

1 **Mechanisms of xylem hydraulic recovery after drought in *Eucalyptus saligna***

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3 Alice Gauthey¹, Jennifer M. R. Peters^{1,2}, Rosana Lòpez^{1,3}, Madeline R. Carins-Murphy⁴, Celia
4 M. Rodriguez-Dominguez⁵, David T. Tissue¹, Belinda E. Medlyn¹, Tim J. Brodribb⁴, Brendan
5 Choat¹

6

7 ^{1.} *Western Sydney University, Hawkesbury Institute for the Environment, Richmond, NSW*
8 *2753, Australia*

9 ^{2.} *Oak Ridge National Laboratory, Climate Change Science Institute & Environmental*
10 *Science Division, Oak Ridge, TN USA*

11 ^{3.} *Departamento de Sistemas y Recursos Naturales, Universidad Politécnica de Madrid,*
12 *Madrid, Spain*

13 ^{4.} *School of Biological Sciences, University of Tasmania, Private Bag 55, Hobart,*
14 *Tasmania 7001, Australia*

15 ^{5.} *Irrigation and Crop Ecophysiology Group, Instituto de Recursos Naturales y*
16 *Agrobiología de Sevilla (IRNAS, CSIC), Avenida Reina Mercedes,10, Sevilla 41012,*
17 *Spain*

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19 **Running head:** Xylem hydraulic recovery after drought

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27

28 **Author for correspondence:** Brendan Choat

29 Email: b.choat@westernsydney.edu.au

30 Phone: +61 2 4751 1901

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35 **ABSTRACT**

36 The mechanisms by which woody plants recover xylem hydraulic capacity after drought stress
37 are not well understood, particularly with regard to the role of embolism refilling. We evaluated
38 the recovery of xylem hydraulic capacity in young *Eucalyptus saligna* plants exposed to cycles
39 of drought stress and rewatering. Plants were exposed to moderate and severe drought stress
40 treatments, with recovery monitored at time intervals from 24 hrs to 6 months after rewatering.
41 The percentage loss of xylem vessels due to embolism (PLV) was quantified at each time point
42 using micro-computed tomography with stem water potential (Ψ_x) and whole plant
43 transpiration (E_{plant}) measured prior to scans. Plants exposed to severe drought stress suffered
44 high levels of embolism (47.38 ± 10.97 % PLV) and almost complete canopy loss. No evidence
45 of embolism refilling was observed at 24 hrs, one week, or three weeks after rewatering despite
46 rapid recovery in Ψ_x . Recovery of hydraulic capacity was achieved over a 6-month period by
47 growth of new xylem tissue, with canopy leaf area and E_{plant} recovering over the same period.
48 These findings indicate that *E. saligna* recovers slowly from severe drought stress, with
49 potential for embolism to persist in the xylem for many months after rainfall.

50

51 **Keywords:** xylem, drought, water-stress, hydraulic, embolism, cavitation, refilling, recovery,
52 microCT, water potential.

53 INTRODUCTION

54 Water is transported through the xylem as a liquid under tension (Tyree and Ewers, 1991; Tyree,
55 1997; Steudle, 2001). In this physical state, water may undergo cavitation, resulting in the
56 formation of gas emboli and blockage of xylem conduits. Water stress causes tension in the
57 xylem to increase, which leads to a higher probability of cavitation. Although the structure of
58 the xylem has evolved to limit the impact of embolism, at a critical tension, embolism will
59 begin to spread rapidly through the network of conduits, leading to a sharp decrease in xylem
60 hydraulic conductivity (Brodersen, 2013; Lens et al., 2013; Knipfer et al., 2015b). As a
61 consequence, the capacity of plants to move water from the roots to the leaves is reduced,
62 affecting leaf gas exchange and tissue hydration (Nardini et al., 2003; Martorell et al., 2014;
63 Knipfer et al., 2015b). During periods of severe water stress, embolism can lead to complete
64 hydraulic failure in roots, stems and leaves, which has been linked to canopy dieback and whole
65 plant mortality during drought.

66

67 Plants have developed a range of strategies to survive and recover from water stress, which
68 include avoidance of water stress by stomatal regulation, leaf shedding, and access to ground
69 water (Čermák et al., 1980; Molyneux and Davies, 1983; Robichaux et al., 1987; Cochard et
70 al., 1996; David et al., 2013), and tolerance of water stress due to high resistance to cavitation,
71 low turgor loss points and wider hydraulic safety margins (Choat et al., 2012). However,
72 regardless of strategy, when the xylem tension reaches critical thresholds set by cavitation
73 resistance, blockage of xylem conduits by embolism will progressively reduce xylem hydraulic
74 conductivity. Physiological mechanisms that restore xylem hydraulic capacity are a key
75 component of recovery from drought stress.

76

77 A large body of research has focused on mechanisms that allow plants to refill embolized xylem
78 conduits on short time scales (ca. 6-12 hrs), thus providing rapid recovery of hydraulic capacity
79 after drought. The process of embolism repair (i.e. refilling) requires a mechanism by which
80 gas is reabsorbed into the water and removed from xylem conduits. The exact physiological
81 mechanisms responsible for refilling, and the prevalence of embolism repair across plant taxa,
82 are issues that have been widely debated over the last 40 years (Sperry et al., 1988; Borghetti
83 et al., 1991; Salleo et al., 1996; Tyree et al., 1999; Holbrook et al., 2001; Salleo et al., 2009;
84 Zwieniecki and Holbrook, 2009; Brodersen et al., 2010; Brodersen and McElrone, 2013).
85 Pickard (1989) hypothesised that root pressure could dissolve gas bubbles during the night-time
86 when transpiration was minimal and soil water availability was high. Springtime refilling is

87 commonly observed in some plant species exposed to freezing conditions during winter,
88 including vines and tree species that are known to generate strong positive root and stem
89 pressures (Sperry et al., 1987; Pockman and Sperry, 1997; Utsumi et al., 1998; Ewers et al.,
90 2001; Cobb et al., 2007; Christensen-Dalsgaard and Tyree, 2014; Mayr et al., 2014). It is
91 important to note that such springtime refilling is an adaptation to embolism caused by
92 successive freeze-thaw cycles during winter and occurs in concert with major remobilisation of
93 water and carbohydrate reserves after winter dormancy (Charrier et al., 2013; Mayr et al., 2014;
94 Plavcová and Jansen, 2015). It is therefore distinct in a number of important ways from the
95 proposed diurnal refilling process or refilling after drought stress during the growing season
96 (Klein et al., 2018).

97

98 Without conditions creating positive pressures throughout the xylem, refilling is difficult to
99 explain in terms consistent with the laws of thermodynamics (Holbrook and Zwieniecki, 1999;
100 Zwieniecki and Holbrook, 2009). However, several studies have concluded that refilling under
101 tension is possible and could occur as part of a diurnal cycle in certain species (*Vitis vinifera*,
102 Jacobsen & Pratt, 2012; *Quercus gambelii*, Taneda and Sperry, 2008). A number of theories
103 have been advanced to explain refilling under tension, with most centring on osmotic activity
104 of xylem parenchyma cells adjacent to embolised conduits and the isolation of refilling conduits
105 from the transpiration stream (Clearwater and Goldstein, 2005; Salleo et al., 2009; Zwieniecki
106 and Holbrook, 2009; Nardini et al., 2011; Brodersen and McElrone, 2013). Observations
107 suggest that refilling takes place over a period of hours, allowing restoration of xylem function
108 within relatively short time periods (Nardini et al., 2011). An alternative mechanism of recovery
109 is the growth of new xylem tissue. In this case, recovery of hydraulic capacity would occur over
110 much longer time frames (weeks, months) and require significantly more investment of carbon.
111 In conifer species, two studies have demonstrated that recovery of hydraulic capacity after
112 severe drought stress is facilitated by growth of new xylem tissue rather than refilling of
113 embolised tracheids (Brodribb et al., 2010; Hammond et al., 2019; Secchi et al., 2020).

114

115 The application of non-invasive imaging techniques allowed researchers to visualise refilling
116 in intact plants, including observations based on Magnetic Resonance Imaging (MRI) and micro
117 computed tomography (microCT) (Broderson et al., 2010; Ryu et al., 2016; Gleason et al., 2017;
118 Secchi et al., 2020). These studies have provided evidence of embolism repair in a limited
119 number of species (grapevine, maize, poplar) capable of producing strong root pressure
120 (Charrier et al., 2016). However, other studies using MRI and microCT have provided evidence

121 that refilling does not occur after drought, despite water potentials recovering to pre-drought
122 levels on short time scales (Clearwater and Clark, 2003; Brodribb et al., 2010; Choat et al.,
123 2015; Knipfer et al., 2015b; Choat et al., 2018; Johnson et al., 2018). Additionally, there is
124 evidence that observations of refilling based on hydraulic (flow-based) measurements may be
125 flawed because of experimental artefacts relating to excision of samples under tension (Wheeler
126 et al., 2013; Torres-Ruiz et al., 2015; Lamarque et al., 2018). This work has raised questions
127 regarding the generality of embolism repair mechanisms across plant taxa and the processes
128 that plants use to recover hydraulic capacity in the absence of refilling.

129

130 In this study, we used microCT visualisation to investigate whether refilling is a routine
131 mechanism of recovery from drought stress in the evergreen tree species, *Eucalyptus saligna*.
132 In a recent study utilising microCT, we observed partial refilling in a small fraction of
133 embolised vessels in *Eucalyptus saligna* plants recovering from drought stress, although this
134 did not result in a significant decrease in the number of embolised vessels over all (Choat et al.,
135 2019). However, two studies have also suggested that X-ray damage caused by microCT scans
136 may impact xylem physiological function, including mechanisms of embolism refilling that
137 rely on the metabolic activity of living cells in the xylem (Savi et al., 2017; Petruzzellis et al.,
138 2018). In order to address this issue and avoid artefacts associated with X-ray damage, data in
139 the current study were acquired from single scans of multiple replicate plants, rather than
140 repeated scans on individual plants. Recovery of hydraulic capacity, plant water status, and
141 canopy transpiration rates were evaluated in plants exposed to moderate drought (MD) and
142 severe drought (SD) stress over time scales ranging from 24 hrs to 6 months. Monitoring
143 recovery over longer time periods allowed us to examine embolism refilling and growth of new
144 xylem tissue as alternative mechanisms of recovery.

145

146 **MATERIALS AND METHODS**

147 **Plant material**

148 *Eucalyptus saligna* Sm. (Sydney Blue Gum) is fast growing tree species used extensively in
149 timber plantations. It is native to mesic areas along the eastern coast of Australia in New South
150 Wales and Queensland and is relatively vulnerable to drought compared to other *Eucalyptus*
151 species (Bourne et al., 2017). Thirty-three plants were purchased from PlantPlus Cumberland
152 forest nursery (Pennant Hills, NSW, Australia). Plants were grown in 4-L plastic pots using
153 standard potting mix and were kept in a sunlit poly-tunnel on the Hawkesbury Campus of
154 Western Sydney University (Richmond, NSW, Australia) for three weeks. Plants were

155 approximately 1-year-old and 1 metre in height at the beginning of the experiment. The
156 experiment commenced in September 2017 and finished in March 2018.

157

158 **Drought treatment**

159 A first subset of plants was allocated to two different treatments: (1) medium drought (MD)
160 (n=5) in which plants were dried to a water potential target of ~ -2 MPa and then rewatered,
161 and (2) severe drought (SD) (n=9) in which plants were dried to a water potential target of $\sim -$
162 3.5 MPa and then rewatered (Fig. S1). These water potential targets were based on previous
163 studies (Bourne et al., 2017; Choat et al., 2019) and were expected to induce complete stomatal
164 closure and a given percentage of loss of conductivity (PLC) of $\sim 10\%$ in MD and $\sim 50\%$ PLC
165 in SD. Whole canopy transpiration rate (E_{plant}) and stem water potential (Ψ_x) were tracked
166 during the dry-down and the recovery for these two treatments. The two drought treatments
167 allowed us to assess recovery of leaf gas exchange as a function of the severity of the water
168 stress (minimum water potential reached) and the impact on theoretical hydraulic conductivity.
169 All plants were kept in a sunlit polytunnel for three weeks prior to the start of drought
170 treatments. In order to impose uniform conditions during the application of drought treatments,
171 individuals assigned to MD and SD treatments were moved to a controlled environment
172 chamber (Thermoline, Australia) maintained at 21°C constant temperature with a 11h/13h
173 light/dark cycle with a photon flux density of 700 $\mu\text{mol m}^{-2} \text{s}^{-1}$ and 60% relative humidity.
174 Water was withheld from plants until the desired Ψ_x for each treatment was reached. At this
175 point, plants were moved to a second growth chamber and E_{plant} was measured (see details
176 below). Plants were returned to the poly-tunnel during the recovery phase and watered daily to
177 maintain high plant and soil water status.

178

179 In order to address issues regarding the impacts of X-ray radiation on xylem physiological
180 processes raised in recent studies (Savi et al., 2017; Petruzzellis et al., 2018), the majority of
181 plants included in this experiment were scanned only once. In this experimental design, changes
182 in the number of embolised vessels at different time points were measured on separate cohorts
183 of individuals, rather than by repeated measurements on individual plants. While this approach
184 does not allow changes in the status of individual vessels to be tracked, it avoids any potential
185 impacts of X-ray radiation on the activity of living parenchyma cells within the xylem, which
186 theoretically drive embolism refilling. As such, a second subset of plants (n=19) was assigned
187 to allow for micro-CT visualisation of xylem tissue at different points during drought and

188 recovery treatments. Groups of plants scanned in this manner were assigned to well-watered
189 (n=4), dried to MD (n=5), dried to SD (n=5), and dried to MD and rewatered 24 hours (R24h)
190 before scanning (n=5). After initial scans, the well-watered group of plants was scanned three
191 further times to allow for construction of a dehydration vulnerability curve for which plants
192 were dehydrated down to ~ -2.8 MPa (see below). Previous work has demonstrated that multiple
193 scans on individual plants do not alter vulnerability curves (Choat et al., 2016; Gauthey et al.,
194 2020). Canopy transpiration rates and water potential were recorded for each replicate plant
195 immediately before microCT scans took place in both subset of plants. In order to track long-
196 term recovery from drought, SD individuals from the first plant subset were scanned after three
197 weeks (R3wk) (n=5) and six months (R6mo) of recovery (n=4) after rewatering (Fig. S1).

198

199 **Physiological and morphological measurements**

200 Bagged leaf (stem) water potential (Ψ_x) was measured by sealing a leaf in plastic film, covered
201 in aluminium foil, for a minimum of 30 min before excision and measurement (McCutchan and
202 Shackel, 1992; Choat et al., 2010). Leaves were slowly (0.02 MPa s^{-1}) and continuously
203 pressurized with a Scholander pressure chamber (PMS Instrument Company, Albany, OR,
204 USA) until water was visible on the cut end of the leaf (Scholander et al., 1965). Two to three
205 leaves (or small branch tips when leaves became too dry) per individual were measured for
206 each water potential measurement. When Ψ_x could not be measured using the pressure chamber
207 method because of canopy leaf loss, Ψ_x was assessed using PSY1 Stem Psychrometer sensor
208 coupled with a microvolt data logger used to store data (ICT International, Armidale, NSW,
209 Australia).

210

211 A second growth-chamber was used to measure canopy transpiration rate (E_{plant}) throughout the
212 experiment. The chamber environmental conditions were maintained at 25°C , constant light
213 intensity of $700 \mu\text{mol m}^{-2} \text{ s}^{-1}$ and 60% relative humidity during measurement periods. Plants
214 were placed on a precision balance with a resolution of 0.1g (MS8001TS, Mettler-Toledo,
215 Columbus, OH, USA) connected to a data logger (CR1000, Campbell Scientific, UT, USA).
216 Changes in weight were recorded with LoggerNet software (Campbell Scientific, UT, USA).
217 Prior to measurements, a plastic bag was sealed around the pots to cover the soil and minimise
218 soil evaporation. Weight was logged from the balance every minute for a 1 to 2 hr period per
219 plant with differences in weight attributed to plant water loss. Whole canopy transpiration rate
220 ($\text{g plant}^{-1} \text{ hr}^{-1}$) was calculated as the slope of a linear regression between plant water loss and

221 time. Throughout the experiment, the canopy leaf area was recorded by counting the number of
222 mature leaves on each plant. A relationship between leaf number, weight and area was
223 established to allow calculation of total canopy leaf area at each time point.

224

225 **MicroCT scans**

226 MicroCT visualisations were undertaken at the Australian Synchrotron (Clayton, VIC,
227 Australia) Imaging and Medical Beamline (IMBL) during two periods: late October of 2017
228 and early March of 2018. Samples were positioned in the beam using a robotic arm (Kuka,
229 KR1000 Titan) and scanned at the main stem axis with a field of view of 28 mm x 20 mm.
230 Scans were conducted at an X-ray energy of 30 keV, while the sample was rotated through 180
231 degrees using continuous rotation; images were recorded at 0.1° angle increments. This yielded
232 1800 projections with additional flat-field and dark-field images recorded before and after each
233 scan. Exposure time at each angle was 0.45–0.60 s giving a total scan time of 18–23 min. Scan
234 volumes were reconstructed using XLICT Workflow 2015 (CSIRO) using either the Gridrec or
235 FBP (Paganin et al., 2002) reconstruction algorithm. The final resolution of images was 9.7 µm
236 per voxel. MicroCT images provided good contrast between water-filled (grey) and air-filled
237 (black) vessels. Image analysis was performed on a median scan (10 middle scans were
238 compressed to make a single scan) by counting embolised vessels (darker than water-filled
239 ones) using the ‘Threshold’ and ‘Analyse Particles’ functions in ImageJ, or by counting vessels
240 manually using the “multi-point” action (Nolf et al., 2017). Percentage of loss of vessels (PLV)
241 was calculated for each scan image following:

242

$$243 \quad PLV = \frac{\text{Number of vessels embolised}}{\text{Total number of vessels}} \times 100$$

244

245 The strong correlation between PLV and PLC is supported by numerous studies (Gauthey et
246 al., 2020; Li et al., 2020; Peters et al., 2020) which suggests that loss of conductivity in this
247 species can be approximated by counting gas filled vessels, especially for species with diffuse
248 porous xylem structure, in which the distribution of vessel diameters is relatively narrow.

249

250 In order to estimate what percentage of new xylem was regrown after rewatering, we used
251 cross-sections of the 6-month recovery plants to analyse the area of xylem occupied by newly
252 grown xylem or older xylem. Images were processed with ImageJ where pit and bark were
253 removed, and old and new xylem area were calculated.

254 **Vulnerability curves**

255 Two vulnerability curves (VCs) were generated using PLV and Ψ_x measurements from all
256 plants in drought and recovery treatments (n=26). The first VC was fitted to data from plants
257 that were scanned during the dehydration phase (VC_D, dehydration curve). To further examine
258 evidence of refilling, we plotted the PLV of recovery treatment plants against the minimum
259 water potential that they experienced during drought. These rehydration points were also
260 transposed to the minimum Ψ_x that the plant reached before rewatering, and a second curve
261 (VC_R) was fitted to these data (transposition curve).

262

263 **Statistical analysis**

264 All statistical analyses were conducted with R 3.1.2 (R Core Team, 2017) using RStudio
265 (RStudio Team, 2015). Comparison of the physiological and morphological traits between the
266 two treatments were tested for significant differences with a one-way ANOVA. Analyses were
267 conducted on untransformed data which were normally distributed. Vulnerability curves were
268 fit to PLV using the *fitplc* package following methods developed by Duursma & Choat (2017).
269 Curves were fit with a Weibull function and the mean P₅₀ and confidence intervals were
270 extracted using a standard profiling method. For these methods, a 95% CI for the estimate of
271 P₅₀ was generated using a bootstrapping approach with 2000 resamples. To test for significant
272 differences between vulnerability curves (dehydration and transposition), we generated
273 bootstrap confidence intervals (CIs) for the difference in estimates of P₅₀ for each dataset
274 (Gauthey et al., 2020).

275

276 **RESULTS**

277 **Dynamics of transpiration and plant water status**

278 At the commencement of the experiment, plants allocated to SD treatment had high mean stem
279 water potential ($\Psi_x = -0.44 \pm 0.02$ MPa) and a mean E_{plant} of 48.3 ± 2.6 g plant⁻¹ hr⁻¹ (Fig. 1).
280 As SD plants were dehydrated to a mean Ψ_x of -2.11 ± 0.21 MPa, stomata closed and E_{plant} was
281 sharply reduced to 15.7 ± 3.0 g plant⁻¹ hr⁻¹. When plants reached the severe drought target water
282 potential ($\Psi_x = -3.17 \pm 0.17$ MPa), E_{plant} was further reduced to 1.9 ± 0.2 g plant⁻¹ hr⁻¹. At this
283 time point, leaves on all plants in the SD treatment had become severely wilted. Upon release
284 from drought, stem water status slowly recovered to $\Psi_x = -2.10 \pm 0.33$ MPa at 24 hr after
285 rewatering and to $\Psi_x = -1.34 \pm 0.42$ MPa at 1 week after rewatering. During this time, the
286 majority of plants in the SD treatment experienced complete canopy loss (100% leaf death) and

287 E_{plant} remained stable at $1.3 \pm 0.3 \text{ g plant}^{-1} \text{ hr}^{-1}$. By 3 weeks after rewatering, Ψ_x had recovered
288 to $-0.37 \pm 0.07 \text{ MPa}$, excluding one individual that did not recover after rewatering ($\Psi_x = -5.00$
289 MPa). At 3 weeks post drought, two plants had recovered a small proportion ($\sim 30\%$) of their
290 initial canopy area, while most other individuals were in the initial phases of resprouting from
291 epicormic buds; mean E_{plant} remained low at $3.0 \pm 1.7 \text{ g plant}^{-1} \text{ hr}^{-1}$. Over the longer term, plants
292 from the SD treatment fully recovered water status, canopy leaf area, and transpiration rate; at
293 18 weeks post-drought, mean $\Psi_x = -0.58 \pm 0.08 \text{ MPa}$ and mean $E_{\text{plant}} = 44.9 \pm 7.5 \text{ g plant}^{-1} \text{ hr}^{-1}$.
294 In all long-term recovery plants, some proportion of the main stem axis died, and recovery of
295 leaf area occurred from growth of new branches and epicormic buds. After 6 months under
296 well-watered conditions, plants had 1.3 to 2.4 fold higher canopy leaf area and significantly
297 higher canopy transpiration rates ($E_{\text{plant}} = 78.9 \pm 5.29 \text{ g plant}^{-1} \text{ hr}^{-1}$) than at the time of initial
298 measurements, while plant water status remained high ($\Psi_x = -0.56 \pm 0.04 \text{ MPa}$) (Fig. 1B and
299 D). When transpiration rate was expressed on a leaf area basis (E_c), it was slightly, although
300 not significantly, lower than at the initial measurement point (Fig. S2).

301

302 In the MD treatment, stomatal closure reduced E_{plant} from an initial rate of $103.6 \pm 3.6 \text{ g plant}^{-1}$
303 hr^{-1} to $10.2 \pm 0.4 \text{ g plant}^{-1} \text{ hr}^{-1}$ at the peak of the drought treatment ($\Psi_x = -1.94 \pm 0.14 \text{ MPa}$)
304 (Fig. 1A and C). No canopy loss and only mild wilting was observed at the peak of this moderate
305 drought stress treatment. At 24 hrs after rewatering, MD plants had recovered close to their
306 initial pre-treatment water status ($\Psi_x = -0.90 \pm 0.20 \text{ MPa}$), while E_{plant} had recovered to
307 approximately half of initial values ($55.8 \pm 2.9 \text{ g plant}^{-1} \text{ hr}^{-1}$) in all but one individual, for which
308 E_{plant} was $3.9 \text{ g plant}^{-1} \text{ hr}^{-1}$. Mean E_{plant} remained unchanged 5 days after rewatering, at $52.7 \pm$
309 $1.6 \text{ g plant}^{-1} \text{ hr}^{-1}$ for four individuals and 5.0 g hr^{-1} for one outlier. At 1 week after rewatering,
310 two individuals experienced approximately 90% loss of canopy leaf area, leading to sharply
311 lower E_{plant} ($3.7 \pm 2.2 \text{ g plant}^{-1} \text{ hr}^{-1}$) for these two individuals compared to a mean E_{plant} of 45.9
312 $\pm 1.2 \text{ g plant}^{-1} \text{ hr}^{-1}$ for the three individuals that retained their full canopies. At 2 weeks after
313 rewatering, the three plants with canopy remaining had a mean E_{plant} of $48.9 \pm 11.4 \text{ g plant}^{-1} \text{ hr}^{-1}$
314 and mean Ψ_x of $-0.78 \pm 0.07 \text{ MPa}$ (Fig. 1A and C). Transpiration rate on a leaf area basis (E_c)
315 agreed with these E_{plant} dynamics (Fig. S2).

316

317 **Refilling observation**

318 Well-watered plants exhibited low levels of native embolism (Figs. 2 and 3). In the SD
319 treatment, PLV increased to $47.4 \pm 11 \%$ at the peak of the drought ($\Psi_x = -3.26 \pm 0.15 \text{ MPa}$).

320 After rewatering in the SD treatment, the lack of transpiration due to leaf shedding and well-
321 watered soil were expected to provide favourable conditions for refilling. However, at 3 weeks
322 after rewatering, mean PLV was 64.7 ± 18.7 %, higher than PLV recorded for SD plants at the
323 peak of drought stress. After six months under well-watered conditions, plants exposed to
324 severe drought treatment retained very high levels of embolism in xylem that had been exposed
325 to the severe drought treatment (Fig. 2B). New xylem growth with relatively few embolised
326 vessels surrounded the core of embolised vessels in the old xylem (Fig. 4). MicroCT
327 visualisation allowed us to quantify the level of embolism in the old xylem and the new xylem
328 grown during the 6 months recovery period. This analysis yielded a mean PLV of 8.0 ± 3.0 %
329 for the new ring and mean PLV of 47.2 ± 5.1 % for the whole stem cross section (Fig. 2B).

330

331 MicroCT visualisations indicated that the MD treatment ($\Psi_x = -1.98 \pm 0.17$ MPa) resulted in a
332 small increase in the number of embolised vessels (PLV of 7.8 ± 1.8 %), although this was not
333 significantly different from native embolism observed in well-watered plants (PLV of 3.6 ± 1.2
334 %). In samples exposed to a MD treatment ($\Psi_x = -2.02 \pm 0.21$ MPa) and then allowed to recover
335 for 24 hours, the PLV was 4.9 ± 1.8 %, which was not significantly different from PLV
336 observed for the MD treatment. However, in plants allowed to recover for one week after MD,
337 the number of embolised vessels was higher (PLV = 24.6 ± 9.0 %) than that observed for MD
338 or 24 hours recovery treatments (Fig. 2A). It is notable that this increase was primarily due to
339 higher PLV in two individuals, which were also the only plants to suffer significant canopy leaf
340 loss as a result of the MD treatment (see above). The occurrence of higher PLV in plants that
341 had been recovering from MD or SD treatments for 1 week and 3 weeks, respectively, was an
342 unexpected result that could not be explained by differences in the minimum water potential
343 reached in different treatments.

344

345 No evidence of embolism repair was observed in microCT scans during the recovery period,
346 regardless of drought stress intensity (MD or SD) or the time length of recovery (Fig. 2). Where
347 recovery in hydraulic capacity did occur, it was over longer time frames (6 months) and was
348 due to growth of new xylem tissue rather than embolism repair (Figs. 4 and 5).

349

350 **Vulnerability curves**

351 The vulnerability curve based on dehydrated plants (VC_D) indicated that *E. saligna* saplings
352 were sensitive to drought relative to other *Eucalyptus* species, with $P_{50} = -3.3$ MPa (Fig. 6). We
353 predicted that if the vulnerability curve based on the minimum water potential reached by

354 recovery plants (VC_R) and VC_D were similar, then xylem refilling was unlikely to have
355 occurred. For the VC_R curve, $P_{50} = -3.1$ MPa, which was not significantly different from the P_{50}
356 estimated from the VC_D . This provides evidence that embolism which occurred as a result of
357 drought stress treatments was preserved in the xylem even after rewatering for a number of
358 weeks.

359

360 **DISCUSSION**

361 Recovery of xylem hydraulic capacity following severe water stress and high levels of xylem
362 embolism was facilitated by growth of new xylem rather than embolism refilling in a woody
363 angiosperm species, *Eucalyptus saligna*. Despite recovery of plant water potential after
364 irrigation, microCT observations of embolism in the stem xylem revealed no evidence of
365 embolism repair between 24 hrs and 3 weeks after water stress was relieved. Over longer time
366 periods (6 months), plants recovered xylem hydraulic capacity by growing new xylem, while
367 the older xylem remained embolised and non-functional. Whole plant transpiration rates
368 recovered over this time period in proportion to re-expansion of canopy leaf area following
369 rewatering. In plants that were subjected to moderate water stress, reductions in whole plant
370 transpiration were observed, indicating stomatal closure, but there was no significant increase
371 in mean PLV relative to pre-drought values. Plant water status and whole plant transpiration
372 recovered rapidly after irrigation in the majority of MD plants, with individuals that suffered
373 higher levels of embolism exhibiting more limited recovery. Despite numerous studies
374 suggesting that refilling can occur under low tensions in the xylem, we did not observe
375 embolism repair in MD or SD treatments, even when stem water potentials recovered to high
376 values (> -0.5 MPa). These results provide further evidence that embolism refilling may not be
377 a widespread mechanism of hydraulic recovery in woody plants following drought stress
378 events.

379

380 Previous experimental work provides contrasting evidence regarding the extent of embolism
381 repair during recovery from drought. While some studies suggest that refilling is a routine
382 process that occurs on diurnal timescales (Canny, 1997), or if the xylem is under mild tension
383 (Holbrook et al., 2001; Hacke and Sperry, 2003; Brodersen et al., 2010; Yang et al., 2012),
384 other studies indicate that refilling does not play a major role in the recovery of xylem hydraulic
385 capacity after drought (Clearwater and Clark, 2003; Brodribb et al., 2010; Choat et al., 2015;
386 Knipfer et al., 2015b; Choat et al., 2019; Hammond et al., 2019). Similarly, our micro-CT
387 observations demonstrated that embolism repair did not occur in *E. saligna*, regardless of water

388 stress intensity or time period after rewatering (Figs. 2 and 3). The introduction of non-invasive
389 imaging techniques has provided opportunities to examine the refilling process in
390 unprecedented spatial and temporal detail, while avoiding artefacts associated with destructive
391 sampling (Holbrook et al., 2001; Brodersen et al., 2010). However, a number of studies that
392 utilize non-invasive imaging techniques have shown limited evidence of refilling after drought
393 in angiosperm and gymnosperm species (Choat et al., 2015; Knipfer et al., 2015b; Choat et al.,
394 2018; Lamarque et al., 2018; Rehschuh et al., 2020).

395

396 While non-invasive methods have many advantages in the study of xylem function, recent work
397 has highlighted potential artefacts associated with microCT studies of embolism repair (Savi et
398 al., 2017; Petruzzellis et al., 2018). Exposure to high doses of X-ray radiation during image
399 acquisition has been observed to cause rapid cell death in some plant species. If mechanisms of
400 embolism repair involve living cells in the xylem, which seems most likely, there is clear
401 potential that X-rays may damage these living cells and inhibit refilling. Here, we eliminated
402 the potential for tissue damage from microCT scans by using cohorts of plants, with each
403 individual scanned once, rather than rescanning the same individuals many times during the
404 experiment. While we could not track the status of individual vessels using this approach, it did
405 allow us to assess the refilling mechanism by determining (a) differences in mean PLV values
406 between treatments, and (b) the presence or absence of partially refilled vessels in the scans of
407 recovering plants. Based on these observations, we concluded that no repair mechanism was
408 operating in *E. saligna* during recovery from drought stress.

409

410 Recovery of hydraulic capacity in the 6-month recovery treatment was achieved by growth of
411 new xylem tissue, rather than refilling of existing xylem. This finding is consistent with studies
412 that have tracked recovery in conifer species over long time periods (~11 weeks) using dye
413 staining techniques (Brodrribb et al., 2010; Hammond et al., 2019). In the SD treatment, we
414 found that 50-98% of vessels were embolised due to water stress, while plants in the MD
415 treatment exhibited minimal embolism in the majority of replicates. Over the 6-month recovery
416 period, microCT visualisation revealed that a new ring of xylem had formed outside the older
417 xylem, in which the vast majority of vessels remained embolised. The newly formed xylem
418 contained low levels of embolism and was of equivalent cross-sectional area to the older xylem
419 (Fig. 4). These results demonstrate that recovery of xylem hydraulic capacity occurred via
420 growth of new xylem over a period of months, rather than by a refilling mechanism that repaired
421 embolisms on a shorter time scale. Recent studies have also suggested that embolism repair is

422 not a common mechanism of recovery in woody plants after moderate or severe drought
423 (Clearwater and Clark, 2003; Brodribb et al., 2010; Knipfer et al., 2015a). The mechanism and
424 rate of recovery observed in *E. saligna* has implications for our understanding of the overall
425 ‘cost’ of xylem embolism to woody plants and for process-based models that simulate recovery
426 of woody vegetation after drought.

427

428 Interestingly, in the MD and SD treatments, the proportion of embolised vessels increased after
429 rewatering. Thus, despite recovery of Ψ_x , the proportion of embolised vessels was higher after
430 1-3 weeks of recovery. This surprising and counter-intuitive result suggests embolism may
431 continue to spread through the xylem network even after drought stress has been relieved. One
432 week after MD and rewatering, two individuals suffered almost complete canopy loss after
433 initially appearing to have recovered water potential to pre-drought levels in 24 hours. Notably,
434 these two individuals also suffered significantly higher PLV compared with the three other
435 plants in the treatment block that maintained canopy. In the 3-week recovery time point, the
436 higher mean PLV was driven primarily by one individual that never recovered Ψ_x , suggesting
437 that it died as a result of the severe drought treatment. The high PLV observed for some
438 individuals at 1- and 3-weeks after rewatering has important implications for our understanding
439 of mortality and canopy dieback processes in the field. In some instances, trees exposed to
440 severe drought die a long time after being released from drought stress by significant rainfall
441 events (Mueller et al., 2005; Breshears et al., 2009; Gu et al., 2015; Matusick et al., 2016). This
442 has been interpreted by some as evidence that water stress and hydraulic failure are not playing
443 a significant role in mortality (Gu et al., 2015). However, the high level of embolism retained
444 in stems post-drought, and the possibility that this can spread further within the xylem while
445 canopy transpiration is recovering, suggests that hydraulic injury continues to play a major role
446 in mortality processes even after plant water status has improved.

447

448 In terms of vulnerability to embolism, leaf turgor loss, and stomatal regulation during drought,
449 *E. saligna* is sensitive to water stress relative to other *Eucalyptus* species (Bourne et al. 2017).
450 Consistent with this, E_{plant} declined rapidly as a result of stomatal closure with the onset of
451 drought stress in both SD and MD treatments. This decrease in E_{plant} occurred prior to embolism
452 formation and is consistent with previous studies showing that stomatal closure is coordinated
453 to protect the plant from catastrophic spread of embolism within the xylem (Cochard et al.,
454 1996; Irvine et al., 1998; Bartlett et al., 2016; Martin-StPaul et al., 2017). In the MD treatment,
455 E_{plant} recovered to approximately half of pre-treatment values 24 hrs after rewatering and

456 remained at this level for 2 weeks after rewatering, despite full recovery of plant water status
457 within 24 hrs (Fig. 1). The post-drought reduction in E_{plant} relative to pre-drought values
458 observed for the MD treatment was not associated with xylem hydraulic limitations in four of
459 five individuals, since PLV remained low in these plants. However, it is notable that one
460 individual never recovered E_{plant} ; this plant had higher PLV and shed its canopy 1 week after
461 rewatering commenced. The dynamics of recovery in the MD plants provides evidence that
462 elevated levels of xylem embolism led to slower recovery of leaf gas exchange, consistent with
463 previous studies (Resco et al., 2009; Brodribb et al., 2010; Skelton et al., 2017). In the absence
464 of increased embolism, reduced transpiration rates after drought likely reflects enhanced water
465 use efficiency, which is commonly observed after moderate drought treatments (Pou et al.,
466 2008; Martorell et al., 2014).

467

468 The SD treatment induced high levels of stem embolism and complete canopy loss in the
469 majority of individuals exposed to this level of drought stress (ca. -3.7 MPa), despite *E. saligna*
470 possessing evergreen leaf phenology. It has been proposed that leaf shedding acts as a
471 “hydraulic fuse” allowing plants to reduce canopy transpiration and the probability of runaway
472 embolism in stem xylem (Tyree et al., 1993; Pineda-García et al., 2013; Hochberg et al., 2017).
473 Leaf water potential may also have declined to more negative values than measured for stem
474 water potential, leading to catastrophic embolism within the leaf and subsequent leaf mortality
475 (Cardoso et al., 2020). In SD plants, E_{plant} recovered as plants re-foliated, making it difficult to
476 assess the impact of hydraulic limitation in the stem xylem on the recovery of leaf gas exchange.
477 However, transpiration rates normalised to leaf area (E_c) were lower than pre-treatment levels
478 at 3-weeks post-rewatering, suggesting limitations to recovery caused by hydraulic dysfunction
479 (Fig. S2). At 18 weeks after the SD treatment, E_c had recovered nearly to pre-treatment levels
480 and remained unchanged at the 6-month recovery period. The long-term recovery in
481 transpiration rates was associated with growth of new xylem, as seen in microCT visualisation
482 (Figs. 3, 4 and 5).

483

484 CONCLUSIONS

485 The recovery of xylem hydraulic capacity after a severe drought treatment was generated by
486 growth of new xylem tissue rather than refilling of embolised vessels. There was no evidence
487 of embolism repair, regardless of drought treatment (moderate or severe) or time interval
488 following rewatering. Over a recovery period of 6 months, plants exposed to severe drought
489 stress recovered from complete canopy loss and very high levels of stem embolism. This is

490 consistent with the ecology of *Eucalyptus* species, which are well adapted to recover from
491 extreme drought stress by re-sprouting from epicormic buds following canopy dieback. Hence,
492 slow recovery from catastrophic levels of embolism by growth of new xylem tissue is the most
493 likely mechanism for *Eucalyptus* species following severe drought. Overall, we require
494 additional studies that address the impact of droughts of variable intensity and duration, and
495 subsequent recovery periods, to determine whether xylem refilling is an important or common
496 physiological process across woody species.

497

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796 **FIGURE LEGENDS**

797

798 **Figure 1.** Changes in water potential (Ψ_x , -MPa) and whole plant transpiration rate (E_{plant} ; g
799 $\text{plant}^{-1} \text{h}^{-1}$) during drought and recovery for two drought treatments: moderate drought (A) and
800 (C) blue symbols, and severe drought (B) and (D) (magenta symbols). Grey symbols show
801 individual data points. Measurement time points shown on the x-axis are well-watered (WW),
802 moderate drought (MD), severe drought (SD), 24 hrs after rewatering (R24h), 5 days after
803 rewatering (R5d), 1 week after rewatering (R1wk), 2 weeks after rewatering (R2wk), 3 weeks
804 after rewatering (R3wk), 18 weeks after rewatering (R18wk), and 6 months rewatering (R6mo).
805 Letters indicate significant differences between time point within each treatment based on
806 Tukey HSD test at $\alpha=0.05$.

807

808 **Figure 2.** Percent loss of vessels (PLV) to embolism at different time points during drought
809 and recovery for two treatments (A. medium drought, blue; B. severe drought, magenta). Grey
810 symbols show individual data points. Measurement time points shown on the x-axis are well-
811 watered (WW), moderate drought (MD), severe drought (SD), 24 hrs after rewatering (R24h),
812 1 week after rewatering (R1wk), 3 weeks after rewatering (R3wk), and 6 months rewatering
813 (R6mo). For the 6-month recovery point after severe drought, PLV is shown for the total stem
814 cross-section (magenta) and for the new ring of xylem (purple). Letters indicate significant
815 differences between time point within each treatment based on Tukey HSD test at $\alpha=0.05$.

816

817 **Figure 3.** Transverse slices from microCT scans of *Eucalyptus saligna* at different time points
818 during drought and recovery. At left, well-watered (WW) treatment. In blue (upper), moderate
819 drought treatment (MD), recovery at 24 hours (R24h) and recovery at 1 week (R1wk). In
820 magenta (lower), severe drought treatment (SD), recovery at 3 weeks (R3wk), recovery at 6-
821 months (R6mo). Xylem vessels that have become embolised are highlighted in red. The
822 corresponding water potential and PLV are indicated on each image. For the recovery treatment,
823 Ψ_{min} corresponds to the most negative water potential reached by the plant before rewatering.
824 For R6mo, PLV_{tot} is the PLV of the entire cross section and PLV_{NX} is the PLV measured in the
825 new xylem formed during recovery.

826

827 **Figure 4.** (A) Transverse slice from microCT scan of *Eucalyptus saligna* plant after 6 months
828 of recovery from severe drought stress. The xylem area exposed to the severe drought treatment
829 (old xylem; orange arrow) contains a very high proportion embolised vessels. The new ring of

830 xylem (new xylem; green arrow) formed subsequent to the severe drought stress treatment
831 during 6-month recovery period contains a much lower proportion of embolised vessels. (B)
832 Box plot showing the percentage of total xylem area occupied by the new growth formed during
833 recovery period.

834

835 **Figure 6.** Percentage loss of vessels (PLV) as a function of stem water potential (Ψ_x , -MPa) for
836 *Eucalyptus saligna* plants exposed to drought and recovery treatments. Each data point
837 represents one individual from dehydration treatment (yellow symbols) or recovery treatment
838 (purple symbols) including individuals for 24 hrs, 1 week or 3 weeks after rewatering. For
839 recovery plants, data were also plotted for the minimum water potential reached by each
840 individual before rewatering (transposition; triangles). Curves were fit to drought and
841 transposition groups using a Weibull function. The vertical solid lines indicate the Y_x at which
842 50% of vessels become embolized (P_{50}) for dehydration and transposition curves with dashed
843 lines showing the 95% confidence intervals around estimates of P_{50} .

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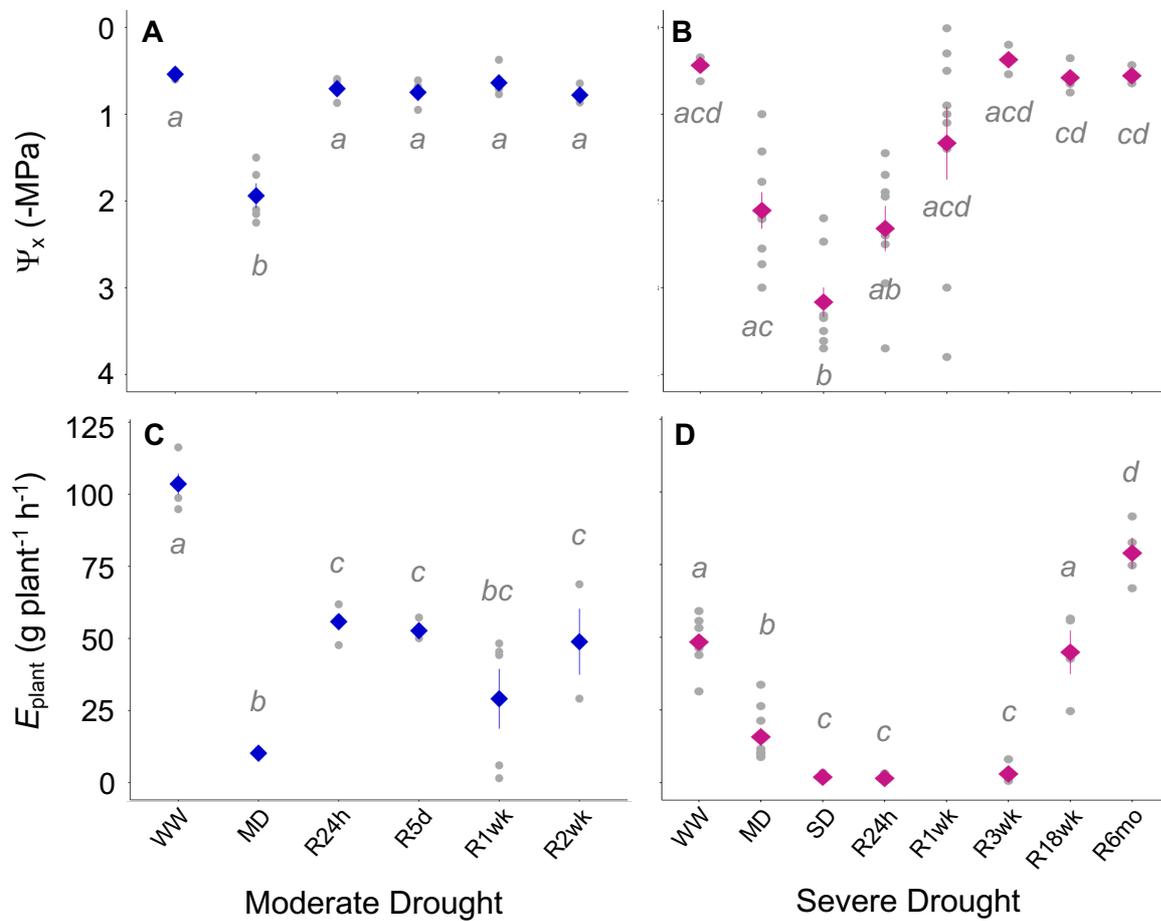


Figure 1. Changes in water potential (Ψ_x , -MPa) and whole plant transpiration rate (E_{plant} ; g plant⁻¹ h⁻¹) during drought and recovery for two drought treatments: moderate drought (A) and (C) blue symbols, and severe drought (B) and (D) (magenta symbols). Grey symbols show individual data points. Measurement time points shown on the x-axis are well-watered (WW), moderate drought (MD), severe drought (SD), 24 hrs after rewatering (R24h), 5 days after rewatering (R5d), 1 week after rewatering (R1wk), 2 weeks after rewatering (R2wk), 3 weeks after rewatering (R3wk), 18 weeks after rewatering (R18wk), and 6 months rewatering (R6mo). Letters indicate significant differences between time point within each treatment based on Tukey HSD test at $\alpha=0.05$.

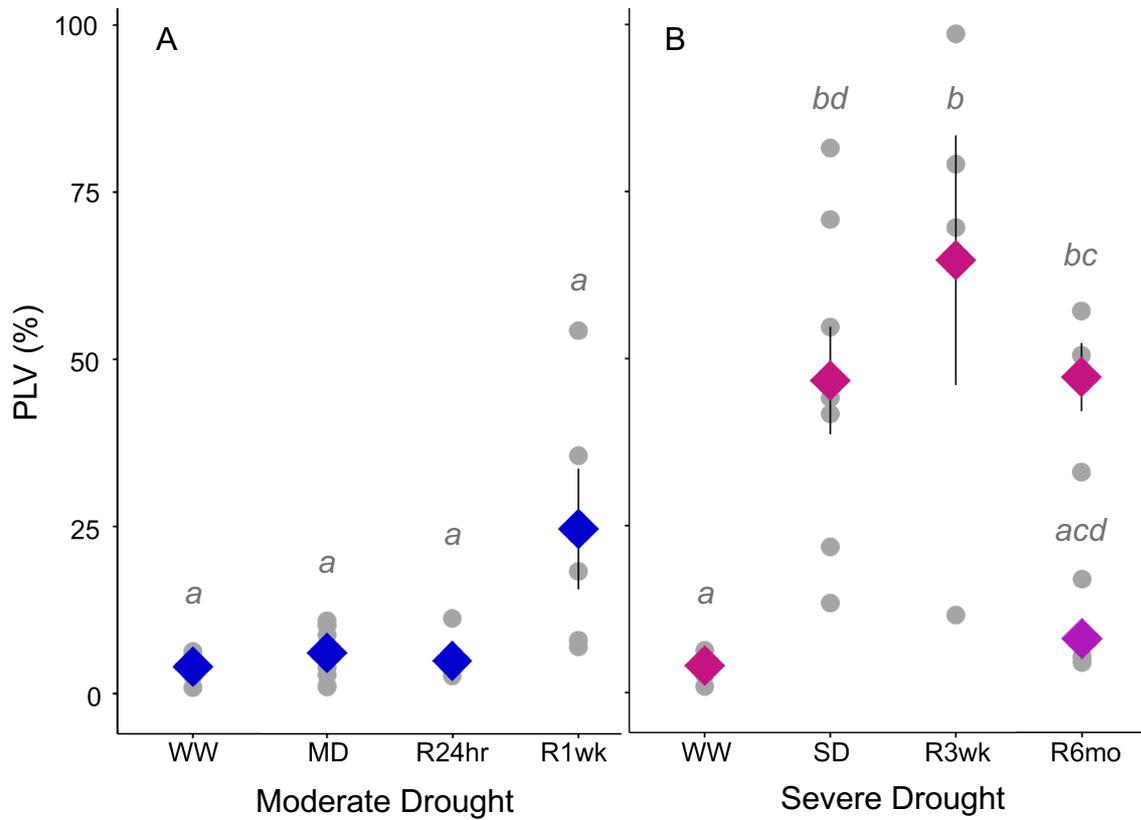


Figure 2. Percent loss of vessels (PLV) to embolism at different time points during drought and recovery for two treatments (A. moderate drought, blue; B. severe drought, magenta). Grey symbols show individual data points. Measurement time points shown on the x-axis are well-watered (WW), moderate drought (MD), severe drought (SD), 24 hrs after rewatering (R24h), 1 week after rewatering (R1wk), 3 weeks after rewatering (R3wk), and 6 months rewatering (R6mo). For the 6-month recovery point after severe drought, PLV is shown for the total stem cross-section (magenta) and for the new ring of xylem (purple). Letters indicate significant differences between time point within each treatment based on Tukey HSD test at $\alpha=0.05$.

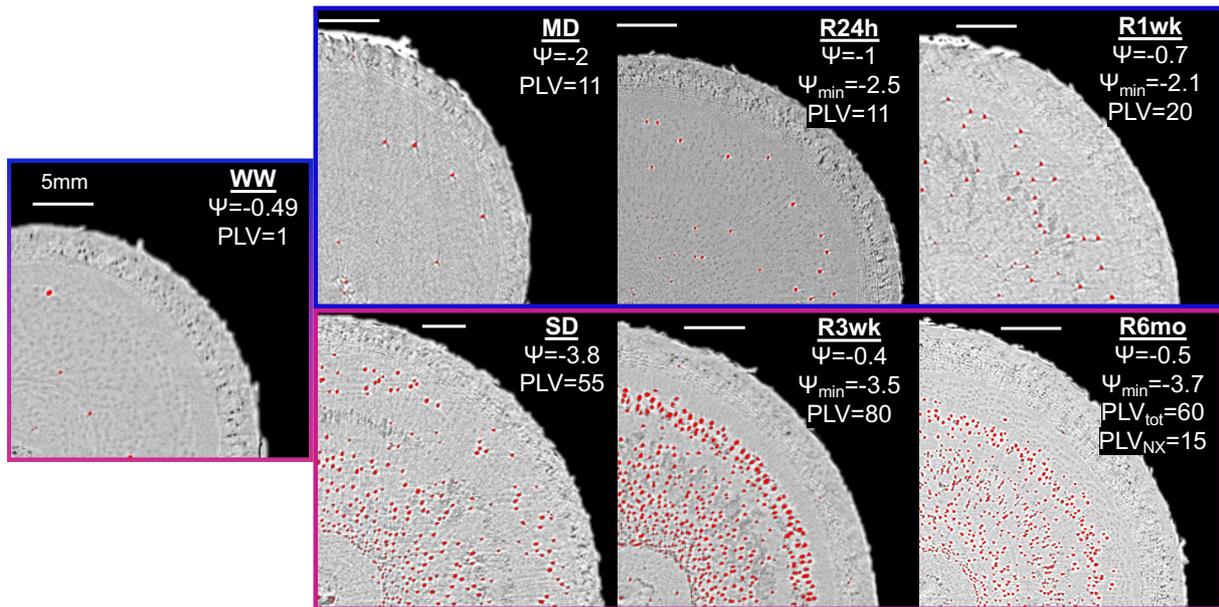


Figure 3. Transverse slices from microCT scans of *Eucalyptus saligna* at different time points during drought and recovery. At left, well-watered (WW) treatment. In blue (upper), moderate drought treatment (MD), recovery at 24 hours (R24h) and recovery at 1 week (R1wk). In magenta (lower), severe drought treatment (SD), recovery at 3 weeks (R3wk), recovery at 6-months (R6mo). Xylem vessels that have become embolised are highlighted in red. The corresponding water potential and PLV are indicated on each image. For the recovery treatment, Ψ_{\min} corresponds to the most negative water potential reached by the plant before rewatering. For R6mo, PLV_{tot} is the PLV of the entire cross section and PLV_{NX} is the PLV measured in the new xylem formed during recovery.

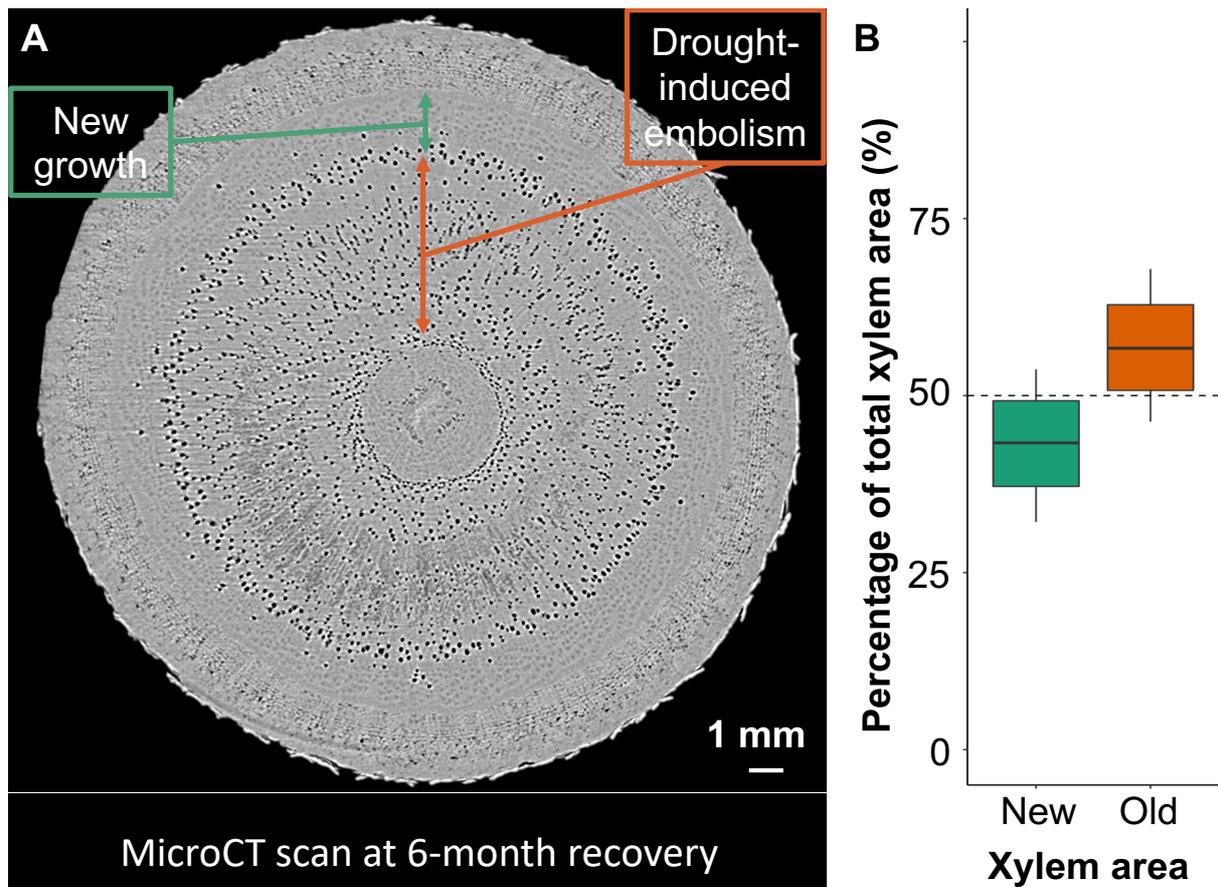


Figure 4. (A) Transverse slice from microCT scan of *Eucalyptus saligna* plant after 6 months of recovery from severe drought stress. The xylem area exposed to the severe drought treatment (old xylem; orange arrow) contains a very high proportion embolised vessels. The new ring of xylem (new xylem; green arrow) formed subsequent to the severe drought stress treatment during 6-month recovery period contains a much lower proportion of embolised vessels. (B) Box plot showing the percentage of total xylem area occupied by the new growth formed during recovery period.

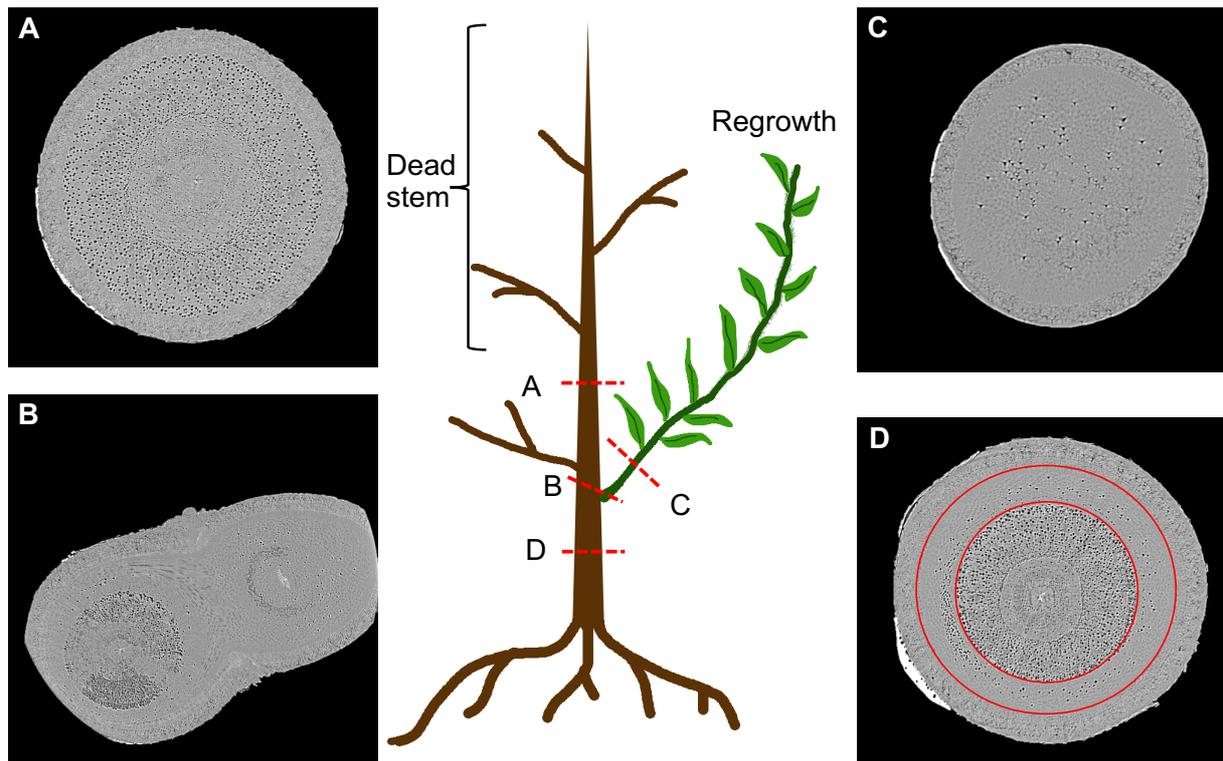


Figure 5. Transverse slices from microCT scans along the stem axis of one *Eucalyptus saligna* individual at the 6-month recovery time point. In this individual, the majority of the main stem axis died after a severe drought treatment and a lateral shoot became the new leader after rewatering. Scans taken at different points on the stem axis show occurrence of embolism in this plant. (A) Dead upper stem with all vessels embolised; (B) slice capturing the branching point between the main stem axis and the new leader; (C) slice showing new leader with scattered embolized vessels; (D) stem base with inner ring of embolized vessels exposed to severe drought and new ring of xylem grown during the 6-month recovery period (shown in red).

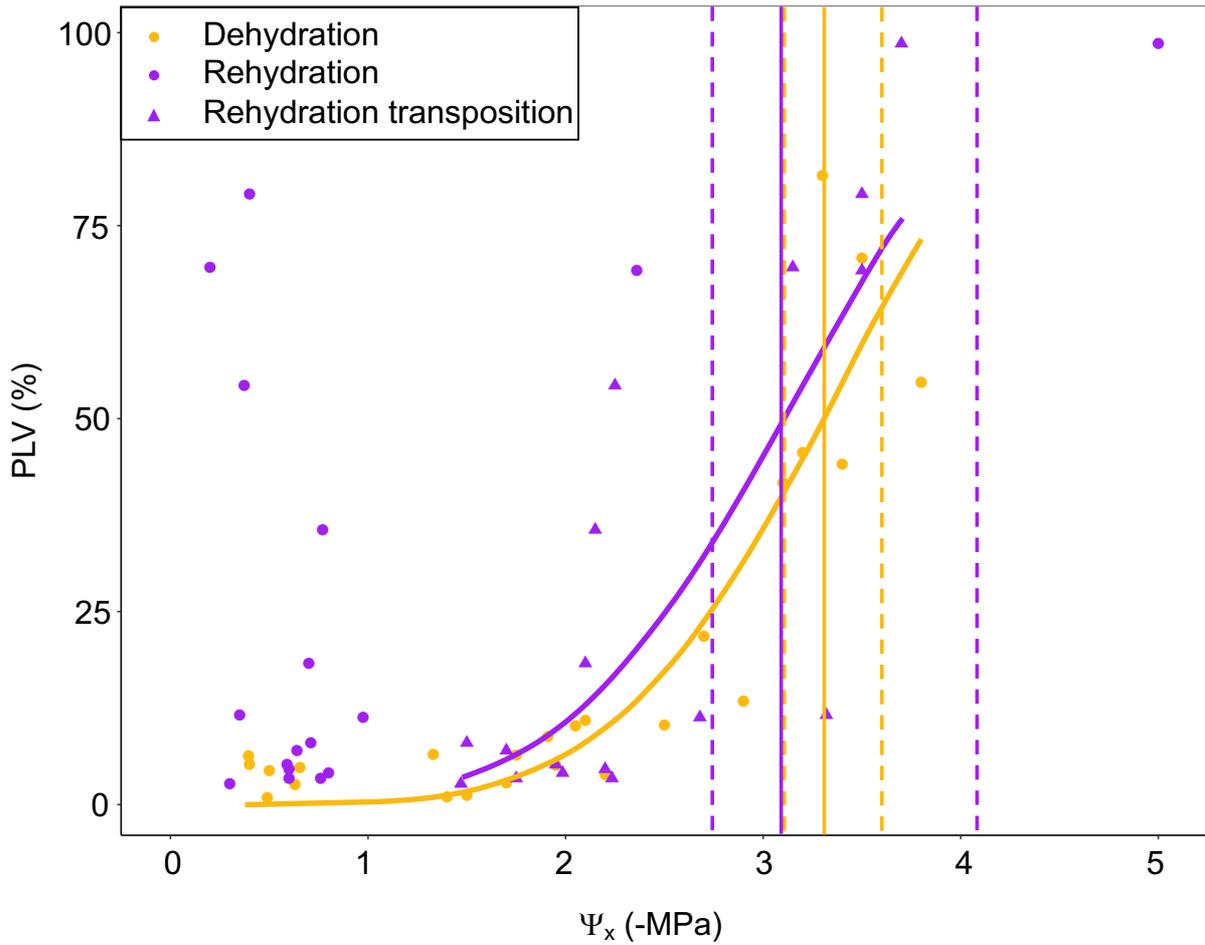


Figure 6. Percentage loss of vessels (PLV) as a function of stem water potential (Ψ_x , -MPa) for *Eucalyptus saligna* plants exposed to drought and recovery treatments. Each data point represents one individual from dehydration treatment (yellow symbols) or recovery treatment (purple symbols) including individuals for 24 hrs, 1 week or 3 weeks after rewatering. For recovery plants, data were also plotted for the minimum water potential reached by each individual before rewatering (transposition; triangles). Curves were fit to drought and transposition groups using a Weibull function. The vertical solid lines indicate the Ψ_x at which 50% of vessels become embolized (P_{50}) for dehydration and transposition curves with dashed lines showing the 95% confidence intervals around estimates of P_{50} .

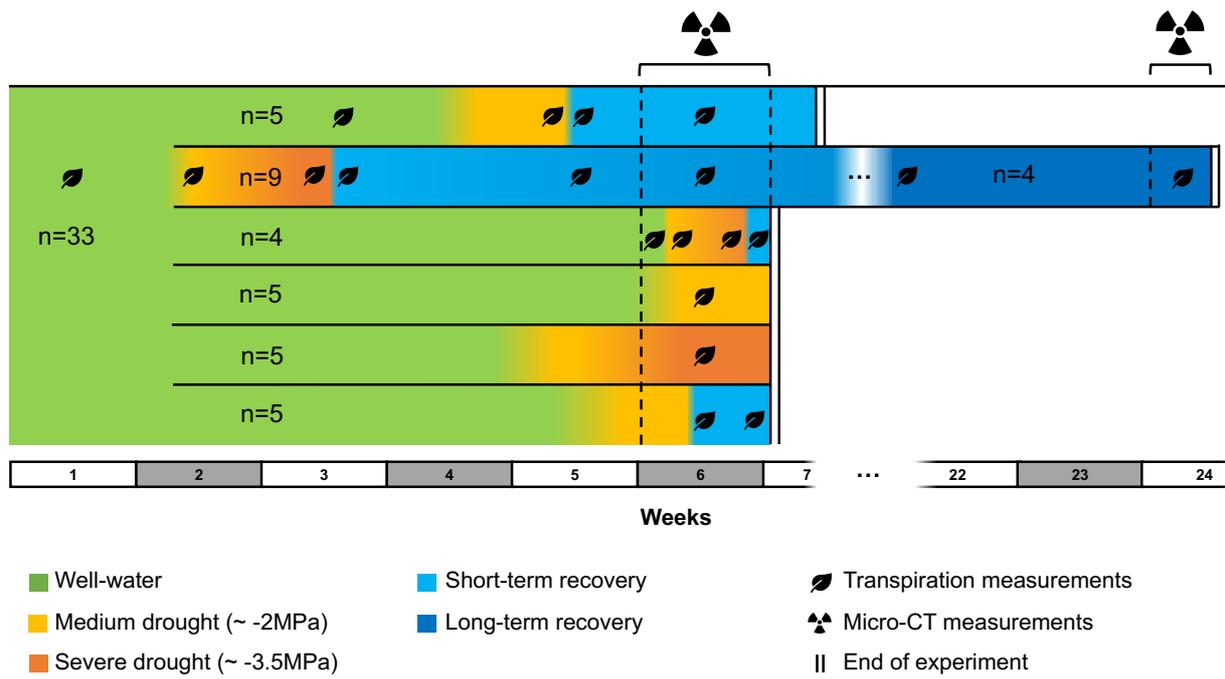


Figure S1. Experimental timeline for treatment groups including the timing of transpiration measurements and microCT scans. Colours indicate the water status of plants during the proregression of treatments.

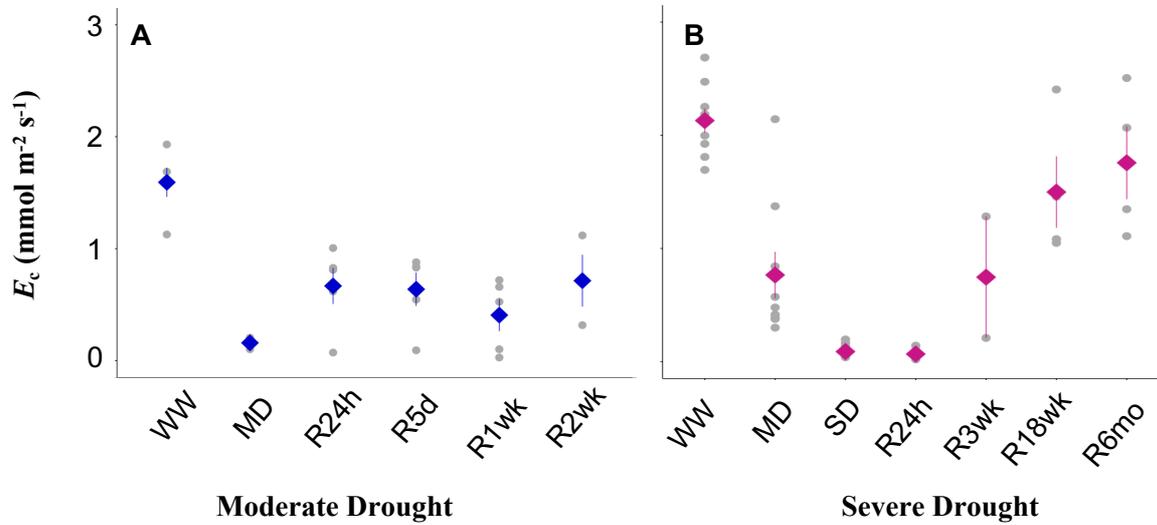


Figure S2. Changes in whole plant transpiration rate as a function of leaf area (E_c ; mmol m⁻² s⁻¹) during drought and recovery for two drought treatments: moderate drought (A; blue symbols) and severe drought (B; magenta symbols). Grey symbols show individual data points. Measurement time points shown on the x-axis are well-watered (WW), moderate drought (MD), severe drought (SD), 24 hrs after rewatering (R24h), 5 days after rewatering (R5d), 1 week after rewatering (R1wk), 2 weeks after rewatering (R2wk), 3 weeks after rewatering (R3wk), 18 weeks after rewatering (R18wk), and 6 months rewatering (R6mo).