

1 **Assessment of the degree of sloped cropland degradation in typical black soil region**

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20 **Abstract**

21

22 A comprehensive evaluation indicator system is needed to provide a integrated assessment of the  
23 degree of sloped cropland degradation. We employed bibliometrics on research studies involving  
24 cropland degradation. Frequency analysis was then used to identify high-frequency indicators  
25 with which to construct a total index set (TIS). In addition, soil measurement data from sloped  
26 cropland in Baiquan and Keshan Counties, Heilongjiang province, China, were used as a basis to  
27 construct a minimum index set (MIS). The TIS included A-horizon thickness, clay content,  
28 organic matter content, pH, slope gradient, ridge-slope angle, gully density, bulk density, large  
29 water-stable aggregate content, soil cation exchange capacity, and crop yield. The first six of  
30 these were included in the MIS. In the studied area, undegraded soil, mildly degraded soil, and  
31 moderately degraded soil and above accounted for 7%, 48% and 45% of investigated sloped  
32 croplands, respectively. Slope gradient is one of the main factors affecting soil degradation. Soil  
33 degradation mainly presented as worsening of soil physicochemical characteristics. In addition,  
34 downslope and small-angle ridge cultivation are benefit for soil organic matter maintenance and  
35 the soil structure and nutrient retention capacity is better than soil with contour or large-angle  
36 ridge cultivation. The reason might be that downslope and small-angle ridge cultivation are  
37 usually employed on soil with small slope. The study results provide a scientific basis for  
38 improving the quality and productivity of sloped cropland in black soil region.

39

40 **Keywords:** slope gradient/ridge-slope angle/gully density/evaluation system/land degradation/  
41 bibliometrics

42

## 43 1. Introduction

44

45 Soil is an indispensable component of the ecosystem and is a medium and  
46 substrate for growth and survival of organisms. Soil degradation refers to a reduction  
47 of functions or intensity of functionality as compared to functions under natural  
48 conditions (Kuzyakov et al., 2019). Soil degradation seriously threatens food  
49 production and agricultural sustainable development.

50 In typical black soil region in Northeast China, cropland area is approximately  
51 167,000 km<sup>2</sup>. Sloped cropland with a slope gradient of >0.25° accounts for 46.7% of  
52 the total cultivated land (Liu et al., 2021). Sloped cropland consists mainly of gentle  
53 and long slopes, with slope gradients of 1-8° and slope lengths of 500–2000 m (Fan et  
54 al., 2004). The unique terrain, climate, and unsuitable human cultivation management  
55 have caused serious degradation in sloped cropland in black soil region (Sang et al.,  
56 2020; Zhai et al., 2020). The first national water conservancy census showed that  
57 there are nearly 300,000 erosion gullies in black soil region in Northeast China, with a  
58 mean gully density of 0.21 km/km<sup>2</sup> (Ministry of Water Resources of the People's  
59 Republic of China, 2013) and approximately 400,000 hm<sup>2</sup> of cropland area has been  
60 eroded (Wang et al., 2017). The soil A-horizon has been lost completely in severely  
61 eroded sloped cropland, appearing as a broken and yellow surface. Most of these  
62 croplands have since become low-productivity fields (Yan et al., 2009). Soil  
63 degradation caused by gully erosion has become an important problem for ecological  
64 restoration in black soil region (Liu and Yan, 2009). Improving productivity of  
65 degraded sloped cropland is crucial in black soil region (Zhang et al., 2010), for  
66 which the evaluation of the degree of sloped cropland degradation are prerequisites  
67 (Jin et al., 2019).

68 The soil degradation classification system that is mostly used worldwide  
69 classifies the soil degradation processes as water erosion, wind erosion, physical  
70 degradation, and chemical degradation (Middleton and Thomas, 1997). Researchers  
71 have selected different indicator to evaluate soil degradation based on the actual  
72 situation of the region. For example, Zhou et al. (2012) mainly used soil physical (soil  
73 moisture content and firmness) and chemical indicators (pH, organic matter, total  
74 nitrogen, total phosphorous, ammoniacal nitrogen, nitrate nitrogen, available  
75 phosphorus, and available potassium), combined with biodiversity and biomass to  
76 evaluate the degree of alpine grassland degradation in the Yellow River Source  
77 Region. Zhou et al. (2005) selected physical (A-horizon thickness, depth, clay-silt  
78 ratio, soil bulk density, and soil moisture), chemical (soil pH and soil cation exchange  
79 capacity (CEC)), and nutrient indicators (organic matter, total nitrogen, available  
80 nitrogen, total phosphorous, available phosphorus, total potassium, and available  
81 potassium) to assess the soil degradation in upper Yangtze River.

82 There is few studies focus on the evaluation of the degree of black soil  
83 degradation. Sun (2006) found that black soil degradation were mainly shown as the  
84 thinness of A-horizon, nutrient deletion, soil bulk density and gully increase, and soil  
85 organic matter decline. Liu et al. (2009) and Yan et al. (2009) pointed out that soil  
86 erosion is the main driving factor leading to the degradation of sloping cropland in  
87 black soil area, causing the thinning of A-horizon and the worsening of soil quality.  
88 Therefore, the selection of evaluation indicators for black soil degradation should  
89 focus mainly on soil erosion, soil profile characteristics, field productivity, and soil  
90 quality.

91 The objective of this study was to construct an evaluation indicator system that is  
92 suitable for assessing the degree of sloped cultivated land degradation in typical black

93 soil region. We employed bibliometrics to screen for studies focused on the degree of  
94 cropland degradation evaluation and performed frequency analysis on indicators in  
95 these papers. Meanwhile, adding indicators based on the specific environment of  
96 sloped cropland in typical black soil region and the associated cultivation  
97 management characteristics to construct evaluation indicator system. In addition, soil  
98 measurement data from sloped cropland in Baiquan and Keshan Counties,  
99 Heilongjiang province, China, were used as a basis to construct a minimum index set.  
100 This study provides an evaluation system to determine the degree of sloped cropland  
101 degradation in typical black soil region and provides support for improving the  
102 productivity of sloped cropland.

103

## 104 **2. MATERIALS AND METHODS**

### 105 **2.1. Soil sampling and measurements**

106 Soil samples were taken from sloped cropland in Baiquan and Keshan Counties,  
107 Qiqihar, Heilongjiang province (125°30'E–126°31'E, 47°20'N–47°55'N), which are  
108 both located in typical black soil region (Liu et al., 2021). The climate is temperate  
109 continental climate. Strong winds are frequent in spring and summers are hot. Rainfall  
110 is high and concentrated in summers, while winters are frigid. The cropland soil is  
111 mainly black soil (Luvic Phaeozem, FAO).

112 According to *Technical Standards for Black Soil Region Soil Erosion*  
113 *Comprehensive Prevention and Control* (Ministry of Water Resources of the People's  
114 Republic of China, 2009) and Liu et al. (2021), farmland in typical black soil region  
115 with a slope gradient of  $>0.25^\circ$  represents priority targets for soil erosion prevention  
116 and control. Therefore, sloped cropland with a slope gradient of  $>0.25^\circ$  were selected  
117 ( $0.25^\circ$ – $1.5^\circ$ ,  $1.5^\circ$ – $3^\circ$ ,  $3^\circ$ – $5^\circ$ , and  $>5^\circ$ ) on black soil type to investigate and measure

118 soil degradation evaluation indicators (Figure 1). Samples were collected at 3  
119 positions: top, middle, and bottom of the slope for each site. The A-horizon thickness  
120 of each sampling point was measured and 0–20 cm of undisturbed (stored in a hard  
121 box) and mixed soil samples (consist of five samples collected in an “S” shape) were  
122 collected. Soil samples were delivered to the laboratory as soon as possible for air-  
123 drying prior to measurement of soil physicochemical characteristics.

124 Conventional methods were used to measure soil bulk density, large water-stable  
125 aggregate content, soil organic matter content, and pH (Bao, 2013). To determine the  
126 clay content, a Microtrac S3500 laser particle size analyzer (Microtrac Inc., USA)  
127 was used to obtain the volume ratio, which was converted to mass ratio using an  
128 empirical equation (Li et al., 2015). To determine the gully density, satellite images of  
129 Baiquan and Keshan Counties acquired in Summer 2018 were used to identify the  
130 erosion gullies at each sampling site and its surroundings, and calculating the ratio of  
131 the length of these gullies to the total area of the sampling site. The ridge-slope angle  
132 was calculated by using remote sensing images for ridge interpretation, a digital  
133 elevation model for generation of the slope raster image layer of sampling points,  
134 before the ArcGIS 10.2 raster calculator (ESRI) was used to calculate the ridge-slope  
135 angle. A ridge-slope angle of 0–10°, 10–80°, and 80–90° are known as downslope  
136 tillage, ridge tillage, and contour tillage, respectively (Guan et al., 2019). The crop  
137 yield (maize) was obtained based on a farmer survey.

## 138 **2.2. Construction of a soil degradation evaluation indicator system**

### 139 ***2.2.1 Construction of a total index set (TIS) for soil degradation evaluation*** 140 ***indicators***

141 Bibliometrics and a frequency analysis method were used to conduct a literature  
142 search and screen for indicators. The screening principles of dominance, accuracy,

143 practicality, independence, and stability were used to construct the TIS.

144 A subject term search was used for bibliometrics using the China National  
145 Knowledge Infrastructure (CNKI) and Web of Science databases. All the subject  
146 terms were shown in Table 1. Papers in the CNKI database and Web of Science  
147 databases were indexed from 1979 to 2019 and 1950 to 2019, respectively. Using  
148 “soil degradation-evaluation indicator” as an example, the search method was as  
149 follows: “soil degradation” was input as the subject term search and searched within  
150 the results for “evaluation indicators.” Combined the usage frequency of different  
151 indicator with the same meaning, such as ‘soil moisture’ and ‘soil water content’.  
152 After screening, we obtained 451 papers that were mainly focused on cropland soil  
153 degradation and 85 indicators were extracted. Among which 78 papers studied on  
154 typical black soil region and 63 indicators were extracted.

155 The usage frequency of each indicator was calculated as follows (Wang et al.,  
156 2019):

$$157 \quad F_i = T_i / S \quad (1)$$

158 where  $F_i$  represents the usage frequency for indicator  $i$ ,  $T_i$  represents the number of  
159 times that indicator was used, and  $S$  represents the total number of papers.

### 160 ***2.2.2 Construction of a minimum index set (MIS) for soil degradation evaluation*** 161 ***indicators***

162 Cluster analysis was used to construct the MIS to evaluate the degree of sloped  
163 cultivated land degradation in typical black soil region. The squared Euclidean  
164 distance method was used for R cluster analysis in SPSS 22.0 (SPSS Inc., Chicago,  
165 Illinois, USA). The corresponding cluster level was selected based on the objective of  
166 the study and the evaluation indicators were classified into several groups of soil  
167 attributes (usually 4–6 groups). The indicators can replace each other if a significant

168 correlation between indicators in the same group. In addition, based on the results of  
169 previous studies and field investigations, redundant indicators were excluded, and  
170 representative and relatively independent indicators were selected to create the MIS.

171 The soil degradation index (SDI) is an integrated index composed of soil  
172 degradation evaluation indicators and the threshold value is [0–1]. Higher SDI means  
173 more severe soil degradation. A five-grade system was used in this study to classify  
174 the degree of sloped cropland degradation: undegraded ( $0 \leq \text{SDI} < 0.2$ ), mild  
175 degradation ( $0.2 \leq \text{SDI} < 0.4$ ), moderate degradation ( $0.4 \leq \text{SDI} < 0.6$ ), severe  
176 degradation ( $0.6 \leq \text{SDI} < 0.8$ ), and extremely severe degradation ( $0.8 \leq \text{SDI} \leq 1$ ) (Jin  
177 et al., 2018). The calculation formula for soil degradation is as follows:

$$178 \quad \text{SDI} = \sum_{i=1}^n W_i N_i \quad (2)$$

$$179 \quad N_i = \mu(x_i) \quad (3)$$

180 where  $W_i$  represents the weight of the  $i$ th indicator,  $N_i$  represents the membership of  
181 the  $i$ th indicator.  $N_i$  is calculated using the function  $\mu(x_i)$ , where  $x_i$  represents the  
182 actual measurement for indicator  $i$ .

183 The positive and negative effects of various indicators on the SDI were used to  
184 classify  $\mu(x_i)$  as an “S” type function or reverse S type function (Xu, 2003), and  
185 approximated as semi-increasing trapezoid and semi-decreasing trapezoid  
186 distributions (Figure 2). The mean value of soil with higher fertility in Baiquan and  
187 Keshan Counties in the second national soil census was used as a criterion to  
188 determine whether soil degradation has occurred (i.e., the upper limit of the  
189 membership function threshold). Soil data from region with the most severe  
190 degradation in field investigation were used as the lower limit for the membership  
191 function threshold. The calculation formula for membership was as follows:

$$\mu(x) = \begin{cases} 1, x \geq b \text{ (S type function) or } x \leq a \text{ (reverse S type function)} \\ \frac{x-a}{b-a} \text{ (S type function) } \vee \frac{x-b}{a-b} \text{ (reverse S type function)}, a < x < b \\ 0, x \leq a \text{ (S type function) } \vee x \geq b \text{ (reverse S type function)} \end{cases} \quad (4)$$

where  $\mu(x)$  represents indicator membership,  $x$  represents the indicator measurement,  $a$  represents the lower limit of threshold, and  $b$  represents the upper limit of threshold.

In Equation (2),  $W_i$  was obtained by principal component analysis (Jin et al., 2018). SPSS 22.0 was used to extract the common factor variance for each indicator (range: 0–1). The ratio of the common factor variance of an indicator to the total common factor variance was the weight of that indicator. The higher the indicator weight, the greater its contribution to SDI.

The calculation formulas for  $E_f$  and  $E_r$  were as follows:

$$E_f = 1 - \frac{\sum (R_0 - R_{cal})^2}{\sum (R_0 - \bar{R}_0)^2} \quad (5)$$

$$E_r = \left| \frac{\sum_{i=1}^n R_{0i} - \sum_{i=1}^n R_{cali}}{\sum_{i=1}^n R_{0i}} \right| \quad (6)$$

where  $R_0$  and  $\bar{R}_0$  represent the SDI (SDI-TIS) and mean calculated using TIS, respectively, and  $R_{cal}$  represents the SDI (SDI-MIS) calculated using MIS. The closer the  $E_f$  is to 1 and the closer  $E_r$  is to 0, the smaller the deviation between SDI-MIS and SDI-TIS, and the more precise the results for soil degradation evaluation with MIS.

207

### 208 3. RESULTS

#### 209 3.1 TIS construction

The literatures on evaluation of the degree of sloped cropland degradation in black soil region were classified into the following five categories: erosion indices, profile indices, physical indices, chemical indices, and biological indices. Each

213 indicator was ranked according to frequency and the high-frequency indicators were  
214 then selected (Figure 3). A total of 17 high-frequency indicators were obtained: soil  
215 texture, bulk density, clay content, Ap-horizon thickness, effective soil thickness, A-  
216 horizon thickness, soil erosion degree, soil erosion modulus, organic matter content,  
217 pH, available-N, available-P, available-K, total N, total P, CEC, and microbial  
218 biomass C/N. By analyzing the correlation between different indicators and  
219 combining regional characteristics and principles of indicator screening, we finally  
220 confirmed 11 indicators to form the TIS to evaluate soil degradation in sloped  
221 cropland in black soil region (Figure 4).

### 222 **3.2 MIS construction**

223 Cluster analysis classified the evaluation indicators into four categories (Figure  
224 5). Category 1 consists of gully density, slope, ridge-slope angle, and bulk density,  
225 which mainly characterize the terrain characteristics of sloped cropland. Soil pH is in  
226 Category 2 and characterizes the soil environment. Category 3 consists of A-horizon  
227 thickness and crop yield, which characterizes soil production performance. Category 4  
228 consists of organic matter, CEC, clay content, and large water-stable aggregate  
229 content and mainly characterizes soil nutrient retention capacity. By analyzing the  
230 correlation between indicators, we finally confirmed the following six indicators in  
231 MIS for evaluating the degree of sloped cropland degradation in black soil region: A-  
232 horizon thickness, CEC, organic matter content, pH, slope gradient, and ridge-slope  
233 angle.

234 In TIS, bulk density, gully density, slope, and ridge-slope angle have positive  
235 relationship with the degree of soil degradation. Therefore, they belong to the S-  
236 shaped curve and membership degree was determined according to Equation (4).  
237 Large water-stable aggregate content, organic matter content, CEC, A-horizon

238 thickness, crop yield, pH, and clay content have negative relationship with the degree  
239 of soil degradation and belonged to the reverse S-shaped function. Their membership  
240 was determined using Equation (5). The weight of indicators in TIS and MIS were  
241 shown in Table 2.

242 The SDI-TIS distribution range was 0.128~0.841 and the SDI-MIS distribution  
243 range was 0.157~0.836.  $E_f$  was 0.886 and  $E_r$  was 0.037. Regression analysis showed a  
244 strong linear correlation between SDI-MIS and SDI-TIS and  $R^2$  was 0.895 (Figure 6).  
245 The SDI-MIS results showed that in the studied area, undegraded soil, mildly  
246 degraded soil, moderately degraded soil and above accounted for 7%, 48% and 45% of  
247 investigated sloped cropland sites, respectively.

### 248 **3.3 Soil characteristics for sloped cropland with varying degrees of degradation**

249 Correlation analysis showed that SDI has significant positive correlations with  
250 slope gradient, ridge-slope angle, soil bulk density and gully density (Table 3). The  
251 mean slope gradient of undegraded soil was  $0.75^\circ$ , while severely degraded soil and  
252 above was  $>4^\circ$  (Table 4). The SDI showed a significant negative correlation with  
253 organic matter, CEC, large water-stable aggregate, clay content and A-horizon  
254 thickness but was not correlated with pH and crop yield (Table 4). Undegraded soil  
255 had an A-horizon thickness of 80–110 cm (mean: 100 cm) and organic matter content  
256 of 64 g/kg. In contrast, the mean A-horizon thickness of extremely severely degraded  
257 soil was only 5 cm and the organic matter content was one third of that of undegraded  
258 soil. All soil pH (5-6) was much lower than that (around 7) in the second national soil  
259 census in the studied area.

260

## 261 **4. DISCUSSION**

### 262 **4.1 Construction of indicator system for evaluating the degree of sloped cropland**

## 263 **degradation in typical black soil region**

264 Bibliometrics was used to screen for TIS indicators to evaluate the degree of  
265 sloped cropland degradation in typical black soil region and indicators in the literature  
266 were classified into five categories. The usage frequency of each indicator was then  
267 analyzed. Soil bulk density directly affected soil porosity and water retention and  
268 shows a good response to soil degradation (Wang, 2016). Erosion is one of the main  
269 causes of black soil degradation in Northeast China, which mainly results in the loss  
270 of clay particles and breaking up of large aggregates (>0.25 mm). The large water-  
271 stable aggregate content is one of the key indicators of fertility decline in black soil  
272 (Lu et al., 2016). Therefore, we still included large water-stable aggregate content in  
273 TIS to evaluate the degree of soil degradation, even though its usage frequency in the  
274 searched papers was only 6.4%. Thinning of A-horizon is the directly reflection of  
275 soil degradation in sloped cropland in black soil region and A-horizon thickness can  
276 be used to characterize the degree of soil erosion. Therefore, the degree of soil erosion  
277 in the erosion index was replaced by A-horizon thickness. Soil available nutrients  
278 were used to characterize soil nutrient supply. However, it is easily affected by  
279 fertilizer application and other environmental factors (Wang, 2015). Besides, soil  
280 available nutrients usually have positive correlation with organic matter content.  
281 Therefore, it was not included in TIS. Microbial biomass C/N has a significant  
282 positive correlation with organic matter in black soil (Wang et al., 2015). Based on the  
283 principles of dominance and independence, soil organic matter was used to replace  
284 soil microbial biomass C/N in biological indicators. In addition, literature review and  
285 field surveys have found that ridge cultivation significantly affects sloped cropland  
286 degradation (Zhao et al., 2014; Guan et al., 2019). In the current study, by taking into  
287 account the regional characteristics and referencing evaluation indicator systems for

288 sloped cropland degradation in other region, the ridge-slope angle, slope gradient, and  
289 gully density were included in the TIS. In summary, we selected TIS comprising 11  
290 indicators to evaluate soil degradation in sloped cropland in black soil region.

291 Cluster analysis was used to simplify TIS and construct the MIS for evaluating  
292 the degree of sloped cultivated land degradation in black soil region. The slope  
293 gradient of cropland in black soil region mostly ranged from 1° to 5° but slope length  
294 ranged from several hundred meters to kilometers. This provided a good underlying  
295 surface for decreasing the impact of rainfall and runoff, and soil erosion was severe  
296 (Wang and Li, 2018). Ridges affect runoff, thereby affecting the formation of erosion  
297 gullies. Based on the principle of dominance, slope gradient and ridge-slope angle  
298 were selected for Category 1 in the cluster analysis. Soil pH in Category 2 is an  
299 important characteristic of sloped cropland degradation in black soil region in the  
300 study site. A decrease in A-horizon thickness is a major form of soil degradation in  
301 sloped cropland in black soil region (Shan et al., 2009). In addition to the soil fertility  
302 effects on crop yield, fertilizer application also greatly affects crop yield. Based on the  
303 stability and dominance principles, A-horizon thickness were selected for Category 3.  
304 Clay is a major inorganic colloid in soil and has important effects on CEC and  
305 formation of water-stable aggregates. However, we found a significant correlation  
306 between clay content and organic matter content and large water-stable aggregates.  
307 There is no relationship between CEC and other indicators in this category. Due to the  
308 independence principles, CEC and organic matter content were selected for Category  
309 4 in MIS. In summary, the MIS for evaluation of the degree of sloped cropland  
310 degradation in typical black soil region includes the following six indicators: A-  
311 horizon thickness, CEC, organic matter content, pH, slope gradient, and ridge-slope  
312 angle.

313 **4.2 Evaluating the degree of sloped cropland degradation in typical black soil**  
314 **region**

315 Undegraded and mildly degraded soil are mainly located in the northwest and  
316 southwest plains of the studied site, which is characterized by gentle slope ( $<1.5^\circ$ ),  
317 few erosion gullies, cross ridge or small-angle sloping ridge cultivation, and a thick  
318 A-horizon. Moderately degraded soil and above have steep slope, multiple erosion  
319 gullies, large-angle sloping ridge or longitudinal ridge cultivation, and a thin A-  
320 horizon. These soils are mainly located within the northern rolling hilly region and  
321 hills in the southeast region of Baiquan County. We analyzed the relationship between  
322 the degree of soil degradation and various indicators. Sloped cropland in the studied  
323 black soil region was not only indicated by worsening soil physicochemical  
324 characteristics but also a decrease in sloped cropland area due to gully erosion. The  
325 significant correlation between slope gradient and other soil characters might indicate  
326 that slope is one of the main factors causing cropland degradation. The steeper sloped  
327 cropland, with a lower soil organic matter, CEC, large water-stable aggregates and  
328 clay content, has a higher degree of soil degradation. In addition, the positive  
329 correlation between slope gradient and ridge-slope angle shows that downslope tillage  
330 is mainly employed on soil with a gentle slope, while sloping, and contour tillage are  
331 mainly employed on soil with a steep slope. The cooperative relationship between  
332 slope gradient and ridge-slope angle might be the reason leading to a non-significant  
333 correlation between ridge-slope angle and gully density. A-horizon thickness is the  
334 main factor affecting crop yield. There is no relationship between crop yield and SDI.  
335 The long-term unsuitable land management causes deterioration of soil quality and  
336 subsequently influences crop productivity. In order to achieve a high crop yield, huge  
337 amount of chemical fertilizers and pesticides have to be applied. Therefore, the crop

338 yield difference between cropland with varying degrees of degradation was small.

339

## 340 **5. CONCLUSION**

341 The TIS for evaluation of the degree of soil degradation in sloped cropland in  
342 black soil region included the following 11 indicators: gully density, slope gradient,  
343 ridge-slope angle, bulk density, large water-stable aggregate content, organic matter,  
344 pH, CEC, A-horizon thickness, clay content, and crop yield. The MIS included the  
345 following six indicators: A-horizon thickness, clay content, organic matter content,  
346 pH, slope gradient, ridge-slope angle. The MIS constructed based on cluster analysis  
347 has high accuracy for evaluating the sloped cultivated land degradation in black soil  
348 region. In this study, high-frequency indicators which were used by previous studies  
349 for evaluating cropland degradation in black soil region were employed, relevant  
350 indicators for evaluating sloped cropland degradation in other region were referenced,  
351 and indicators reflecting the characteristics of black soil region were added based on  
352 field surveys. This study provides a useful summary and an improvement from  
353 previous studies.

354 The study sites, Baiquan and Keshan Counties, are located in a rolling hilly  
355 region, with complex terrain. Slope gradient has a significant effect on sloped  
356 cropland degradation. Ridged-cultivation causes considerable complexity in soil  
357 erosion in sloped cropland. Soil degradation in the studied sites mainly presented as  
358 worsening of soil physicochemical characteristics such as severe loss of the A-  
359 horizon, soil compaction, severe structural destruction, and a decline in soil fertility.  
360 Downslope and small-angle ridge cultivation are benefit for soil organic matter  
361 maintenance and the soil structure and nutrient retention capacity is better than soil  
362 with contour or large-angle ridge cultivation.

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471 **Table 1. Subject terms used for construction of a soil degradation degree indicator system using bibliometrics and the results**

| Subject term                             | Results | Number of Studies | Number of Studies in typical black soil |
|--|---------|-------------------|---|
|  |         | Selected          | region                                  |
| Soil degradation—evaluation indicators   | 346     | 14                | 4                                       |
| Cropland quality evaluation—indicators   | 386     | 144               | 17                                      |
| Cropland quality evaluation—black soil   | 14      | 6                 | 6                                       |
| Cropland fertility evaluation—indicators | 303     | 187               | 19                                      |
| Cropland fertility evaluation—black soil | 25      | 2                 | 2                                       |
| Soil quality assessment—black soil       | 29      | 12                | 12                                      |
| Soil erosion                             | 253     | 16                | 3                                       |
| Cropland degradation                     | 109     | 16                | 5                                       |
| soil quality assessment—cropland         | 84987   | 36                | 10                                      |
| soil degradation evaluation              | 14972   | 18                | —                                       |
| <b>total</b>                             | —       | <b>451</b>        | <b>78</b>                               |

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479 **Table 2. Weight of indicators in the total index set (TIS) and minimum index set (MIS)**

|               |                       |                        |                 |      |                   |                      |                 |                                      |      |               |                  |
|---------------|-----------------------|------------------------|-----------------|------|-------------------|----------------------|-----------------|--------------------------------------|------|---------------|------------------|
|               | Soil                  |                        |                 |      |                   |                      |                 |                                      |      |               |                  |
| <b>TIS</b>    | organi<br>c<br>matter | A-horizon<br>thickness | Clay<br>content | pH   | Slope gradient    | Ridge-slope<br>angle | Bulk<br>density | Large water-<br>stable<br>aggregates | CEC  | Crop<br>yield | Gully<br>density |
| <b>Weight</b> | 0.08                  | 0.08                   | 0.09            | 0.07 | 0.11              | 0.09                 | 0.10            | 0.08                                 | 0.09 | 0.12          | 0.09             |
|               | Soil                  |                        |                 |      |                   |                      |                 |                                      |      |               |                  |
| <b>MIS</b>    | organi<br>c<br>matter | A-horizon<br>thickness | pH              | CEC  | Slope<br>gradient | Ridge-slope<br>angle |                 |                                      |      |               |                  |
| <b>Weight</b> | 0.17                  | 0.15                   | 0.16            | 0.13 | 0.24              | 0.15                 |                 |                                      |      |               |                  |

480 **Table 3. Correlation analysis between soil degradation index (SDI) and soil characteristics**

|     | Soil organic matter | A-horizon thickness | pH     | CEC      | Slope gradient | Ridge-slope angle | Bulk density | Large water-stable aggregates | Clay content | Crop yield | Gully density |
|-----|---------------------|---------------------|--------|----------|----------------|-------------------|--------------|-------------------------------|--------------|------------|---------------|
| SDI | -0.721**            | -0.497**            | -0.093 | -0.526** | 0.777*         | 0.622**           | 0.652*       | -0.376**                      | -0.526**     | 0.021      | 0.653**       |

481 \*\*: extremely significance;

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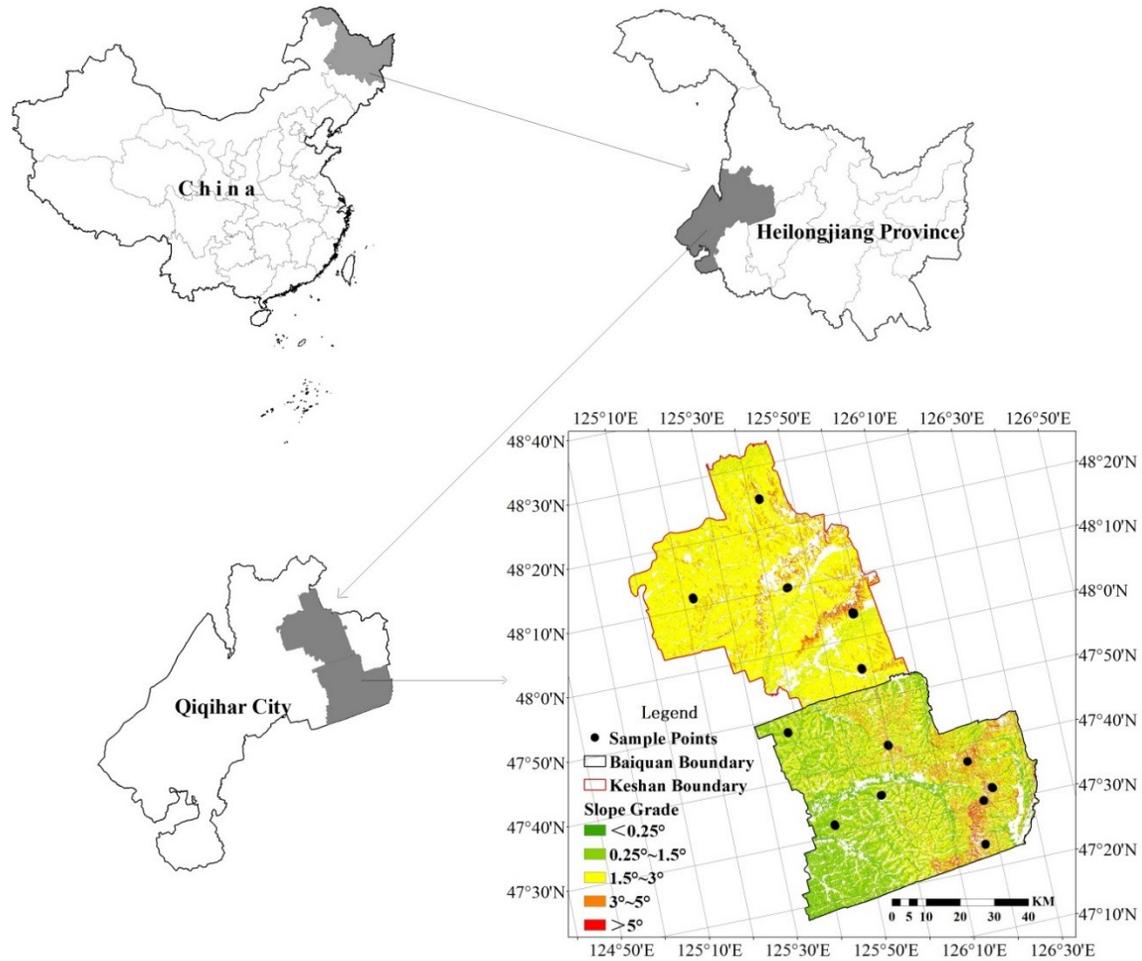
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499 **Table 4.** Distribution range and mean value of soil characteristics for sloped cropland with varying degrees of degradation

|                           | Undegraded soil    |      | Mild degradation   |      | Moderate degradation |      | Severe degradation |      | Extremely severe degradation |      |
|---------------------------|--------------------|------|--------------------|------|----------------------|------|--------------------|------|------------------------------|------|
|                           | Distribution range | Mean | Distribution range | Mean | Distribution range   | Mean | Distribution range | Mean | Distribution range           | Mean |
| Organic matter/ ( g/k g ) | 62.2~65.6          | 64.0 | 37.3~64.3          | 52.2 | 20.2~56.0            | 45.4 | 14.0~41.1          | 29.2 | 21.8                         | 21.8 |
| A-horizon thickness/cm    | 80~110             | 100  | 15~80              | 60   | 20~50                | 32.5 | 5~60               | 22.5 | 5                            | 5    |
| pH                        | 4.9~5.5            | 5.3  | 4.9~6.1            | 5.5  | 4.9~5.7              | 5.3  | 3.7~5.9            | 5.3  | 5.2                          | 5.2  |
| CEC                       | 37.3~52.0          | 43.1 | 31.3~43.6          | 37.2 | 24.4~38.2            | 33.6 | 15.4~42.3          | 30.0 | 26.9                         | 26.9 |
| Slope gradient/°          | 0.7                | 0.7  | 0.9~1.8            | 1.4  | 1.4~5.6              | 2.9  | 3.0~5.6            | 4.6  | 4.0                          | 4.0  |
| Ridge-slope angle /°      | 0                  | 0    | 0~74.3             | 24.5 | 14.5~82.6            | 53.6 | 21.9~82.6          | 54.6 | 21.9                         | 21.9 |

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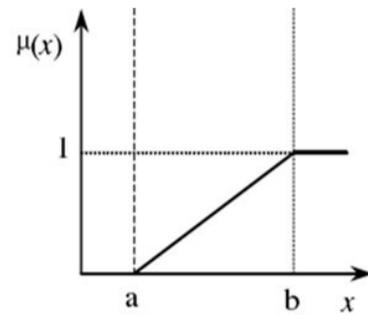
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**Fig. 1** Geographical location of the study sites and distribution of sampling points

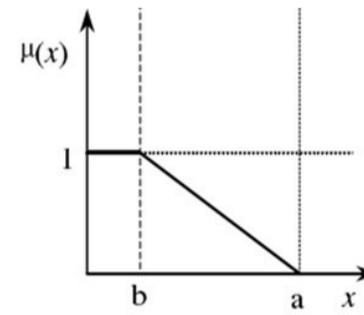
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(A) S-Shaped Curve

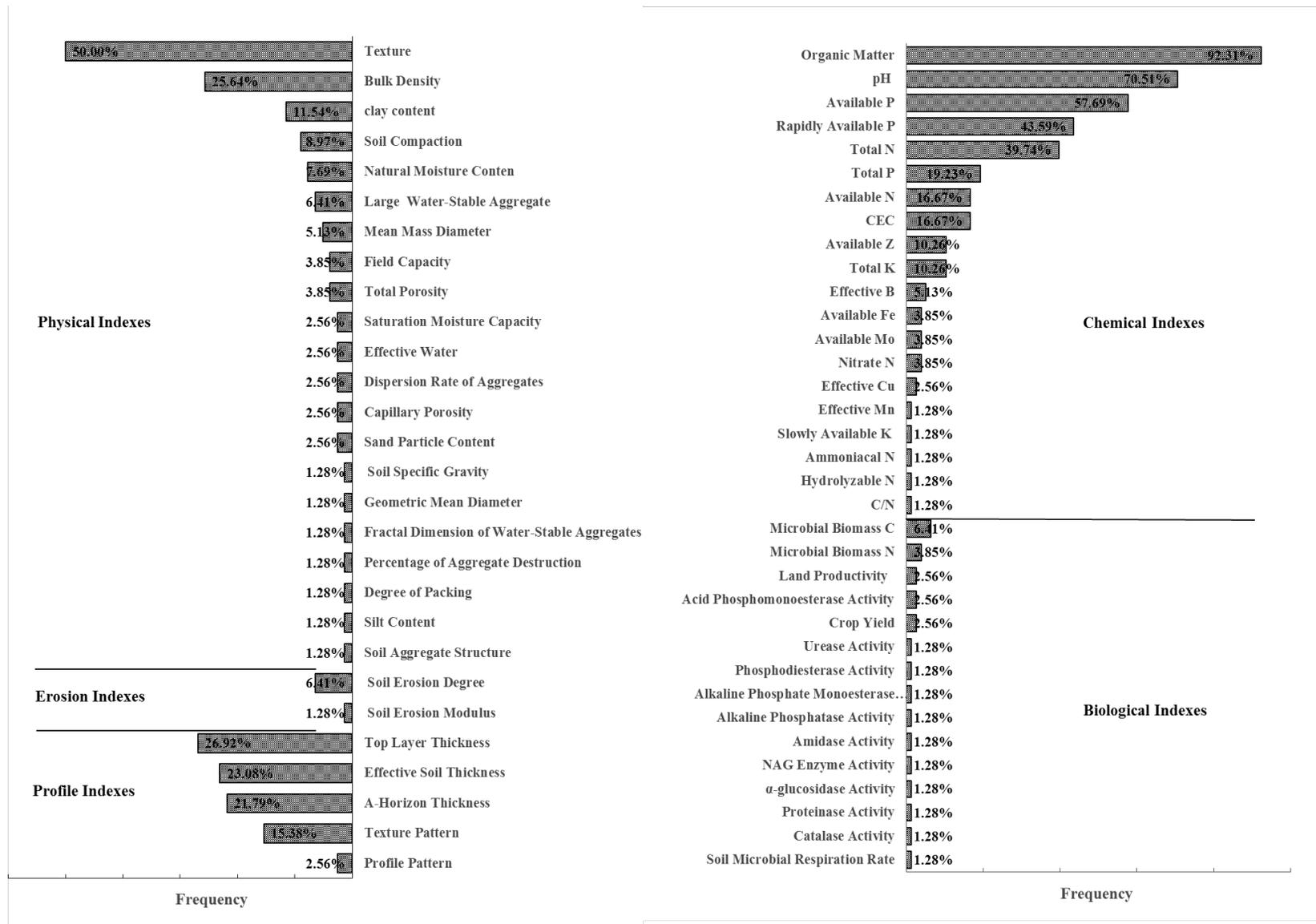


(B) Reverse S-Shaped Curve

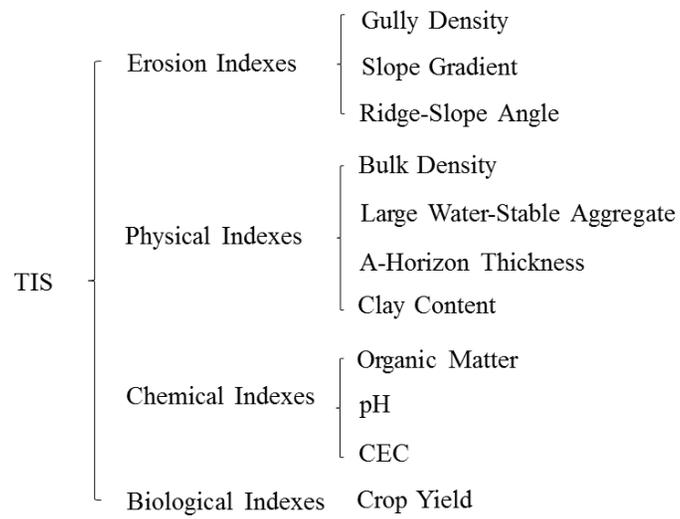
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504 **Fig. 2** Schematic diagram of membership function between soil degradation index (vertical axis) and soil characteristics (horizontal

505 axis).  $a$ , the lower limit of threshold;  $b$ , the upper limit of threshold.



507 **Fig. 3** Frequency distribution of indicators to evaluate the degree of soil degradation based on the frequency analysis method



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509 **Fig. 4** Total index set (TIS) for degree of sloped cropland degradation in typical black soil regions

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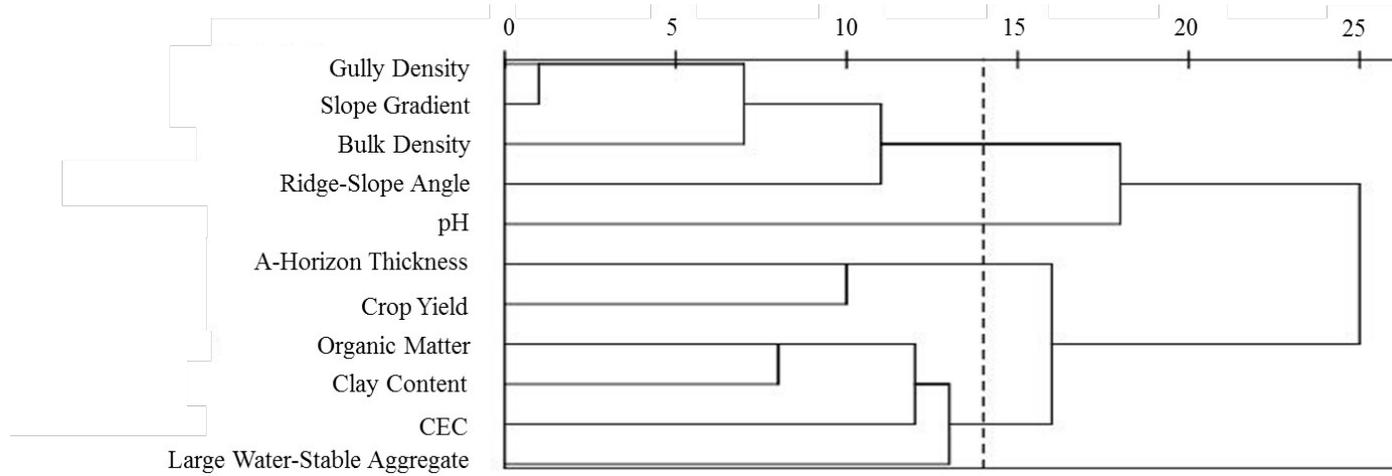
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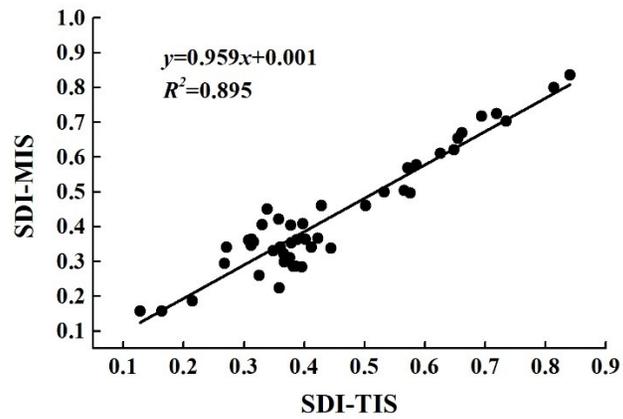
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522 **Fig. 5** Cluster analysis for evaluation indicators of the degree of sloped cropland degradation in typical black soil region.



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524 **Fig. 6** Regression analysis of soil degeneration index (SDI) based on total index set (SDI-TIS) and minimum index set (SDI-MIS)

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