

1 **Field Study on Flow Structures Within Aquatic Vegetation under**
2 **Combined Current and Wind-driven Wave Conditions**

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4 (Running title: Flow Structures Impacted by Vegetation)
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28 **Data Availability Statement**

29 The data that support the findings of this study are available from the corresponding author
30 upon reasonable request.

Field Study on Flow Structures Within Aquatic Vegetation under Combined Current and Wind-driven Wave Conditions

Abstract

Field measurements were conducted to study the influence of aquatic vegetation on flow structures in floodplains with the hydrodynamic conditions dominated by combined current and wind-driven wave. Wave and turbulent flow velocity components were decomposed from the time series of instantaneous velocity and analyzed separately. With the ratio of wave excursion to stem spacing less than 0.5, the interaction between wave and vegetation was weak in present study, leading to the vertical distributions of time-averaged velocity (U_{horiz}) and turbulent kinetic energy (TKE) with the presence of vegetation similar with the vegetated flow structures under pure current conditions. For emergent vegetations, U_{horiz} and TKE distributed uniformly through the entire water column or increased slightly from bed to water surface. Similar distributions were present in the lower part of submerged vegetations. Within the upper part of submerged vegetations, U_{horiz} and TKE increased rapidly toward water surface and TKE reached its maximum near the top of vegetation. With small E_w/S the wave orbital velocity (U_w) within vegetation was not attenuated when compared with the U_w above vegetation, and U_w through the entire water column can be predicted by the linear wave theory. However, wind-driven waves made the turbulence generated near the top of canopy penetrate a deeper depth into vegetation than predictions under pure current conditions.

Keywords: aquatic vegetation; turbulence; wave; current; Poyang Lake

1 Introduction

Aquatic vegetation (AV) provides a wide range of ecosystem services. As a primary producer, AV supplies food for herbivorous animals and creates habitats and shelter areas for fish and shellfish (e.g., **Green & Short, 2003; Waycott, Longstaff, & Mellors, 2005**). In rivers, lakes, and costal zones, AV protects shorelines, inhibits erosion, and enhances local water quality (e.g., **Barbier et al., 2011; Mitsch & Gosselink, 1986**). AV can also provide significant carbon storage and support infauna diversity (e.g., **Fourqurean et al., 2012; Irlandi & Peterson, 1991**). Many of these ecosystem services arise as AV has the ability to alter local hydrodynamic conditions. For example, AV can reduce sediment resuspension by damping wave energy (e.g., **Wang, Wang, & Wang, 2010; Ros et al., 2014; Luhar, Infantes, & Nepf, 2017**), and thereby increase light penetration, creating a positive feedback for continued vegetation growth and a stable state with clear water (e.g., **Carr, Dodorico, Mcglathery, & Wiberg, 2010; Scheffer & Carpenter, 2003; Scheffer, Carpenter, Foley, Folke, & Walker, 2001; van der Heide et al., 2007**). Besides, by reducing sediment resuspension AV suppresses the release of nutrients associated with bed material and thus efficiently inhibits algal bloom (e.g., **McGlathery, Sundback, & Anderson, 2007**).

73 Therefore, the changes in water motion associated with vegetation need to be investigated to
74 fully understand the ecological function of AV.

75 In the last decades, flow resistance and structures with the presence of AV under pure-
76 current conditions have been widely studied by conducting flume experiments (e.g.,
77 **Ghisalberti & Nepf, 2002, 2004, 2006; Jarvela, 2005; Okamoto & Nezu 2009; Tanino &**
78 **Nepf, 2008a, b; Yang, Kerger, & Nepf, 2015; Zhang, Lai, & Jiang, 2016**), field
79 measurements (e.g., **Cameron et al., 2013; Leonard & Croft, 2006; Leonard & Luther,**
80 **1995; Lightbody & Nepf, 2006; Neumeier & Amos, 2006; Zhang et al., 2020**), and
81 numerical investigations (e.g., **Etminan, Ghisalberti, & Lowe, 2018; Neary, 2003; Nicolle**
82 **& Eames, 2011; Pu, Shao, & Huang, 2014; Ricardo, Grigoriadis, & Ferreira, 2018**).
83 **Nepf (2012)** has reviewed the mean and turbulent flow structures influenced by AV in detail,
84 and identified the canopy- and stem-scale turbulences generated by AV and their effects on
85 mass transport. Many previous studies have also investigated the interaction between water
86 motion and AV under pure-waves, and most of them were mainly on the damping of waves
87 by vegetation (e.g., **Bradley & Houser, 2009; Lovstedt & Larson, 2010; Luhar, Infantes,**
88 **& Nepf, 2017; Mendez & Losada, 2004**), the mean and turbulent flow structures within
89 canopy (e.g., **Abdolahpour, Hambleton, & Ghisalberti, 2017; Lowe, Koseff, &**
90 **Monismith, 2005; Luhar, Coutu, Infantes, Fox, & Nepf, 2010; Luhar, Infantes, Orfila,**
91 **Terrados, & Nepf, 2013; Pujol, Casamitjana, Serra, & Colomer, 2010; Pujol, Serra,**
92 **Colomer, & Casamitjana, 2013**). For example, **Lowe et al. (2005)** studied the velocity
93 attenuation within a model rigid canopy and a theoretical model was developed to predict the
94 magnitude of in-canopy wave orbital velocity under oscillatory flow. **Luhar et al. (2010,**
95 **2013)** investigated the flow structures within and above a model seagrass meadow and found
96 that a mean current in the direction of wave propagation was generated within the meadow.
97 **Zhang et al. (2018)** revealed the turbulent structures within submerged seagrass meadow
98 forced by oscillatory flow, and noted that compared with bare bed the turbulence level within
99 meadow was enhanced when the ratio of wave excursion to stem spacing larger than 0.5.

100 In many natural settings (e.g., estuaries, shallow lakes connecting to rivers), AV is
101 exposed to conditions with currents and waves coexisted, for which only a handful of studies
102 have considered. Related studies have focused on the wave damping by AV with the presence
103 of currents (e.g., **Hu, Suzuki, Zitman, Uittewaal, & Stive, 2015; Lei & Nepf, 2019; Li &**
104 **Yan, 2007; Losada, Maza, & Lara, 2016; Paul, Bouma, & Amos, 2012**). For example,
105 **Paul et al. (2012)** conducted flume experiments with flexible model vegetation and observed
106 that the presence of current reduced wave dissipation by vegetation. Using real vegetation,
107 **Losada et al. (2016)** found that wave damping was enhanced by current flowing in the
108 opposite direction, but reduced by current in the same direction with wave propagation.
109 However, to our knowledge, few studies concentrated on the flow structures with the
110 presence of AV under combined current and wave conditions.

111 Field observations were conducted to study the flow structures influenced by AV in
112 floodplains of Poyang Lake (China), a shallow lake connected to Yangtze River. Affected by
113 the upstream water inflow and surface wind, the hydrodynamic environment of our study area
114 is dominated by both currents and wind-driven waves. In natural world, the wave field under
115 the direct effect of local wind is an interaction of large numbers of component with different
116 wave periods, direction of propagations and phases, characterized as an erratic (irregular)

117 pattern (e.g., **Toffoli & Bitner-Gregersen, 2017**). This is much more complicated than waves
118 generated by the paddle wavemaker in most lab studies, for which the whole water mass was
119 subjected to wave forcing and the waves generated were regular and linear. In this study,
120 wave and turbulent velocity components were decomposed from the velocity time series by
121 velocity spectrum and analyzed separately. The goals of present study are to investigate the
122 influence of both emergent and submerged vegetations on the vertical distributions of time-
123 averaged velocity, wave orbital velocity, and turbulent kinetic energy (*TKE*) under combined
124 current and wave conditions.

125

126 **2 Study Area**

127 Field experiments were performed in floodplains located in the southwest part of Poyang
128 Lake (Fig. 1a). Poyang Lake, the largest freshwater lake in China, is located in the south bank
129 of middle reach of Yangtze River (28°24' ~ 29°46'N, 115°49' ~ 116°46'E). It has a drainage
130 basin area of 162,225 km², occupying about 9% of the Yangtze River basin (**Tan, Tao, Jiang,
131 & Zhang, 2015**). Poyang lake receives inflow via five tributaries, i.e., Xiushui, Ganjiang,
132 Fuhe, Xinjiang, Raohe, and discharges into Yangtze river through a narrow outlet located in
133 Hukou (Fig. 1a). With seasonal changes of inflow from the five tributary rivers, the water
134 level in Poyang Lake varies dramatically through the year and the maximum inundation area
135 could be 13 times larger than the minimum (**Feng et al., 2012**). Extreme variability in water
136 level provides favorable condition for the growth of various types of vegetation, making a
137 unique wetland ecosystem formed in Poyang Lake. The vegetation distribution in the
138 floodplains of Poyang Lake is characterized with ringed pattern along the elevation gradient
139 (**Wang, Han, Xu, Wan, & Chen, 2014**). For example, submerged vegetations (e.g.,
140 *Vallisneria natans*, *Potamogeton malaiianus*) are distributed in the lower elevation of
141 floodplain and inundated all year round. In the higher elevation, the floodplain is inundated
142 seasonally (i.e., inundated and exposed in flood and dry seasons, respectively) and some
143 emergent aquatic vegetations (e.g., *Carex cinerascens*, *Artemisia selengensis*, and reeds)
144 grow.

145 Two floodplains formed in Ganjiang River were selected and named sites A and B from
146 upstream to downstream the Ganjiang River, respectively (Fig. 1b). As one of the main
147 tributaries, Ganjiang River has steady flow direction from its upstream basin (south) to the
148 lake (north) (shown by the black arrows in Fig. 1b, 1c, and 1d). In addition, the water surface
149 was also influenced by wind, leading to the hydrodynamic conditions of our study sites were
150 dominated by combined currents and waves. For each site, five cases (Fig. 1c and 1d)
151 selected for velocity measurements were distributed vertically to the flow direction. All cases
152 were located in the higher elevation with seasonal inundation, so that stem densities can be
153 estimated when the floodplain was not flooded. In order to make comparison, a bare-bed case
154 (i.e., S0) located in the mainstream of Ganjiang River was also considered (Fig. 1c). The
155 dominant vegetations of cases in sites A (A1-A5) and B (B1-B5) were different. For site A,
156 the cases were dominated by *Carex cinerascens* and *Artemisia selengensis*, and all the five
157 cases in site B were in the *Phalaris arundinacea* communities. The specific dominant
158 vegetation for each case was listed in Table 1. Velocity measurements were conducted from
159 August 18 to August 24 in the year of 2015. As Duchang Station (with its location shown in
160 Fig. 1a) is the nearest hydrological station to our study area, variation of water level in our

161 measurement sites was similar with that of Duchang Station. Just as shown in Figure 2a,
162 water level varied within 0.8% from Aug. 18 to 24, indicating that the hydrological condition
163 was stable during velocity measurements. The wind directions in our study area were mainly
164 toward north during measurements, which can be indicated from the meteorological records
165 (Fig. 2b) observed by the Poyang Lake Wetland Observation Station, Chinese Academy of
166 Sciences, in Xingzi (Fig. 1a). Therefore, surface waves propagated in the same direction with
167 the flow during measurements.

168

169 **3 Methods and Materials**

170 3.1 Vegetation measurements

171 The dominant vegetations of all cases in present study were *Carex cinerascens*,
172 *Artemisia selengensis*, and *Phalaris arundinacea* (Table 1). For each case, 15 strains of plant
173 were randomly selected during velocity measurement and the vegetation height (h_v), stem
174 diameter (d), and the blade width were measured to describe the plant morphology. *C.*
175 *cinerascens* is a kind of herbaceous plant. It has basal blades, and an average of 12 blades are
176 grown for each plant. The blades of *C. cinerascens* are lanceolate and the blade width
177 decreased gradually from the base to the top. According to our survey, the mean blade width
178 was 0.3 cm. *A. selengensis* has rigid and cylinder-like stems with a mean diameter of 0.5 cm.
179 Several stems are grown for each individual plant and these stems are divided at the base. The
180 blades of *A. selengensis* are palmate and distributed uniformly from the top to the bottom of
181 stem. *P. arundinacea* has rigid stem with a mean diameter of 0.5 cm. The blades of *P.*
182 *arundinacea* are lanceolate, and on average, each blade has the length of 15 cm and the
183 maximum width of 1.8 cm. For *A. selengensis* and *P. arundinacea*, the blade width near the
184 top of stem is smaller than that of the rest part of stem because new and fresh blades grow at
185 the top.

186 The stem density (m , stems per bed area) was measured one week after the velocity
187 measurements when the flood had already receded to Ganjiang River and the measurement
188 positions in sites A and B were exposed to air. For each case, the stem density was estimated
189 by randomly choosing three 1 m \times 1 m quadrats within a 5-m radius of the velocity
190 measurement position. As the stems of *C. cinerascens* are very short, its stem density was
191 estimated as the number of blades, not individual plants, per unit area in our study. The stem
192 density for each case was listed in Table 1.

193

194 3.2 Velocity measurements

195 Instantaneous velocities were collected using a 3-D Acoustic Doppler Velocimeter
196 (ADV, Nortek Vector) in the East-North-Up (ENU) coordinate system. With ENU coordinate
197 system the instantaneous velocities in the east, north, and upward directions can be
198 represented as u_e , u_n , and u_u , respectively. As ADV measures flow velocity at a specific point
199 (i.e., 15 cm below the probe tip), the measurements of velocity profile need to move ADV
200 vertically. This was accomplished by using a self-made field observation system, which was
201 described by **Zhang et al. (2020)** in detail. Velocity was recorded for 150 s with sampling
202 frequency of 32 Hz at each measurement point. For all cases except the bare-bed case S0,
203 velocity was measured starting from 5 cm above the bed (i.e., $z = 5$ cm with $z = 0$
204 representing the bed bottom) at 5 cm vertical increment. With large water depth, velocity

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205 profile of the case S0 was measured at 10 cm vertical increment to reduce the uncertainty
 206 caused by the variation of hydrodynamic and meteorological conditions (i.e., water level,
 207 flow velocity, and wind speed) during measurements. To keep too many blades from blocking
 208 ADV beams, vegetation was removed within a 15 cm diameter of the measurement position.

209

210 3.3 Data processing

211 In flows with both waves and currents, the variance in velocity associated with waves is
 212 often much larger than that associated with turbulence, and some form of wave–turbulence
 213 decomposition must be performed (**Trowbridge, 1998**). For combined wave-current flow, the
 214 instantaneous velocity, taking velocity in the east direction (u_e) for example, can be
 215 decomposed into three parts:

216

$$217 \quad u_e = U_e + u_{we} + u_e' \quad (1)$$

218

219 in which U_e is the time-averaged velocity, u_{we} is the unsteady wave velocity, and u_e' is the
 220 turbulent velocity fluctuation, and similarly for u_n and u_u . Spikes in the velocity record were
 221 removed using the acceleration threshold method that the instantaneous acceleration (i.e., the
 222 difference between two adjacent instantaneous velocity records divided by the sampling
 223 interval) should be less than the acceleration of gravity (**Goring & Nikora, 2002**). After de-
 224 spiking, the time-averaged velocity was calculated as:

225

$$226 \quad U_e = \frac{1}{T_d} \int_0^{T_d} u_e(t) dt \quad (2)$$

227

228 in which T_d is the time duration for each measurement point, and similar for U_n and U_u . As
 229 flow direction was not the same for all cases, the time-averaged horizontal velocity, U_{horiz} ,
 230 was used for comparison between different cases and can be calculated as:

231

$$232 \quad U_{horiz} = \sqrt{U_e^2 + U_n^2} \quad (3)$$

233

234 The time-averaged vertical velocity, U_{vert} , can then be expressed as $U_{vert} = U_u$.

235 In present study, a method of spectral decomposition was employed to decompose the
 236 wave and turbulent velocities. In the power spectral density (PSD) of instantaneous velocity
 237 of flow with both waves and currents, wave signal (grey circles in Fig. 3a) is indicated as
 238 peaks around the dominant wave frequency and spectra outside the wave domain (black line
 239 in Fig. 3a) indicate the signal of flow turbulence. Velocity in east direction was used as an
 240 example to show the specific procedures of wave-current decomposition. First, Fast Fourier
 241 Transform (FFT) of the time series of instantaneous velocity ($u_e(t)$) is computed (labeled as
 242 F_{ue}), and the real and imaginary parts of F_{ue} are labeled as $R(F_{ue})$ and $I(F_{ue})$, respectively.
 243 Second, the frequency window of wave signal is chosen and the boundaries are represented
 244 as f_l (low frequency boundary) and f_h (high frequency boundary) (Fig. 3a). The amplitude (A_s ,

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245 $= \sqrt{(R(F_{ue}))^2 + (I(F_{ue}))^2}$ and phase angle (ϕ) of the signal within wave frequency window are
 246 determined. Third, a broader frequency window (with its boundaries labeled as f_L and f_H)
 247 containing the wave domain is chosen, and a straight line is fit between the amplitudes within
 248 the frequency range of $f_L \sim f_l$ and $f_h \sim f_H$. Within wave frequency range (i.e., $f_l \sim f_h$), the
 249 interpolated amplitudes constitute the amplitudes of turbulence (A_t) in $f_l \sim f_h$, and the
 250 amplitudes of wave signal are computed as $A_w = A_s - A_t$. Percentage of wave signal

251 amplitudes on total power energy in PSD was then estimated by $P_w = \int_{f_l}^{f_h} A_w df / \int_0^F A_s df$ (with F

252 (= 16 Hz in present study) representing the half of sampling rate), and $P_w > 10\%$ indicates
 253 that water motion was influenced by wind waves (**Hansen & Reidenbach, 2013**). Fourth,
 254 combined the A_s outside wave frequency window (i.e., $f < f_l$ and $f > f_h$) and the interpolated
 255 amplitudes within $f_l \sim f_h$, the Fourier coefficients of turbulence are determined by $F_t =$
 256 $A_t[\cos(\phi) + i\sin(\phi)]$ assuming the phase angles are not altered. Setting $A_w = 0$ outside wave
 257 frequency window, the Fourier coefficients of wave are determined by $F_w = A_w[\cos(\phi)$
 258 $+ i\sin(\phi)]$. Fifth, Inverse Fast Fourier Transform (IFFT) of F_t and F_w is computed to
 259 reconstruct the time series of turbulent ($u_e'(t)$) and wave ($u_{we}(t)$) velocities, respectively.
 260 Similar procedures are employed for velocities in north and upward directions. The velocity
 261 record measured near the water surface (i.e., $z = 55$ cm) of case A4 was used as an example to
 262 show the time series of turbulent (blue line in Fig. 3b) and wave (red line in Fig. 3b)
 263 velocities after decomposition.

264 The wave orbital velocity was defined as the root mean square (RMS) of the wave
 265 velocity time series, i.e.,

266

$$267 \quad U_{we} = \sqrt{\frac{1}{T_d} \int_0^{T_d} (u_{we}(t) - \overline{u_{we}})^2 dt} \quad (4)$$

268

269 in which $\overline{u_{we}}$ is the time-averaged value of $u_{we}(t)$, and similar for U_{wn} and U_{wu} . Considering the
 270 wave velocity in east and north directions, the horizontal component of wave orbital velocity,
 271 U_{w_horiz} , was defined as

272

$$273 \quad U_{w_horiz} = \sqrt{U_{we}^2 + U_{wn}^2} \quad (5)$$

274

275 The vertical wave orbital velocity $U_{w_vert} = U_{wu}$. From linear wave theory for small amplitude,
 276 monochromatic waves, the horizontal and vertical wave orbital velocities were computed as
 277 (**Dean & Dalrymple, 1991**)

278

$$279 \quad U_{w_horiz} = \sqrt{\frac{1}{2\pi} \int_0^{2\pi} \left(a_w \omega \frac{\cosh(kz)}{\sinh(kh)} \cos(kx - \omega t) \right)^2 d\phi} = \frac{1}{\sqrt{2}} a_w \omega \frac{\cosh(kz)}{\sinh(kh)} \quad (6)$$

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$$283 \quad U_{w_{vert}} = \sqrt{\frac{1}{2\pi} \int_0^{2\pi} \left(a_w \omega \frac{\sinh(kz)}{\sinh(kh)} \sin(kx - \omega t) \right)^2 d\phi} = \frac{1}{\sqrt{2}} a_w \omega \frac{\sinh(kz)}{\sinh(kh)} \quad (7)$$

284

285 respectively, in which a_w is the wave amplitude near the water surface, ω ($= 2\pi/T$ with T the
286 wave period) is the wave radian frequency, k ($= 2\pi/\lambda$ with λ the wave length) is the wave
287 number, h is the water depth, and z is the vertical coordinate. The instantaneous turbulent
288 fluctuations ($\sigma_e, \sigma_n, \sigma_u$) were defined as the standard deviation of the reconstructed time series
289 of turbulent velocity ($u_e'(t), u_n'(t), u_u'(t)$), and the turbulent kinetic energy (TKE) is expressed
290 as:

291

$$292 \quad TKE = \frac{1}{2} (\sigma_e^2 + \sigma_n^2 + \sigma_u^2) \quad (8)$$

293

294 The horizontal and vertical TKE can then be expressed as $TKE_{horiz} = (\sigma_e^2 + \sigma_n^2)/2$ and $TKE_{vert} =$
295 $\sigma_u^2/2$, respectively.

296 For each case, the wave amplitude, a_w , was estimated by fitting the measured $U_{w_{horiz}}$ to eq.
297 (6) at the highest three measurement points. The peak frequency (f_p) of the wave domain in
298 the PSD of instantaneous velocity was used to determine the wave period, i.e., $T = 1/f_p$. Based
299 on linear wave theory, the wave length (λ) can be estimated using the relationship of $\omega^2 =$
300 $(kg)\tanh(kh)$ with g representing the gravitational acceleration. Wave parameters for each
301 case are listed in Table 1.

302

303 4 Results and Discussion

304 4.1 Flow structures in bare bed

305 To set a base line, the condition without vegetation (i.e., case S0) was first considered.
306 Under wave-current conditions, the vertical distribution of time-averaged horizontal velocity
307 (U_{horiz} , grey circles shown in Fig. 4a) was characterized by a logarithmic profile and the time-
308 averaged vertical velocity (U_{vert} , blue triangles in Fig. 4a) was vertically uniform near the
309 value of zero. This was similar with the time-averaged velocity profile under pure-current
310 conditions (e.g., **Zhang, Lai, & Jiang, 2016**). Percentages of wave portion on the total
311 energy in the PSD of instantaneous velocity (i.e., P_w) decreased gradually from the water
312 surface to the bed (Fig. 4b), indicating that the influence of surface waves on water motion
313 became weaker and weaker with decreasing z . However, with P_w of vertical velocity $P_{w_u} >$
314 10% through the entire water column (blue circles in Fig. 4b), water motion over the full
315 depth was affected by wave energy. Under wind-driven waves with typical period $T = 1 \sim 5$ s,
316 the water orbital motions can penetrate down from water surface to bed when $h < \lambda/2$ (**Green**
317 **& Coco, 2014**). For the case S0 in present study, the water depth was 2.2 m, smaller than half
318 of the wave length ($\lambda = 6.1$ m, Table 1). Besides, P_w of horizontal velocities (i.e., P_{w_e} and
319 P_{w_n}) was smaller than P_{w_u} at the same vertical height (Fig. 4b) because of the small total
320 energy of velocity caused by weaker hydrodynamics (especially the smaller flow velocity,
321 Fig. 4a) in the vertical direction than the horizontal directions. The measured wave orbital

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322 velocity in both horizontal (U_{w_horiz}) and vertical (U_{w_vert}) directions decreased with decreasing
323 z (Fig. 4c), and agreed (within 25%) with the predictions of linear wave theory by eqs. (6)
324 and (7), respectively. Therefore, eqs. (6) and (7) were used to estimate the natural wave
325 attenuation with water depth for the vegetated cases. The turbulent kinetic energy (TKE) was
326 dominated by the horizontal component and decreased gradually from the water surface to
327 the bed (Fig. 4d).

328

329 4.2 Time-averaged velocity within vegetation

330 Compared with bare bed, vertical profiles of the time-averaged horizontal velocity (U_{horiz})
331 were altered by the presence of AV. Velocity profiles with vegetation under emergent (i.e.,
332 cases A1, A2, B1, B3, and B4) and submerged (i.e., cases A3, A4, A5, B2, and B5) conditions
333 were considered separately.

334 For cases A1, A2, and B1, the height of vegetation was larger than the water depth (i.e.,
335 $h_v > h$). Restricted by the setup of ADV measurements (i.e., the sampling volume is located at
336 15 cm downward the probe tip) and the fluctuation of water surface, the highest positions for
337 velocity measurement were only at half or lower than half of the canopy height. For these
338 three cases, the measured U_{horiz} distributed uniformly through the water column (Fig. 5a, 5b,
339 and 5f). However, U_{horiz} increased gradually with increasing z for cases B3 and B4 (Fig. 5h
340 and 5i), for which the vegetation was just emergent with $h_v \approx h$. According to **Lightbody &**
341 **Nepf (2006)**, the mean velocity within emergent vegetation varied inversely with canopy
342 frontal area ($a = md$ with m and d representing the stem density and stem diameter,
343 respectively) under conditions with pure current. In present study, the dominant vegetations
344 for emergent cases were *P. arundinacea* and *A. selengensis* (Table 1). With blades uniformly
345 distributed from top to bottom of the stem, the a of both *P. arundinacea* and *A. selengensis*
346 was uniform through most part of the stem. Near the top of vegetation, a decreased with
347 increasing z as fresh blades with small length and width sprouted at the top. Therefore, the
348 velocity profiles, with U_{horiz} uniformly distributed through the entire water column for cases
349 A1, A2, B1 and U_{horiz} gradually increasing with increasing z for cases B3 and B4, were
350 consistent with observations by **Lightbody & Nepf (2006)**.

351 The time-averaged velocity profiles can be separated at the top of canopy ($z = h_v$) when
352 the vegetation was under submerged condition (i.e., $h_v < h$). Within vegetation ($z < h_v$), U_{horiz}
353 was small and uniformly distributed in the lower part of vegetation, and increased with
354 increasing z in the upper part of vegetation (e.g., cases A3 and B5 in Fig. 5c and 5j,
355 respectively). This velocity distribution was very similar to observations by **Nepf & Vivoni**
356 **(2000)**, and the lower and upper part of canopy corresponded to the “longitudinal exchange
357 zone” and “vertical exchange zone”, respectively, in **Nepf & Vivoni (2000)**. Defined as the
358 distance from the top of vegetation to the point within canopy at which U_{horiz} has decayed to
359 10% of its maximum value, the thickness of “vertical exchange zone” (δ_e), or the penetration
360 depth called by **Nepf & Vivoni (2000)**, was 50, 10, 15, 25, and 20 cm for the submerged
361 cases A3, A4, A5, B2, and B5, respectively. Under unidirectional current, a model was
362 proposed by **Nepf et al. (2007)** to predict the penetration depth and it gave that $\delta_e = 0.23/$
363 $(C_D a)$. C_D was the vegetative drag coefficient and a relatively constant value of $C_D = 1.1$ for
364 $ad \leq 0.01$ (**Nepf, 1999**). With $ad = 0.003 \sim 0.013$ for all cases in present study, $C_D = 1.1$ was
365 used, and the prediction gave $\delta_e = 34.8, 5.5, 8.0, 14.9,$ and 13.9 cm for cases A3, A4, A5, B2,

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366 and B5, respectively, smaller than the measured. As **Nepf et al. (2007)** model was built under
367 unidirectional current condition, its underestimation indicated that the vertical exchange of
368 momentum can penetrate a deeper depth within canopy when water motion was also affected
369 by wind-induced waves.

370 In present study with the hydrodynamic environment dominated by combined wave and
371 current, the vertical distributions of U_{horiz} were more similar to that under unidirectional
372 current than waves. Under pure wave conditions the presence of AV can alter the mean flow
373 structure, and a significant mean current in the direction of wave propagation is generated
374 within vegetation when the ratio of wave excursion ($E_w = u_{wmax}T/(2\pi)$, with u_{wmax} the
375 maximum velocity in wave cycle) to stem spacing (distance between two adjacent stems, $S =$
376 $m^{-1/2}$) larger than one (**Luhar, Coutu, Infantes, Fox, & Nepf, 2010**). Using the velocity
377 measured at the middle height of vegetation (i.e., $z = h/2$ and $h_v/2$ for emergent and
378 submerged cases, respectively), $E_w/S = 0.03 \sim 0.3$, indicating weak wave-plant interactions,
379 for all cases in present study (Table 1). Therefore, under combined wave-current conditions
380 the time-averaged velocity was determined by the magnitude of current when the E_w/S was
381 small. However, the existence of waves enhanced the momentum transfer between the
382 canopy and its overlying water column, leading to a larger penetration depth present within
383 the canopy.

384

385 4.3 Wave orbital velocity within vegetation

386 Vertical profiles of the wave orbital velocity, U_w , for cases with vegetation were shown in
387 Figure 6. Measurement points with $P_{w,u} < 10\%$ (indicating the water motion was not affected
388 by surface waves) were excluded from the velocity profiles. For all vegetated cases tested
389 here, both the horizontal (U_{w_horiz} , grey circles in Fig. 6) and vertical (U_{w_vert} , blue triangles in
390 Fig. 6) components of wave orbital velocity decreased from the water surface to the bed. To
391 determine the extent to which reduction of wave velocity by the interaction with AV, linear
392 wave theory (i.e., eqs. (6) and (7)) was used to estimate the natural attenuation of wave
393 velocity with depth. In present study, the measured U_{w_horiz} and U_{w_vert} (symbols in Fig. 6)
394 agreed with the predictions (dashed curves in Fig. 6) through the entire water column,
395 suggesting that the wave orbital velocity within vegetation was not attenuated compared with
396 that above the vegetation or near the water surface. As noted by **Low et al. (2005)**, the
397 significance of wave orbital velocity reduction within vegetation, for which we can call the
398 wave attenuation in vertical direction, can also be indicated by E_w/S , and this vertical
399 attenuation by vegetation is significant for $E_w/S > 1$. With $E_w/S = 0.03 \sim 0.3$ in present study,
400 the wave orbital motion was not significantly altered by the interaction with vegetation. This
401 finding is similar to laboratory measurements of flow structure within and above a model *Z.*
402 *marina* meadow (**Luhar, Coutu, Infantes, Fox, & Nepf, 2010**) and field observations by
403 **Hansen & Reidenbach (2013)** in coastal regions. Therefore, with weak wave-plant
404 interaction ($E_w/S < 0.5$) the wave orbital velocity within vegetation can be predicted by linear
405 wave theory under combined wave-current conditions.

406 As AV can efficiently inhibit the wave amplitude (e.g., **Bradley & Houser, 2009; Luhar,**
407 **Infantes, & Nepf, 2017**), the presence of AV decrease the wave orbital velocity by
408 attenuating wave amplitude, which we can refer as the attenuation of wave orbital velocity in
409 horizontal direction. The extent to which reduction of wave amplitude was determined by the

410 relative velocity between vegetation and water motion and the distance the wave propagated
411 into the vegetation (e.g., **Luhar, Infantes, & Nepf, 2017; Mendez, Losada, & Losada,**
412 **1999; Mullarney & Henderson, 2010**). Therefore, attenuation in both the horizontal and the
413 vertical directions should be considered to fully evaluate the impact of vegetation on wave
414 orbital velocity by measuring the velocity in vegetated region and its adjacent bare-bed
415 region simultaneously.

416

417 4.4 Turbulent kinetic energy within vegetation

418 The presence of vegetation also altered the vertical distribution of turbulent kinetic
419 energy (*TKE*). For emergent conditions (i.e., $h_v > h$), *TKE* was uniformly distributed through
420 the entire water column (i.e., cases A1, A2, and B1 shown in Figs. 7a, 7b, and 7f,
421 respectively) or increased from bed to water surface (i.e., cases B3 and B4 as shown in Figs.
422 7h and 7i, respectively). This distribution also occurred within the lower part of canopy (e.g.,
423 A3, A5, and B5 in Figs. 7c, 7e, and 7j, respectively) when the vegetation was under
424 submerged conditions (i.e., $h_v < h$). Near the top of vegetation, *TKE* increased with increasing
425 z and reached its maximum near the canopy interface, and then decreased toward the water
426 surface (e.g., Figs. 7c, 7d, 7e, and 7j).

427 Within the emergent vegetation and the lower part of submerged vegetation with U_{horiz}
428 small and uniformly distributed, stem wakes were the main source of turbulence (e.g., **Nepf**
429 **& Vivoni, 2000; Zhang, Tang, & Nepf, 2018**). Under unidirectional currents, vortices shed
430 behind stems when the stem Reynolds number $Re_d (= U_{horiz}d/\nu$ with ν the water kinematic
431 viscosity) > 120 (**Liu & Nepf, 2016**). For all cases tested here, the Re_d within the canopy
432 varied between 3 and 90 (Table 1), indicating that no turbulence was generated by the
433 interaction of vegetation and mean current. Stem vortices by wave-plant interaction are
434 governed by the *Keulegan-Carpenter* number, $KC (= u_{wmax}T/d)$, and vortex shedding occurs
435 near the stem for $KC > 6$ (**Sumer, Christiansen, & Fredsoe, 1997**). Using u_{wmax} measured at
436 $z = h_v/2$, the KC of all cases ranged 2 ~ 24 (Table 1), suggesting that stem turbulence was
437 generated for some cases (e.g., B1 and B4). However, with weak wave-plant interaction (i.e.,
438 $E_w/S < 0.5$ in present study) the stem-generated turbulence cannot enhance the turbulence
439 level within canopy (**Zhang, Tang, & Nepf, 2018**), leading to the turbulence level within
440 vegetation for cases with $KC > 6$ was not elevated compared with cases with $KC < 6$.
441 Besides, for submerged cases the *TKE* distributions near the top of vegetation were similar to
442 that by pure currents (e.g., **Zhang, Lai, & Jiang, 2016; Zhang et al., 2020**). Recall that U_{horiz}
443 increased with increasing z near the top of vegetation. This can lead to the generation of shear
444 turbulence (**Nepf & Vivono, 2000**), making *TKE* reach its maximum near the canopy
445 interface and decrease toward bottom and water surface. The presence of shear turbulence
446 can also give explanation for the increased *TKE* toward water surface for the emergent cases
447 B3 and B4 in which the U_{horiz} gradually increased with increasing z near the water surface
448 (see Fig. 5h and 5i).

449

450 5 Conclusions

451 Field experiments were conducted in floodplains of Poyang Lake, China, to investigate the
452 influence of AV on flow structures under combined current and wind-driven wave conditions.
453 Spectral decomposition was used to decompose the wave and turbulent components from the

21

22

454 instantaneous velocity series, and the vertical distributions of time-averaged velocity (U_{horiz}),
455 wave orbital velocity (U_w) and turbulent kinetic energy (TKE) were analyzed separately. With
456 $E_w/S (= 0.03 \sim 0.3) < 0.5$ for all cases tested here the interaction between waves and
457 vegetation was weak and the vertical profiles of U_{horiz} and TKE were more similar with that
458 under pure-current conditions. Without significant wave-induced current generated within
459 canopy, U_{horiz} distributed uniformly through the entire water column or increased gradually
460 from bed to water surface for emergent vegetation and in the lower part of submerged
461 vegetation. Similar distributions were present for TKE . Although wake turbulence by wave-
462 plant interactions was expected to occur in some cases in present study, the TKE within
463 canopy was comparable for all cases as the E_w/S was small. Near the top of submerged
464 vegetation, U_{horiz} increased rapidly with increasing distance to the bottom, and shear
465 turbulence was expected to be generated and penetrated downward into the canopy. The
466 measured penetration depths were compared with predictions by a model proposed under
467 pure-current condition, and the measured was less than the prediction, indicating that the
468 presence of wind-driven waves increased the penetration depth of shear turbulence. Besides,
469 the generation of shear turbulence made TKE reach its maximum near the vegetation
470 interface and decrease toward both bed and water surface. In present study, the measured U_w
471 for the vegetated cases agreed with predictions by linear wave theory through the entire water
472 column, suggesting that the wave velocity was not attenuated by AV with weak wave-plant
473 interaction. Therefore, the wave orbital velocity within vegetation can be predicted by linear
474 wave theory under combined wave-current conditions when the E_w/S was small (i.e., $E_w/S <$
475 0.5).

476
477

478 **References**

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665 **Tables:**

666

667 **Table 1.** Vegetation and wave parameters for each experimental scenario.

| Case ^a | Vegetation type | m^b (m ⁻²) | d^c (cm) | h_v^d (m) | h^e (m) | a_w^f (cm) | T^g (s) | λ^h (m) | k^i (m ⁻¹) | u_{wmax}^j (cm/s) | E_w^k (cm) | KC^l | E_w/S^m | Re_d^n |
|-------------------|-----------------------|-----------------------------|---------------|----------------|--------------|-----------------|--------------|--------------------|-----------------------------|------------------------|-----------------|--------|-----------|----------|
| S0 | - | - | - | - | 2.20 | 1.75 | 2.0 | 6.1 | 1.03 | 4.0 | 1.27 | - | - | - |
| A1 | <i>A. selengensis</i> | 480 | 0.5 | 0.90 | 0.65 | 0.45 | 1.1 | 1.8 | 3.41 | 1.5 | 0.26 | 3.3 | 0.06 | 90.3 |
| A2 | <i>A. selengensis</i> | 420 | 0.5 | 1.00 | 0.90 | 0.42 | 1.6 | 3.6 | 1.72 | 2.5 | 0.64 | 8.0 | 0.13 | 47.5 |
| A3 | <i>P. arundinacea</i> | 120 | 0.5 | 0.65 | 1.15 | 0.46 | 1.4 | 3.0 | 2.09 | 2.0 | 0.45 | 5.6 | 0.05 | 15.6 |
| A4 | <i>C. cinerascens</i> | 1260 | 0.3 | 0.20 | 0.80 | 1.88 | 1.1 | 1.9 | 3.36 | 2.3 | 0.40 | 8.4 | 0.12 | 3.7 |
| A5 | <i>P. arundinacea</i> | 520 | 0.5 | 1.00 | 1.80 | 3.66 | 1.1 | 1.9 | 3.33 | 1.0 | 0.18 | 2.2 | 0.04 | 14.2 |
| B1 | <i>P. arundinacea</i> | 240 | 0.5 | 1.30 | 0.67 | 0.76 | 2.0 | 4.5 | 1.38 | 6.0 | 1.91 | 24.0 | 0.30 | 22.7 |
| B2 | <i>P. arundinacea</i> | 280 | 0.5 | 0.60 | 1.66 | 1.41 | 1.7 | 4.4 | 1.42 | 2.0 | 0.54 | 6.8 | 0.08 | 26.8 |
| B3 | <i>P. arundinacea</i> | 280 | 0.5 | 0.60 | 0.60 | 0.35 | 1.0 | 1.5 | 4.09 | 1.8 | 0.29 | 3.6 | 0.05 | 50.2 |
| B4 | <i>P. arundinacea</i> | 320 | 0.5 | 0.90 | 0.90 | 1.06 | 1.0 | 1.6 | 4.03 | 5.5 | 0.88 | 11.0 | 0.16 | 41.3 |
| B5 | <i>P. arundinacea</i> | 300 | 0.5 | 0.70 | 1.00 | 0.40 | 1.0 | 1.6 | 4.03 | 1.3 | 0.21 | 2.6 | 0.03 | 33.1 |

^aS0 was the bare bed case. A1 ~ A5 and B1 ~ B5 were cases with the influence of AV and located in sites A and B (Fig. 1b), respectively.

^bStem density (stems per unit area). Please note that several stems are grown for each individual plant of *A. selengensis* and *P. arundinacea*. *C. cinerascens* was composed of basal blades, so that its stem density in present study referred to the numbers of blade per unit area.

^cStem diameter. For *C. cinerascens* this table gave the value of mean blade width.

^{d, e}Height of vegetation (h_v) and water depth (h).

^fWave amplitude calculated by fitting eq. (6) to measured horizontal wave velocity (U_{w_horiz}) at the highest three measurement points.

^gWave period calculated as $T = 1/f_p$ with f_p the peak frequency of the wave domain in the power spectral density of instantaneous vertical velocity.

^{h, i}Wave length ($\lambda = 2\pi/k$) and wave number (k) estimated by linear wave theory, i.e., $\omega^2 = (kg)\tanh(kh)$, with ω ($= 2\pi/T$) the wave radian frequency, g the gravitational acceleration, and h the water depth.

^jMaximum velocity in wave cycle.

^kWave excursion (radius of wave orbital motion) estimated by $E_w = u_{wmax}T/(2\pi)$.

^l*Keulegan-Carpenter* number estimated as $KC = u_{wmax}T/d$.

^mRatio of wave excursion (E_w) to stem spacing (S) with $S = m^{-1/2}$.

ⁿStem Reynolds number estimated by $Re_d = U_{horiz}d/\nu$ (with $\nu = 10^{-6}$ the water kinematic viscosity) within the vegetation.

668

669

670 **Figure Legends:**

671

672 **Figure 1.** (a) Poyang Lake is located at the south bank of Yangtze River. It receives inflows
673 from five tributary rivers (i.e., Xiushui, Ganjiang, Fuhe, Xinjiang, and Raohe) and discharges
674 into Yangtze River at Hukou. The study area (marked as black square) was located at the
675 southwest of Poyang Lake. (b) Two sites, named A and B, were chosen at floodplains formed
676 in Ganjiang River to measure the flow velocity. (c) and (d) Positions of all measurement
677 cases in sites A and B. Black arrows show the flow direction.

678

679 **Figure 2.** (a) Water level variation (in days) in Duchang Hydrological Station in the year of
680 2015. Velocity measurements were conducted from August 18 to August 24, which was
681 marked as the red square in the figure. (b) Wind speed (maximum in hours) measured by
682 Poyang Lake Wetland Observation Station, Chinese Academy of Sciences, located in Xingzi
683 from Aug. 18 to 24. Blue arrows denote the direction toward which the wind is blowing, with
684 northward up and eastward to the right.

685

686 **Figure 3.** (a) Power spectral density (PSD) of the instantaneous velocity u_u measured near the
687 water surface ($z = 55$ cm with $z = 0$ representing the bottom) of case A4. Grey circles show
688 the domain of wave signal with its boundaries labeled as f_l and f_h . Outside the wave domain
689 (marked as black line) is the spectra of turbulence. Red dashed line presents the best linear fit
690 for the amplitude within the frequency range of $f_L \sim f_l$ and $f_h \sim f_H$. (b) Time series of the
691 instantaneous velocities demonstrating the decomposition of the original velocity (black line)
692 into time-averaged (green line), wave (red line), and turbulent (blue line) velocities using the
693 method of spectral decomposition.

694

695 **Figure 4.** Vertical distributions of the time-averaged velocity (U), wave energy percentage
696 (P_w), wave orbital velocity (U_w), and turbulent kinetic energy (TKE) for the bare-bed case S0.
697 Dashed line in (b) presents $P_w = 10\%$. The red and blue dashed curves in (c) show the
698 predictions of linear wave theory using eqs. (6) and (7), respectively.

699

700 **Figure 5.** Vertical distributions of the time-averaged horizontal velocity, U_{horiz} , for cases with
701 vegetation. The upper five plots and lower five plots present velocity profiles measured in
702 sites A and B, respectively. Blue and green dashed lines show the positions of water surface
703 and the top of vegetation, respectively. The absence of green dashed lines in some plots
704 indicated that the vegetation was under emergent conditions. Sketch of the vegetation was
705 also present with the velocity profile for each case.

706

707 **Figure 6.** Vertical distributions of wave velocity (U_w) for vegetated cases. The horizontal
708 (U_{w_horiz}) and vertical (U_{w_vert}) components were considered separately and marked as grey
709 circles and blue triangles, respectively, in the figure. Measurement points with $P_{w_u} < 10\%$ in
710 the lower part of water column were excluded from each profile. For each plot, the red and
711 blue dashed curves show the prediction of U_{w_horiz} and U_{w_vert} by eqs. (6) and (7) with the wave
712 amplitude estimated by fitting eq. (6) to measured U_{w_horiz} at the highest three measurement
713 points. Predictions of U_{w_horiz} and U_{w_vert} were overlap for cases A5 (e), B4 (i), and B5 (j).

35

36

714 Please note that the scale of x -axis was different between cases.

715

716 **Figure 7.** Vertical distribution of the turbulent kinetic energy (TKE) for vegetated cases.

717 Upper five plots and lower five plots present TKE profiles measured in sites A and B,

718 respectively. The TKE for the measurement points with $P_{w,u} < 10\%$ near the bed was

719 calculated by eq. (8) using original instantaneous velocity. Blue and green dashed lines show

720 the positions of water surface and the top of vegetation, respectively. Sketch of the vegetation

721 for each case was present with the TKE profile.