

1 The vertical heterogeneity of soil detachment by overland flow  
2 on the water-level fluctuation zone slope in the Three Gorges  
3 Reservoir, China

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18 **Abstract**

19 Submersion and exposure from the operation of the Three Gorges Reservoir (TGR)  
20 can alter soil properties and plant characteristics at different elevations of the water  
21 level fluctuation zone (WLFZ), possibly influencing soil detachment capacity ( $D_c$ ),  
22 but the vertical heterogeneity of this effect is uncertain. Soil samples were taken from  
23 6 segments (5 m elevation per segment) along a slope profile in the WLFZ of the  
24 TGR to clarify the vertical heterogeneity of  $D_c$ . Scouring experiments were conducted  
25 at 5 slope gradients (17.63%, 26.79%, 36.40%, 46.63%, and 57.74%) and 5 flow rates  
26 (10, 15, 20, 25, and 30 L min<sup>-1</sup>) to determine  $D_c$ . The results indicate that the soil  
27 properties and biomass parameters of the WLFZ are strongly affected by elevation.  $D_c$   
28 fluctuates with increasing elevation, with maximum and minimum average values at  
29 elevations of 145-150 m and 165-170 m, respectively. Linear equations accurately  
30 describe the relationships between  $D_c$  and hydrodynamic parameters.  $\tau$ ,  $\omega$ , and  $E$   
31 perform much better than  $U$ . Furthermore, a clear improvement is seen when using  
32 the general index of flow intensity to estimate  $D_c$ .  $D_c$  is significantly negatively  
33 correlated with  $MWD$  ( $p < 0.05$ ) and organic matter ( $p < 0.01$ ) but not significantly  
34 correlated with other soil properties ( $p > 0.05$ ). At elevations of 145-150 m and 170-  
35 175 m, rill erodibility was greater than at other elevations. The critical hydraulic  
36 parameters were highest in the 165-170 m segments, both showing obviously  
37 fluctuation in the vertical direction of slope surface. This research highlighted the  
38 vertical heterogeneity of the soil detachment and was helpful to understand the  
39 mechanisms of soil detachment processes in the WLFZ of the TGR.

40 **Keywords:**

41 Soil detachment capacity, Vertical variation, Rill erodibility, The water level  
42 fluctuation zone, The Three Gorges Reservoir

## 43 1. INTRODUCTION

44 Soil erosion is the main cause of land degradation, continues to be the greatest threat  
45 to global soil health and its ecosystem services, and is regarded as the greatest  
46 challenge to sustainable soil management (FAO, 2019; Li, Zhang, Wang, & Meng,  
47 2020). The soil erosion process includes three subprocesses: soil detachment,  
48 sediment transport and deposition (Zhu et al., Zhu, Fu, Wu, & Wang, 2020). As the  
49 initial process of soil erosion, soil detachment creates loose, non-cohesive soil  
50 particles and aggregates for sediment transport (Xiao et al., 2017; Shen, Wang, Zhang,  
51 Chen, & Wu, 2019). Clear water can produce maximum rates of soil detachment and  
52 defines the soil detachment capacity ( $D_c$ ) (Nearing, Bradford, & Parker, 1991; Shen et  
53 al., 2019), the key parameter of process-based erosion models (Nearing, Foster, &  
54 Lane, 1989). Accurate estimation of soil detachment is important for soil erosion  
55 simulations and is important in establishing process-based erosion models.

56  $D_c$  is strongly influenced by soil properties, such as particle composition, the  
57 mean weight diameter of aggregates, bulk density, and organic matter, and by plant  
58 factors, such as root density (Zhang, Tang, Sun, & Zhang, 2014; Vannoppen,  
59 Vanmaercke, De Baets, & Poesen, 2015). In general,  $D_c$  decreases with bulk density,  
60 the mean weight diameter of aggregates, sand content, organic matter, root density,  
61 and vegetation cover but increases with clay and silt contents (Zhang, Liu, Tang, &  
62 Zhang, 2008; Zhang, Tang, & Zhang, 2009; Knapen, Smets, & Poesen, 2009; Wang et  
63 al., 2013; Wang, Zhang, Yang, Li, & Liu, 2018a; Wang, Zhang, Li, Zhang, & Yang,  
64 2018b; Yu, Zhang, Geng, & Sun, 2014; Parhizkar et al., 2020). Clay content, the mean

weight diameter of aggregates, organic matter, bulk density, and root density are consistently the most effective characteristics for predicting  $D_c$  (Morgan et al., 1998; Zhang et al., 2008; Scherer, Zehe, Träbing, & Gerlinger, 2012; Wang et al., 2013). Tillage operations, vegetation restoration models, land use, and restoration age affect soil detachment by altering soil properties and plant characteristics (Scherer et al., 2012; Li, Zhang, Geng Wang, H., & Zhang, 2015; Dou, Yang, An, & Zhu, 2020; Liu, Zhang, Sun, & Li, 2020a). In the course of the operation of the Three Gorges Reservoir (TGR), soil properties and plant characteristics are altered by the submersion and exposure of plants in the water level fluctuation zone (WLFZ). However, soil detachment under these conditions has been rarely reported.

$D_c$  is sensitive to slope gradient and flow rate and always increases with slope gradient and flow rate (Xiao et al., 2017; Li et al., 2019b; Ma, Zhang, Cao, Wei, & Yang, 2020). The power function of either slope gradient or flow rate has been shown to be useful for forecasting  $D_c$  (Nearing et al., 1991; Zhang, Liu, Nearing, & Huang, 2002; Zhu et al., 2020). In many cases, overland flow hydraulic parameters are also used to estimate  $D_c$  (Nearing et al., 1991). The shear stress (Nearing et al., 1991), stream power (Hairsine & Rose, 1992a; Hairsine & Rose, 1992b), unit stream power (Morgan et al., 1998; Govers, Rafael, & Oost, 2007), and unit energy of water-carrying sections (Xiao et al., 2017; Li et al., 2019b) are commonly employed to estimate  $D_c$ . Shear stress is the most common hydraulic parameter for estimating  $D_c$  and has been adopted in the Water Erosion Prediction Project (WEPP) (Nearing, et al., 1989). Stream power performs better than other parameters in many simulation

87 studies of  $D_c$  (Zhang et al., 2002; Ma et al., 2020; Zhu et al., 2020) and is used as the  
88 hydraulic parameter for estimating  $D_c$  in the Griffith University Erosion System  
89 Template (GUST) (Misra & Rose, 1996). Unit stream power is regarded as the most  
90 appropriate parameter to describe the  $D_c$  in some studies (Nazari, Qiuwen, Shahram,  
91 James, & Reza, 2016; Yang et al., 2018) and is used to calculate  $D_c$  in the European  
92 Soil Erosion Model (EUROSEM). In addition, the unit energy of water-carrying  
93 sections has been applied to estimate  $D_c$  in recent decades (Wang, Wang, Shen, &  
94 Chen, 2016; Xiao et al., 2017; Li et al., 2019b). Thus, the choice of the most suitable  
95 overland flow hydraulic parameter for describing  $D_c$ , especially in special areas such  
96 as the WLFZ, is still inconsistent.

97 The operation of the TGR is characterized by anti-seasonal water level  
98 regulation, resulting in the maximum water level (175 m) from October to April and  
99 the minimum water level (145 m) from May to September (Bao, Gao, & He, 2015a).  
100 These unnatural fluctuations result in a zone with a length of 5578 km and an area of  
101 348.93 km<sup>2</sup> (Su et al., 2017). The WLFZ has suffered severe soil erosion due to wave  
102 erosion and surface runoff erosion (Bao, Tang, He, Hu, & Zhang, 2015b). The mean  
103 annual soil erosion rates in the mainstream WLFZ range from 32,383 to 69,593 t km<sup>-2</sup>  
104 yr<sup>-1</sup>, with an average of 54,050 t km<sup>-2</sup> yr<sup>-1</sup>, and those in the tributary WLFZ range  
105 from 8107 to 10,360 t km<sup>-2</sup> yr<sup>-1</sup>, with an average of 9191 t km<sup>-2</sup> yr<sup>-1</sup> (Bao et al., 2018).  
106 In the WLFZ, the soil properties and plant characteristics of plants at different  
107 elevations are altered by submersion and exposure because of the operation of the  
108 TGR (Baldwin & Mitchell, 2000; Tang et al., 2014; Tang et al., 2018), possibly

influencing  $D_c$  at different elevations in the WLFZ.

This study was conducted to determine the vertical heterogeneity of  $D_c$  in the WLFZ of the TGR. Soil samples were taken in the same time period from 6 segments at elevations of 145-150 m, 150-155 m, 155-160 m, 160-165 m, 165-170 m, and 170-175 m along a slope profile across the WLFZ of the TGR area and were subjected to a scouring experiment. The purposes of this study were (i) to quantify the effects of the vertical position on the spatial variation of  $D_c$  and (ii) to identify the factors influencing these variations in the WLFZ of the TGR. This study will improve the understanding of the soil erosion process and provide a scientific basis for controlling soil erosion in the WLFZ of the TGR .

## 2. DATA AND METHODS

### 2.1 Soil and experimental design

Soil samples were collected on August 22, 2019, when the entire WLFZ was exposed (Fig. 1). A typical WLFZ in Zigui County (30°38'-31°11' N and 110°18'-111°00' E), Yichang City, Hubei Province, China, was selected as the sampling site. The area is characterized as having a subtropical continental monsoon climate with an average annual temperature, average annual rainfall, and average annual sunshine time of approximately 18.9 °C, 1100 mm and 1631.5 h, respectively.

Six segments with elevations of 145-150 m, 150-155 m, 155-160 m, 160-165 m, 165-170 m, and 170-175 m were defined along the elevation gradient of the WLFZ.

Three  $0.5 \times 0.5 \text{ m}^2$  quadrats for each segment were placed at random, and samples of the uppermost 5 cm of soil were collected after removing aboveground plant parts and litter. Soil samples from different quadrats in the same segment were mixed and brought back to the laboratory. Impurities (roots and gravel) in soil were removed by gently passing it through a 5 mm sieve after air drying. Soil was then packed into a 10.05 cm diameter and 5 cm deep steel cylinder. The mass of each sample were determined using the target bulk density and moisture content of the air-dried soil. The target bulk density at each elevation was determined according to the field values at each elevation. The steel cylinders with air-dried soil were saturated with water before being subjected to a scouring experiment.

A slope-adjustable (ranged from 0 to 60.00%) steel hydraulic flume 4 m in length, 0.4 m wide and 0.2 m deep was used to conduct the scouring experiment to determine  $D_c$  (Fig. 2). Based on our field investigation, 5 slope gradients of 17.63%, 26.79%, 36.40%, 46.63%, and 57.74% were chosen. Five flow rates of 10, 15, 20, 25, and  $30 \text{ L min}^{-1}$  were used to calculate different flow conditions. In all, twenty-five combinations were performed to determine  $D_c$  according to the overland flow for each elevation, and each combination was repeated three times. Thus, in this study, 450 samples with  $6 \text{ segments} \times 5 \text{ slope gradients} \times 5 \text{ flow rates} \times 3 \text{ replicates}$  were tested.

The designed slope gradient was set by adjusting the steel hydraulic flume before each test. The overland flow was supplied to the upstream end of the flume using a pump, and the discharge of the water flow was controlled by a flowmeter (error < 5%). The dye tracing method (potassium permanganate as tracer) was used to



measure the velocity of each flow (Zhang, Luo, Cao, Shen, & Zhang, 2010). The velocity between cross sections at transects 0 to 1 m from the soil chamber was measured in five replicates. The mean travel time of the dye tracer was determined to estimate the surface flow velocity for each flow. The mean flow velocity was obtained by multiplying the surface flow velocity by a correction factor of 0.67 (Li, Abrahams, & Atkinson, 1996). The steel cylinders containing soil samples were inserted into the chamber of the flume to ensure that soil sample surfaces were at the same level relative to the flume bed and were covered by a sliding plate to prevent detaching when removing the pipe from the flume. Overland flow was introduced into the flume and the sliding plate was removed; then, after the overland flow reached a steady state, the test was initiated. The test was stopped when the scouring depth reached approximately 2 cm to prevent boundary effects from the steel cylinder (Wang et al., 2017), and the scouring duration was measured using a stopwatch. All the sediment was collected and weighed after complete oven drying.

The aboveground biomass in each quadrat was collected after cutting using scissors, and the underground biomass in the uppermost 5 cm was measured by means of three self-made annulus samples (10.05 cm diameter and 5 cm deep). The aboveground and underground biomasses were oven-dried at 65 °C and weighed. Soil bulk density was measured using a steel ring with a volume of 100 cm<sup>3</sup> in three replicates. A precision acidity meter (Rex Electric Chemical PHS-3E, Shanghai Precision Scientific Instrument Co., Ltd, China) was used to determine the soil pH. The potassium dichromate oxidation-external heating method was used to determine

soil organic matter. The wet-sieving method was used to measure the water stable aggregate in triplicate. Approximately 100 g of soil was placed on filter paper and capillary-wetted for 30 min. A series of sieves with grid sizes of 5, 2, 1, 0.5, and 0.25 mm, stacked from top to bottom, were immersed in distilled water, and each soil sample was introduced into the top sieve (5 mm). The soil particles captured on each sieve were collected after shaking up and down 30 times over 1 min. The collected soil in each sieve was dried and weighed for analysis.

## 2.2 Data analysis

The stability of soil aggregates is expressed as the mean weight diameter (*MWD*), which is estimated using Equation (1):

$$MWD = \sum_{i=1}^n \bar{x}_i w_i \quad (1)$$

where  $w_i$  is the weight fraction of aggregates in size class  $i$  with an average diameter  $\bar{x}_i$ . A larger *MWD* value indicates greater stability of the soil aggregate (Dou et al., 2020).

$D_c$  (kg m<sup>-2</sup> s<sup>-1</sup>) is the mass of detachment per area per time under clear water, which is estimated using Equation (2):

$$D_c = \frac{m}{At} \quad (2)$$

where  $m$  is the mass of sediment for each test (kg),  $A$  is the test area of the soil sample (7.93×10<sup>-3</sup> m<sup>2</sup>) and  $t$  is the duration of the test (s).

Commonly used hydrodynamic parameters, shear stress ( $\tau$ , Pa or N m<sup>-2</sup>) (Nearing et al., 1991), stream power ( $\omega$ , N m<sup>-1</sup> s<sup>-1</sup>) (Hairsine & Rose, 1992a; Hairsine & Rose,

194 1992b), unit stream power ( $U$ ,  $\text{m s}^{-1}$ ) (Morgan et al., 1998; Govers et al., 2007), and  
 195 unit energy of water-carrying section ( $E$ ,  $\text{m}$ ) (Xiao et al., 2017; Li et al., 2019b), were  
 196 employed in this research. These parameters can be calculated using the following  
 197 equations:

$$198 \quad \tau = \rho g h J \quad (3)$$

$$199 \quad \omega = \tau V = \rho g q J \quad (4)$$

$$200 \quad U = VJ \quad (5)$$

$$201 \quad E = \alpha \frac{V^2}{2g} + h \quad (6)$$

202 where  $\rho$  is the water density ( $\text{kg m}^{-3}$ ),  $g$  is gravitational acceleration ( $\text{m s}^{-2}$ ),  $h$  is the  
 203 flow depth for each test ( $\text{m}$ ),  $J$  is the slope gradient for each test ( $\text{m m}^{-1}$ ),  $q$  is the unit  
 204 discharge per unit width for each test ( $\text{m}^2 \text{s}^{-1}$ ),  $V$  is the mean flow velocity for each test  
 205 ( $\text{m s}^{-1}$ ), and  $\alpha$  is the correction factor, usually equal to 1, for kinetic energy (Xiao et  
 206 al., 2017).

207 Flow depth ( $h$ ,  $\text{m}$ ) is very difficult to accurately monitor due to shallow depths  
 208 (usually only a few millimetres) and its dynamic condition (Guo, Wang, Li, & Wu,  
 209 2010). Hence, flow depth is calculated based on the assumption of a uniform  
 210 condition across the flow width, which is calculated using Equation (7):

$$211 \quad h = \frac{Q}{Vb} \quad (7)$$

212 where  $Q$  is the flow rate for each test ( $\text{m}^3 \text{s}^{-1}$ ) and  $b$  is the flow width, which is equal to  
 213 the width of the hydraulic flume (0.4  $\text{m}$ ).

The slope of the regression line and the intercept on the x-axis were taken as soil erodibility and critical hydrodynamic parameters according to the linear function between  $D_c$  and the flow hydrodynamic parameters (Li et al., 2019b):

$$D_C = K_r (F - F_c) \quad (8)$$

where  $K_r$  is the soil erodibility,  $F$  is a hydrodynamic parameter, and  $F_c$  is a critical hydraulic parameter corresponding to a hydraulic parameter.

The experimental data presented in the tables and figures represent the mean values of each segment. Differences between segments were determined using one-way ANOVA with the least significant difference (LSD) test at a significance level of 0.05. The correlations of the soil properties, biomass properties and measured influencing factors were determined using Pearson correlation analysis. All statistical analyses were performed using SPSS version 21.0 and Excel version 2010.

### 3. RESULTS

#### 3.1 Soil and biomass properties at different elevations

All the soil and biomass properties measured showed significant spatial variation in the WLFZ (Table 1). The soil bulk density, pH, clay content, silt content, sand content, soil aggregate stability, organic matter, aboveground biomass, and underground biomass ranged from 1.08-1.29 g cm<sup>-3</sup>, 6.64-7.49, 14.02-19.91%, 39.77-54.25%, 25.84-46.15%, 0.91-1.17 mm, 16.31-30.31 g kg<sup>-1</sup>, 369.47-1750.97 g m<sup>-2</sup>, and 1.03-22.44 kg m<sup>-3</sup>, respectively. The soil bulk density at elevations of 145-150 m and 170-175 m was significantly higher than at other elevations. The soil pH values at low

elevations (145-160 m) were significantly higher than those at high elevations (160-175 m). With increasing elevation, the clay and silt contents showed decreasing trends, while the sand content exhibited the opposite trend, suggesting that soil particles coarsen with increasing elevation. A significantly greater *MWD* was observed at elevations of 160-165 m and 165-170 m than at the other elevations, and the organic matter contents at elevations of 145-150 m and 170-175 m were significantly less than those at the other elevations. The aboveground biomass and underground biomass at 160-165 m were significantly greater than those at the other elevations, suggesting that the greatest plant biomass was in the middle part of the WLFZ.

Pearson correlations (Table 2) indicated that clay content was significantly positively correlated with silt content ( $p < 0.05$ ) and significantly negatively correlated with sand content ( $p < 0.01$ ). A significant negative correlation was found between silt content and sand content ( $p < 0.01$ ). The *MWD* was found to be significantly positively correlated with organic matter and underground biomass ( $p < 0.05$ ) but not with aboveground biomass, although a significantly positive correlation existed between aboveground biomass and underground biomass ( $p < 0.01$ ).

### 3.2 Soil detachment capacity ( $D_c$ ) at different elevations

$D_c$  varied from 0.068 to 1.151, 0.040 to 0.983, 0.041 to 0.911, 0.058 to 0.804, 0.047 to 0.864, and 0.055 to 1.023  $\text{kg m}^{-2} \text{s}^{-1}$ , with averages of 0.443, 0.391, 0.385, 0.383, 0.325, and 0.415  $\text{kg m}^{-2} \text{s}^{-1}$  for elevation segments of 145-150 m, 150-155 m, 155-160 m, 160-165 m, 165-170 m, and 170-175 m, respectively. Generally speaking,  $D_c$

fluctuated with increasing elevation, reaching a maximum average value at the elevation segment of 145-150 m and a minimum average value at the elevation segment of 165-170 m. No significant differences in  $D_c$  were observed among all the elevation segments due to the amplitude of the variation and the large overlap in the  $D_c$  of different elevation segments. However, the average  $D_c$  at elevation segments of 145-150 m, 150-155 m, 155-160 m, 160-165 m and 170-175 m was 36.50%, 20.33%, 18.59%, 18.08% and 27.88% higher than that at the elevation segment of 165-170 m, respectively. The vertical heterogeneity of  $D_c$  was further assessed for different elevations at the same slope gradient and flow rate to account for the influence of their effects.  $D_c$  values at different elevations with a slope gradient of 36.40% and a flow rate of 30 L min<sup>-1</sup> were taken as examples due to the similar pattern of change of  $D_c$  in each treatment (Fig. 3). The differences in  $D_c$  among elevation segments of 150-155 m, 155-160 m and 160-165 m were insignificant but were significantly different than those of the other elevation segments. The  $D_c$  of the 145-150 m and 170-175 m elevation segments were significantly greater than that at the other elevation segments, while the  $D_c$  of the 165-170 m elevation segment was significantly less than that the other elevations segments, suggesting obvious vertical heterogeneity for  $D_c$  in the WLFZ of the TGR.

$D_c$  increased with  $\tau$  (Fig. 4a),  $\omega$  (Fig. 4b),  $U$  (Fig. 4c) and  $E$  (Fig. 4d) for the all elevation segments, as expected. The relationship between  $D_c$  and hydrodynamic parameters can be accurately described by linear equations, the determination coefficients ( $R^2$ ) of which ranged from 0.855 to 0.950, 0.842 to 0.950, 0.366 to 0.697,

and 0.877 to 0.935, with average values of 0.908, 0.901, 0.511 and 0.905 for  $\tau$ ,  $\omega$ ,  $U$  and  $E$ , respectively, indicating that  $\tau$ ,  $\omega$ , and  $E$  can be better employed to determine  $D_c$  than  $U$ .

### 3.3 Soil erosion resistance at different elevations

Rill erodibility and the critical hydrodynamic parameter can be used to describe soil resistance to rill erosion (Geng, Zhang, Ma, & Wang, 2017). The rill erodibility corresponding to  $U$  and the critical value of  $U$  were not analysed because of the relatively poor relationship between  $D_c$  and  $U$  (Table 3). The rill erodibility coefficients that corresponded to shear stress were 0.120, 0.112, 0.103, 0.103, 0.094 and 0.120  $\text{s m}^{-1}$ ; those corresponding to stream power were 0.173, 0.163, 0.149, 0.147, 0.137 and 0.173  $\text{kg m}^{-3}$ ; and those corresponding to the unit energy of a water-carrying section were 63.092, 58.234, 52.824, 52.836, 48.623 and 62.524  $\text{kg m}^{-3} \text{s}^{-1}$  for the elevation segments of 145-150 m, 150-155 m, 155-160 m, 160-165 m, 165-170 m, and 170-175 m, respectively (Table 3). The critical  $\tau$ , critical  $\omega$  and critical  $E$  were 1.950, 2.162, 1.883, 1.932, 2.191 and 2.167 Pa, 0.208, 0.368, 0.187, 0.170, 0.409 and 0.370  $\text{N m}^{-1} \text{s}^{-1}$ , and 0.006, 0.006, 0.006, 0.006, 0.007 and 0.007 m for the elevation segments of 145-150 m, 150-155 m, 155-160 m, 160-165 m, 165-170 m, and 170-175 m, respectively. With increasing elevation, rill erodibility showed a decreasing trend, followed by an increase, and the critical hydraulic parameters exhibited an increasing trend, followed by a reduction and a subsequent increase.

### 3.4 Relationship between soil erosion resistance and soil properties

Pearson correlations (Table 4) indicated that  $D_c$  was significantly negatively correlated with the  $MWD$  ( $p < 0.05$ ) and organic matter ( $p < 0.01$ ) but was not significantly correlated with the other soil properties ( $p > 0.05$ ). Moreover, correlation analysis suggested that the rill erodibility coefficients corresponding to the shear stress, stream power and unit energy of a water-carrying section were also negatively related to the  $MWD$  ( $p < 0.05$ ) and organic matter ( $p < 0.05$ ) but not to the other soil properties ( $p > 0.05$ ). Surprising, no significantly correlation was found between the critical hydrodynamic parameter and soil properties.

#### 4. DISCUSSION

In the WLFZ, soil properties were strongly heterogenous at different elevations (Table 1). Small particles fill the space between soil skeletons during compaction and consolidation of reservoir water during submersion, and human activity during exposure could explain the significant high soil bulk density at the 145-150 m and 170-175 m elevation segments, respectively (Lv, Tang, Zhang, He, & Bao, 2018; Ye et al., 2019b). In addition, the higher aboveground and underground biomasses at the other elevation segments may have reduced the soil bulk density because of the ameliorating effects of plants on the soil structure (Gu et al., 2019). Longer submersion in water results in more dilution (Guo et al., 2019) and is presumably related to the observation that soil pH at low elevations was higher than at high elevations. This study confirmed that soil particles coarsen with increasing elevation (Tang et al., 2018; Li et al., 2019a). The deposition of clay and silt particles from the upstream riverbank during submersion and washing by runoff from high elevations



during exposure may partly explain this phenomenon (Li et al., 2019a; Wang et al., 2018c). The significantly high organic matter at the 150-170 m elevation segment may also be explained by the high aboveground and underground biomasses possibly enhancing the accumulation of plant litter in soil, the deposition of which is conducive to the accumulation of soil organic matter (Liu, Li, Liu, & Flanagan, 2020b). The significantly high *MWD* at the 160-170 m elevation segment may be explained by the high amounts of organic matter and roots (Table 2; Kořenková & Matúš, 2015; Bachmann et al., 2020). Surprisingly, the *MWD* was negative, although not significantly correlated with the clay content (Table 2), which was also the cementing agent for the formation of the aggregate, and the *MWD* increased the stability of the aggregate (Xiao et al., 2018). The effects of increases of organic matter and roots may have overridden the effects of the decrease in clay content in terms of aggregate stability. The highest plant biomass was found in the middle part of the WLFZ, in agreement with Ye, Zhang, Deng, & Zhang, (2013), who found the highest plant biodiversity and biomass under intermediate stress levels in the WLFZ.

The results of this study suggest that  $\tau$ ,  $\omega$ , and  $E$  much better describe  $D_c$  than  $U$ , confirming previous findings (Xiao et al., 2017; Li et al., 2019b; Li et al., Li, Li, Liang, He, & Bush, 2019c). Hydrodynamic parameters have different physical meanings and expressions.  $\tau$  represents the drag force of water flow on the soil surface, while  $\omega$ ,  $U$  and  $E$  express the effects of the energy of the flow on soil erosion. Based on Equations 3 to 6,  $\tau$  is proportional to the flow depth and slope gradient;  $\omega$  is proportional to the flow depth, slope gradient and flow velocity;  $U$  is proportional to

the slope gradient and flow velocity; and  $E$  is proportional to the quadratic of the flow velocity and flow depth. The lack of flow depth in  $E$  could be the reason for its poor performance in describing  $D_c$ . Flow depth seems to have a strong influence on soil detachment (Nearing et al., 1991; Xiao et al., 2017). Furthermore, the calculations of these hydrodynamic parameters indicate the connections among them. Generally, a combination of flow depth, slope gradient and flow velocity is responsible for the values of these parameters. Therefore, a general index of flow intensity that was used to predict the sediment transport capacity by Li et al. (2020) was used to estimate  $D_c$  in this research. This general index of flow intensity can be calculated as:

$$\phi = gV^{a_1}h^{a_2}J^{a_3} \quad (9)$$

where  $\phi$  is the general index of flow intensity,  $g$  is the gravitational acceleration ( $\text{m s}^{-2}$ ),  $V$  is the flow velocity ( $\text{m s}^{-1}$ ),  $h$  is the flow depth (m),  $J$  is the slope gradient ( $\text{m m}^{-1}$ ), and  $a_1$ ,  $a_2$ , and  $a_3$  are the flow pattern exponents.

The results of the relationship between  $D_c$  and  $\phi$  for the different elevation segments are presented in Table 5. The high correlations between the measured and predicted  $D_c$  calculated using equations (Table 5) at the different elevation segments are shown in Fig. 5. All the data points are distributed near the 1:1 line. The values of  $R^2$  ranged between 0.937 and 0.970, with an average value of 0.957. The average value of  $R^2$  for equations related to  $\phi$  increased by 5.40%, 6.22%, 87.65%, and 5.75%, respectively, compared to the results of  $\tau$ ,  $\omega$ ,  $U$  and  $E$  in Table 3. Therefore, a clear improvement exists when using the general index of flow intensity in estimating  $D_c$ , suggesting that the general index of flow intensity is a good parameter for predicting

366  $D_c$ .

367       The rill erodibility coefficients corresponding to shear stress were all between  
368 0.04 and 0.22 s m<sup>-1</sup> in purple soils studied by Li et al. (2019c). The rill erodibility  
369 measures at the 145-150 m and 170-175 m elevation segments were higher than those  
370 at the other elevation segments (Table 3). This finding shows that the soils in these  
371 two elevation segments are more susceptible to detachment by flowing water than  
372 those at the other elevation segments, which may be a partial explanation of the result  
373 obtained by Bao et al. (2018). Bao et al. (2018) quantified the erosion rates in the  
374 mainstream and tributaries of the WLFZ in the TGR using erosion pins and observed  
375 that the highest erosion rates were at elevations between 170 and 175, m followed by  
376 elevations between 145 and 150 m, and were significantly higher than the erosion  
377 rates at other elevations for the mainstream of the WLFZ. They thought that this  
378 phenomenon was caused by the significantly longer residence time of water around  
379 the minimum and maximum levels than at other levels. However, higher erodibility  
380 could be another reason for this phenomenon.

381       The results of this study are based on measurements of soil samples from different  
382 elevations collected during the same time period and located to show vertical  
383 heterogeneity. However, soil and plant properties varied not only at different  
384 elevations but also at different times because of the submersion and exposure of  
385 plants at different elevations and different times due to the operation of the TGR  
386 (Tang et al., 2018; Ye et al., 2019a). Therefore, the temporal and spatial variations of  
387 soil detachment in the WLFZ should be investigated to verify the results obtained in

388 this research and to better understand of soil erosion in the WLFZ of the TGR.

## 389 **5. CONCLUSIONS**

390 The soil properties (soil bulk density, pH, particles, *MWD* and organic matter) and  
391 biomass parameters (aboveground biomass and underground biomass) were strongly  
392 heterogenous across different elevations in the WLFZ of the TGR.  $D_c$  fluctuated with  
393 increasing elevation, reaching a maximum average value at the elevation segment of  
394 145-150 m and a minimum average value at the elevation segment of 165-170 m. The  
395 mathematical relationship between  $D_c$  and  $\tau$ ,  $\omega$ ,  $E$ , and  $U$  can be described using a  
396 linear function, and  $\tau$ ,  $\omega$ , and  $E$  performed much better to describe  $D_c$  than  $U$ . In  
397 addition, a clear improvement was seen when using the general index of flow  
398 intensity to estimate  $D_c$ . The vertical variation in rill erodibility at each elevation  
399 segments was similar to the variations in  $D_c$ . Soil aggregate stability and organic  
400 matter were considered as the major factors responsible for the vertical variation in  $D_c$   
401 because  $D_c$  was significantly negatively correlated with the *MWD* ( $p < 0.05$ ) and  
402 organic matter ( $p < 0.01$ ). Therefore, more efforts should be tried to increase the soil  
403 clay content and organic matter for suppressing the soil erosion WLFZ of the TGR in  
404 the future.

## 405 **ACKNOWLEDGEMENTS**

406 This research was jointly sponsored the National Natural Science Foundation of  
407 China (41807068, U2040207), Research Fund for Excellent Dissertation of China  
408 Three Gorges University (2020BSPY003), the CRSRI Open Research Program

409 (CKWV2018488/KY).

#### 410 **DATA AVAILABILITY**

411 All data used during the study are available from the corresponding author by request.

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## 626 TABLES

627 TABLE 1 Soil and biomass properties at different elevation segments

Elevation ( m )	Bulk density ( g cm <sup>-3</sup> )	pH	Soil particles composition			<i>MWD</i> ( mm)	Organic matter ( g kg <sup>-1</sup> )	Aboveground biomass ( g m <sup>-2</sup> )	Underground biomass ( kg m <sup>-3</sup> )
			( % )						
			Clay ( < 0.002 mm)	Silt ( 0.002-0.05 mm)	Sand ( 0.05-2.00 mm)				
145-150	1.29±0.02c	7.45±0.01c	19.91±0.24d	54.25±0.34c	25.84±0.56a	0.91±0.01a	16.31±0.38a	369.47±21.58a	1.03±0.11a
150-155	1.08±0.02a	7.48±0.01c	18.63±0.51c	44.24±1.03b	37.13±1.54b	0.98±0.03a	24.31±0.52c	1040.92±48.25c	7.99±0.11b
155-160	1.10±0.02a	7.49±0.01c	15.90±0.69b	39.77±1.18a	44.33±1.87c	0.98±0.04a	20.95±0.60b	1179.99±30.23d	10.40±0.29c
160-165	1.19±0.02b	7.00±0.01b	14.82±1.07ab	40.86±0.89a	44.32±1.95c	1.12±0.07b	23.45±0.95c	1750.97±48.65f	22.44±3.07e
165-170	1.16±0.10ab	7.03±0.04b	15.73±0.36b	40.73±0.79a	43.55±0.96c	1.17±0.04b	30.31±1.24d	1319.75±27.22e	14.66±0.86d
170-175	1.29±0.02c	6.64±0.01a	14.02±0.39a	39.83±1.04a	46.15±1.41c	0.98±0.06a	16.24±1.23a	681.33±31.94b	7.20±0.45b

628 Note: *MWD* denotes the mean weight diameter. Values followed by different letters in the same column indicate significant differences at the  
629 0.05 level.

630 **TABLE 2** Pearson correlation coefficients for the relationship between soil and  
631 biomass properties

soil and biomass properties		Bulk densit y	pH	Soil particle composition			<i>MWD</i>	Organic matter	Above- ground biomass	Underg- round biomass
				(%)						
				Clay ( 0.002 mm)	Silt (0.002- 0.05 mm)	Sand (0.05-2 mm)				
Bulk density		1								
pH		-0.529	1							
Soil particle	Clay	-0.062	0.788	1						
composition	Silt	0.409	0.495	0.875*	1					
(%)	Sand	-0.280	-0.595	-0.935**	-0.990**	1				
<i>MWD</i>		-0.254	-0.423	-0.547	-0.570	0.579	1			
Organic matter		-0.643	0.047	-0.137	-0.408	0.339	0.828*	1		
Aboveground biomass		-0.562	-0.135	-0.521	-0.663	0.638	0.809	0.700	1	
Underground biomass		-0.337	-0.341	-0.631	-0.653	0.664	0.853*	0.610	0.967**	1

632 Note: \* indicates a significant correlation at the 0.05 level (bilateral), and \*\* indicates a  
633 significant correlation at the 0.01 level (bilateral).



634 **TABLE 3** Relationship between soil detachment capacity and hydrodynamic  
635 parameters at different elevation segments

Hydrodynamic parameters	Elevation ( m )	Fitting equation	The coefficient of determination $R^2$	Erodibility parameter $K_r$	Critical hydrodynamic parameters
$\tau$	145-150	$D_c=0.120\tau-0.234$	0.855	0.120	1.950
	150-155	$D_c=0.112\tau-0.243$	0.933	0.112	2.162
	155-160	$D_c=0.103\tau-0.194$	0.950	0.103	1.883
	160-165	$D_c=0.103\tau-0.199$	0.904	0.103	1.932
	165-170	$D_c=0.094\tau-0.206$	0.934	0.094	2.191
	170-175	$D_c=0.120\tau-0.260$	0.871	0.120	2.167
$\omega$	145-150	$D_c=0.173\omega-0.036$	0.842	0.173	0.208
	150-155	$D_c=0.163\omega-0.060$	0.928	0.163	0.368
	155-160	$D_c=0.149\omega-0.028$	0.950	0.149	0.187
	160-165	$D_c=0.147\omega-0.025$	0.874	0.147	0.170
	165-170	$D_c=0.137\omega-0.056$	0.945	0.137	0.409
	170-175	$D_c=0.173\omega-0.064$	0.865	0.173	0.370
$U$	145-150	$D_c=2.157U+0.088$	0.366	2.157	-0.041
	150-155	$D_c=2.362U+0.002$	0.547	2.362	-0.001
	155-160	$D_c=2.412U-0.012$	0.697	2.412	0.005
	160-165	$D_c=2.079U+0.041$	0.486	2.079	-0.020
	165-170	$D_c=2.008U-0.006$	0.565	2.008	0.003
	170-175	$D_c=2.232U+0.048$	0.402	2.232	-0.022
$E$	145-150	$D_c=63.093E-0.390$	0.877	63.092	0.006
	150-155	$D_c=58.233E-0.378$	0.930	58.234	0.006
	155-160	$D_c=52.824E-0.312$	0.935	52.824	0.006
	160-165	$D_c=52.835E-0.314$	0.878	52.836	0.006
	165-170	$D_c=48.623E-0.317$	0.926	48.623	0.007
	170-175	$D_c=62.524E-0.410$	0.883	62.524	0.007

636 Note:  $D_c$  is the soil detachment capacity ( $\text{kg m}^{-2} \text{s}^{-1}$ ),  $\tau$  is the shear stress (Pa),  $\omega$  is the  
637 stream power ( $\text{N m}^{-1} \text{s}^{-1}$ ),  $U$  is the unit stream power ( $\text{m s}^{-1}$ ), and  $E$  is the unit energy of  
638 a water-carrying section (m).

639 **TABLE 4** Pearson correlation coefficients for the relationship between soil erosion  
640 resistance and soil properties

Factors	Bulk density	pH	Soil particle composition (%)			<i>MWD</i>	Organic matter
			Clay (< 0.002 mm)	Silt (0.002-0.05 mm)	Sand (0.05-2 mm)		
$D_c$	0.570	0.151	0.410	0.629	-0.581	-0.874*	-0.940**
$K_r(\tau)$	0.596	-0.028	0.363	0.551	-0.510	-0.835*	-0.868*
$K_r(\omega)$	0.582	-0.018	0.373	0.547	-0.510	-0.848*	-0.858*
$K_r(E)$	0.616	-0.025	0.383	0.578	-0.536	-0.836*	-0.863*
$\tau_c$	0.002	-0.445	-0.127	-0.224	0.201	0.258	0.355
$\omega_c$	-0.066	-0.373	-0.114	-0.248	0.215	0.238	0.383
$E_c$	0.342	-0.772	-0.552	-0.414	0.466	0.403	0.195

641 Note: \* indicates a significant correlation at the 0.05 level (bilateral), and \*\* indicates a  
642 significant correlation at the 0.01 level (bilateral).  $D_c$  is soil detachment capacity.  
643  $K_r(\tau)$ ,  $K_r(\omega)$ ,  $K_r(U)$ , and  $K_r(E)$  are erodibility parameters corresponding to the stream  
644 power, stream power, unit stream power, and unit energy of water-carrying section,  
645 respectively.  $\tau_c$  is the critical shear stress,  $\omega_c$  is the critical stream power, and  $E_c$  is the  
646 critical unit energy of a water-carrying section.

647 **TABLE 5** Relationship between soil detachment capacity and general hydraulic  
648 parameters at different elevation segments

Elevation ( m )	Fitting equation	Coefficient of determination $R^2$	Erodibility parameter $K$	Parameters $\alpha_1$ $\alpha_2$ $\alpha_3$		
145-150	$D_c = K g V^{\alpha_1} h^{\alpha_2} J^{\alpha_3}$	0.970	177303.296	0.123	2.171	1.226
150-155		0.947	116190.690	-0.522	2.121	1.676
155-160		0.955	22.255	2.331	0.623	0.596
160-165		0.937	233.743	2.417	1.025	0.357
165-170		0.962	77917.878	-0.198	2.053	1.668
170-175		0.968	84208.134	1.051	1.997	0.999

649 **Figure Captions**

650 **FIGURE 1** Water level changes in the Three Gorges Reservoir Area (2019) and soil  
651 sampling points

652 **FIGURE 2** Schematic representation of the scouring experiment device

653 **FIGURE 3.** Soil detachment capacity at different elevation segments with a slope  
654 gradient of 36.40% and a flow rate of 30 L min<sup>-1</sup>

655 **FIGURE 4** Variations in soil detachment capacity and hydrodynamic parameters at  
656 different elevation segments

657 **FIGURE 5** Comparison between the predicted and measured values for soil  
658 detachment capacity