

25

26 **Abstract**

27 The China-France Oceanography Satellite (CFOSAT), launched on 29 October 2018, is the
28 world's first satellite that carries both a real aperture radar spectrometer and a fan-shaped beam
29 rotary scanning scatterometer. This study examined the retrieval results for the scatterometer
30 onboard the CFOSAT with respect to global buoys from NDBC, TAO/TRITON, PIRATA,
31 RAMA, PMEL, and MNR in 2019. For the scatterometer products in the range of 4-24 ms^{-1} , the
32 root-mean-square error (RMSE) of the wind speed was 1.1 ms^{-1} , and the wind direction RMSE
33 was 20.4° at the global scale. In the tropics, the wind speed and wind direction RMSEs were 1.1
34 ms^{-1} and 21.5°, respectively, while the corresponding RMSEs decreased to 1.1 ms^{-1} and 18.8° in
35 the subtropics. The error statistics were larger in the range of 0-4 ms^{-1} , which is beyond the
36 designed measurement accuracy, and the wind speed was overestimated. Overall, the CFOSAT
37 measurements are reliable in the range of 4-24 ms^{-1} , thus meeting the accuracy requirements of
38 the technical design. Finally, the influence of surface currents on the CFOSAT measurements
39 was analyzed. The results indicate that the differences between the CFOSAT measurements and
40 buoy observations in the tropics were reduced by excluding the effect of the surface currents.

41

42 **Plain Language Summary**

43 A special collection presents new results on various topics for the ‘China-France Oceanography
44 Satellite (CFOSAT): Scientific Applications’; however, the quality of satellite data should be
45 examined before scientific use. Using 111 *in situ* moored buoys over the global ocean, new wind
46 measurements based on CFOSAT are validated in this paper to provide uncertainty information
47 for future users. In general, within the designed wind speed range of 4-24 ms^{-1} , CFOSAT wind
48 speed and direction observations meet the designed accuracy requirements. However, when the
49 wind speed is in the range of less than 4 ms^{-1} , which exceeds the designed measurement range,
50 the error statistics are larger. The results indicate that the quality of satellite observations is
51 different between tropical and subtropical regions, and the quality of CFOSAT winds in tropical
52 regions is slightly lower than that in subtropical regions. In addition, this study shows that the
53 influence of surface currents on the CFOSAT sea surface winds in the tropics cannot be ignored.
54 The validated measurement results will be helpful for future wind products and studies of air-sea
55 interactions and provide an effective error reference for future scientific applications of CFOSAT
56 users.

57

58

59

60 **1 Introduction**

61 Sea surface wind is one of the key essential ocean and climate variables (EOCVs) for the
62 study of air-sea interactions and climate change. It can be used to estimate the momentum and
63 heat fluxes between the ocean and the atmosphere and to determine multiscale ocean dynamics
64 in terms of the Langmuir circulation (Thorpe, 2004), surface Ekman currents and transport
65 (Ekman, 1905; Price et al., 1987), mixed layer evolution (Pollard et al., 1973; Price et al., 1986),
66 large-scale ocean circulations associated with Ekman pumping (Pedlosky, 1987; Huang, 2010),
67 coastal upwelling, and oceanic/atmospheric coupling associated with both tropical instability
68 waves and ocean fronts, as well as for forecasting the weather, waves and storm surges (Pollard
69 et al., 1973; Price et al., 1986). In addition to driving physical processes in the upper ocean,
70 winds are vital for studies of biogeochemical cycles within the ocean; for example, the air-sea
71 flux of carbon dioxide (CO₂) is closely related to the surface wind speed (Wanninkhof, 1992;
72 Wanninkhof & McGillis, 1999). Surface winds are also used to provide observations of sea ice
73 extent and rainfall (Bourassa et al., 2009). Thus, they can play an important role in driving ocean
74 dynamic processes and modulating air-sea heat flux, moisture flux and gas flux. Accurate
75 estimates of surface winds hold great importance for atmospheric and oceanic processes, which
76 are valuable for marine engineering, disaster prevention and mitigation, resource assessment,
77 climate change, etc.

78 Surface winds can be measured using *in situ* techniques, e.g., the global tropical moored
79 buoy array or remote sensing instruments (e.g., scatterometer), such as NASA's Ku-band
80 QuikSCAT and ESA's C-band ASCAT. A buoy can observe the surface winds directly by means
81 of different types of anemometers; however, a satellite infers the winds at a normal height of 10
82 m through the radar energy reflection by recognizing the sea surface roughness. Therefore,
83 satellite-derived wind data should be validated with respect to buoy observations before analysis
84 or even the production of satellite-blended datasets (Atlas et al., 2011). In addition to traditional
85 buoys, wave glider wind observations with a flexible strategy can be a powerful new way to
86 identify potential errors in satellite observations (Schmidt et al., 2017; Liu, Y et al., 2021).

87 Global sea surface wind observations have been possible since the launch of the Seasat-A
88 Satellite Scatterometer (SASS) on the NASA Seasat-A satellite (SEASAT-A) in June 1978. Over
89 the next 40 years, a number of satellites equipped with observation sensors were launched, which
90 not only used scatterometers but also used passive microwave radiometers, synthetic aperture
91 radar and passively polarized microwave radiometers (Bourassa et al., 2009; Yu, L & Jin, 2012).
92 The Chinese-French scatterometer (CSCAT) onboard the Chinese-French Oceanic Satellite
93 (CFOSAT) is one of the communities and can be used for observation research and marine
94 meteorological forecasting to improve the accuracy and timeliness of forecasting disastrous sea
95 conditions such as tropical storms, storm surges and giant waves (NSOAS,
96 <http://www.nsoas.org.cn/eng>). A recent study analyzed the global spatial distribution of wave-
97 induced stress and its correlated index using simultaneous ocean surface winds and wave spectra
98 from CFOSAT (Chen et al., 2020). Through fusion and processing with other sea surface wind
99 data, global merged wind products with high spatial and temporal resolutions, such as cross-
100 calibrated multiplatform (CCMP) wind vector analysis, can be generated to meet higher
101 requirements.

102 Evaluation is required before the utilization of satellite observations to clarify the
103 reliabilities and characteristics of measurements. Numerous evaluations of a series of
104 scatterometers, such as the Seasat-A/SASS, the Active Microwave Instrument on the European
105 Remote Sensing Satellite (ERS/AMI), the Advanced Earth Observing Satellite
106 (ADEOS/NSCAT), the Quik Scatterometer (QSCAT/SeaWinds), the Meteorological Operational
107 Satellite Programme Advanced Scatterometer (MetOp/ASCAT), the Oceansat Scatterometer
108 (Oceansat/OSCAT) and the HaiYang-2 Scatterometer (HY-2/HYSCAT), have been carried out
109 (Chelton et al., 1989; Bentamy et al., 1994; Bourassa et al., 1997; Ebuchi, 1999; Ebuchi et al.,
110 2002; Chelton & Freilich, 2005; Zhao, X & Hou, 2006; Verspeek et al., 2010; Kumar et al.,
111 2013; Zhao, K & Zhao, 2019; Wang, Z et al., 2020). Previous studies made pioneering
112 contributions to the use of satellite-based wind products and quality control. The backscatter and
113 wind quality were analyzed before launch based on the prototype of the CFOSAT simulator (Lin
114 et al., 2019). However, the observational quality of surface vectors still needs to be examined
115 compared to *in situ* measurements. Thus, the main purpose of this paper is to provide a thorough
116 quality test for CSCAT wind data. In addition, another motivation for the validation is related to
117 the influence of surface currents on the scatterometer observations. It should be noted that the
118 errors may differ from those of traditional scatterometers due to a newly designed rotating fan-
119 beam antenna. These differences are beyond the scope of the current study but will be
120 investigated in future studies.

121 The remainder of this paper is organized as follows. In Section 2, the datasets, materials
122 and methods are briefly introduced. The CSCAT data quality is validated compared to global
123 buoy observations, and the influence of surface currents is explored in Section 3. Discussions
124 based on the error statistics are presented in Section 4. Finally, conclusions of the CSCAT
125 examinations are provided in Section 5.

126 **2 Materials and Methods**

127 **2.1 Materials**

128 CFOSAT carries two payloads: a surface wave investigation and monitoring (SWIM)
129 system and a scatterometer, which realize the joint observation of sea waves and sea winds for
130 the first time. The scatterometer measures the Bragg scattering of microwaves by capillary
131 gravity waves caused by sea surface winds, and the sea surface scattering information with
132 respect to the amplitude and texture direction of the capillary wave is retrieved using the
133 National Aeronautics and Space Administration Scatterometer version 4 (NSCAT-4)
134 Geophysical Model Function (GMF) to produce 10 m neutral equivalent winds (Xu et al., 2020;
135 KNMI, https://scatterometer.knmi.nl/nscat_gmf). CSCAT is the first fan-beam rotary
136 scatterometer in the world that can observe the same cell in a swath many times, obtaining
137 abundant backscattering information to retrieve more accurate wind speed and wind direction
138 (Wang, L et al., 2019). It works in the Ku-band and provides a swath of 1000 km. The Ku-band
139 is sensitive to changes in wind speeds but is susceptible to rainfall. When the wind speeds are
140 within the range of 4-24 ms^{-1} , the wind speed accuracy requirement is better than 2 ms^{-1} (or 10%
141 of the wind speed), and the wind direction accuracy requirement is better than 20° (NSOAS,
142 <http://www.nsoas.org.cn/eng>).

143 The CSCAT products have two resolutions: 12.5×12.5 km and 25×25 km; the more
 144 common 25×25 km resolution spaceborne scatterometer product is selected in this study. Table 1
 145 lists the main parameters of the CFOSAT (NSOAS, <http://www.nsoas.org.cn/eng>). The CFOSAT
 146 data were obtained from the Chinese National Satellite Ocean Application Service (NSOAS),
 147 ranging from January to December 2019. In this paper, the quality of the wind is examined and
 148 compared to the *in situ* buoy observations in the first calendar year (2019) after the CFOSAT
 149 was launched. The following sections show the discrepancies in wind information between the
 150 satellite retrievals and buoy observations for future users.

151

152 **Table 1.** Main Parameters of the CFOSAT (CSCAT).

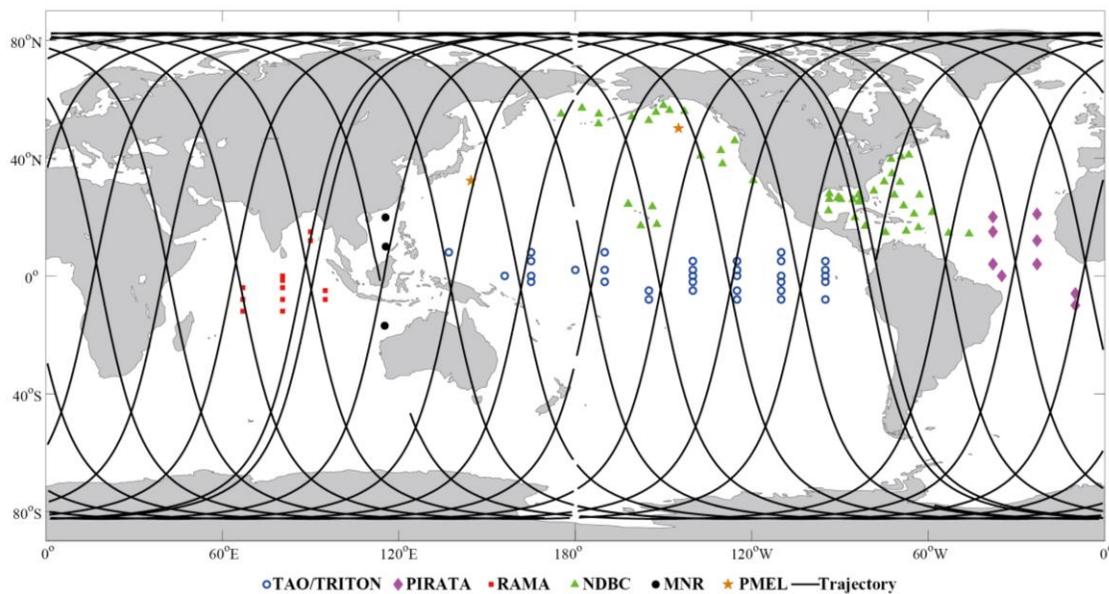
Orbit	~520 km
Antenna beam shape	Fan pencil beam
Center frequency	13.256 GHz (Ku-band)
Band width	0.5 MHz
Swath width	~1000 km
Polarizations	HH, VV
Incident angle	26°-46°
Resolution	12.5 km and 25 km
Measurement range	4-24 ms ⁻¹
Accuracy	± 2 ms ⁻¹ or 10% for wind speed ± 20° for wind direction

153

154 CSCAT was validated with respect to globally distributed buoys, including buoys from
 155 the US National Data Buoy Center, Tropical Ocean-Atmosphere/TRIangle Trans-Ocean buoy
 156 Network (TAO/TRITON), Prediction and Research Moored Array in the Tropical Atlantic
 157 (PIRATA), the Research Moored Array for African-Asian-Australian Monsoon Analysis and
 158 Prediction (RAMA) (Brocca et al., 2011), SF304 buoy and Nansha buoy affiliated with China's
 159 Ministry Natural Resources (MNR) (Liu, Y et al., 2021), the Bailong buoy belonging to the
 160 Indian Ocean Observing System (IndOOS), RAMA and MNR (Freitag et al., 2016; Feng et al.,
 161 2020), and the Kuroshio Extension Observatory (KEO) buoy and Papa buoy affiliated with the

162 NOAA/Pacific Marine Environmental Laboratory (PMEL). It should be noted that the
 163 traditional TAO/TRITON mission is under reconstruction as a modern, sustained tropical Pacific
 164 observation system within the context of the existing and planned Global Ocean Observing
 165 System (GOOS), which is called Tropical Pacific Observing System 2020 (TPOS 2020) (Kessler
 166 et al., 2019). Before the buoy observations in 2019 were selected, their offshore distances were
 167 calculated. Buoys with an offshore distance of more than 100 kilometers were excluded from this
 168 study to avoid potential uncertainties in the coastal regions for satellite measurements. Buoys
 169 were classified as tropical (23.5°S-23.5°N) or subtropical (23.5°N-60°N) according to their
 170 latitudes. A schematic diagram of the buoy locations and the CFOSAT trajectory is shown in
 171 Figure 1.

172



173

174 **Figure 1.** Schematic diagram of buoy locations used in this paper and the CFOSAT trajectory.
 175 Additional information can also be found in other studies (Chen et al., 2020). The buoys from
 176 TAO/TRITON, PIRATA, RAMA, NDBC, PMEL, and MNR (SF304, Bailong, and Nansha) are
 177 labeled by different colors and signs.

178

179 Table 2 lists the details for the global buoys used in this paper. The buoy IDs, missions,
 180 longitude and latitude for the tropics and subtropics are shown in columns 2 to 5 and columns 6
 181 to 9, respectively. In the case of tropical buoys, the IDs for missions TAO/TRITON, PIRATA
 182 and RAMA are denoted by locations ranging from No. 1 to 57 in the 1st column of tropical
 183 buoys, while IDs ranging from No. 58 to 69 are categorized by NDBC. The buoy in the
 184 southeastern Indian Ocean is named Bailong, while those in the South China Sea (SCS) are
 185 denoted by SF304 and Nansha, respectively (Feng et al., 2020; Liu, Y et al., 2021). For the
 186 subtropical buoys, the IDs ranging from No. 1 to 37 in the 5th column of subtropical buoys are
 187 categorized by NDBC, while the PMEL buoys in the north Pacific are named KEO and Papa.

188 **Table 2.** List of Buoy Information Used in this Study.

		Tropical			Subtropical			
	ID	Mission	Lon (°)	Lat (°)	ID	Mission	Lon (°)	Lat (°)
1	0n95w	TAO/TRITON	-95.0	0.0	41001	NDBC	-72.3	34.7
2	0n110w	TAO/TRITON	-110.0	0.0	41002	NDBC	-75.0	32.0
3	0n125w	TAO/TRITON	-125.0	0.0	41010	NDBC	-78.5	28.9
4	0n140w	TAO/TRITON	-140.0	0.0	41046	NDBC	-68.4	23.8
5	0n156e	TAO/TRITON	156.0	0.0	41047	NDBC	-71.5	27.5
6	0n165e	TAO/TRITON	165.0	0.0	41048	NDBC	-69.6	31.8
7	0n180w	TAO/TRITON	-180.0	0.0	41049	NDBC	-62.9	27.5
8	2n95w	TAO/TRITON	-95.0	2.0	42001	NDBC	-89.7	25.9
9	2n125w	TAO/TRITON	-125.0	2.0	42002	NDBC	-93.7	26.1
10	2n140w	TAO/TRITON	-140.0	2.0	42003	NDBC	-85.6	25.9
11	2n165e	TAO/TRITON	165.0	2.0	42022	NDBC	-83.7	27.5
12	2n170w	TAO/TRITON	-170.0	2.0	42023	NDBC	-83.1	26.0
13	2n180w	TAO/TRITON	-180.0	2.0	42026	NDBC	-83.5	25.2
14	2s95w	TAO/TRITON	-95.0	-2.0	42047	NDBC	-93.6	27.9
15	2s110w	TAO/TRITON	-110.0	-2.0	42360	NDBC	-90.5	26.7
16	2s140w	TAO/TRITON	-140.0	-2.0	42395	NDBC	-90.8	26.4
17	2s165e	TAO/TRITON	165.0	-2.0	44008	NDBC	-69.3	40.5
18	2s170w	TAO/TRITON	-170.0	-2.0	44011	NDBC	-66.6	41.1
19	5n95w	TAO/TRITON	-95.0	5.0	44066	NDBC	-72.6	39.6
20	5n110w	TAO/TRITON	-110.0	5.0	46001	NDBC	-148.0	56.2
21	5n125w	TAO/TRITON	-125.0	5.0	46002	NDBC	-130.5	42.6
22	5n140w	TAO/TRITON	-140.0	5.0	46006	NDBC	-137.4	40.8
23	5n165e	TAO/TRITON	165.0	5.0	46035	NDBC	-177.7	57.0
24	5s110w	TAO/TRITON	-110.0	-5.0	46047	NDBC	-119.5	32.4
25	5s125w	TAO/TRITON	-125.0	-5.0	46059	NDBC	-130.0	38.1
26	5s140w	TAO/TRITON	-140.0	-5.0	46066	NDBC	-155.0	52.8
27	5s155w	TAO/TRITON	-155.0	-5.0	46070	NDBC	175.2	55.0
28	8n110w	TAO/TRITON	-110.0	8.0	46072	NDBC	-172.1	51.7

29	8n125w	TAO/TRITON	-125.0	8.0	46073	NDBC	-172.0	55.0
30	8n137e	TAO/TRITON	137.0	8.0	46075	NDBC	-160.8	54.0
31	8n165e	TAO/TRITON	165.0	8.0	46078	NDBC	-152.6	55.6
32	8n170w	TAO/TRITON	-170.0	8.0	46080	NDBC	-150.0	58.0
33	8s95w	TAO/TRITON	-95.0	-8.0	46085	NDBC	-142.9	55.9
34	8s110w	TAO/TRITON	-110.0	-8.0	46089	NDBC	-125.8	45.9
35	8s125w	TAO/TRITON	-125.0	-8.0	51000	NDBC	-153.8	23.5
36	8s155w	TAO/TRITON	-155.0	-8.0	51001	NDBC	-162.0	24.5
37	0n35w	PIRATA	-35.0	0.0	51101	NDBC	-162.1	24.4
38	4n23w	PIRATA	-23.0	4.0	KEO	PMEL	144.6	32.3
39	4n38w	PIRATA	-38.0	4.0	Papa	PMEL	-144.9	50.1
40	10s10w	PIRATA	-10.0	-10.0				
41	12n23w	PIRATA	-23.0	12.0				
42	15n38w	PIRATA	-38.0	15.0				
43	20n38w	PIRATA	-38.0	20.0				
44	21n23w	PIRATA	-23.0	21.0				
45	0n80.5e	RAMA	80.5	0.0				
46	1.5s80.5e	RAMA	80.5	-1.5				
47	4s67e	RAMA	67.0	-4.0				
48	4s80.5e	RAMA	80.5	-4.0				
49	5s95e	RAMA	95.0	-5.0				
50	8n67e	RAMA	67.0	8.0				
51	8s67e	RAMA	67.0	-8.0				
52	8s80.5e	RAMA	80.5	-8.0				
53	8s95e	RAMA	95.0	-8.0				
54	12n90e	RAMA	90.0	12.0				
55	12s67e	RAMA	67.0	-12.0				
56	12s80.5e	RAMA	80.5	-12.0				
57	15n90e	RAMA	90.0	15.0				
58	41040	NDBC	-53.1	14.6				
59	41041	NDBC	-46.1	14.3				

60	41043	NDBC	-64.8	21.0
61	41044	NDBC	-58.6	21.6
62	42055	NDBC	-93.9	22.1
63	42056	NDBC	-85.0	19.8
64	42057	NDBC	-81.4	16.9
65	42058	NDBC	-74.6	14.8
66	42059	NDBC	-67.5	15.3
67	42060	NDBC	-63.3	16.4
68	51002	NDBC	-157.7	17.0
69	51004	NDBC	-152.3	17.5
70	SF304	MNR	115.5	19.9
71	Bailong	MNR	115.2	-16.9
72	Nansha	MNR	115.6	9.95

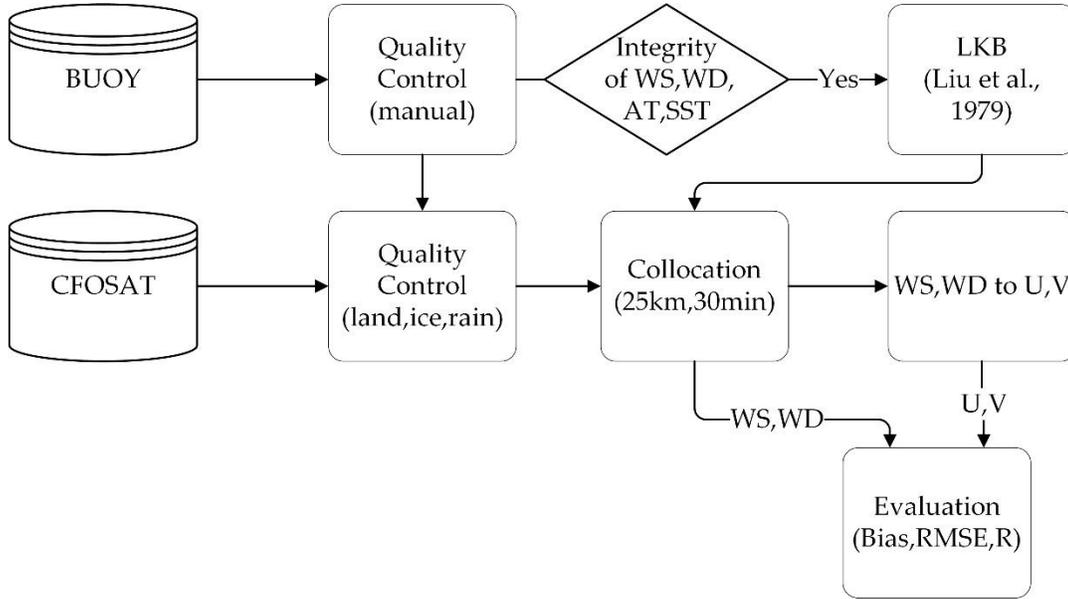
189 *Note.* Positive (negative) longitude represents east (west) longitude, and positive (negative) latitude
190 represents north (south) latitude. The IDs of TAO/TRITON, PIRATA and RAMA have a number and
191 letter combination for latitude in the first half and a number and letter combination for longitude in the
192 second half. For example, the buoy located at 0°N and 95°W is named '0n95w'. The NDBC IDs are
193 named by the five numbers assigned by the World Meteorological Organization (WMO). The first two
194 numbers represent large areas where the buoys are located (41-the Atlantic off of the southeast U.S. coast,
195 42-the Gulf of Mexico, 44-the Atlantic Ocean north of North Carolina, 46-the U.S. coastal Pacific Ocean,
196 and 51-the Hawaii Islands), while the last three numbers represent specific buoy locations (NDBC,
197 <https://www.ndbc.noaa.gov/staid.shtml>).

198 2.2 Methods

199 The CSCAT Level 2 data affected by land, rainfall and sea ice were excluded through
200 quality flags in the system. For the buoy data, the high-frequency wind parameters (e.g., the wind
201 direction and speed) of measurements are normally averaged and recorded over a specified
202 temporal interval. The singular values of observations were eliminated by means of manual
203 inspection in two steps: first, physically reasonable air-sea variables observed by the buoys were
204 judged in a specified range (e.g., 0 to 30 ms⁻¹ for wind speed and 0 to 30°C for sea surface
205 temperature, SST); second, the removed singular values were obtained again using a linear
206 interpolation based on the nearest samples. The temporal and spatial differences (collocation)
207 between CSCAT and buoys were limited to no more than 30 min and 25 km, respectively
208 (Gilhousen, 1987; Dickinson et al., 2001). For example, when CSCAT scanned the sea surface
209 wind at a specified time/grid, the program searches the nearby buoy observations within 25 km
210 in space and 30 min in time. Thus, the comparison in this study cannot avoid the inevitable
211 uncertainties resulting from the space and time parameters. For example, in the maritime
212 continent region where extensive high-frequency air-sea-land processes are significant, the

213 differences might be higher. In general, a flow chart of the data verification process is shown in
 214 Figure 2.

215



216

217 **Figure 2.** Flow chart of CFOSAT evaluation with respect to buoys. WS, WD, AT, SST, U, and
 218 V represent wind speed, wind direction, near-surface air temperature, sea surface temperature,
 219 zonal wind, and meridional wind, respectively.

220 The reference height of the sea surface winds observed by the satellite is 10 m above the
 221 sea surface. The buoy wind speeds at various heights were converted to equivalent neutral winds
 222 at a height of 10 m using the Liu-Katsaros-Businger (LKB) model, and the formulas are as
 223 follows (Liu, W T et al., 1979; Jourdan & Gautier, 1995; Liu, W T & Tang, 1996):

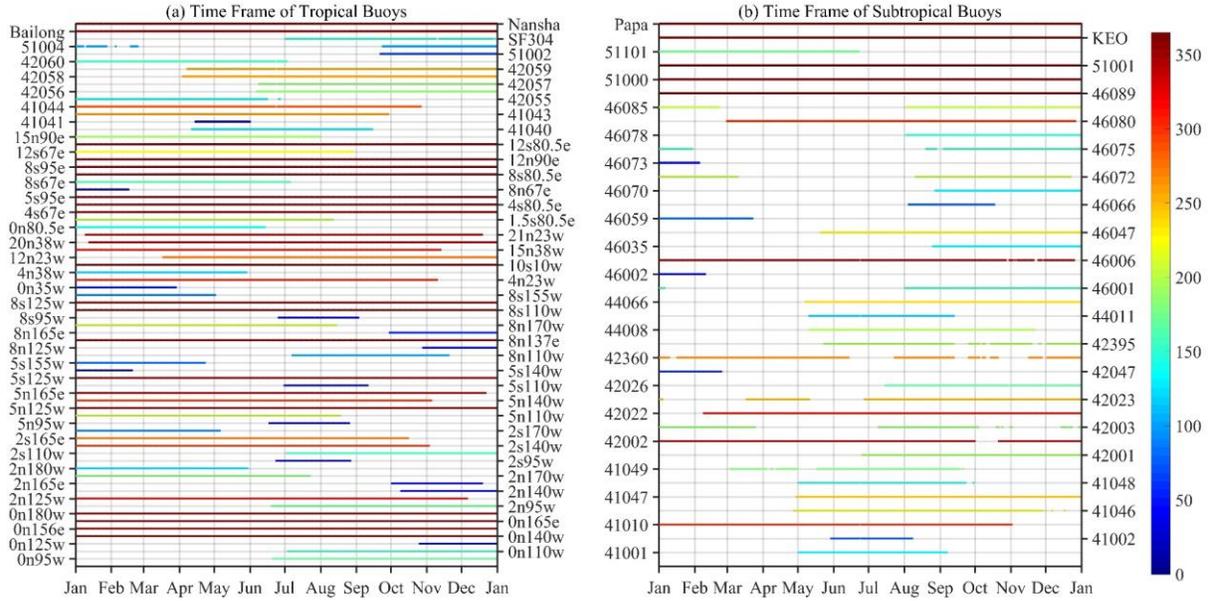
224
$$(T - T_s)/T_* = 2.2[\ln(z/z_T) - \psi_T] \quad (1)$$

225
$$(Q - Q_s)/Q_* = 2.2[\ln(z/z_Q) - \psi_Q] \quad (2)$$

226
$$(U - U_s)/U_* = 2.5[\ln(z/z_0) - \psi_U] \quad (3)$$

227 where U, T, and Q are the wind speed, air temperature, and specific humidity at a height of z.
 228 The subscript s indicates that the value of the attached variable is evaluated at the surface. U*, T*,
 229 and Q* are the friction velocity, temperature scale, and humidity scale, which are defined as
 230 functions of wind stress, sensible heat flux, and latent heat flux. ψ_U , ψ_T , and ψ_Q are functions of
 231 the stability parameter z/L, where L is the Monin-Obukhov length. z_T , z_Q and z_0 are the
 232 roughness lengths for velocity, temperature, and humidity, respectively. When U, T, Q and their
 233 corresponding heights, as well as T_s (SST), are known, it is assumed that U_s is 0, Q_s is the
 234 saturation humidity calculated by T_s , and three flux values can be solved according to the three
 235 implicit equations.

236 The parameters required by the LKB model are wind speed, air temperature, SST,
 237 specific humidity, air pressure and their observation heights. Specific humidity can also be
 238 calculated from dew point air temperature (DPAT) or relative humidity (RH). However,
 239 compared with the optional air pressure and specific humidity, air temperature and SST are more
 240 important parameters in the LKB model. Thus, buoys with simultaneous wind speed, wind
 241 direction, SST, and air temperature were chosen in this paper. The available time series for each
 242 buoy is shown in Figure 2. The number of effective observations that can be used for
 243 comparisons in tropical oceans is higher than that in subtropical oceans.



244
 245 **Figure 3.** Time frame of buoy observations in 2019 used in this study. (a) Time frame of tropical
 246 buoys. (b) Time frame of subtropical buoys. Note that the actual time frame of some buoys may
 247 be longer than listed here. The available time frame represents the period for which the wind
 248 speed, wind direction, SST, and near-surface air temperature are available from the buoy so that
 249 wind speed can be converted to neutral wind at 10 m. The color bar indicates the duration
 250 (number of days) of observations available for each buoy.

251 The accuracy of CSCAT wind speed, wind direction, meridional wind, and zonal wind
 252 were tested. The error statistics of the test were selected as the mean bias error (Bias, Eq. (4)),
 253 root-mean-square error (RMSE, Eq. (5)) and the Pearson correlation coefficient (R, Eq. (6)).

254

$$Bias = \sum_{i=1}^n (w_i^c - w_i^b) / n \quad (4)$$

255

$$RMSE = \sqrt{\sum_{i=1}^n (w_i^c - w_i^b)^2 / n} \quad (5)$$

256

$$R = \frac{\sum_{i=1}^n (w_i^c - \overline{w_i^c})(w_i^b - \overline{w_i^b})}{\sqrt{\sum_{i=1}^n (w_i^c - \overline{w_i^c})^2 \sum_{i=1}^n (w_i^b - \overline{w_i^b})^2}} \quad (6)$$

257
258

where n is the number of collocated samples, w^b is the wind speed, direction, zonal, and meridional wind observed by the buoy and w^c represents the CFOSAT observations.

259

3 Validation of CSCAT winds compared to the buoy observations

260

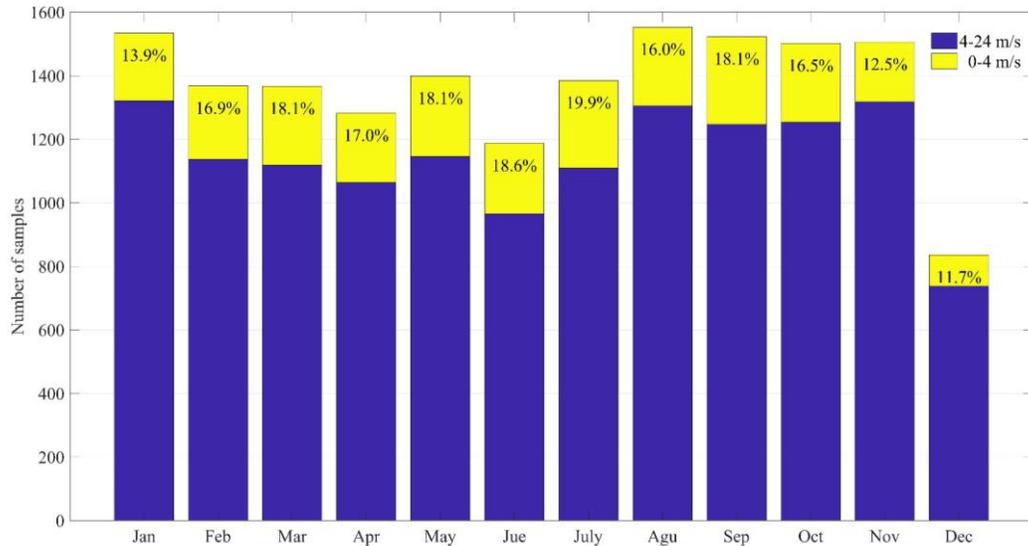
3.1 Collocated samples in CSCAT and buoys

261
262
263
264
265
266
267
268
269

The valid wind speed range of CSCAT observations is 4-24 ms^{-1} , while wind speeds below 4 ms^{-1} are beyond the designed measurement range, which is an important part of the global wind distribution, for example, in the region of the tropics. The collocated CSCAT winds and buoy measurements from 4 ms^{-1} to 24 ms^{-1} and 0 ms^{-1} to 4 ms^{-1} in the tropics and subtropics were compared, respectively. Wind speeds cannot be negative, and the error cannot vary normally with the wind speeds, especially when the wind speeds are close to 0 ms^{-1} , which leads to a more positive bias at low wind speeds and skews the overall mean bias (Freilich, 1997; Dickinson et al., 2001). Therefore, in addition to wind speed and wind direction, zonal wind and meridional wind were also examined in this study.

270
271
272
273
274
275
276
277
278

In total, 13724 pairs of collocated CSCAT and buoy data were found in the range of 4-24 ms^{-1} , while 2718 pairs were found in the 0-4 ms^{-1} range (Figure 4). The collocated data were counted in each month, as shown in Figure 3. The proportion of wind speeds in the range of 0-4 ms^{-1} was lower than 13.9% in winter, which was significantly lower than the minimum value of 16.0% in other months. The reason may be that most of the buoys are located in the Northern Hemisphere, and the wind speeds in winter are higher than those in other seasons, resulting in a lower proportion in the range of 0-4 ms^{-1} . However, due to a scatterometer anomaly occurring on 20 December 2019, the missing data accounted for approximately one-third of the total samples in December.



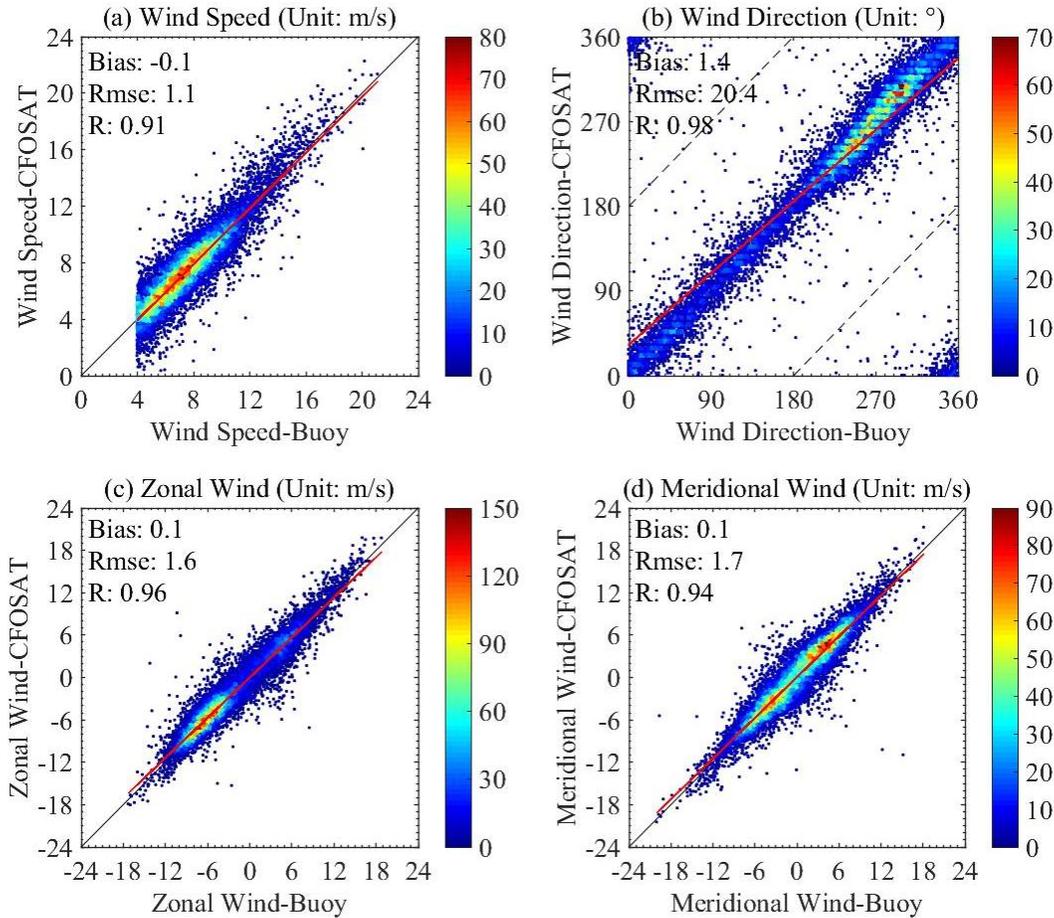
279

280 **Figure 4.** Number of samples in each month of 2019. The blue bars represent the number of
 281 samples in the wind range of 4-24 ms^{-1} , while the yellow bars denote the number of samples in
 282 the wind range of 0-4 ms^{-1} . The numbers inside the yellow bars represent the ratios of the
 283 samples for each month in the wind speed range of 0-4 ms^{-1} .

284

285 3.2 Evaluation of wind speed, wind direction, zonal wind, and meridional wind

286 Before evaluation, CSCAT winds were collocated with global buoy observations. Figure
 287 5 shows that the CSCAT winds agree well with those for global buoys in the range of 4-24 ms^{-1} .
 288 The bias, RMSE, and R are -0.1 ms^{-1} , 1.1 ms^{-1} and 0.91 for wind speed, respectively, which are
 289 much lower than the designed RMSE requirement of 2 ms^{-1} . For the wind direction, the bias,
 290 RMSE and R are 1.4°, 20.4° and 0.98, respectively, which are slightly higher than the RMSE
 291 requirement of 20°. The bias, RMSE and R are 0.1 ms^{-1} , 1.6 ms^{-1} and 0.96 for the zonal wind and
 292 0.1 ms^{-1} , 1.7 ms^{-1} and 0.94 for the meridional wind, respectively. The validation results for zonal
 293 wind are slightly better than those of meridional wind.

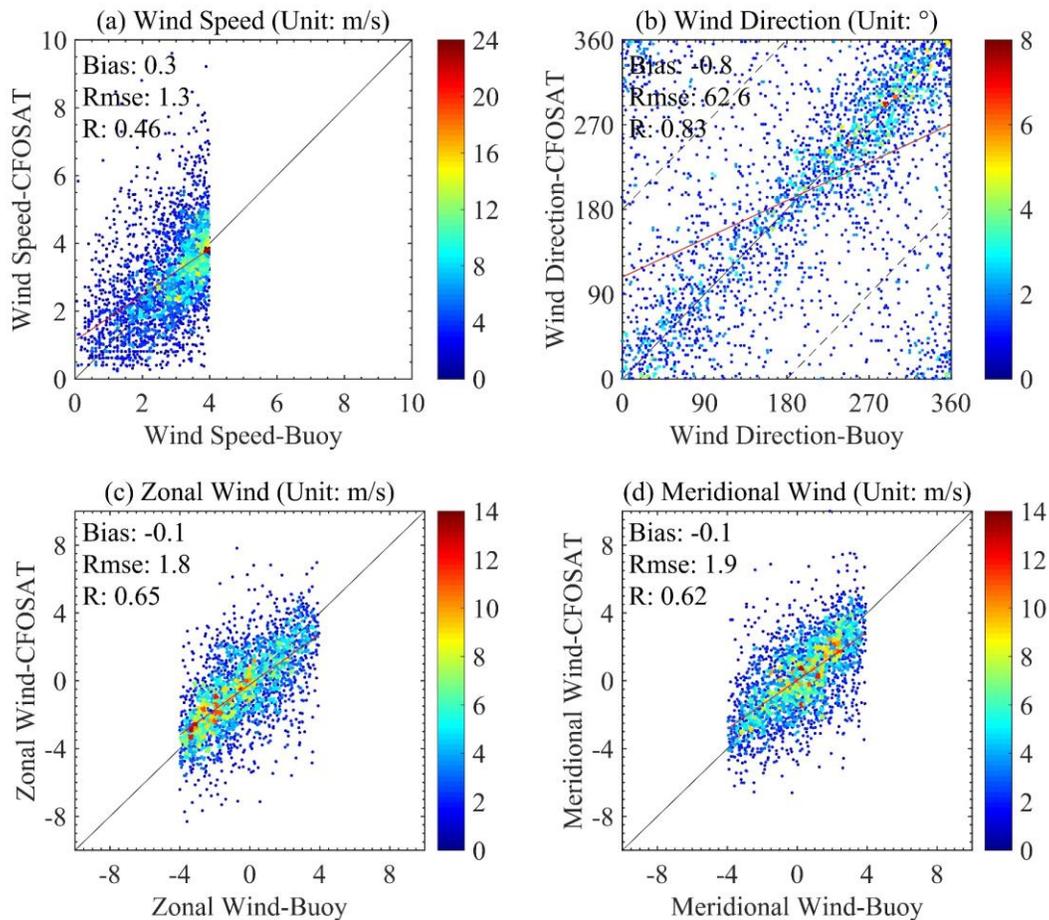


294

295 **Figure 5.** Scatterplots of the (a) wind speed (unit: ms^{-1}), (b) wind direction (unit: $^{\circ}$), (c) zonal
 296 wind (unit: ms^{-1}), and (d) meridional wind (unit: ms^{-1}) between CFOSAT and global buoys in the
 297 range of 4-24 ms^{-1} . The x-axis represents the buoy results, while the y-axis represents the
 298 CFOSAT results. Colors represent the occurrence in wind speed bins of $0.24 \text{ ms}^{-1} \times 0.24 \text{ ms}^{-1}$,
 299 wind direction bins of $3.6^{\circ} \times 3.6^{\circ}$, zonal wind bins of $0.48 \text{ ms}^{-1} \times 0.48 \text{ ms}^{-1}$ and meridional wind
 300 bins of $0.48 \text{ ms}^{-1} \times 0.48 \text{ ms}^{-1}$.

301 CSCAT observations in the range of 0-4 ms^{-1} are not the main focus of this study;
 302 however, they have similar performance. As shown in Figure 6, the performance of global
 303 CSCAT data below 4 ms^{-1} is poorer than that of wind speeds ranging from 4 to 24 ms^{-1} . The bias,
 304 RMSE and R are 0.3 ms^{-1} , 1.3 ms^{-1} and 0.46 for wind speed; -0.8° , 62.6° and 0.83 for wind
 305 direction; -0.1 ms^{-1} , 1.8 ms^{-1} and 0.65 for zonal wind; and -0.1 ms^{-1} , 1.9 ms^{-1} and 0.62 for
 306 meridional wind, respectively. The scatterplot shows poorer fits for the wind speed, wind
 307 direction and wind components than those in the range of 4-24 ms^{-1} . A larger bias and RMSE
 308 and a lower R are observed when the wind speeds are distributed in the range of 0-4 ms^{-1} , which
 309 is mainly due to the buoy's delayed response to low winds and the absence of Bragg waves at
 310 low wind speeds (Donelan & Pierson, 1987). The similarity between the two wind speed ranges
 311 is that the zonal wind is slightly better than the meridional wind, which may be due to the higher

312 wind speeds of the zonal wind. Wind speeds below 4 ms^{-1} in the tropics and subtropics showed
313 results similar to those at the global scale, which are not shown here to avoid repetition.

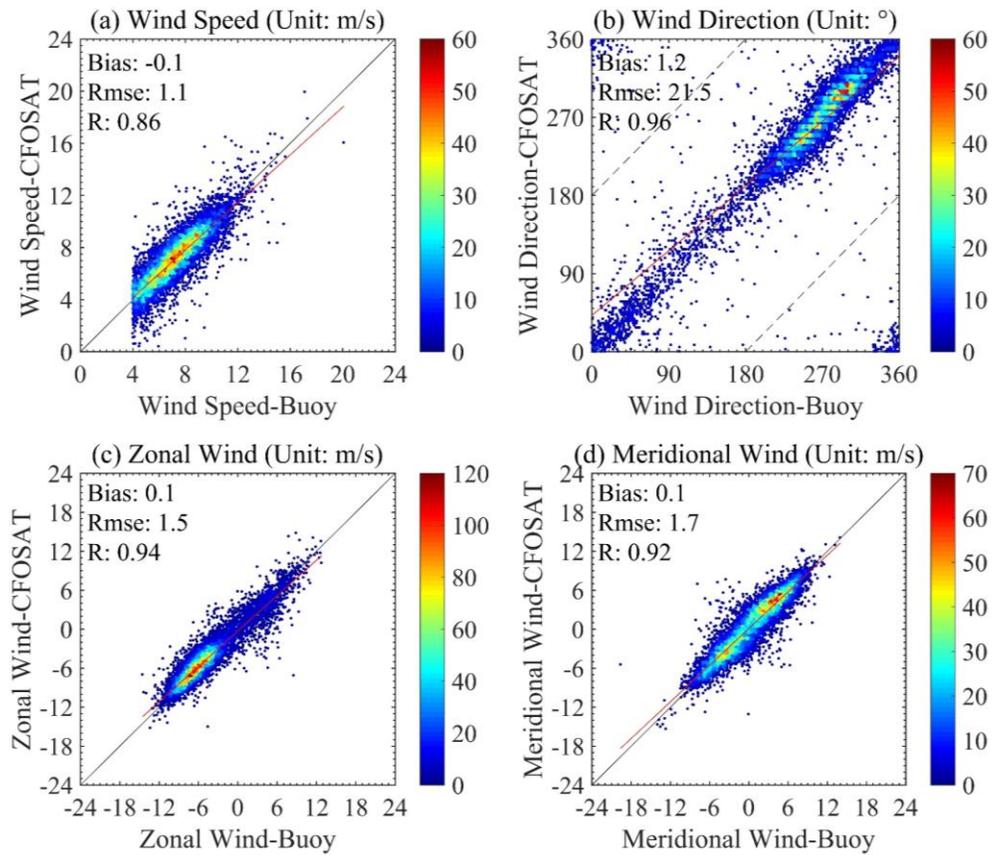


314

315 **Figure 6.** The same as in Figure 5 but for wind speeds in the range of $0-4 \text{ ms}^{-1}$.

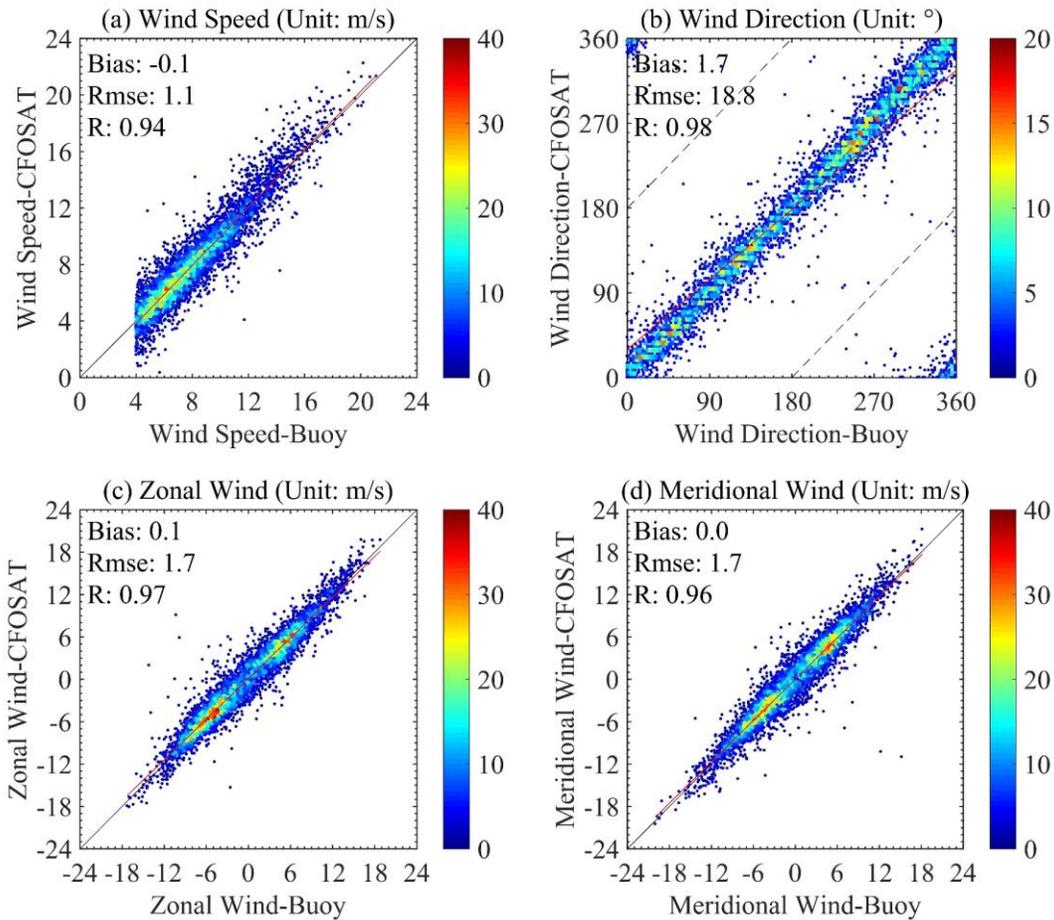
316 Figure 7 shows that the RMSE of CSCAT wind in the tropics is larger than that at the
317 global scale, and bias and R exhibit similar results. The bias and RMSE increase to -0.1 ms^{-1} and
318 1.1 ms^{-1} for the wind speed, 1.2° and 21.5° for the wind direction, 0.1 ms^{-1} and 1.5 ms^{-1} for the
319 zonal wind, and 0.1 ms^{-1} and 1.7 ms^{-1} for the meridional wind, while the corresponding R values
320 decrease to 0.86, 0.96, 0.94 and 0.92, respectively. Possible reasons for the lower accuracy in the
321 tropics are that the wind speeds are generally lower than those in the subtropics and that the
322 influence of sea surface currents is larger. The results are consistent with previous studies, which
323 found that the influence of surface currents on wind estimates is greatest in the tropics (Luo et
324 al., 2005; Dawe & Thompson, 2006; Brodeau et al., 2016). The scatters have a good linear
325 distribution in Figure 8. Comparisons show that the R of wind speeds reaches 0.94, and CSCAT
326 observations agree well with the buoy observations in the subtropics (Figure 8a). The winds
327 collocated in the subtropics achieve lower bias, lower RMSE and higher R than the global and
328 tropical winds. The RMSEs of wind speed, wind direction, zonal wind, and meridional wind

329 decrease to 1.1 ms^{-1} , 18.8° , 1.7 ms^{-1} and 1.7 ms^{-1} , respectively, which meet the accuracy
330 requirements of 2 ms^{-1} and 20° for wind speed and wind direction. The corresponding Rs values
331 increase to 0.94, 0.98, 0.97 and 0.96.



332

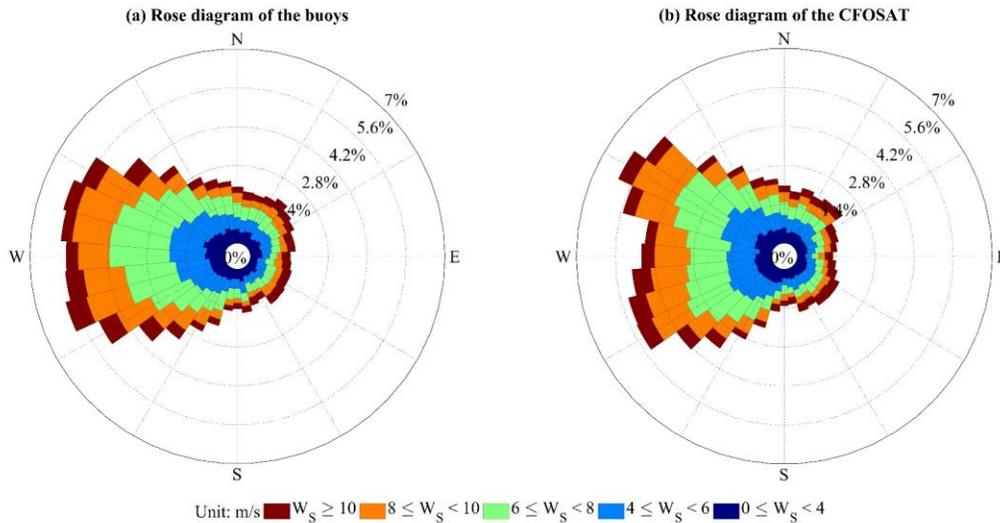
333 **Figure 7.** The same as in Figure 5 but for the tropics based on the buoys listed on the left of
334 Table 2.



335

336 **Figure 8.** The same as in Figure 5 but for the subtropics based on the buoys listed on the right of
 337 Table 2.

338 The comparison of wind directions observed by collocated CSCAT and buoys in Figure 9
 339 shows that the east wind clearly accounts for a large proportion. The rose diagram is divided into
 340 five wind speed ranges, namely, $0-4 \text{ ms}^{-1}$, $4-6 \text{ ms}^{-1}$, $6-8 \text{ ms}^{-1}$, $8-10 \text{ ms}^{-1}$ and above 10 ms^{-1} . Three
 341 major characters can be found in Figure 9. First, easterly winds are dominant in terms of the rose
 342 plots because there are more tropical buoy observations than subtropical observations (Figure 2).
 343 Second, CSCAT indicates good-quality wind observations compared with the *in situ* buoy
 344 observations, and the CSCAT high winds ranging from 8 to more than 10 ms^{-1} agree better with
 345 the buoy observations than those below 6 ms^{-1} . Third, the number of buoy observations below 6 ms^{-1}
 346 is slightly larger than that of CSCAT, and the number of CSCAT winds above 6 ms^{-1} is
 347 slightly larger than that of the buoys. Although wind speeds below 4 ms^{-1} are beyond the
 348 designed technical aim of CSCAT, fairly good agreement with the buoy observations is obtained.



349

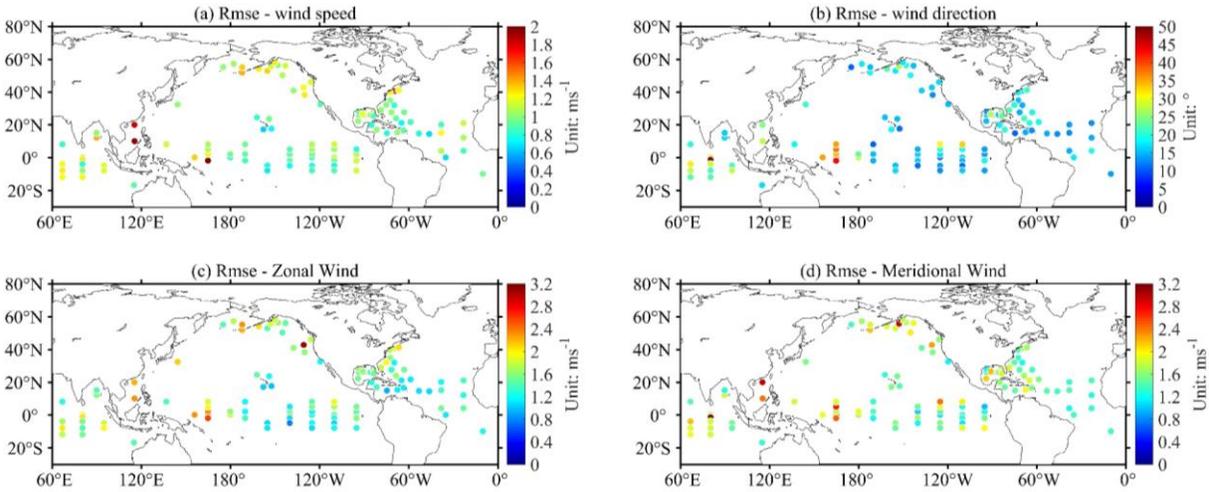
350 **Figure 9.** Rose diagrams of collocated buoy and CSCAT in 2019. (a) Rose diagram of buoy
 351 measurements; (b) Rose diagram of CSCAT observations.

352 Figure 10 displays the RMSEs of CSCAT wind speed, wind direction, zonal wind, and
 353 meridional wind with respect to the global buoys, which indicates that the southern Indian
 354 Ocean, the western Pacific warm pool (WPWP) and areas close to land are subject to higher
 355 potential impacts. Combining the wind speed, wind direction, zonal wind, and meridional wind,
 356 the satellite observations indicate fairly good quality in the ocean interior and the Gulf of Mexico
 357 and Gulf Stream. In terms of global wind speed, there are only two buoys with RMSEs close to 2
 358 ms^{-1} . There are 37 buoys with RMSEs of wind direction greater than 20° , of which 12 are in the
 359 subtropics and 25 are in the tropics. The maximum RMSE in the wind direction between the
 360 satellite and buoy observations can reach 50° . In particular, the wind direction errors of the
 361 buoys located at 165°E are relatively large, which may be caused by complex local sea-air-land
 362 interactions (Figure 10b). Thus, special attention should be paid to the wind direction when using
 363 the CSCAT data in the WPWP. A similar structure in wind direction can also be found in the
 364 eastern tropical Indian Ocean, which is a part of the Indo-Pacific warm pool. In the maritime
 365 continental region, extensive air-sea-land processes are significant, which affects the accuracy of
 366 CSCAT with relatively low temporal resolution.

367 In terms of the Rs between CSCAT and global buoy observations, the Rs in the
 368 subtropical region is significantly higher than that in the tropical region (Figure 11). The regions
 369 with lower Rs are mainly in the tropical Pacific, which may be due to the relatively high ocean
 370 current velocity and relatively low wind speeds. For wind speed, Rs in tropical regions is
 371 basically greater than 0.6, while that in subtropical regions is basically above 0.8. In terms of
 372 wind direction, Rs in tropical regions is basically greater than 0.6, and Rs in subtropical regions
 373 is above 0.9. The Rs of meridional and zonal winds are similar, mostly above 0.6 in the tropics

374 and above 0.9 in the subtropics. Although the RMSEs in the WPWP are larger than those in
375 other regions, the Rs shows good agreement between the satellite and *in situ* buoy observations.

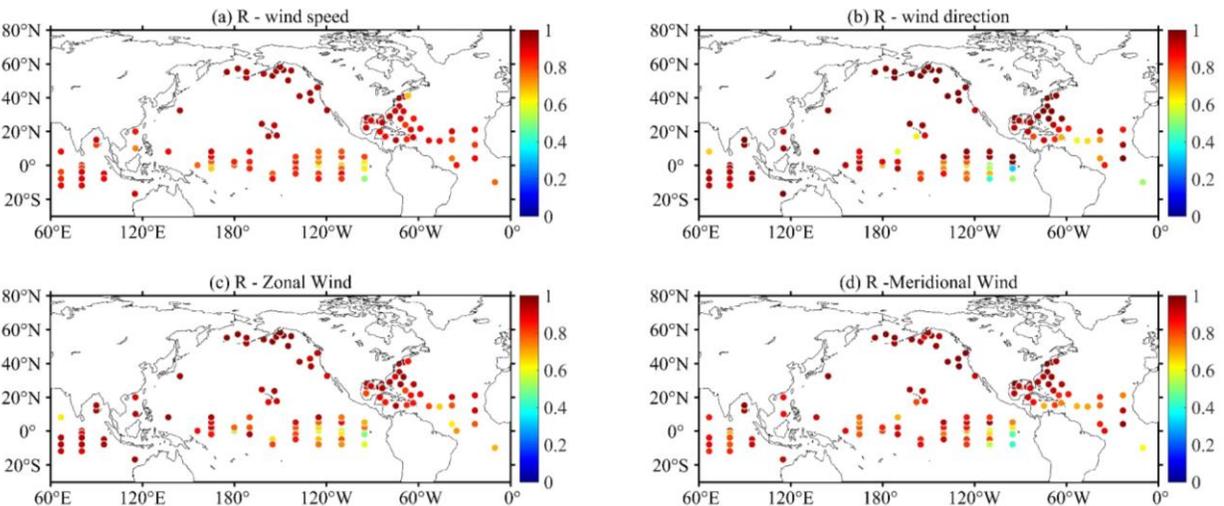
376



377

378 **Figure 10.** RMSEs of the (a) wind speed (unit: ms^{-1}), (b) wind direction (unit: $^{\circ}$), (c) zonal wind
379 (unit: ms^{-1}), and (d) meridional wind (unit: ms^{-1}) at each buoy site. Colors represent the RMSE
380 values.

381



382

383 **Figure 11.** The same as in Figure 10 but for the R_s .

384

385 Among all buoys over the global oceans, the Chinese observations contribute to the
386 community in terms of the Bailong buoy seated to the northwest of Australia, the SF304 buoy in
387 the north of the SCS, and the Nansha buoy in the south of the SCS. CSCAT performs better in
388 the southeastern Indian Ocean, with lower discrepancies in the wind speed, wind direction, zonal
389 wind, and meridional wind than those in the SCS. The magnitudes of the bias, RMSE, and R in
390 the wind direction are 4.5° , 15.1° and 0.99, respectively, compared to the Bailong buoy, whereas
391 the magnitudes are -13.9° , 25.2° and 0.95, respectively, in the north of the SCS and -1.9° , 28.1°
392 and 0.96, respectively, in the south of the SCS. The magnitudes of the wind speed bias, RMSE
393 and R are -0.2 ms^{-1} , 0.9 ms^{-1} and 0.89 for the Bailong buoy, 0.5 ms^{-1} , 1.8 ms^{-1} and 0.86 for the
394 SF304 buoy, and 0.3 ms^{-1} , 2.0 ms^{-1} and 0.74 for the Nansha buoy, respectively. The results
395 indicate that CSCAT shows a better consistency with the Bailong buoy than those of SF304 and
396 Nansha. Similar results can also be found for the zonal and meridional winds but are not shown
397 here to avoid repetition. However, the Bailong, SF304 and Nansha buoys help us understand the
398 quality of CSCAT in East Asia, where *in situ* observations are sparse.

399 Ocean currents near the equator bring significant relative errors in wind stress, especially
400 in the South Equatorial Current (SEC), the North Equatorial Countercurrent (NECC) and the
401 North Equatorial Current (NEC) (Brodeau et al., 2016). In this study, the results are consistent
402 with previous studies, except for the region of the NEC where no available buoys were collected.
403 Larger errors are found in the NECC in the Pacific Ocean (Donguy & Meyers, 1996; Yu, Z et al.,
404 2000), the SEC in the Atlantic Ocean (Bonhoure et al., 2004), and the monsoon area in the
405 Indian Ocean (Schott & McCreary, 2001), where ocean currents are sufficiently strong with
406 respect to wind speeds. In addition, the uncertainty in the wind stress over the western boundary
407 current (WBC) region due to the presence of surface currents has been shown to have significant
408 effects along the WBC system, including the Loop Current, the Florida Current, and the Gulf
409 Stream and its extensions (Song, 2021). The WBC currents have an independent dynamic
410 mechanism and do not have to flow in the same direction as the local surface wind, which may
411 significantly change the relative wind speed (Song, 2021). In the North Pacific, larger errors in
412 the wind parameters can also be found in the eastern boundary currents, which needs to be
413 further explored in future studies. Overall, this study indicates that large errors exist along
414 equatorial current systems and boundary currents, which are greatly affected by surface currents
415 and sea-air-land interactions.

416 3.3 Analysis of the effects of sea surface currents on comparisons

417 Among the 111 selected buoys, six buoys with current observations at a depth of no more
418 than 16 m were used to diagnose the effects of surface currents on scatterometer observations.
419 The bias, RMSE and R were still chosen as the error statistics to compare the conditions,
420 including and excluding the influence of ocean currents in the range of $4\text{-}24 \text{ ms}^{-1}$. Previous
421 studies demonstrated the effects of surface currents on wind measurements by scatterometers in
422 the tropics using TAO/TRITON observations since the scatterometer measures the motion of the
423 air relative to the ocean surface (Dickinson et al., 2001; Kelly et al., 2001; Ebuchi et al., 2002).

424 The values in and out of the parentheses in Table 3 are the results of excluding and not
425 excluding the influence of surface currents, respectively. The CSCAT wind speed, wind

426 direction, zonal wind, and meridional wind results in the tropicals were almost improved by
427 excluding surface currents. The RMSEs of CSCAT wind speeds verified by the four tropical
428 buoys (0n110w, 0n140w, 0n156e, 8n137e) decreased from 1.2 ms^{-1} , 0.9 ms^{-1} , 1.3 ms^{-1} and 1.1
429 ms^{-1} to 1.1 ms^{-1} , 0.8 ms^{-1} , 1.2 ms^{-1} and 1.1 ms^{-1} , respectively, while the RMSEs of wind direction
430 decreased from 16.3° , 15.0° , 35.3° and 18.1° to 14.3° , 13.2° , 34.8° and 17.9° , respectively, and
431 the corresponding R values also improved. The results show that the two buoys located in the
432 SEC (0°N , 110°W and 0°N , 140°W) improved more than the other tropical buoys after excluding
433 the surface currents, which may be related to the strong velocity and low wind speed in the
434 equatorial Pacific. For the wind vector component in the tropical region, the RMSEs of CSCAT
435 zonal wind before and after the removal of the currents decreased from 1.6 ms^{-1} , 1.2 ms^{-1} , 2.3 ms^{-1}
436 1 and 1.4 ms^{-1} to 1.4 ms^{-1} , 0.9 ms^{-1} , 2.2 ms^{-1} and 1.4 ms^{-1} , respectively, while the RMSEs of
437 meridional wind changed from 1.1 ms^{-1} , 1.5 ms^{-1} , 1.9 ms^{-1} and 1.8 ms^{-1} to 1.1 ms^{-1} , 1.4 ms^{-1} , 1.9
438 ms^{-1} and 1.8 ms^{-1} , respectively. The tropical zonal wind obviously improved greatly after
439 removing the current influence, but the meridional changes were small or did not show
440 improvement. One possible reason is that the east-west current in the tropics dominates, while
441 the north-south current is relatively weak. The average ratio of current velocity to wind speed for
442 six buoys was calculated (the last row in Table 3). In the equatorial region (0n110w, 0n140w,
443 and 0n156e), the ratios are larger, which are 0.12, 0.07 and 0.06, respectively, and the
444 corresponding results are greatly improved. The other tropical region (8n137e) has a ratio of
445 0.03, but the results are still slightly improved. The improvement of wind speed and wind
446 direction is different, which is related to the angle between the surface current direction and wind
447 direction. However, in the subtropics (KEO and Papa), the ratios are lower, 0.04 and 0.02,
448 respectively, and the validation results after removing the influence of ocean currents did not
449 improve. Based on limited ocean current data, this study indicates that in the tropics, surface
450 currents have a great influence on scatterometer observations, while in the subtropics, surface
451 currents are not the main factor affecting scatterometer accuracy. In future studies, with the
452 increase in available data, explorations of the surface current influence on scatterometer
453 observations will continue.

454

455

456 **Table 3.** Comparison of Results Including and Excluding the Surface Currents. The numbers in
 457 parentheses are the results of excluding the surface currents, and the buoy ID represents the buoy
 458 location.

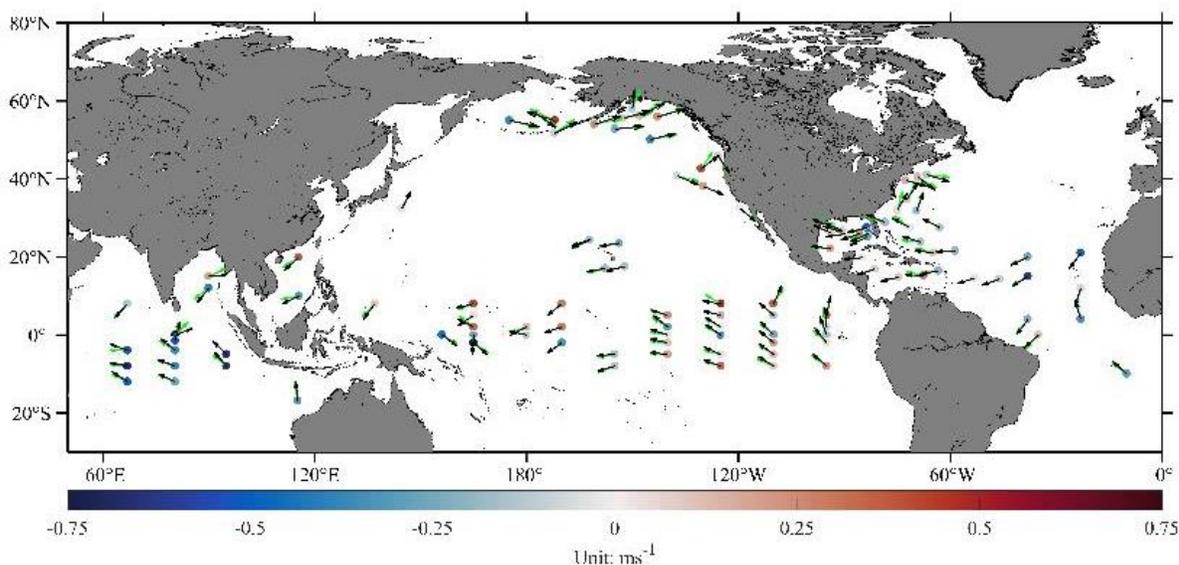
	Measures	0n110w	0n140w	0n156e	8n137e	KEO(32n145e)	Papa(50n145w)
WS (ms ⁻¹)	Bias	-0.5 (-0.1)	0.0 (0.1)	-0.5 (-0.3)	0.1 (0.1)	0.1 (0.1)	-0.4(-0.4)
	RMSE	1.2 (1.1)	0.9 (0.8)	1.3 (1.2)	1.1 (1.1)	1.1 (1.1)	1.1(1.1)
	R	0.72 (0.77)	0.80 (0.85)	0.78 (0.79)	0.86 (0.86)	0.94(0.95)	0.96(0.96)
WD (°)	Bias	4.3 (1.1)	8.7 (7.2)	1.9 (1.2)	-2.4 (-1.8)	1.4 (1.4)	2.9(3.0)
	RMSE	16.3 (14.3)	15.0 (13.2)	35.3 (34.8)	18.1 (17.9)	23.6(23.8)	19.4(19.5)
	R	0.52 (0.54)	0.81 (0.83)	0.93 (0.93)	0.98 (0.98)	0.97(0.97)	0.99(0.99)
U (ms ⁻¹)	Bias	0.6 (0.1)	0.3 (0.1)	-0.6 (-0.5)	0.1 (0.1)	0.0 (-0.1)	0.1 (0.1)
	RMSE	1.6 (1.4)	1.2 (0.9)	2.3 (2.2)	1.4 (1.4)	2.1 (2.1)	1.4 (1.4)
	R	0.54 (0.64)	0.83 (0.87)	0.87 (0.88)	0.97 (0.97)	0.97(0.97)	0.98(0.97)
V (ms ⁻¹)	Bias	-0.1 (0.0)	0.9 (0.8)	0.0 (0.0)	-0.5 (-0.4)	0.1 (0.1)	0.1 (0.1)
	RMSE	1.1 (1.1)	1.5 (1.4)	1.9 (1.9)	1.8 (1.8)	1.9(1.9)	2.0 (2.0)
	R	0.71 (0.70)	0.83 (0.84)	0.88 (0.89)	0.91(0.91)	0.96(0.96)	0.95 (0.96)
	Ratio	0.12	0.07	0.06	0.03	0.04	0.02

459

460 The wind speed retrieved from the scatterometer should be lower than that measured by
 461 the anemometer when the surface current tends to flow in the same direction as the wind;
 462 conversely, the scatterometer wind speed should be higher when the surface current is opposite
 463 to the wind direction (Kelly et al., 2001). Figure 12 shows that in the equatorial Pacific Ocean
 464 north of 4°N (2°N in winter), the wind direction is mostly opposite to the NECC, and the
 465 corresponding bias is positive. Between 4°N and 5°S in the Pacific Ocean, the wind direction is
 466 mostly the same as the equatorial current, and the corresponding bias is negative. For the Pacific
 467 buoys south of 5°S, the wind direction is mostly opposite to the SECC, and the corresponding
 468 bias is positive. The Indian Ocean south of 10°S is dominated by southeasterly trade winds, and
 469 the flow direction of the ocean current is basically stable. The SEC crosses the Indian Ocean
 470 from east to west. The Indian Ocean north of 10°S is controlled by the monsoon, and currents
 471 change with the seasons. Therefore, the direction of ocean currents in the Indian Ocean is mostly

472 consistent with the wind direction, which is mostly shown as a negative bias. The wind direction
473 in the Atlantic Ocean is basically easterly and consistent with the direction of the ocean current,
474 and the bias is mostly negative.

475



476

477 **Figure 12.** The biases in CSCAT wind speeds with respect to global buoys. Colors represent the
478 values of biases (unit: ms^{-1}), while the blue arrows and red arrows represent the annual mean
479 wind directions from CSCAT and the buoy at each location, respectively.

480 **4 Discussion**

481 The results indicate that the error distribution has evident regional dependence, and the
482 areas with large errors are mainly concentrated in places with strong sea-air-land interactions and
483 high ocean current velocities. The accuracy of scatterometer retrieval depends on different
484 factors, such as location, sea conditions, and other air-sea parameters. Therefore, this study
485 divides the study area into tropical and subtropical regions for future user references.

486 In the subtropics, the CSCAT wind speeds agree well with the buoy values, and the
487 RMSE of wind direction is 18.8° , meeting the CFOSAT accuracy requirement. However, the
488 RMSE of wind direction is slightly higher than 20° in the tropics, which is close to the accuracy
489 requirement. The validation results in the subtropical regions are better than those in the tropical
490 regions in terms of wind speed, wind direction, zonal wind, and meridional wind. There are
491 several possible explanations. First, the wind speed in the tropics is lower, which might be
492 beyond the designed accuracy of CSCAT. Second, equatorial current systems play a significant
493 role in modifying the relative wind speed between the ocean and atmosphere and thus contribute
494 to larger errors in the tropics (Brodeau et al., 2016). Third, in tropical regions, especially in
495 maritime continental regions, as extensive air-sea-land interactive processes are active, the
496 choice of the collocation method (see Section 2) also results in inevitable errors for CSCAT. Six
497 buoys were used to calculate the influence of surface currents on the scatterometer observations.

498 According to the validation results from global buoys, both positive and negative biases agree
 499 well with the relationship between the current direction and wind direction, which is consistent
 500 with the results of previous studies (Ebuchi, 1999; Yu, L & Jin, 2012). Although scatterometer
 501 observations have generally improved in the tropics, the zonal wind is greatly affected by the
 502 east-west direction of the equatorial current.

503 **Table 4.** Wind speed (unit: ms^{-1}), wind direction (unit: $^\circ$), zonal wind (unit: ms^{-1}), and
 504 meridional wind (unit: ms^{-1}) error statistics

		Global			Tropical			Subtropical		
		Bias	RMSE	R	Bias	RMSE	R	Bias	RMSE	R
0-4 ms^{-1}	WS (ms^{-1})	0.3	1.3	0.46	0.3	1.3	0.48	0.2	1.4	0.43
	WD ($^\circ$)	-0.8	62.6	0.83	-2.7	68.3	0.79	1.7	53.89	0.89
	U (ms^{-1})	-0.1	1.8	0.65	-0.2	1.9	0.60	0.0	1.7	0.70
	V (ms^{-1})	-0.1	1.9	0.62	-0.1	2.0	0.55	0.0	1.7	0.71
4-24 ms^{-1}	WS (ms^{-1})	-0.1	1.1	0.91	-0.1	1.1	0.86	-0.1	1.1	0.94
	WD ($^\circ$)	1.4	20.4	0.98	1.2	21.5	0.96	1.7	18.8	0.98
	U (ms^{-1})	0.1	1.6	0.96	0.1	1.5	0.94	0.1	1.7	0.97
	V (ms^{-1})	0.1	1.7	0.94	0.1	1.7	0.92	0.0	1.7	0.96

505
 506 Moreover, the zonal wind has better error statistics than the meridional wind, which may
 507 occur because the sea surface wind is mainly east-west on a global scale (Figure 12) and the
 508 zonal wind speed is higher than the meridional wind speed (Figure 5). The low wind speed is
 509 one of the reasons that contributes to a large RMSE, and better results may be achieved as the
 510 wind speed increases. Low winds are not sufficient to overcome viscous damping, so Bragg
 511 waves cannot grow (Donelan & Pierson, 1987). Although as many buoys as possible have been
 512 selected in this paper, the areal coverage is still limited, and there is a lack of *in situ*
 513 observations, such as buoys at high latitudes in the Northern Hemisphere and in the whole
 514 Southern Hemisphere. In addition, coastal performance needs further evaluation. As satellite data
 515 accumulate, longer-term evaluations will be carried out, and reanalysis data such as the fifth-
 516 generation reanalysis of global climate and atmospheric data provided by the European Centre
 517 for Medium-Range Weather Forecasts (ERA5) will be used to conduct a broader global test of
 518 CSCAT.

519 5 Conclusions

520 This study presents a quality assessment of a newly developed sensor, namely, CSCAT,
 521 for the period of 1 January to 31 December 2019 with respect to global buoys from 6 sources
 522 (NDBC, TAO/TRITON, PIRATA, RAMA, PMEL, and MNR). Only buoys located offshore

523 were selected, and the time difference and spatial separation between CSCAT and buoys were
524 limited to less than 30 min and 25 km, respectively. First, the data quality of the satellite and
525 buoy observations were strictly controlled; for example, satellite data flagged for rain, ice and
526 land were excluded. The measured height of the buoy was converted to the equivalent neutral
527 wind at a height of 10 m, as observed by the CFOSAT. Second, the bias, RMSE, and R were
528 selected as error statistics to analyze CSCAT winds in the ranges of $0\text{-}4\text{ ms}^{-1}$ and $4\text{-}24\text{ ms}^{-1}$ in
529 different regions. It was found that CSCAT has good reliability in the wind speed range of $4\text{-}24$
530 ms^{-1} . Globally, the RMSE of wind speed was slightly higher than 1 ms^{-1} , and the RMSE of wind
531 direction was approximately 20° . The accuracy of CSCAT in the subtropics was significantly
532 higher than that in the tropics. Finally, six buoys were used to evaluate the accuracy, including
533 and excluding the surface currents, and the influence of ocean currents on wind vector retrieval
534 was discussed. The effect of excluding the influence of ocean currents on the results depends
535 mainly on the ratio of the surface current speed to the wind speed. For the six buoys in this study,
536 the buoys (0°N , 110°W and 0°N , 140°W) in the tropical regions were greatly improved, and the
537 RMSEs of wind speed and wind direction increased by approximately 0.1 ms^{-1} and 2° ,
538 respectively. For the wind vector, the quality improvement is mainly focused on the zonal wind,
539 and the RMSE of the zonal wind at these two sites increased by 0.2 ms^{-1} and 0.3 ms^{-1} ,
540 respectively, while the corresponding meridional wind did not show significant improvement.

541 Although the performance of the scatterometer varied from region to region, considering
542 the differences in time and distance between buoy measurements and satellite observations, the
543 accuracy of CSCAT winds met the design quality requirements, especially the wind speed. In
544 addition, the results show that the retrieval accuracy of CSCAT wind was reduced because of
545 currents in the tropics, and the bias agreed well with the relationship between the current
546 direction and wind direction. The major purpose of this paper is to show the basic uncertainties
547 in CSCAT, which can help potential users understand existing errors. It is expected that the
548 CSCAT products can not only be used in operational systems but also support fundamental
549 research in physical oceanography and air-sea interactions. In addition, the joint observations of
550 China's HY-2 satellites and the European Organization for the Exploitation of Meteorological
551 Satellites MetOp satellites can better serve oceanic research and forecasting and can be used to
552 generate higher-quality merged wind products to provide data support for marine disaster
553 prevention and mitigation and climate change. The simultaneous observations of winds and
554 waves over a large area by CFOSAT have unique application potential for studying the coupling
555 process at the boundary between the atmosphere and waves and the effects of waves on
556 scatterometer retrieval.

557

558 Acknowledgments

559 This study is funded by the National Natural Science Foundation of China (42076016).
560 The authors extend their thanks to the joint cruise team from the First Institute of Oceanography,
561 Ministry of Natural Resources, China, and the Centre for Southern Hemisphere Oceans
562 Research, Hobart, Tasmania, Australia. The authors also thank the buoy maintenance team from
563 the South China Sea Bureau of Ministry of Natural Resources and South China Sea Institute of
564 Oceanology, Chinese Academy of Sciences. The CFOSAT data and global buoy datasets from
565 TAO/TRITON, RAMA, PIRATA, NDBC, and PMEL can be downloaded from
566 <http://osdds.nsoas.org.cn>, <https://www.pmel.noaa.gov/gtmba/data-access/disdell>,
567 https://www.ndbc.noaa.gov/data/l_stdmet, and <https://www.pmel.noaa.gov/ocs/data/disdell>,
568 respectively. The authors appreciate the constructive comments and suggestions from the
569 anonymous reviewers.

570 References

- 571 Atlas, R., Hoffman, R. N., Ardizzone, J., Leidner, S. M., Jusem, J. C., Smith, D. K., & Gombos, D. (2011). A cross-
572 calibrated, multiplatform ocean surface wind velocity product for meteorological and oceanographic applications.
573 *Bulletin of the American Meteorological Society*, 92(2), 157-174. <https://doi.org/10.7289/V57942PP>
- 574 Bentamy, A., Quilfen, Y., Queffelec, P., & Cavanie, A. (1994). Calibration of the ERS-I scatterometer C-band
575 model(*DRO OS-94-01*). Brest, France: IFREMER.
- 576 Bonhoure, D., Rowe, E., Mariano, A. J., & Ryan, E. H. The South Equatorial Sys Current. Availabe online:
577 <https://oceancurrents.rsmas.miami.edu/atlantic/south-equatorial.html>. (accessed on 14 Jan 2021).
- 578 Bourassa, M. A., Freilich, M. H., Legler, D. M., Liu, W. T., & O'Brien, J. J. (1997). Wind observations from new
579 satellite and research vessels agree. *Eos Transactions American Geophysical Union*, 78(51), 597.
580 <https://doi.org/10.1029/97EO00357>
- 581 Bourassa, M. A., Bonekamp, H., Chang, P., Chelton, D., & Wentz, F. (2009). Remotely sensed winds and wind
582 stresses for marine forecasting and ocean modeling. Paper presented at oceanobs'09: Sustained Ocean Observations
583 and Information for Society, European Space Agency, Venice, Italy.
- 584 Brocca, L., Hasenauer, S., Lacava, T., Melone, F., Moramarco, T., Wagner, W., et al. (2011). Soil moisture
585 estimation through ASCAT and AMSR-E sensors: An intercomparison and validation study across Europe. *Remote*
586 *Sensing of Environment*, 115(12), 3390-3408. <https://doi.org/10.1016/j.rse.2011.08.003>
- 587 Brodeau, L., Barnier, B., Gulev, S. K., & Woods, C. (2016). Climatologically significant effects of some
588 approximations in the bulk parameterizations of turbulent air-sea fluxes. *Journal of Physical Oceanography*, 47(1),
589 5-28. <https://doi.org/10.1175/JPO-D-16-0169.1>
- 590 Chelton, D. B., Freilich, M. H., & Johnson, J. R. (1989). Evaluation of Unambiguous Vector Winds from the Seasat
591 Scatterometer. *Journal of Atmospheric and Oceanic Technology*, 6(6), 1024-1039. [https://doi.org/10.1175/1520-0426\(1989\)0062.0.CO;2](https://doi.org/10.1175/1520-0426(1989)0062.0.CO;2)
- 593 Chelton, D. B., & Freilich, M. H. (2005). Scatterometer-based assessment of 10-m wind analyses from the
594 operational ECMWF and NCEP numerical weather prediction models. *Monthly Weather Review*, 133(2), 409-429.
595 <https://doi.org/10.1175/MWR-2861.1>
- 596 Chen, S., Rutgersson, A., Yin, X., Xu, Y., & Qiao, F. (2020). On the first observed wave-induced stress over the
597 global ocean. *Journal of Geophysical Research: Oceans*, 125(12), e2020JC016623.
598 <https://doi.org/10.1029/2020JC016623>

599 Dawe, J. T., & Thompson, L. A. (2006). Effect of ocean surface currents on wind stress, heat flux, and wind power
600 input to the ocean. *Geophysical Research Letters*, 33(9), 179-212. <https://doi.org/10.1029/2006GL025784>

601 Dickinson, S., Kelly, K. A., Caruso, M. J., & McPhaden, M. J. (2001). Comparisons between the TAO buoy and
602 NASA scatterometer wind vectors. *Journal of Atmospheric and Oceanic Technology*, 18(5), 799-806.
603 [https://doi.org/10.1175/1520-0426\(2001\)018<0799:CBTTBA>2.0.CO;2](https://doi.org/10.1175/1520-0426(2001)018<0799:CBTTBA>2.0.CO;2)

604 Donelan, M. A., & Pierson, W. J. (1987). Radar scattering and equilibrium ranges in wind-generated waves with
605 application to scatterometry. *Journal of Geophysical Research: Oceans*, 92(C5), 4971-5029.
606 <https://doi.org/10.1029/JC092iC05p04971>

607 Donguy, J.-R., & Meyers, G. (1996). Mean annual variation of transport of major currents in the tropical Pacific
608 Ocean. *Deep Sea Research Part I: Oceanographic Research Papers*, 43(7), 1105-1122.
609 [https://doi.org/10.1016/0967-0637\(96\)00047-7](https://doi.org/10.1016/0967-0637(96)00047-7)

610 Ebuchi, N. (1999). Statistical distribution of wind speeds and directions globally observed by NSCAT. *Journal of*
611 *Geophysical Research: Oceans*, 104(C5), 11393-11403. <https://doi.org/10.1029/98JC02061>

612 Ebuchi, N., Graber, H. C., & Caruso, M. J. (2002). Evaluation of wind vectors observed by QuikSCAT/SeaWinds
613 using ocean buoy data. *Journal of Atmospheric and Oceanic Technology*, 19(12), 2049-2062.
614 [https://doi.org/10.1175/1520-0426\(2002\)019<2049:EOWVOB>2.0.CO;2](https://doi.org/10.1175/1520-0426(2002)019<2049:EOWVOB>2.0.CO;2)

615 Ekman, V. W. (1905). *On the influence of the earth's rotation on ocean currents*. Uppsala, Sweden: Arkiv for
616 Matematik, Astronomi och Fysik.

617 Feng, M., Duan, Y., Wijffels, S., Hsu, J.-Y., Li, C., Wang, H., et al. (2020). Tracking air-sea exchange and upper-
618 ocean variability in the Indonesian-Australian basin during the onset of the 2018/19 Australian summer monsoon.
619 *Bulletin of the American Meteorological Society*, 101(8), E1397-E1412. <https://doi.org/10.1175/bams-d-19-0278.1>

620 Freilich, M. H. (1997). Validation of vector magnitude datasets: Effects of random component errors. *Journal of*
621 *Atmospheric and Oceanic Technology*, 14(3), 695-703. [https://doi.org/10.1175/1520-0426\(1997\)014<0695:VOVMDE>2.0.CO;2](https://doi.org/10.1175/1520-0426(1997)014<0695:VOVMDE>2.0.CO;2)

623 Freitag, H. P., Ning, C., Berk, P. L., Dougherty, D. M., Marshall, R. F., Strick, J. M., & Zimmerman, D. K. (2016).
624 ATLAS, T-Flex, BaiLong meteorological sensor comparison test report(OAR PMEL-148). Seattle, WA, USA:
625 NOAA/Pacific Marine Environmental Laboratory.

626 Gilhousen, D. B. (1987). A field evaluation of NDBC moored buoy winds. *Journal of Atmospheric and Oceanic*
627 *Technology*, 4(1), 94-104. [https://doi.org/10.1175/1520-0426\(1987\)004<0094:AFEONM>2.0.CO;2](https://doi.org/10.1175/1520-0426(1987)004<0094:AFEONM>2.0.CO;2)

628 Huang, R. (2010). *Ocean circulation : wind-driven and thermohaline processes*. Cambridge, UK: Cambridge
629 University Press.

630 Jourdan, D., & Gautier, C. (1995). Comparison between global latent heat flux computed from multisensor (SSM/I
631 and AVHRR) and from in situ data. *Journal of Atmospheric and Oceanic Technology*, 12(1), 46-72.
632 [https://doi.org/10.1175/1520-0426\(1995\)012<0046:CBGLHF>2.0.CO;2](https://doi.org/10.1175/1520-0426(1995)012<0046:CBGLHF>2.0.CO;2)

633 Kelly, K. A., Dickinson, S., McPhaden, M. J., & Johnson, G. C. (2001). Ocean currents evident in satellite wind
634 data. *Geophysical Research Letters*, 28(12), 2469-2472. <https://doi.org/10.1029/2000GL012610>

635 Kessler, W. S., S. E. Wijffels, S. Cravatte, N. Smith, & Authors, L. (2019). Second report of TPOS 2020(GOOS-
636 234).

637 KNMI. NSCAT-4 geophysical model function. Availabe online: https://scatterometer.knmi.nl/nscat_gmf (accessed
638 on 27 Feb 2021).

639 Kumar, R., Chakraborty, A., Parekh, A., Sikhakolli, R., Gohil, B. S., & Kumar, A. S. K. (2013). Evaluation of
640 Oceansat-2-derived ocean surface winds using observations from global buoys and other scatterometers. *IEEE*
641 *Transactions on Geoscience and Remote Sensing*, 51(5), 2571-2576. <https://doi.org/10.1109/TGRS.2012.2214785>

642 Lin, W., Dong, X., Portabella, M., Lang, S., He, Y., Yun, R., et al. (2019). A perspective on the performance of the
643 CFOSAT rotating fan-beam scatterometer. *IEEE Transactions on Geoscience and Remote Sensing*, 57(2), 627-639.
644 <https://doi.org/10.1109/TGRS.2018.2858852>

645 Liu, W. T., Katsaros, K. B., & Businger, J. A. (1979). Bulk parameterization of air-sea exchanges of heat and water
646 vapor including the molecular constraints at the interface. *Journal of the Atmospheric Sciences*, 36(9), 1722-1735.
647 [https://doi.org/10.1175/1520-0469\(1979\)036<1722:BPOASE>2.0.CO;2](https://doi.org/10.1175/1520-0469(1979)036<1722:BPOASE>2.0.CO;2)

648 Liu, W. T., & Tang, W. Q. (1996). Equivalent neutral wind. (*JPL Publication 96-17*). Pasadena, CA, USA: Jet
649 Propulsion Laboratory.

650 Liu, Y., Lin, M., Jiang, X., Sun, X., & Song, X. (2021). A comparison of multiplatform wind products in the South
651 China Sea during summer and autumn in 2019. *Journal of Oceanology and Limnology*.
652 <https://doi.org/10.1007/s00343-020-0207-2>

653 Luo, J. J., Masson, S., Roeckner, E., Madec, G., & Yamagata, T. (2005). Reducing climatology bias in an ocean-
654 atmosphere CGCM with improved coupling physics. *Journal of Climate*, 18(13), 2344-2360.
655 <https://doi.org/10.1175/JCLI3404.1>

656 NDBC. How are the station ID numbers created? Available online: <https://www.ndbc.noaa.gov/staid.shtml> (accessed
657 on 14 Jan 2021).

658 NSOAS. CFOSAT Series. Available online: <http://www.nsoas.org.cn/eng/> (accessed on 14 Jan 2021).

659 Pedlosky, J. (1987). *Geophysical fluid dynamics*. (2nd ed.). New York, USA: Springer.

660 Pollard, R. T., Rhines, P. B., & Thompson, R. O. R. Y. (1973). The deepening of the wind-Mixed layer.
661 *Geophysical Fluid Dynamics*, 4(4), 381-404. <https://doi.org/10.1080/03091927208236105>

662 Price, J. F., Weller, R. A., & Pinkel, R. (1986). Diurnal cycling: Observations and models of the upper ocean
663 response to diurnal heating, cooling, and wind mixing. *Journal of Geophysical Research: Oceans*, 91(C7).
664 <https://doi.org/10.1029/JC091iC07p08411>

665 Price, J. F., Weller, R. A., & Schudlich, R. R. (1987). Wind-driven ocean currents and ekman transport. *Science*,
666 238(4833), 1534-1538. <https://doi.org/10.1126/science.238.4833.1534>

667 Schmidt, K. M., Swart, S., Reason, C., & Nicholson, S.-A. (2017). Evaluation of satellite and reanalysis wind
668 products with in situ wave glider wind observations in the Southern Ocean. *Journal of Atmospheric and Oceanic*
669 *Technology*, 34(12), 2551-2568. <https://doi.org/10.1175/jtech-d-17-0079.1>

670 Schott, F. A., & McCreary, J. P. (2001). The monsoon circulation of the Indian Ocean. *Progress in Oceanography*,
671 51(1), 1-123. [https://doi.org/10.1016/S0079-6611\(01\)00083-0](https://doi.org/10.1016/S0079-6611(01)00083-0)

672 Song, X. (2021). The importance of including sea surface current when estimating air-sea turbulent heat fluxes and
673 wind stress in the Gulf Stream region. *Journal of Atmospheric and Oceanic Technology*, 38, 119-138.
674 <https://doi.org/10.1175/JTECH-D-20-0094.1>

675 Thorpe, S. A. (2004). Langmuir circulation. *Annual Review of Fluid Mechanics*, 36(1), 55-79.
676 <https://doi.org/10.1146/annurev.fluid.36.052203.071431>

677 Verspeek, J., Stoffelen, A., Portabella, M., Bonekamp, H., Anderson, C., & Saldana, J. F. (2010). Validation and
678 calibration of ASCAT using CMOD5.n. *IEEE Transactions on Geoscience and Remote Sensing*, 48(1), 386-395.
679 <https://doi.org/10.1109/TGRS.2009.2027896>

680 Wang, L., Ding, Z., Zhang, L., & Yan, C. (2019). CFOSAT-1 realizes first joint observation of sea wind and waves.
681 *Aerospace China*, 20(01), 22-29.

682 Wang, Z., Stoffelen, A., Zou, J., Lin, W., Verhoef, A., Zhang, Y., et al. (2020). Validation of new sea surface wind
683 products from scatterometers onboard the HY-2B and MetOp-C satellites. *IEEE Trans. Geosci. Remote Sensing*
684 58(6), 4387-4394. <https://doi.org/10.1109/TGRS.2019.2963690>

685 Wanninkhof, R. (1992). Relationship between wind speed and gas exchange over the ocean. *Journal of Geophysical*
686 *Research: Oceans*, 97(C5), 7373-7382. <https://doi.org/10.1029/92JC00188>

687 Wanninkhof, R., & McGillis, W. R. (1999). A cubic relationship between air-sea CO₂ exchange and wind speed.
688 *Geophysical Research Letters*, 26(13), 1889-1892. <https://doi.org/10.1029/1999GL900363>

689 Xu, X., Dong, X., & Xie, Y. (2020). On-board wind scatterometry. *Remote Sensing*, 12(7), 1216.
690 <https://doi.org/10.3390/rs12071216>

691 Yu, L., & Jin, X. (2012). Buoy perspective of a high-resolution global ocean vector wind analysis constructed from
692 passive radiometers and active scatterometers (1987–present). *Journal of Geophysical Research*, 117(C11), 143–
693 156. <https://doi.org/10.1029/2012JC008069>

694 Yu, Z., McCreary Jr, J. P., Kessler, W. S., & Kelly, K. A. (2000). Influence of equatorial dynamics on the Pacific
695 North Equatorial Countercurrent. *Journal of Physical Oceanography*, 30(12), 3179-3190.
696 [https://doi.org/10.1175/1520-0485\(2000\)030<3179:IOEDOT>2.0.CO;2](https://doi.org/10.1175/1520-0485(2000)030<3179:IOEDOT>2.0.CO;2)

697 Zhao, K., & Zhao, C. (2019). Evaluation of HY-2A scatterometer ocean surface wind data during 2012–2018.
698 *Remote Sensing*, 11(24), 2968-2889. <https://doi.org/10.3390/rs11242968>

699 Zhao, X., & Hou, Y. (2006). Analysis of ERS-2 scatterometer winds and wind-wave calculation for eastern China
700 seas. *Chinese Journal of Oceanology and Limnology*, 24(1), 69-75. <https://doi.org/10.1007/BF02842777>

701