiment shows AMOC strengthening and reduced Coral sea heat stress, the latter of

253 which counteracts the warming effect of SEP forcing. Thus, SEA MCB forcing could merit
254 further study in combination with MCB in other regions.

255 4 Discussion

256 In this study, we have conducted Community Earth System Model 2 (CESM2) ex-
257 periments to explore the climate responses to Marine Cloud Brightening in three regions
258 known for their extensive decks of marine stratus and stratocumulus clouds, with the
259 aim of reducing the response to greenhouse gas-driven climate change. Our experiments
260 provide a novel assessment of a key set of MCB intervention scenarios that have not been
261 studied since CMIP3-generation models (Jones et al., 2009; Rasch et al., 2009; Hill &
262 Ming, 2012). These scenarios are distinct from the idealized global more uniform inter-
263 ventions used in GeoMIP (Kravitz et al., 2013; Stjern et al., 2018), as they target regions
264 with enhanced sensitivity to aerosol perturbations and would therefore be more efficient
265 to brighten (Rasch et al., 2009; Latham et al., 2012). Our study reaffirms that MCB has
266 the potential to reduce many of the climate effects of rising anthropogenic greenhouse
267 gas concentrations. We further find that this effect extends to a range of climate indices
268 which suggest a reduction in the risk of crossing tipping point thresholds under MCB
269 intervention.

270 As noted in previous studies, the pattern and magnitude of the climate response
271 to MCB forcing strongly depends on the location and amplitude of the intervention (Jones
272 et al., 2009; Hill & Ming, 2012). We find qualitative agreement for many aspects of the
273 response, although CESM2 appears more sensitive to SEP forcing and less sensitive to
274 SEA forcing compared to models used in prior studies. Because the SEP forcing produces
275 a response with strong similarities to La Niña anomalies, the strong SEP response may
276 be a result of the too-strong ENSO amplitudes in CESM2 (Planton et al., 2021). The
277 MCB pattern effect results in substantial residual regional temperature and precipita-
278 tion anomalies even when the global temperature effects of SSP2-4.5 forcing and MCB
279 are equal and opposite. Indeed, CESM2 suggests that MCB in some regions could in-
280 duce (likely circulation-driven) patches of warming away from the intervention region,
281 though this effect is less pronounced in other models (Jones et al., 2009; Hill & Ming,
282 2012). Thus, model representations of climate feedbacks and circulation changes play
283 a key role in estimating the effect of MCB intervention.

284 It has been argued that a potential use case for SRM interventions is for rapid re-
285 sponses to prevent imminent climate tipping points (The Royal Society, 2009; United Na-
286 tions Environment Programme, 2023). We find that MCB shows some promise in this
287 application, as the ALL MCB intervention (forcing in all three regions considered here)
288 causes a general shift across almost all of the TPMs we considered that indicates a re-
289 duced risk of crossing tipping point thresholds (McKay et al., 2022). However, the in-
290 tervention is imperfect as the MCB pattern effect results in TPM changes that are sig-
291 nificantly greater or less than the SSP2-4.5 effect depending on the region. Furthermore,
292 in the case of Sahel greening, the ALL MCB intervention significantly increases rainfall
293 in the region, increasing tipping point risk. On the other hand, over-cooling may also
294 have negative consequences, such for coral reefs, where anomalously cold conditions can
295 increase coral mortality (Kemp et al., 2011).

296 The MCB effect on TPMs is sensitive to pattern of the forcing such that some cases
297 may exacerbate the SSP2-4.5 effect. For example, our SEA experiment shows substan-
298 tially reduce rainfall in eastern Brazil, increasing the risk of drought and rainforest dieback
299 in the region (as also noted by Jones et al. (2009)). However, we note that many of these
300 regional effects are non-additive, such that MCB in SEA could be considered in combi-
301 nation with MCB in other regions. In addition, many tipping points occur in regions where
302 ESMs have substantial biases and are subject to uncertainties in process representation
303 (see section S1). Thus, tipping point representation presents an important uncertainty
304 in the evaluation of SRM interventions. The prominent role of the pattern effect neces-
305 sitates comprehensive assessment across different tipping elements and scenarios to eval-
306 uate MCB as an intervention option.

307 The MCB “pattern response” poses a significant challenge to exploring and assess-
308 ing MCB as an option for climate intervention. Combined with the fact that MCB in-
309 tervention could be applied over relatively small temporal and spatial scales, this sig-
310 nificantly expands MCB scenario uncertainty and introduces additional degrees of free-
311 dom to consider when performing MCB “controller” simulations (of the kind used in SAI
312 simulations; see Tilmes et al., 2018; Richter et al., 2022). On the other hand, the large
313 possibility space of MCB intervention patterns leaves open the potential to identify spe-
314 cific MCB intervention patterns that reduce tipping point risks while minimizing unin-
315 tended negative remote consequences.

316 Though we only assess one model here, the differences in the global mean and pat-
317 tern of climate response to MCB between this and past studies suggest substantial inter-
318 model uncertainties stemming from uncertainty in the representation of climate feedbacks
319 and atmosphere-ocean circulation. Such uncertainties are distinct from uncertainties aris-
320 ing from differences in aerosol injection methods or aerosol microphysics representation.
321 Because many of the desired responses to MCB would occur away from the forcing re-
322 gions themselves, it is crucial that such circulation uncertainties are understood and re-
323 duced in order to evaluate the feasibility of MCB interventions (Diamond et al., 2022).

324 Our experiments model MCB perturbations by directly perturbing CDNC, which
325 neglects the sea salt direct aerosol forcing and the effect of aerosol transport on the forc-
326 ing patterns (Partanen et al., 2012; Ahlm et al., 2017). We also do not model the effect
327 of sea salt on atmospheric chemistry (Horowitz et al., 2020). While we anticipate that
328 the remote response to MCB interventions will be mostly insensitive to the specifics the
329 MCB shortwave forcing in a given region, this may not necessarily be the case. Further-
330 more, CESM2 has among the highest aerosol-cloud interaction effects in the CMIP6 en-
331 semble (Smith et al., 2020), meaning weaker CDNC perturbations are required to achieve
332 a given forcing compared to other models. These issues highlight a need for systematic
333 assessment of MCB intervention in key high susceptibility regions and their consequent
334 climate responses. Evaluating such uncertainties will be a key aim of a forthcoming multi-
335 model intercomparison of regional MCB applications.

336 **Open Research Section**

337 CESM2 code modifications and model output and analysis scripts available at Haruki
338 Hirasawa, Dipti Swapnil Hingmire, Hansi Alice Singh, Philip J. Rasch, and Peetak Mi-
339 tra. (2023). Replication data for: Effect of Regional Marine Cloud Brightening Inter-
340 ventions on Climate Tipping Points [Data set]. Zenodo. <https://doi.org/10.5281/zenodo.7884575>,
341 CC BY-NC-SA 4.0. CESM2 LE historical and SSP2-4.5 data available from the National
342 Center for Atmospheric Research [https://www.cesm.ucar.edu/community-projects/lens2/data-](https://www.cesm.ucar.edu/community-projects/lens2/datasets)
343 [sets](https://www.cesm.ucar.edu/community-projects/lens2/datasets).

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Supplementary Material for Impact of Regional Marine Cloud Brightening Interventions on Climate Tipping Points

Section S1. Climate tipping point calculation

We assess the MCB impact on tipping points by computing the change in selected tipping point metrics (TPMs) in our CESM2 simulations (Table S1), based on supplementary discussion from a recent synthesis paper (McKay et al., 2022). These TPMs are not direct measures of tipping point risk. However, they are proximal indicators of the tendency of climate change impacts on each tipping point. We note that some of the tipping points considered herein are not possible in CESM2 due to missing process representation (such as icesheet height changes). Furthermore, CESM2 has substantial biases in key fields related to each tipping point, which likely introduces errors in each, compounding with uncertainties in the large scale climate response.

Arctic (a) and Barents (b) winter sea ice

We compute the Arctic (60N to 90N) and Barents (70N to 80N; 10E to 60E) Sea March April sea ice area (the winter sea ice maximum), which may rapidly transition into a year-round ice free state under sufficient warming (Drijfhout et al., 2015; Eisenman & Wettlaufer, 2009). Though Arctic winter sea ice collapse is very unlikely under SSP2-4.5 warming, regional winter sea ice collapse may occur in regions like the Barents Sea (McKay et al., 2022). However, we do not see winter sea ice collapse either region in CESM2 (Fig. S2). Furthermore, CESM2 generally underestimates present day Arctic sea ice extent (Danabasoglu et al., 2020), which may indicate sea ice may be too sensitive to warming in the model (Kay et al., 2021; Massonnet et al., 2018).

Greenland warming (c)

We compute annual mean 2-metre temperature over Greenland (60N to 80N; 60W to 20W) to assess the possible MCB impact on the elevation feedback, wherein icesheet thinning due to melt causes additional warming and further melt (Crowley & Baum, 1995; Robinson et al., 2012). However, we do not use a CESM2 configuration with two-way coupling between the Greenland ice sheet and atmosphere. Thus, the elevation feedback does not operate in our simulations and the temperature changes in the model may be underestimated.

Atlantic Meridional Overturning (d) and North Atlantic Gyre (e)

We compute the Annual mean AMOC index (Cheng et al., 2013) as a measure of overturning strength and North Atlantic (45N to 60N; 50W to 20W) area-mean annual maximum mixed layer depth as a measure of ocean convection strength (Swingedouw et al., 2021). These are two related tipping points associated with Atlantic Ocean circulation. CESM2 overestimates

present day AMOC strength by 2-3 Sv (Danabasoglu et al., 2020) and experiences a rapid, but linear decline in AMOC index over the SSP2-4.5 simulation (Fig. S2). CESM2 has lower North Atlantic subpolar gyre stratification than observed (Swingedouw et al., 2021), and thus may have a too-sensitive convection response.

North American (f) and Eurasian (g) permafrost area

We compute the areal extent of North American (60N to 75N; 160W to 60W) and Eurasian (60N to 80N; 65E to 180E) boreal permafrost, defined as land model grid points where the annual minimum soil ice concentration > 0 at 3.5m for the present and prior year. This is the definition of (Slater & Lawrence, 2013), except we use the land model's soil ice concentration rather than soil temperature $< 0\text{C}$, though this has little effect in the resulting permafrost area. Abrupt regional permafrost thaw is hypothesized to be a result of localized feedback processes (Schuur et al., 2015), which may occur across a region in a short period of time. However, such processes are difficult to represent on ESM spatial scales (Lawrence et al., 2019) and CESM2 projects substantial but linear losses in permafrost area under SSP2-4.5.

Amazon water deficit (h) and Sahel rainfall (i)

CESM2 does not include dynamic vegetation biogeography (Lawrence et al., 2019). Thus, we cannot directly assess vegetation change in the model. In the case of the Amazon, we therefore estimate MCB effect of possible Amazon rainforest dieback using the area-mean (7S to 7S; 70W to 45W) maximum climatological water deficit (MCWD) defined as the most negative value of the cumulative precipitation minus evaporation over a year (Malhi et al., 2009). MCWD and annual precipitation together can be used to classify vegetation type in the Amazon (Malhi et al., 2009), and changes in the hydroclimate could trigger dieback of the rainforest. Additionally, CESM2 has a substantial dry bias in the Amazon (Danabasoglu et al., 2020), which introduces uncertainty in the precipitation response to forcing in the region.

In the case of the Sahel (10N to 20N; 15W to 35E), we simply assess the regional mean, annual mean precipitation, which is an indicator of West African monsoon strength. It is thought that vegetation-albedo feedback could rapidly increase monsoon strength and vegetation cover in the region, as occurred in the Green Sahara period (Hopcroft & Valdes, 2021; Pausata et al., 2020). There is substantial inter-model uncertainty regarding the greenhouse gas impact on the Sahel (Monerie et al., 2020). Though we consider Sahel greening a risk of GHG/MCB forcing here, some have argued for geoengineering via large-scale afforestation wherein greening is considered desirable (Pausata et al., 2020).

Amundsen sea zonal wind speed (j)

For West Antarctic icesheet collapse, we assume marine ice sheet instability due to grounding lines reaching retrograde slopes is the principle tipping point (e.g., Feldmann & Levermann, 2015). Marine ice sheet melt is principally driven by circumpolar deep water flow into the vicinity of the ice sheets (Jenkins et al., 2018), which is correlated with wind stress and zonal wind speed in the Amundsen sea off the coast of West Antarctica (Holland et al., 2019). Thus, we use Pine Island/Thwaites Troughs (71.8S to 70.2S; 115W to 102W) area-mean annual mean zonal wind speed to estimate the GHG/MCB effect on West Antarctic ice sheet melt (Holland et

al., 2019). Our CESM2 experiments do not include two-way coupling to ice sheet dynamics; thus, we cannot directly assess ice sheet changes. Furthermore, the averaging box is derived from observational conditions, and thus may not be suitable for CESM2, which is coarser resolution and has different sea ice distribution in the region compared to observed.

Coral heat stress (k, l, m, n)

We consider the impact of GHG/MCB forcing on coral reefs in four regions (Caribbean Sea - 12N to 25N; 85W to 65W, West Indian Ocean - 25S to 0; 35E to 60E, West Tropical Pacific Ocean - 10S to 10N; 100E to 150E, Coral Sea - 25S to 10S; 145E to 165E) by computing changes in the area-mean annual maximum degree heating weeks (DHW) (Liu et al., 2003). DHW is the cumulative weekly anomaly above a threshold equal to maximum monthly mean temperature over a reference period (1990-1999) of historical CESM2 plus 1C in a twelve-week window. Severe heat stress is considered to occur if $DHW > 8$ C-weeks (Latham et al., 2013; Liu et al., 2003). Here we simply assess the change in annual maximum DHW as a measure of the mean intensity of summertime hot conditions in a region.

Table S1. Summary of climate tipping point metrics assessed in Fig. 3

Fig. 3 Label	Tipping Point	Metric	Citation
a	Arctic winter sea ice	March-April sea ice area (60N to 90N)	(Drijfhout et al., 2015)
b	Barents Sea winter sea ice	March-April sea ice area (70N to 80N; 10E to 60E)	(Drijfhout et al., 2015)
c	Greenland icesheet	Annual mean 2m temperature (land; 60N to 80N; 60W to 20W)	(Crowley & Baum, 1995; Robinson et al., 2012)
d	Atlantic Meridional Overturning	Annual mean Atlantic meridional streamfunction maximum at 30N	(Cheng et al., 2013; Swingedouw et al., 2021)
e	North Atlantic Gyre	Annual maximum mixed layer depth (ocean; 45N to 60N; 50W to 20W)	(Sgubin et al., 2017; Swingedouw et al., 2021)
f	North American Permafrost	Land area where annual minimum soil ice concentration > 0 at 3.5m for two consecutive years (land; 60N to 75N; 160W to 60W)	(Lawrence et al., 2012; Slater & Lawrence, 2013)
g	Eurasian Permafrost	Land area where annual minimum soil ice concentration > 0 at 3.5m for two consecutive years (land; 60N to 80N; 65E to 180E)	(Lawrence et al., 2012; Slater & Lawrence, 2013)
h	Amazon water deficit	Annual maximum water deficit (land; 7S to 7S; 70W to 45W)	(Malhi et al., 2009)

i	Sahel rainfall	Annual mean precipitation (land; 10N to 20N; 15W to 35E)	(Hopcroft & Valdes, 2021; Pausata et al., 2020)
j	Amundsen sea windspeed	Annual mean Amundsen sea surface zonal wind speed (ocean; 71.8S to 70.2S; 115W to 102W)	(Holland et al., 2019)
k	Caribbean Sea coral heat stress	Annual maximum degree heating weeks (ocean; 12N to 25N; 85W to 65W)	(Liu et al., 2003)
l	West Indian Ocean coral heat stress	Annual maximum degree heating weeks (ocean; 25S to 0; 35E to 60E)	(Liu et al., 2003)
m	West Tropical Pacific coral heat stress	Annual maximum degree heating weeks (ocean; 10S to 10N; 100E to 150E)	(Liu et al., 2003)
n	Coral Sea coral heat stress	Annual maximum degree heating weeks (ocean; 25S to 10S; 145E to 165E)	(Liu et al., 2003)

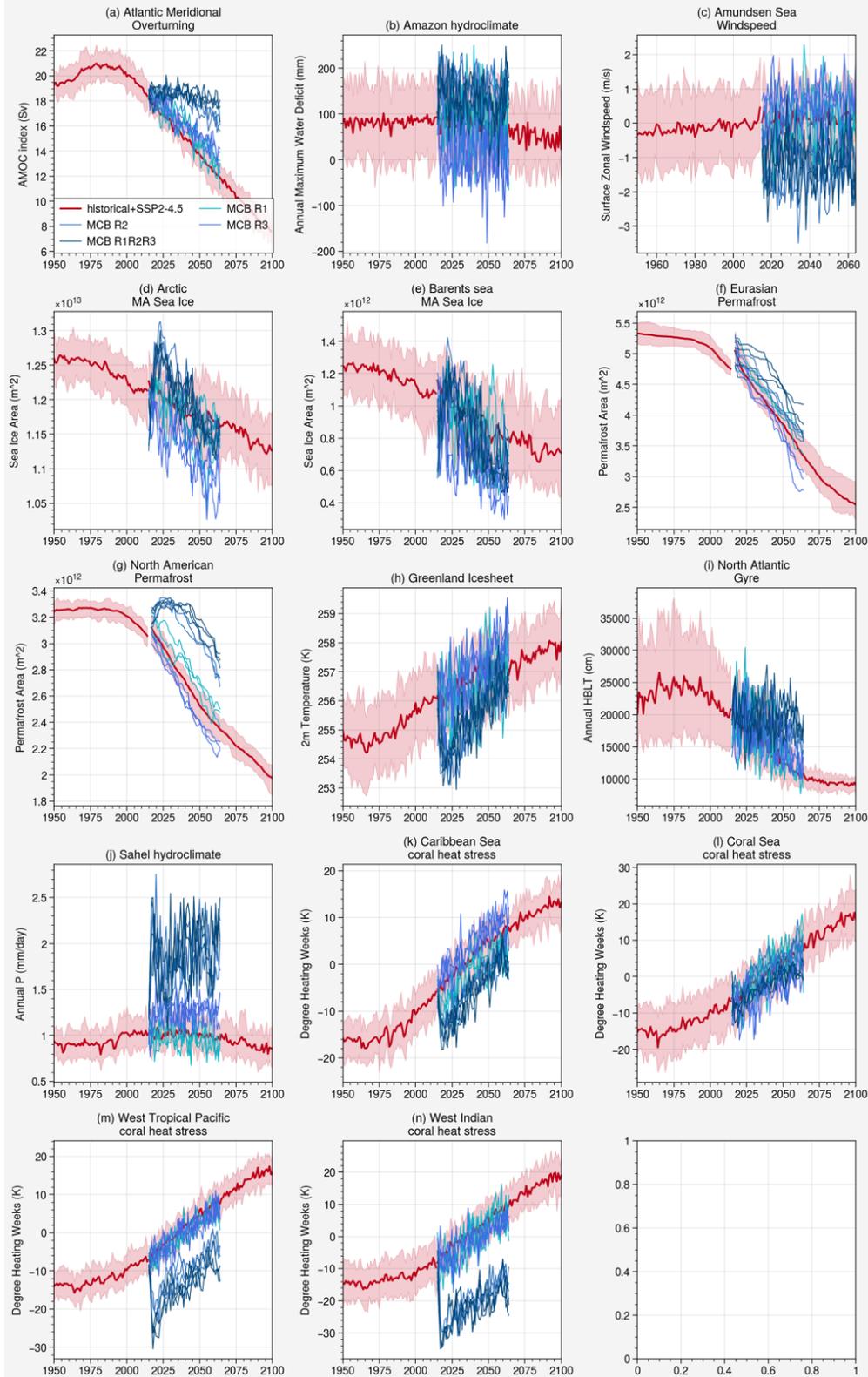


Fig. S1. Time series of Tipping point metric changes for historical and SSP2-4.5 (red) and the SSP2-4.5 + MCB simulations (blue shades). Solid red line indicates ensemble average and red shading indicates 5 to 95 percentile range.

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