

1 A Signature-based Approach to Quantify Soil Moisture Dynamics under Contrasting 2 Land-uses

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12 13 **Abstract**

14 Soil moisture signatures provide a promising solution to overcome the difficulty of evaluating
15 soil moisture dynamics in hydrologic models. Soil moisture signatures are metrics that
16 quantify the dynamic aspects of soil moisture timeseries and enable process-based model
17 evaluations. To date, soil moisture signatures have been tested only under limited land-use
18 types. In this study, we explore soil moisture signatures' ability to discriminate different
19 dynamics among contrasting land-uses. We applied a set of nine soil moisture signatures to
20 datasets from six in-situ soil moisture networks worldwide. The dataset covered a range of
21 land-use types, including forested and deforested areas, shallow groundwater areas, wetlands,
22 urban areas, grazed areas, and cropland areas. Our set of signatures characterized soil
23 moisture dynamics at three temporal scales: event, season, and a complete timeseries.
24 Statistical assessment of extracted signatures showed that (1) event-based signatures can
25 distinguish different dynamics for all the land-uses, (2) season-based signatures can
26 distinguish different dynamics for some types of land-uses (deforested vs. forested, urban vs.
27 greenspace, and cropped vs. grazed vs. grassland contrasts), (3) timeseries-based signatures
28 can distinguish different dynamics for some types of land-uses (deforested vs. forested, urban
29 vs. greenspace, shallow vs. deep groundwater, wetland vs. non-wetland, and cropped vs.
30 grazed vs. grassland contrasts). Further, we compared signature-based process interpretations
31 against literature knowledge; event-based and timeseries-based signatures generally matched
32 well with previous process understandings from literature, but season-based signatures did

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3 33 not. This study will be a useful guideline for understanding how catchment-scale soil
4 34 moisture dynamics in various land-uses can be described using a standardized set of
5 35 hydrologically relevant metrics.

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8 36 **Keywords:** Soil moisture, hydrologic signature, soil moisture signature, land-use, process-
9 37 based evaluation, metrics-based approach
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13 39 **1. INTRODUCTION**

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15 40 Soil moisture is an important control of water and energy cycles. For example, in rainfall-
16 41 runoff processes, soil moisture determines the initiation and the response patterns of
17 42 streamflow (Zehe et al., 2005; Tromp-van Meerveld & McDonnell, 2006; Penna et al., 2011;
18 43 McMillan & Srinivasan, 2015). In land-atmosphere processes, soil moisture regulates
19 44 moisture availability in land and atmosphere, and subsequently influences rainfall and
20 45 evapotranspiration patterns (Eltahir, 1998; Koster & Suarez, 2001; McColl et al., 2019). The
21 46 role of soil moisture as a modulator between the atmosphere and groundwater storage is
22 47 explicitly incorporated in many hydrologic models (Singh & Frevert, 2010).
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31 49 **1.1 Scales of soil moisture measurement**

32 50 Nevertheless, the so-called “scaling problem” often prevents hydrologists from using in-situ
33 51 soil moisture data for input, calibration, or validation of hydrological models. The scaling
34 52 problem refers to the mismatch of spatial scales between observations and models. In the
35 53 field, soil moisture is commonly observed at a point scale by sensors measuring only around
36 54 the 3-cm vicinity of the installation point (Babaeian et al., 2019). Therefore, the point-scale
37 55 measurement does not necessarily represent the catchment-scale values, which is often the
38 56 target scale for hydrologic modeling. Point-scale soil moisture data often contain local
39 57 variability due to pedology and topography (Vereecken et al., 2016), and such spatially
40 58 heterogeneous data are sensitive to scaling (Blöschl & Sivapalan, 1995). These scaling issues
41 59 have discouraged hydrologists from using in-situ soil moisture data for model input or
42 60 evaluation. However, when evaluated solely based on streamflow dynamics, different
43 61 hydrologic models can produce similar streamflow responses while producing different soil
44 62 moisture patterns (Bouaziz et al., 2021). This, in its turn, leads to misrepresentation of soil
45 63 moisture processes.
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3 65 This ‘scaling problem’ has motivated research on representative soil moisture values of a
4 66 catchment. For example, researchers have intensively studied the best monitoring locations
5 67 and strategies to capture the soil moisture dynamics (Skøien et al., 2003; De Lannoy et al.,
6 68 2006; Vereecken et al., 2007; Vanderlinden et al., 2012; Korres et al., 2015; Mälicke et al.,
7 69 2020). It is becoming common to evaluate modeled soil moisture values or bias-correct the
8 70 soil moisture values for model input based on the observed mean and variabilities (Draper &
9 71 Reichle, 2015). However, such statistical metrics do not directly measure the soil moisture
10 72 dynamics that models aim to reproduce. There remains a need for process-based methods to
11 73 evaluate soil moisture data, which can be applied to diagnose and transfer soil moisture
12 74 processes information observed at point scales to model scales.
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22 76 **1.2 Hydrological signature concepts applied to soil moisture**

23 77 Hydrological signatures have been developed to overcome the difficulty of using hydrologic
24 78 data in model calibration and evaluation. Hydrological signatures are metrics representing
25 79 catchment dynamics (Gupta et al., 2008; McMillan, 2020a, 2020b). Hydrological signatures
26 80 offer a way to identify preferred model structure and parameterization based on the models’
27 81 ability to reproduce the observed signatures, and therefore the underlying hydrologic
28 82 processes and dynamics (McMillan, 2020a). Researchers have developed hydrologic
29 83 signatures to represent various processes, such as streamflow (McDonnell et al., 2007;
30 84 Yarnell et al., 2015; Gnann et al., 2021a), groundwater (Heudorfer et al., 2019), and snow
31 85 processes (Schaepli, 2016; Horner et al., 2020), and the impact of environmental alteration on
32 86 those processes (Richter et al., 1996). When the hydrologic signature concept is applied to
33 87 analyze soil moisture processes, we call these metrics ‘soil moisture signatures.’
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44 89 **1.3 Selecting soil moisture signatures**

45 90 Soil moisture signatures are designed to quantify soil moisture dynamics at three main
46 91 temporal scales (Draper & Reichle, 2015; Branger & McMillan, 2020): per storm event
47 92 (‘event-based signatures’), per season (‘season-based signatures’), and per a complete time
48 93 series (‘time series-based signatures’). Recent advancements of dense in-situ networks of soil
49 94 moisture sensors provide soil moisture observation at high spatio-temporal resolution and
50 95 have enabled the development of various types of signatures. Examples of existing soil
51 96 moisture signatures include event-based signatures that measure preferential flow occurrence

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3 97 (Graham & Lin, 2011) and progression of the wetting front (Blume et al., 2009), season-
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5 98 based signatures that measure the persistence of seasonal wet and dry states (Ghannam et al.,
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7 99 2016), and a timeseries-based signature that measures hysteresis in wetting and drying
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9 100 processes (Rosenbaum et al., 2012). Note that these signatures are often mentioned by a
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11 101 different name or are unnamed in literature but are summarized here as ‘soil moisture
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13 102 signatures.’ Based on individual signatures proposed by these studies, a few studies proposed
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15 103 sets of soil moisture signatures to capture soil moisture dynamics in a standardized manner
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17 104 (Graham & Lin, 2012; Chandler et al., 2017; Branger & McMillan, 2020).
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20 106 When designing soil moisture signatures, one of the important criteria is discriminatory
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22 107 power: i.e., an ability to discriminate among different soil moisture regimes influenced by
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24 108 relevant physical factors, such as climate, geology, and land-use (McMillan et al., 2017).
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26 109 Fulfilling this criterion allows us to understand and compare soil moisture regimes using
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28 110 signatures. Previous studies have shown that signatures can discriminate between soil
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30 111 moisture dynamics in contrasting climate and geology. Chandler et al. (2017) characterized
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32 112 seasonal wetting, drying, freezing, and melting dynamics in various soil texture types using
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34 113 four timeseries-based signatures for Boise catchments in the United States. Branger &
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36 114 McMillan (2020) explicitly tested the discriminatory power of signatures and found high
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38 115 discriminatory power of season- and timeseries-based signatures among climate classes and
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40 116 among geology classes in New Zealand catchments.
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43 118 Although land-use is a major determinant of rainfall-runoff and soil moisture processes
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45 119 (Viglione et al., 2016; Rogger et al., 2017; Alaoui et al., 2018), the discriminatory power of
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47 120 signatures between different land-uses has been tested only under limited types of
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49 121 environments. At the same time, previous studies show that describing the discriminatory
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51 122 power of soil moisture signatures is inconclusive. Branger and McMillan (2020) found low
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53 123 discriminatory power of event-based signatures in non-forested and forested areas. Chandler
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55 124 et al. (2017) found low power of timeseries-based signatures to discriminate soil hydraulic
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57 125 characteristics among different tree species. Wiekenkamp et al. (2019), on the other hand,
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59 126 found high discriminatory power of event-based signatures between forested and deforested
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127 areas. Some studies found distinct soil moisture values across a wider variety of land-uses,
128 including grazing, cultivation, forests, and grasslands, but their characterizations are limited

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3 129 to spatial mean or variability (Fu et al., 2003; Jawson & Niemann, 2007; Gao et al., 2014;
4 130 Deng et al., 2016). Testing soil moisture signatures for various land-uses is important for
5 131 developing a standardized set of signatures that can discriminate the distinct soil moisture
6 132 processes.
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11 134 **1.4 Aims of this paper**

12 135 This paper aims to test soil moisture signatures' ability to describe soil moisture dynamics
13 136 under a range of land-uses. Our work extends the previous studies of soil moisture signatures
14 137 (Graham & Lin, 2012; Chandler et al., 2017; Branger & McMillan, 2020) by applying their
15 138 signatures to a wider range of land-uses. The six study sites around the globe are chosen to
16 139 represent twelve land-use types. All study sites include an internal contrast between two to
17 140 three land-uses (e.g., deforested vs. forested areas). The paper consists of three sections. The
18 141 first section reports the impact of data quality on signature calculation (Section 4.1). The
19 142 second section uses multivariate analysis to evaluate the ability of soil moisture signatures to
20 143 identify differences in soil moisture dynamics between land-uses (Section 4.2). The third
21 144 section derives process implications from the differences in signature values between land-
22 145 uses, by comparing calculated signatures against literature knowledge (Section 4.3).
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34 147 **2. DATA**

35 148 We analyzed soil moisture data from six networks worldwide under diverse land-uses (Figure
36 149 1). We selected soil moisture network sites that have (1) two contrasting land-uses within a
37 150 network; (2) both soil moisture and rainfall data available at hourly interval; (3) more than
38 151 two years of data available; (4) catchment scale in size, as larger continental or national scale
39 152 networks would have large climatic and geologic variation within the network that we sought
40 153 to avoid. Finally, six sites were chosen to represent twelve types of land-uses from a
41 154 commonly used land-use and land-cover classification (Anderson et al., 1976; Friedl et al.,
42 155 2010). For two of the sites, the contrast was in the hydrologic processes (wetland vs. non-
43 156 wetland in Maqu, and shallow vs. deep groundwater areas in Raam). The site descriptions
44 157 and sensor configurations are given in Tables 1 and S1, respectively. The soil moisture data
45 158 were downloaded through the networks' website or obtained from the site manager on
46 159 request (see Data Availability Section). The soil moisture data were collected using either
47 160 water content reflectometers, capacitance sensors, or soil dielectric sensors, which
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3 161 respectively calculate the permittivity from the travel time of electromagnetic waves, the
4 162 change in frequency of electromagnetic waves, or the ratio of reflected voltage. Each
5 163 observatory used empirical equations suitable for the soil texture to convert the permittivity
6 164 to the volumetric water content (m^3 of water / m^3 of soil). The original data, whose intervals
7 165 range from 15 min to 60 min, were aggregated into hourly averages for consistency. We
8 166 preprocessed soil moisture data for quality control. In most cases, data were preprocessed by
9 167 each observatory based on its standards. We inspected the remaining errors automatically and
10 168 manually, as described in Text S1.
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19 170 We used rainfall datasets either from the soil moisture network station or a nearby weather
20 171 station (see Data Availability Section and Table S1). The rainfall data were given in a
21 172 cumulative amount of rainfall (mm) and measured using tipping buckets or weight-based
22 173 sensors. The original data, whose intervals range from 30 min to 60 min, were aggregated
23 174 into hourly cumulative amounts (mm/hr) for consistency. If there are multiple rainfall stations
24 175 at a given site, the one closest to the soil moisture sensors was used for the analysis.
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29 176 [Insert Figure 1]

30 177 [Insert Table 1]

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32 179 **3. METHODS**

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34 180 We tested the discriminatory power of soil moisture signatures to differentiate soil moisture
35 181 dynamics between land-uses. First, we extracted soil moisture signatures that represent soil
36 182 moisture dynamics (Section 3.1). Second, we used a multivariate statistic called the Kruskal-
37 183 Wallis test to compare signature values among land-uses (Section 3.2). Third, we interpreted
38 184 the process implication of signature differences between land-uses by testing hypotheses built
39 185 on literature review against the calculated signatures (Section 3.3).
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48 187 **3.1 Soil moisture signatures**

49 188 As illustrated in Figure 2, we tested nine soil moisture signatures covering three aspects of
50 189 dynamics (shape, timing, speed) at three temporal scales (per event, per season, and per
51 190 complete timeseries). The signatures tested are: rising time, normalized amplitude, no-
52 191 response rate, response type, rising limb density for the event-based signatures; seasonal
53 192 transition start day and duration for the season-based signatures; distribution type, estimated
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3 193 field capacity, and estimated wilting point for the timeseries-based signatures. All signatures
4 194 require only soil moisture and rainfall data. The following sections provide detailed
5 195 descriptions and the algorithm to calculate each signature. The signature definition and the
6 196 algorithm were based on the original methods, but we adapted them to suit a wide range of
7 197 soil moisture dynamics and data quality.

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12 198 [Insert Figure 2]
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15 200 ***3.1.1. Event rising time, normalized amplitude, and no-response rate***

16 201 Event rising time, amplitude, and response rate characterize the runoff dynamics in response
17 202 to precipitation (Liang et al., 2011; Tian et al., 2019). These signatures were calculated for
18 203 each storm event. First, following McMillan et al. (2014), rainfall records were divided into
19 204 events; the start of the event was defined as when the minimum intensity exceeds 2 mm/hr or
20 205 10 mm/day after more than 12 hrs of no rainfall; the end of the rainfall was defined as the
21 206 start of the next rainfall or 5 days after the last rainfall, whichever occurred first. For each
22 207 event, event rising time was calculated as the time-lag from the start of an event to the soil
23 208 moisture peak. Event amplitude was calculated as the difference between the soil moisture
24 209 values at their maximum and at the start of the event, normalized using estimated field
25 210 capacity and wilting point at the station (defined in Section 3.1.6.) as practiced by Sumargo et
26 211 al. (2021). Soil moisture was judged as not responding if there was no soil moisture peak
27 212 detected. In other words, no response of soil moisture means that soil moisture values
28 213 continued increasing or decreasing during the event. The “no-response rate” was calculated
29 214 as the number of events with no response divided by the number of all events.
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43 216 ***3.1.2. Event response type***

44 217 We can characterize the flow pathway by comparing the order of response timings along soil
45 218 profile (Graham & Lin, 2011, 2012; Wiekenkamp et al., 2016a). We applied the methods by
46 219 Graham and Lin (2011) and Wiekenkamp et al. (2016a) for classifying response types. First,
47 220 event rising times were calculated as in Section 3.1.1, except that we set the minimum size of
48 221 response magnitude as 2% of volumetric water content. Then, the response type was
49 222 classified as ‘sequential’ when the response order was sequential from the shallow to the
50 223 deeper sensor. The response type was classified as ‘non-sequential’ when the order of
51 224 response times is non-sequential for at least one sensor. ‘No-response’ was assigned when

225 none of the sensors responded.

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227 ***3.1.3. Rising limb density***

228 Rising limb density characterizes the catchment flashiness and is often used in streamflow
229 analysis (Sawicz et al., 2011). Rising limb density can also be translated as averaged rising
230 time. We propose rising limb density as a new soil moisture signature that captures the shape
231 of the event rising limbs. We applied an algorithm by Gnann et al. (2021a) for the
232 calculation. First, the rising limb was detected when the rising duration was more than an
233 hour, and the magnitude of change in soil moisture was more than 1% volumetric water
234 content. A 0.01% decrease in volumetric water content during the rising period was allowed.
235 For each rising limb, the length and duration were calculated. Then, rising limb density was
236 calculated as the sum of the rising limb length of all events divided by the sum of the rising
237 time of all events.

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239 ***3.1.4. Seasonal transition date and duration***

240 Seasonal transition signatures characterize the switching of soil moisture between wet and
241 dry seasons, where different runoff regimes dominate (Grayson et al., 2006). We calculated
242 seasonal transition signatures by fitting a piecewise linear model to the soil moisture
243 timeseries for each wet-to-dry and dry-to-wet transition period. We chose piecewise linear
244 models because the inflection point and plateau can represent the soil moisture value reaching
245 its wetting and drying limit. The seasonal transition was calculated for time series that had
246 bimodal distribution type (defined in Section 3.1.5.) because the signature is only meaningful
247 when soil moisture data show seasonality. First, to remove event-based variability, we
248 aggregated the timeseries from hourly to daily intervals. Then, the wet-to-dry and dry-to-wet
249 transition periods were cropped out. A piecewise linear model was fitted to the cropped time
250 series. Last, the start and end days of the transition were defined as the inflection points of the
251 piecewise linear model, expressed in the day of the year. Transition duration was defined as
252 the length of time between the start and the end day.

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254 ***3.1.5. Distribution type***

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3 255 Distribution type characterizes the soil moisture storage and seasonality (Rodriguez-Iturbe et
4 256 al., 1999a, 1999b; D’Odorico et al., 2000; Laio et al., 2001; Samuel et al., 2008). The
5 257 distribution type was classified based on the number of peaks in the probability density
6 258 function (PDF) of the soil moisture data. First, we removed trends unrelated to seasonal
7 259 variability by subtracting the one-year moving mean from the time series as practiced by
8 260 Basak et al. (2017). Second, the soil moisture PDF was obtained using Kernel smoothing
9 261 with twice the optimal bandwidth, which is optimal to represent PDF by normal distributions.
10 262 Third, PDF peaks were detected if a given data sample point was larger than the two
11 263 neighboring data samples. Peaks with a magnitude smaller than 20% of the largest peak were
12 264 eliminated. We used MATLAB Signal Processing Toolbox for peak detection. Last, PDFs
13 265 were classified according to the number of peaks into “unimodal” (one peak), “bimodal” (two
14 266 peaks), or “multimodal” (three or more peaks).

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268 **3.1.6. Estimated field capacity and wilting point**

269 Soil moisture timeseries often exhibit seasonal wet and dry equilibriums, which represent the
270 water holding capacity of the soil. Since the values are known to be comparable to field
271 capacity and wilting point estimated from soil core sample experiments (Chandler et al.,
272 2017; Bean et al., 2018), we define them in this paper as ‘estimated’ field capacity and
273 wilting point. We calculated the estimated field capacity and wilting point as the peaks of the
274 soil moisture PDF. First, peaks of the soil moisture PDF were detected as in Section 3.1.5.
275 The peak with the largest and smallest volumetric soil moisture content was defined as the
276 estimated field capacity and wilting point, respectively. If the estimated field capacity and
277 wilting point coincided (i.e., distribution type was unimodal), both values were discarded. In
278 this way, we automated the calculation of estimated field capacity and wilting point, which is
279 commonly done by manually labeling the wet and dry equilibrium values in the timeseries.

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281 **3.2 Statistical assessments**

282 After calculating the signatures described in Section 3.1, we compared signature differences
283 between land-uses using statistical tests. The statistical significances represent the
284 discriminatory power of signatures to distinguish differences in dynamics across land-uses; in
285 other words, the differences in dynamics outweigh the overall data uncertainty. A comparison
286 was made between two or three contrasting land-uses within each study site (i.e., in total, six

land-use comparisons for six study sites). As climate and geology will be strong confounding factors, comparison across all study sites was not implemented. For most signatures, we used the non-parametric Kruskal-Wallis test (Kruskal & Wallis, 1952). The Kruskal-Wallis test is a non-parametric method to test whether the data originate from identical distributions based on ranks. Non-parametric tests were chosen because soil moisture signatures often show skewed distributions (Branger & McMillan, 2020). We interpreted the difference as significant when the p-value is less than 0.05. The Kruskal-Wallis test was applied to signatures in interval or ratio form (all signatures except response type and distribution type). The Kruskal-Wallis test was not applicable for categorical variables, so we took a different approach for such signatures (response type and distribution type signatures). We calculated the ‘dominance’ of one category: the ratio of the number of samples in one category (sequential for response type; unimodal for distribution type) to the total number of samples (which is equal to the sum of sequential and non-sequential responses for response type; the sum of unimodal, bimodal, and multimodal distribution for distribution type). We used the change in the ‘dominance’ ratio of one category to measure differences between the two groups.

3.3. Process interpretation

We took a hypothesis-testing approach to understand how signature values relate to soil moisture processes (McKnight, 2017; Gnann et al., 2021b). First, we explored the interpretation of signature values based on expert knowledge in literature. We reviewed two types of literature: articles about the study site of interest, and articles about a watershed with a similar hydrologic environment to the study site of interest that investigated the processes using a signature-based approach on their soil moisture data. To build the overarching interpretation of signature values, we focused on catchment functionality. According to Black (1997) and Wagener et al. (2007), catchment functionality consists of four basic elements: partition, transmission, storage, and release. Among them, two functionalities are closely related to the soil moisture system: partitioning that corresponds to flow pathways of rainfall in soil or at the soil surface, and storage that corresponds to the amount of water stored in the soil. After building an overarching interpretation focused on these two functionalities, we tested them against the signature values from the soil moisture networks. We refined or updated our hypotheses if the signature differences were not satisfactorily explained.

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3 3194 320 **4. RESULTS**5 321 **4.1 Data quality assessment and its impact on signature extraction**

6 322 This section demonstrates the data quality and its impact on our research design. The results
7 323 of the data quality assessment show that sufficient data were obtained for statistical
8 324 assessments. Kruskal-Wallis test requires a sample size of five or more to determine
9 325 statistical significance (Riffenburgh, 2006). In Figure 3, the number of reliable timeseries
10 326 exceeds five for most of the study sites. When there were less than five reliable stations
11 327 within a testing group (consisting of a combination of a depth and a land-use), we could not
12 328 complete the statistical assessment, especially for signatures that can be only extracted once
13 329 per time series (estimated field capacity, estimated wilting point, no-response rate, and rising
14 330 limb density signatures). Other signatures were robust to the lack of reliable data as they can
15 331 be extracted once per season (seasonal transition date and duration) or event (event rising
16 332 time, response type, amplitude).

17 333 [Insert Figure 3]

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19 335 Overall, signature values showed clear differences among the study sites (Figure 4). This
20 336 implies that the signatures were successfully extracted and can be used for further analysis.
21 337 Signature differences between study sites can be attributed to the differences in their climate
22 338 and geology. For example, estimated field capacity was clearly correlated with aridity index,
23 339 except for Maqu (MQ), where wetland areas produced unusually organic-rich soil. However,
24 340 analysis of climate and geology controls on signature values is beyond our scope and not
25 341 further discussed.

26 342 [Insert Figure 4]

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28 344 **4.2 Signature differences between contrasting land-uses**

29 345 This section provides an overview of how soil moisture signature values change depending
30 346 on the land-use. We explain signature differences between land-uses from two perspectives:
31 347 the magnitude (whether the signature magnitude for a given soil depth differs between land-
32 348 uses) and the profile along soil depth (whether the increasing or decreasing trend of signature
33 349 values relative to soil depth differs between land-uses). Figures 5 and S1 show the signature
34 350 differences in terms of the magnitude and the profile, respectively. Figures 6, 7, and 8 show

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3 351 the boxplots of selected signatures that showed notable differences between land-uses. Please
4 refer to the supplemental material for boxplots of signature values for all the study sites
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6 (Figures S2, S3, and S4).
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10 355 Interpretation of Figure 5 is as follows. In Figure 5, signatures that were statistically
11 significantly different between land-uses are highlighted in darker blue. For example, many
12 356 cells in the column of “event-based signatures” are highlighted in darker blue in Figure 5,
13 357 indicating that event-based signatures showed a high ability to distinguish different dynamics
14 between the study sites (called ‘discriminatory power’ hereafter). The arrows in the cells help
15 358 understand the direction of change in signature values. For example, the up-pointing arrow
16 for amplitude signature in Wüstebach (WB) means the event amplitudes were larger in the
17 359 deforested area than the forested area.
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25 Overall, event-based signatures showed high discriminatory power between contrasting land-
26 365 uses for all sites. Season- and timeseries-based signatures showed moderate discriminatory
27 power in deforested, urban, shallow groundwater, and croplands. Signature differences
28 366 between land-uses were observed both in terms of their magnitude and profile. The following
29 subsections describe the detailed results by signature timescale (event-, season-, and
30 367 timeseries-based signatures).
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34 [Insert Figure 5]
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37 372 **4.2.1. Event-based signatures**

38 373 Event-based signatures showed differences between land-uses both in magnitude and in
39 signature profile with soil depth. Differences in signature values were found across most land
40 374 uses, with notable differences between deforested vs. forested and urban vs. greenspace
41 375 contrasts.
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46 Figure 5 shows that event-based signatures varied in magnitude with land-use for all study
47 379 sites; in Figure 5, cells are highlighted in darker blue for statistically significant signatures.
48 Statistically significant differences were found for amplitude and rising time signatures at all
49 380 sites, and for rising limb density and “no-response rate” signatures at Wüstebach. These
50 381 changes in signature magnitudes indicate a more responsive regime especially in the
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3 383 deforested area than the forested area at Wüstebach, and the shallow groundwater area than
4 384 the deep groundwater area at Raam.

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8 386 Figure 6 shows examples of how event-based signature profiles with soil depth changed
9 387 between land-uses in Wüstebach (deforested vs. forested) and Hamburg (urban vs.
10 388 greenspace). In Wüstebach, rising limb density increases with depth in the forested area,
11 389 whereas the values were similar with soil depths in the deforested area (Figure 6a). In
12 390 Hamburg, the event-based signatures were more pronounced at the shallow depth (sensors at
13 391 5 and 20 cm depths) in the urban area than in the greenspace (Figure 6b, c, d).

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16 393 We interpret the changes in event-based signatures to represent the influences of wetness
17 394 conditions on the storage processes and the influences of soil properties on the flow
18 395 partitioning process (see Section 4.3.1).

19 396 [Insert Figure 6]

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21 398 **4.2.2. Season-based signatures**

22 399 Season-based signatures showed differences in magnitude and in profile with soil depth for
23 400 some types of land-uses, namely, deforested vs. forested, urban vs. greenspace, and cropland
24 401 vs. grazed vs. grassland contrasts.

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26 403 Figure 5 shows that season-based signature values varied in magnitude with land-use in
27 404 Wüstebach (deforested vs. forested), Hamburg (urban vs. greenspace), and Oznet (crop vs.
28 405 grazed vs. grassland); in Figure 5, cells are highlighted in darker blue for statistically
29 406 significant signatures. In Wüstebach, the wet season persisted longer in the deforested area
30 407 than in the forested area; the dry-to-wet transition started earlier and took a shorter time, and
31 408 the wet-to-dry transition duration took a longer time. In Hamburg, wetting up was more
32 409 gradual, and drying out was more rapid in the urban area than in the greenspace.

33 410

34 411 Figure 7 shows that season-based signature profiles with soil depth changed between land-
35 412 uses in Wüstebach (deforested vs. forested) and Oznet (crop vs. grazed vs. grassland). In
36 413 most sites, the seasonal transition propagated from shallow to deep soil layer, or occurred in
37 414 tandem at all depths. On the contrary, the transition started earliest in the deeper layer in the

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3 415 deforested area in Wüstebach (Figure 7a) and cropland in Oznet (Figure 7b and c). We
4 416 interpret the changes in season-based signatures to represent the influences of water balance
5 417 and soil wetness conditions on storage processes (see Section 4.3.2).

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8 418 [Insert Figure 7]
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11 420 **4.2.3. Timeseries-based signatures**

12 421 Timeseries-based signatures showed differences in magnitude and in profile with soil depth
13 422 for most types of land-uses, namely, deforested vs. forested, wetland vs. non-wetland,
14 423 shallow vs. deep groundwater, and cropped vs. grazed vs. grassland contrasts.

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20 425 Figure 5 shows that timeseries-based signature values varied in magnitude with land-use;
21 426 changes in estimated field capacity and wilting point were statistically significant in
22 427 Wüstebach (deforested vs. forested), and changes in the dominance of unimodal distribution
23 428 type were more than 15% in most of the study sites except Texas (grazed vs. ungrazed). Not
24 429 statistically significant due to small sample sizes, but visual differences in the signature
25 430 magnitude were seen in Maqu (wetland vs. non-wetland) for estimated field capacity (Figure
26 431 8a) and Oznet (crop vs. grazed vs. grassland) for estimated wilting point (Figure 8b). These
27 432 changes in signature magnitude imply wetter conditions in the deforested area in Wüstebach,
28 433 wetlands in Maqu, and grasslands in Oznet.
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37 435 Figure 8 shows the timeseries-based signature profiles with soil depth changed between land-
38 436 uses in Hamburg (urban vs. greenspace) and Raam (shallow vs. deep groundwater). In
39 437 Hamburg, variability of estimated field capacity and wilting point decreased with depth in the
40 438 greenspace, whereas they increased in the urban area (Figure 8c). In the shallow groundwater
41 439 area of Raam, the bimodal distribution is dominant at the deepest and shallowest soil,
42 440 contrasting to mixed modality along all depths in the deep groundwater area (Figure 8d). We
43 441 interpret that the changes in timeseries-based signatures represent the influences of soil
44 442 properties, vegetation, and groundwater on the storage processes (see Section 4.3.3).

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48 443 [Insert Figure 8]
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51 445 **4.3. Interpretation of signature differences between land-uses**

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3 446 This section provides interpretations of the signature difference among contrasting land-uses
4 447 derived in Section 4.2. Figure 9 shows whether the observed signature differences between
5 448 land-uses agreed with literature interpretations. Overall, event-based and timeseries-based
6 449 signatures mostly agreed (cells are highlighted blue in Figure 9), whereas season-based
7 450 signatures poorly agreed with literature (highlighted red in Figure 9). The following sections
8 451 describe the detailed results by signature timescale (event-, season-, and timeseries-based
9 452 signatures).

15 453 [Insert Figure 9]

17 454

19 455 ***4.3.1. Event-based signatures represent partitioning processes***

20 456 In general, event-based signatures matched with expert knowledge in literature (highlighted
21 457 blue in Figure 9). Event-based signature differences in magnitude represented changes in
22 458 storage flashiness, and those in the signature profile represent changes in flow partitioning
23 459 processes depending on the land-uses.

27 460

29 461 We interpreted the event-based signature magnitudes between land-uses to represent the
30 462 storage flashiness depending on the soil wetness conditions. Larger response amplitude,
31 463 shorter rising time, larger rising limb density, and lower “no-response rate” imply flashier
32 464 storage response in high soil wetness conditions. Our signatures showed greater storage
33 465 flashiness in deforested areas (Wüstebach) and cropped areas (Oznet). These land
34 466 disturbances are known to increase soil wetness (and therefore flashiness) through reduced
35 467 transpiration and interception (Wiekenkamp et al., 2016b) and irrigation (Smith et al., 2012),
36 468 respectively. Response amplitude gets smaller when the soil wetness is close to saturation
37 469 (Soylu & Bras, 2021). We observed this change in Raam (shallow vs. deep groundwater) and
38 470 Maqu (wetland vs. non-wetland).

46 471

48 472 We interpreted that the changes in the event-based signature profiles imply changes in flow
49 473 partitioning processes. According to Graham and Lin (2011), sequential responses ordering
50 474 from shallow to deep soil layer represent vertical infiltration and overland flow regime, and
51 475 non-sequential response patterns (random response order along soil depth) represent
52 476 preferential or lateral flow regime. Additionally, more pronounced responses in shallow soils
53 477 within sequential-response patterns represent the overland flow regime (Ziegler et al., 2001).

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3 478 Our signature values agreed with this interpretation; we saw sequential and more pronounced
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5 479 responses in shallow soil in urban areas in Hamburg and cropped areas in Oznet, where
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7 480 surface sealing (Scalenghe & Ajmone-Marsan, 2009) and compaction (Alaoui et al., 2018)
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9 481 are expected to increase overland flow, respectively. A decrease in non-sequential response
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11 482 was found in Wüstebach, where preferential flow is known to decrease after deforestation
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13 483 (Wiekenkamp et al., 2019). On the other hand, event-based signatures did not show
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15 484 significant changes in grazed vs. ungrazed areas in Texas (Alaoui et al., 2018), contrary to the
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17 485 expectation that compaction increases overland flow at this site. This might be due to scale,
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19 486 as plot-scale compaction does not always influence catchment-scale response (Rogger et al.,
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21 487 2017; Alaoui et al., 2018).
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23 488

24 489 ***4.3.2. Season-based signatures represent storage processes***

25 490 Season-based signatures only partially matched with expert knowledge in literature
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27 491 (highlighted blue for a match, red for no match in Figure 9). We interpret that a combination
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29 492 of the following factors related to storage processes affects season-based signatures'
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31 493 magnitude and profile: changes in water balance depending on the active root depth and
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33 494 rainfall rate (Laio, 2002), the closeness of soil wetness conditions to soil moisture threshold
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35 495 (Detty & McGuire, 2010), and other land-use influences such as groundwater (Miguez-
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37 496 Macho & Fan, 2012), construction waste (Wiesner et al., 2016), and irrigation (Smith et al.,
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39 497 2012). For example, reduced rainfall rate and root depth explained earlier transition start date,
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41 498 and higher wetness conditions explained shorter transition duration in the shallow soil layer
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43 499 in deforested vs. forested contrast in Wüstebach; still, literature did not fully explain the
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45 500 changes of the signature profile along soil depth. The mismatch was more obvious in land-
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47 501 uses that complicate the boundary conditions of soil water storage, such as construction waste
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49 502 presence in the soil in Hamburg or strong groundwater influence in Raam (highlighted red in
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51 503 Figure 9). The mismatch can also be attributed to a lack of studies on season-based
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53 504 signatures. Many studies on soil moisture seasonality mainly concentrate on detecting
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55 505 anomalies for drought analysis or general trends for land-surface process understandings
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57 506 (Koster & Suarez, 2001; Kumar et al., 2019; Potter et al., 2005; Teuling et al., 2005). In
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59 507 contrast, few studies exist on the influence of land-use on soil moisture seasonal transition
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61 508 timings and durations.
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4.3.3. *Timeseries-based signatures represent storage characteristics*

In general, the timeseries-based signature matched with expert knowledge in literature (highlighted blue in Figure 9). Changes in timeseries signatures represented the interaction between soil water storage and soil properties, vegetation, and groundwater depending on the land-uses.

We interpreted the timeseries-based signature magnitudes to represent the amount of soil water storage. Larger estimated field capacity, wilting point, and dominance of unimodality imply more soil water stored. Signature values matched literature expectations in Wüstebach (deforested vs. forested), Maqu (wetland vs. non-wetland), and Oznet (crop vs. grazed vs. grassland), where deforested, wetland, and cropped conditions are respectively known to increase soil wetness through changes in transpiration (Wiekenkamp et al., 2016b), soil organic content (Dente et al., 2012; Hudson et al., 1994), and irrigation (Smith et al., 2012).

We interpreted that the changes in the timeseries-based signature profile with soil depth imply the external influence on soil water storage. Generally, the estimated field capacity and wilting point either consistently decrease or increase with soil depth, and the dominance of unimodal distribution increases with soil depth, because of less influence of climate and compaction of pore spaces in the deeper soil (Trimble, 2007). Different behavior seen in the shallow groundwater area at Raam can be explained as follows; at the groundwater interface, the saturation is controlled by whether the groundwater meets the soil sensors or not, and bimodal distribution becomes dominant again. High variability of estimated field capacity and wilting point in deeper soil in urban areas in Hamburg can be explained by the urban structures or construction waste that creates different sizes of pores (Wiesner et al., 2016).

5. DISCUSSION

5.1. *Limitations*

We recognize several limitations in our study. First, future work should test differences in signature values attributed to land-use against confounding factors. For example, we explicitly examined groundwater influence for Raam, but groundwater could also influence soil moisture dynamics in Wüstebach, Hamburg, and Maqu. Such confounding factors include topography, slope aspects, position in slope, snow influence, distances between

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3 542 sensors, and sensor types. However, investigation on confounding factors requires detailed
4 543 datasets on elevation, groundwater depth, snow depth, or temperature at each sensor location,
5 544 which are not consistently available for all the study sites. We treated the contrasting land-use
6 545 as the major controls on soil moisture processes and took variability of other factors within a
7 546 catchment as residual uncertainty in this study (Beven, 2000). Our selection of sites, where
8 547 sensors are within watershed-scale, helps reduce the impact of the confounding factors. For
9 548 confounding factors regarding soil moisture network design (e.g., size of the network and
10 549 distance between sensors), it would be beneficial to implement geospatial analysis. Previous
11 550 studies suggest that investigating the influence of spatial scale on soil moisture values
12 551 advances our understanding of the soil moisture processes (Brocca et al., 2007; Gómez-Plaza
13 552 et al., 2001; Western et al., 2004).

553

24 554 Second, the signature approach needs attention when adapted to different hydrologic
25 555 environments. We encountered several difficulties in extracting and interpreting signatures
26 556 under different climate and soil conditions (e.g., defining seasonal transition for sites with an
27 557 unstable wet season, multiple process interpretations for bimodal distribution signatures, and
28 558 the impact of data quality practice on signature calculation). We summarized our experiences
29 559 and recommendations in Text S2. Also, our datasets did not cover some combinations of
30 560 land-use and climates. For example, grazed land-use was tested only in Texas and Oznet
31 561 under an arid climate, not in humid and temperate climates. Therefore, the users should be
32 562 careful using signatures on other climates, soil types, or soil developments. Future work
33 563 should fill the gaps using larger datasets, such as the International Soil Moisture Network
34 564 dataset (Dorigo et al., 2011) or SMAP satellite observation (Entekhabi et al., 2014).

565

566 ***5.2. Novelty, usefulness, and future direction of soil moisture signature approach***

46 567 There are two novelties of this study. First, this study showed clear differences in soil
47 568 moisture signatures depending on land-uses. Previous studies compared signatures under
48 569 limited land-uses (e.g., forest vs. non-forest in Branger & McMillan, 2019; forests with
49 570 various tree species in Chandler et al., 2017). Previous studies also compared signatures from
50 571 the large-scale observation networks, where climate and geology are the strong confounding
51 572 factors. This study covered a wide range of land-uses and conducted internal comparisons
52 573 within small to mesoscale observation networks. The research design allowed analyses with a

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3 574 strong focus on land-use impacts on signature values, and interpretation of the signature
4 575 values based on catchment-scale processes. Second, this study differentiated soil moisture
5 576 processes between land-uses only using soil moisture and rainfall datasets. Usually,
6 577 watershed processes are understood using a variety of hydrological and soil observations.
7 578 However, rich process knowledge from previous studies allowed us to interpret processes
8 579 from signature values calculated only from soil moisture and rainfall data. Using standardized
9 580 metrics, the process interpretation across study sites also helped integrate individual
10 581 knowledge of existing soil moisture studies.
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20 583 Our results suggest potential uses of soil moisture signatures in hydrologic analysis to
21 584 represent the different dynamics with land-uses. In the future, hydrologists could use soil
22 585 moisture signatures to calibrate, constrain, or evaluate models against observation data, as
23 586 practiced in streamflow signatures (Westerberg et al., 2011; Shafii & Tolson, 2015). Models
24 587 could be evaluated whether the model represented significant differences or similarities in
25 588 soil moisture signature values expected between different land-uses. Signatures could also be
26 589 used to compare satellite data against in-situ data in terms of soil moisture dynamics. Our
27 590 results imply that significant differences in signature values between land-uses appear even at
28 591 5 cm soil depth, which is a typical penetration depth of remote sensing observation.
29 592 Furthermore, signatures could be used for process investigation or model structure
30 593 identification between contrasting land-uses especially for the event- and the timeseries-based
31 594 signatures, whose process implications were successfully derived in this study. Signatures
32 595 would be especially useful to represent different dynamics for the land-use contrasts that
33 596 showed significant signature differences (deforested vs. forested, urban vs. greenspace, crop
34 597 vs. grazed vs. grassland).
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47 599 Ultimately, we would like to develop a systematic classification of catchment processes
48 600 between land-uses based on signatures (Wagener et al., 2007). As an example, we designed a
49 601 flow chart to show how partitioning processes might be classified using event-based
50 602 signatures (Figure 10). First, the flow pathways could be categorized into sequential and non-
51 603 sequential types based on response type signatures, and then further refined based on other
52 604 event-based signatures. Several signatures are lacking (in grey letters in Figure 10), but this
53 605 flow chart demonstrates the potential of a signature-based process classification system. For
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3 606 example, the flow chart represents the signature difference in urban vs. greenspace area
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5 607 between vertical vs. overland flow processes, which we observed in Hamburg. Previous
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7 608 studies have suggested promising classification frameworks for soil moisture processes. For
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9 609 example, Boorman et al. (1995) propose eleven basic modes for partitioning processes
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11 610 depending on the soil profile and groundwater position, and Grayson et al. (1997) propose
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13 611 four basic modes for storage seasonality depending on the soil wetness conditions. We could
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15 612 potentially classify catchment processes using soil moisture signatures at all temporal scales
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17 613 based on these studies.

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19 614 [Insert Figure 10]

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22 616 **6. CONCLUSIONS**

23 617 Soil moisture signatures are metrics that represent soil moisture dynamics. This study aimed
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25 618 to test soil moisture signatures' ability to discriminate different dynamics under contrasting
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27 619 land-uses (called 'discriminatory power'). We integrated nine soil moisture signatures from
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29 620 previous studies (Branger & McMillan, 2020; Graham & Lin, 2012; Chandler et al., 2017;
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31 621 Sawicz et al., 2011). The set of signatures quantified the dynamics at three temporal scales:
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33 622 event, season, and complete timeseries. We applied the signatures to six soil moisture
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35 623 network data with diverse land-uses, including deforested, shallow groundwater, wetlands,
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37 624 urban, grazed, and cropland areas. Using statistical, visual, and literature analysis, we tested
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39 625 the discriminatory power of soil moisture signatures.

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42 627 Event-based signatures had the highest discriminatory power; they showed clear statistical
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44 628 and visual differences across all land-uses. Literature supported the link between partitioning
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46 629 and storage processes, and event-based signatures. Season-based signatures had moderate
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48 630 discriminatory power; they showed statistical and visual differences in a range of land-uses
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50 631 (e.g., deforested vs. forested, urban vs. greenspace, crop vs. grazed vs. grassland). However,
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52 632 literature could not fully explain the differences in season-based signatures depending on the
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54 633 land-uses due to the lack of observational studies using the season-based signature approach.
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56 634 Timeseries-based signatures had moderate discriminatory power in all land-uses except in
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58 635 grazed vs. ungrazed. The differences of timeseries-based signatures between land-uses were
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60 636 linked to differences in storage characteristics.

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638 Our results demonstrated that soil moisture signatures, calculated only from soil moisture and
 639 rainfall timeseries, can capture the land-use impacts on catchment-scale soil moisture
 640 dynamics. We also explored and documented the limitation in extracting signatures from
 641 datasets covering a wide range of climate conditions. This study will be a useful guideline for
 642 hydrologists to apply soil moisture signatures for evaluating land-use impacts on hydrologic
 643 processes and developing a standardized classification system of soil moisture processes.

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 651 comments on this manuscript.

653 **DATA AVAILABILITY**

654 The datasets used in this study are available from the following. Please visit the observatory's
 655 website or inquire the site manager for the availability of data.

Study sites	Soil moisture data source	Rainfall data source
Wüstebach (WB)	Requested to Dr. Heye Bogena (h.bogena@fz-juelich.de) Note that data through 2009 to 2015 are available online (Bogena, 2021)	Online. Used Monschau-Kalterherberg station data (The Deutscher Wetterdienst (DWD), 2021)
Hamburg (HB)	Requested to Dr. Sarah Wiesner (sarah.wiesner@uni-hamburg.de) Network description is available online (University of Hamburg, 2021)	Online. Used Hamburg-Fuhlsbüttel station data (The Deutscher Wetterdienst (DWD), 2021)
Raam (RM)	Online. 2016-2017 data (Benninga et al., 2017) 2017 -2018 data (Benninga et al., 2018a) 2018 – 2019 data (Benninga et al., 2020)	Online. Used Volkel weather station data (The Royal Netherlands Meteorological Institute (KNMI), 2021)
Texas (TX)	Online (Bongiovanni & Caldwell, 2019)	Online (Bongiovanni & Caldwell, 2019)

Maqu (MQ)	Requested to Dr. Bob Su (z.su@utwente.nl) 5 cm sensor data is available online (Dorigo et al., 2011)	Requested to Dr. Bob Su (z.su@utwente.nl)
Oznet (OZ)	Online (Smith et al., 2012)	Online (Smith et al., 2012)

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3 1028 **SUPPORTING INFORMATION**
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5 1029 The supplementary material includes the following.

6 1030 **Text S1** describes quality control procedures of soil moisture data
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8 1031 **Table S1** describes sensor configurations of the study site
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10 1032 **Figure S1** shows the results of the statistical test for signature profiles with soil depths
11 between land-uses
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13 1034 **Figure S2, 3, 4** shows all the results for event-based, season-based, and timeseries-based
14 signatures, respectively
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16 1036 **Text S2 and Figure S5** describe the applicability of signatures for diverse types of time
17 series of data
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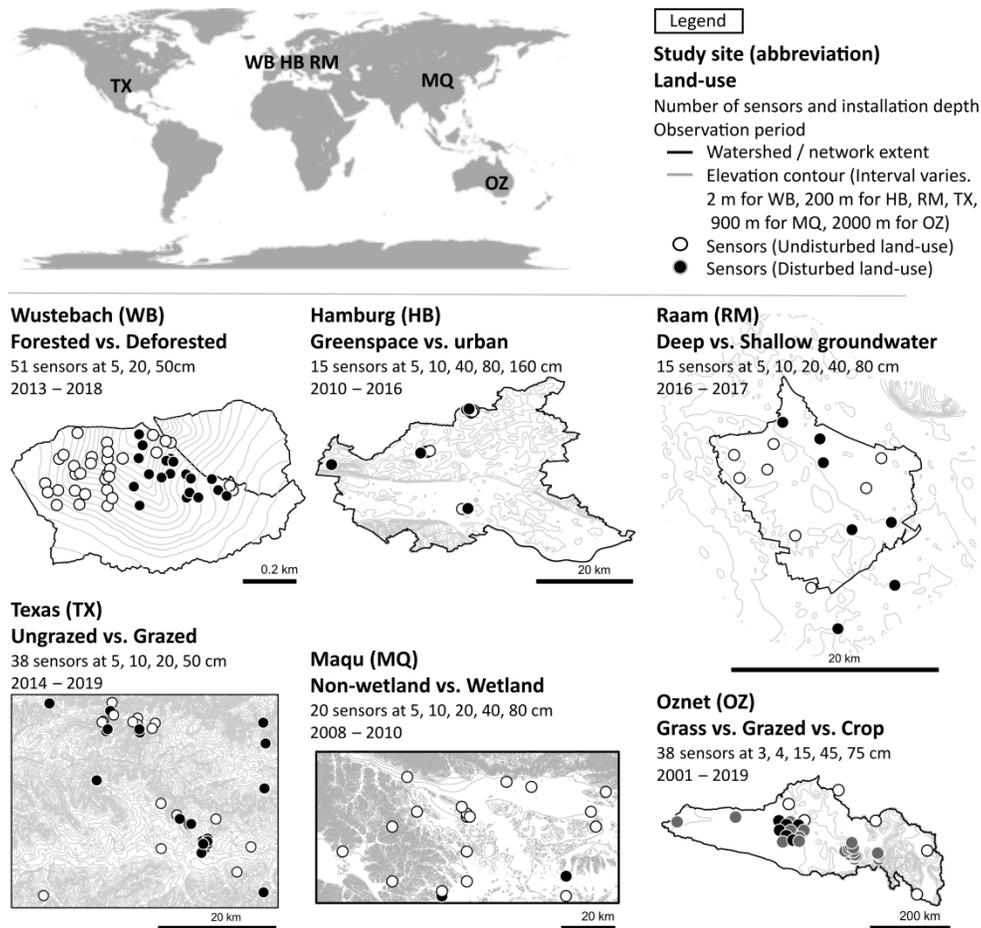


FIGURE 1 Maps of the study sites. The contours are based on a field survey for WB (Graf et al., 2014), Shuttle Radar Topography Mission Elevation Dataset (National Aeronautics and Space Administration (NASA) et al., 2002) for HB, RM, TX, MQ, and OZ. All maps are north upward

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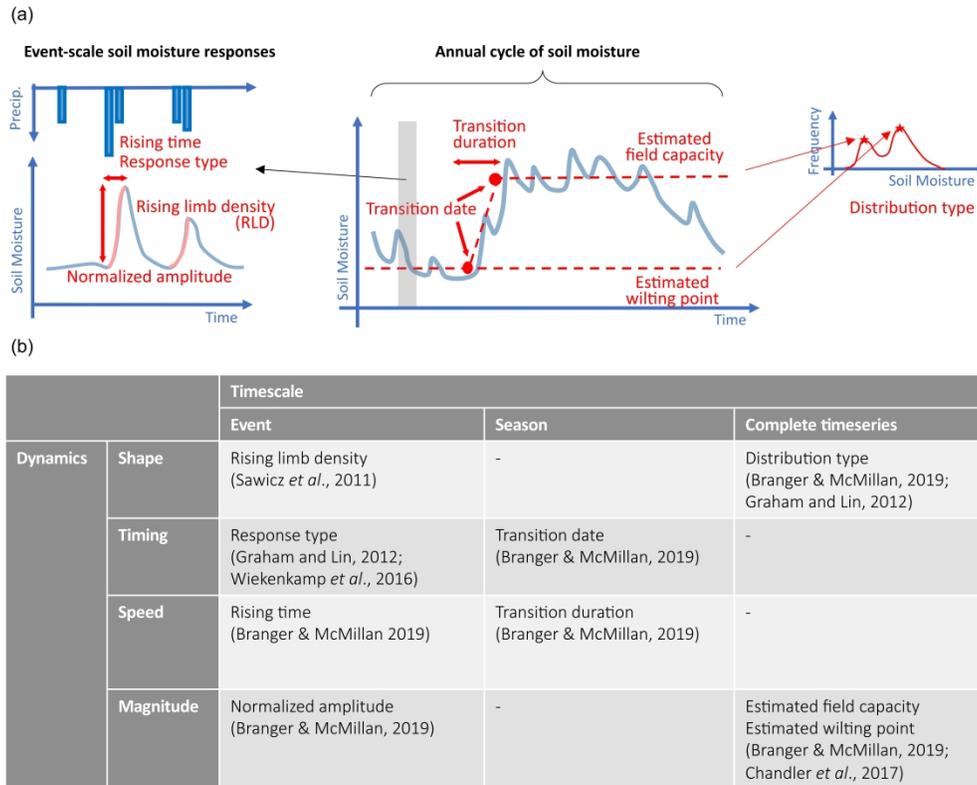


FIGURE 2 A set of signatures describing the soil moisture dynamics. (a) Illustrations show the signatures calculated. (b) A table shows the aspects of soil moisture dynamics represented by the signatures

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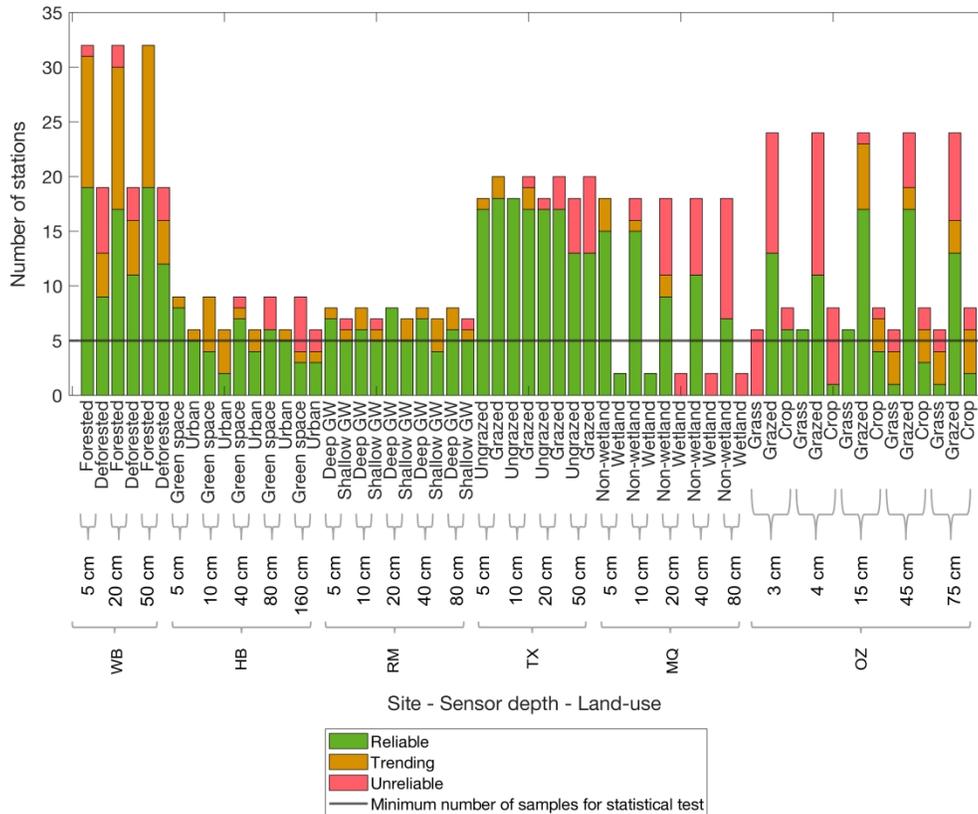


FIGURE 3 Number of stations that passed the final quality control. The categories that have five or more variables can be used for statistical analysis (indicated by a horizontal line)

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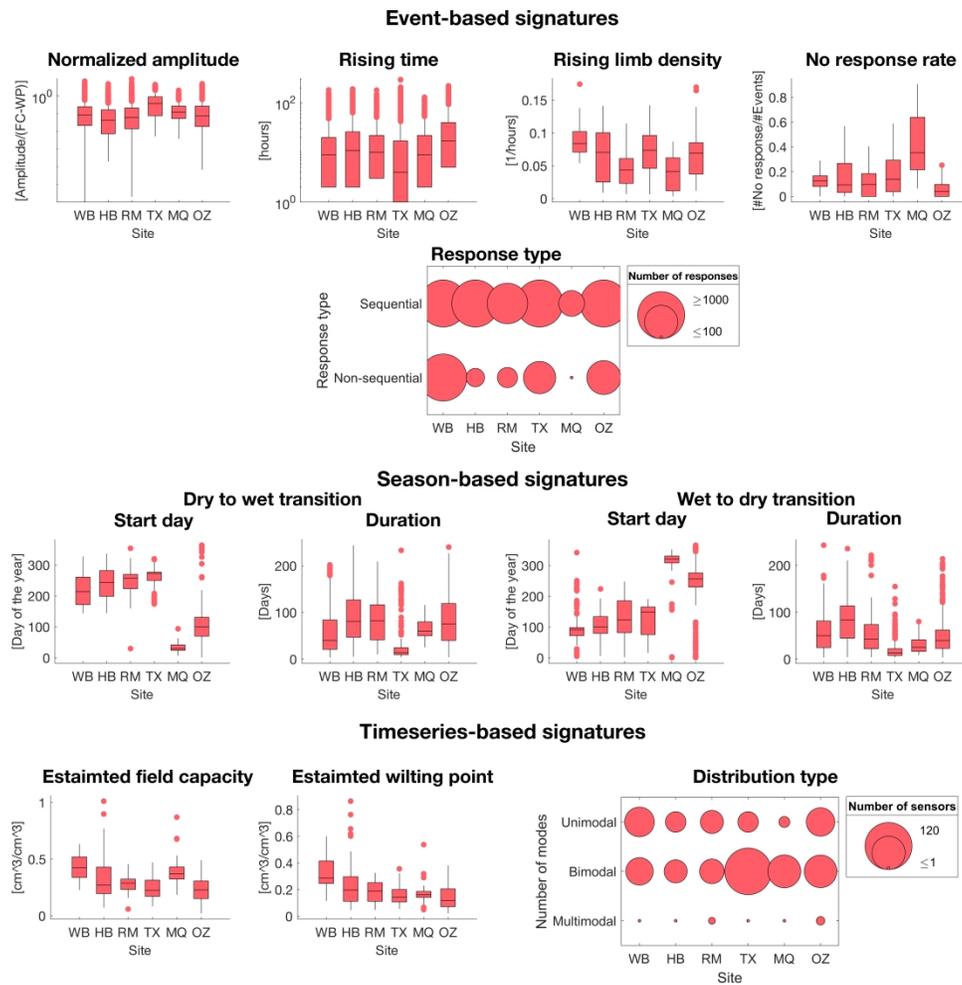


FIGURE 4 Signature values for all the study sites, in the order of aridity (large to small from left to right based on the aridity values listed in Table 1). Signature values were aggregated for sensor depths and land-uses. The boxplots are drawn using Matlab package gramm (Morel, 2018). The box is drawn between the first and third quartile, with a line in between indicating the median. The whiskers extended within a distance to the box equal to 1.5 times the interquartile range. Dots indicate the outliers

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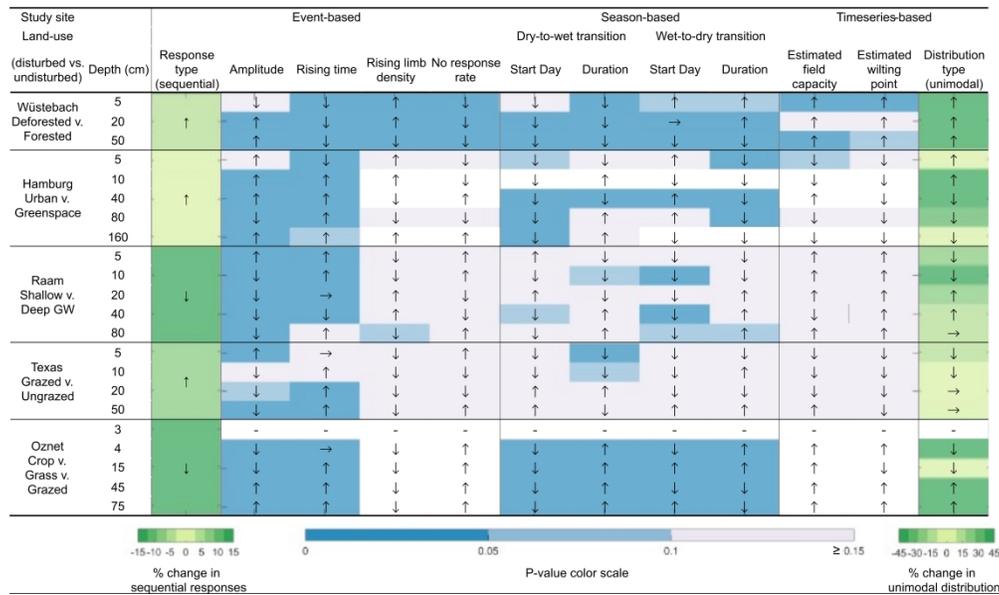


FIGURE 5 Signature differences in magnitude between contrasting land-uses for a given depth. In each study site, signatures from a disturbed land-use were compared with those from an undisturbed land-use (e.g., deforested as disturbed vs. forested as undisturbed). The upward, downward, and horizontal arrows indicate if the signature values in the disturbed land-use were larger, smaller, or unchanged, respectively, compared to undisturbed land-use. The cells are highlighted with colors associated with the p-value of the Kruskal–Wallis test, or percent change in signature dominance. The cells are white when the sample size was not enough for the Kruskal–Wallis test (less than five). Most signatures for the Maqu sites did not reach enough sample size for the Kruskal–Wallis test; thus, they were excluded from the table

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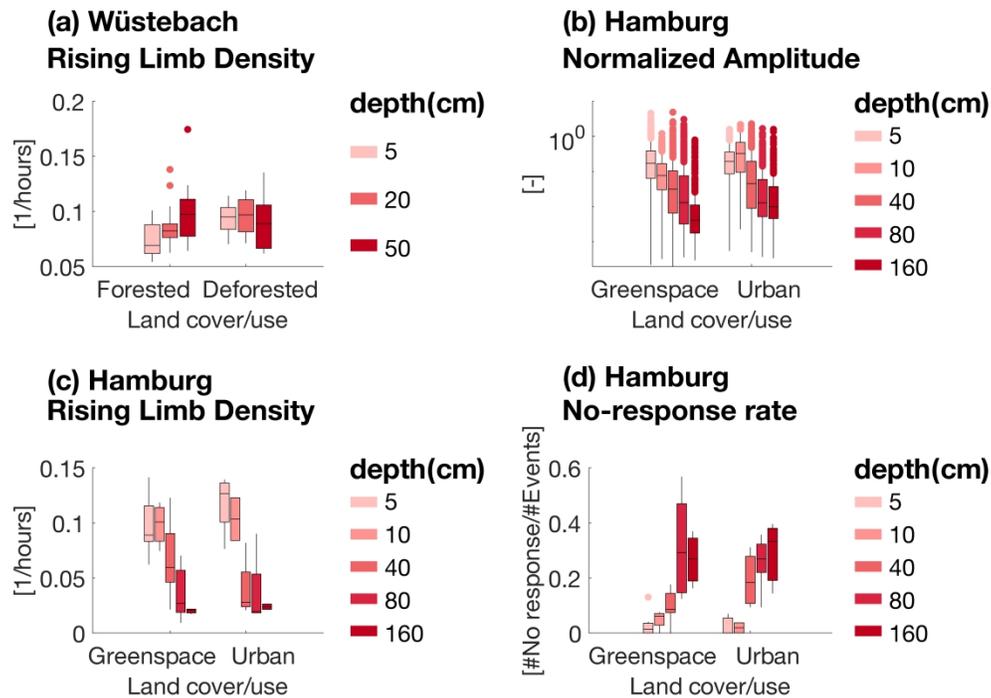
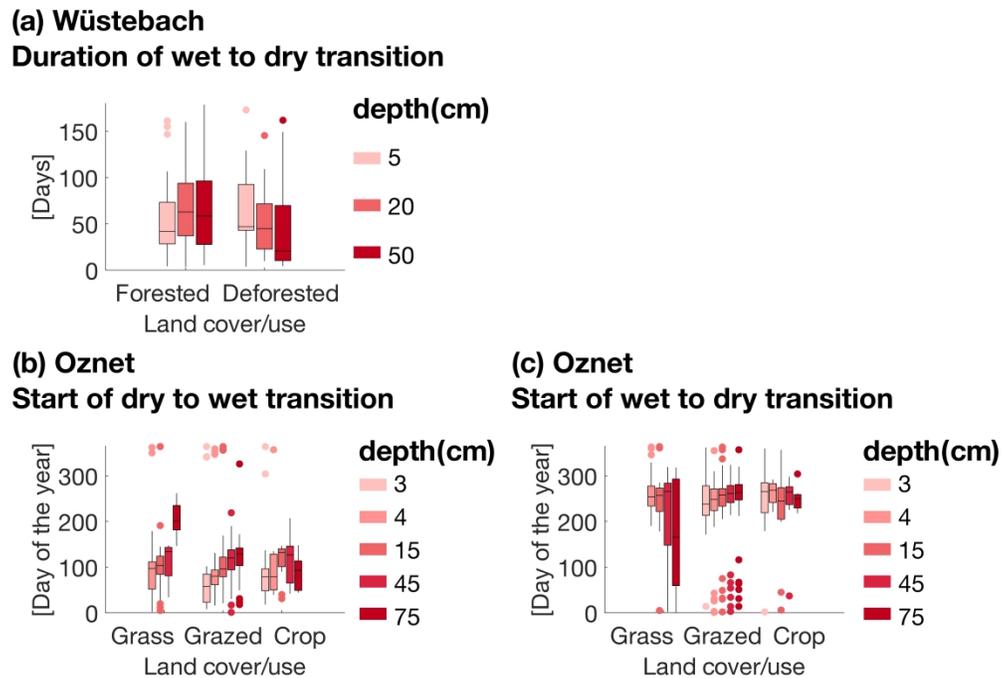


FIGURE 6 Box plots of event-based signatures for the sites showing significant differences in signatures. Refer to Figure S2 for full results. The box is drawn between the first and third quartile, with a line in between indicating the median. The whiskers extend to the most extreme data value within a distance to the box equal to 1.5 times the interquartile range. Dots indicate the outliers

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29 FIGURE 7 Box plots of season-based signatures for the sites showing significant differences in signatures.
30 Refer to Figure S3 for full results. The box is drawn between the first and third quartile, with a line in
31 between indicating the median. The whiskers extend to the most extreme data value within a distance to the
32 box equal to 1.5 times the interquartile range. Dots indicate the outliers

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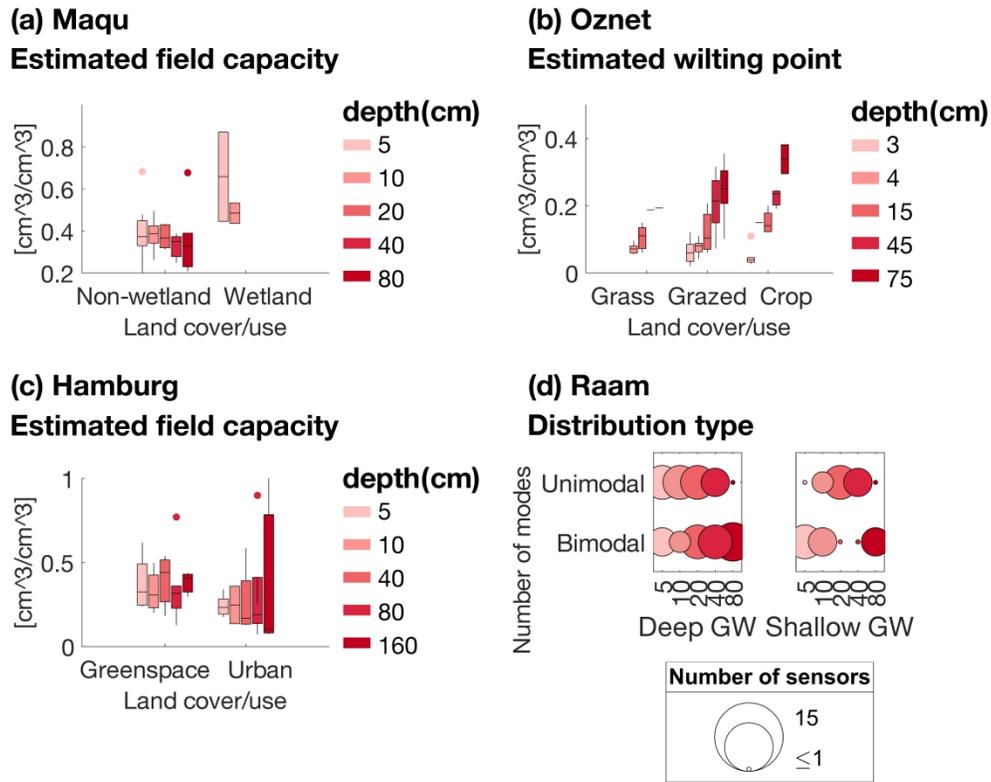


FIGURE 8 Box plots of timeseries-based signatures for the sites showing significant differences in signatures. Refer to Figure S4 for full results. The box is drawn between the first and third quartile, with a line in between indicating the median. The whiskers extend to the most extreme data value within a distance to the box equal to 1.5 times the interquartile range. Dots indicate the outliers

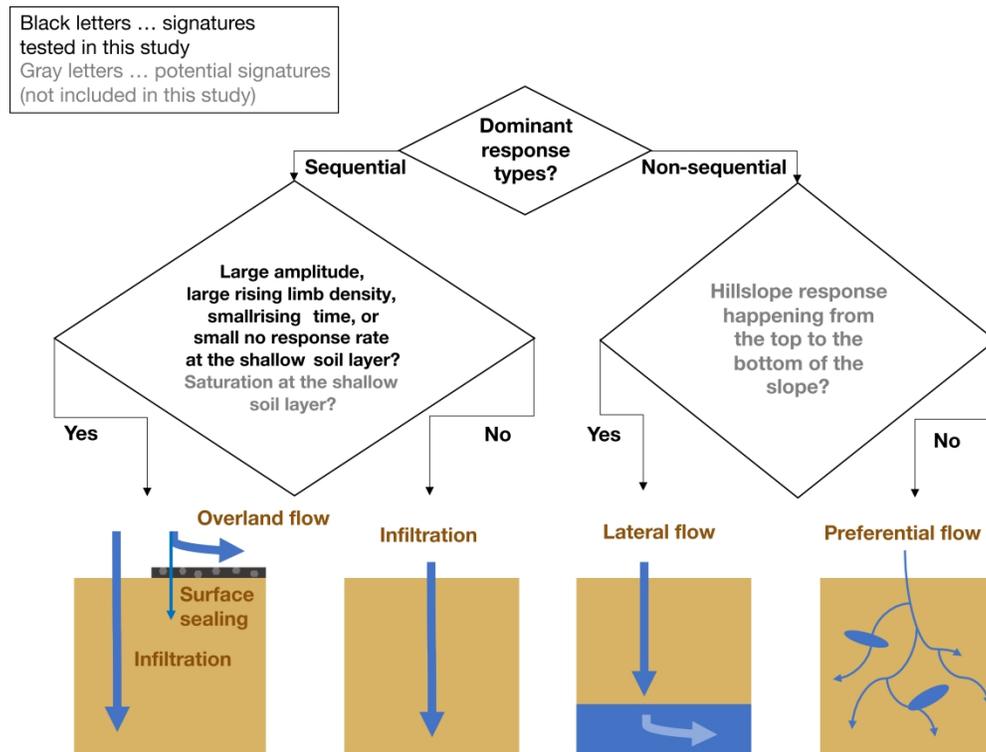
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		Signatures											
		Event-based					Season-based				Timeseries-based		
		Response type (% of sequential)	Amplitude	Rising time	Rising limb density	No-response rate	Dry-to-wet transition		Wet-to-dry transition		Field capacity	Wilting point	Distribution type (% of unimodal)
						Start Day	Duration	Start Day	Duration				
Wüstabach Deforested vs. Forested	Expected process	Sequential flow↑; no flow↓; storage flashiness↑ due to storage↑ (Wiekenkamp et al., 2016a & 2019)					Earlier transition due to <u>interception</u> ↓ & rain rate↑ & root depth↓ (Wiekenkamp et al., 2016b; Laio, 2002); closer to transition threshold due to <u>storage</u> ↑ (Detty & McGuire, 2010)				Storage↑ due to <u>transpiration</u> ↓ & <u>interception</u> ↓ (Wiekenkamp et al., 2016a)		
	Expected signature	↑	↑	↓	↑	↓	↓	↓	↓	↑	↑	↑	
	Observed signature	↑	↑	↓	shallow↑ deep↓	↓	↓	→	shallow↑ deep↓	↑	↑	↑	
Hamburg Housing vs. Urban	Expected process	Vertical infiltration -> overland flow due to surface sealing (Scalenghe & Ajmone-Marsan, 2009; Ziegler et al., 2001); storage flashiness↓ due to storage↓					Delayed transition due to surface sealing & rain rate↓ (Laio, 2002); <u>stagnant water & rapid drainage due to construction waste</u> (Wiesner et al., 2016); less close to transition threshold due to storage↓ (Detty & McGuire, 2010)				Storage↓ & GW table↓ due to infiltration↓ (Scalenghe & Ajmone-Marsan, 2009; Wiesner et al., 2016)		
	Expected signature	↑	shallow↑ deep↓	shallow↓ deep↑	shallow↑ deep↓	shallow↓ deep↑	↑	↑	↑	↓ or ↑	↓	↓	deep ↓
	Observed signature	↑	shallow↑ deep↑	shallow↓ deep↑	shallow↑ deep↓	shallow↓ deep↑	↓	→	→	↓	↓	↓	shallow↑ deep↓
Raam Shallow vs. Deep groundwater (GW)	Expected process	Vertical infiltration -> lateral flow; less variable soil moisture due to near-saturated soil (Soylu & Bras, 2021)					Earlier transition due to shallow GW (Miguez-Macho & Fan, 2012); more close to transition threshold due to storage↑ (Detty & McGuire, 2010)				Storage↑ due to capillary rise (Benninga et al., 2018; Soylu & Bras, 2020)		
	Expected signature	↓	↓	↓	→	→	↓	↓	↓	↓	↑	↑	↑
	Observed signature	↓	↓	↑	→	→	→	→	↓	→	→	→	shallow↓ deep↑
Texas Grazed vs. Ungrazed	Expected process	Vertical infiltration -> overland flow due to compaction (Woodruff & Wilding, 2008; Alaoui et al., 2018; Ziegler et al., 2001)					Less close to transition threshold due to storage↓ (Detty & McGuire, 2010)				Storage↓ due to compaction (Bormann & Klaassen, 2008; Selassie & Ayanna, 2013)		
	Expected signature	↑	shallow↑ deep↓	shallow↓ deep↑	shallow↑ deep↓	shallow↓ deep↑	→	↑	→	↑	↓	↓	↓
	Observed signature	↑	shallow↑ deep↓	↑	→	→	→	↓	→	→	→	→	→
Maqu Wetland vs. Non-wetland	Expected process	Less variable soil moisture due to near-saturated soil (Soylu & Bras, 2021); less responses while frozen					Seasonal transition timing of vegetation growth do not change (Dente et al., 2012); Freeze/thaw process takes longer and delayed due to heat capacity↑				Storage↑ due to soil organic matter (Dente et al., 2012; Hudson et al., 2014)		
	Expected signature	↓	↓	↓	↓	↑	→ or ↑	→ or ↑	→ or ↑	→ or ↑	↑	↑	↑
	Observed signature	Not enough data	→	→	↓	↑	→	→	→	→	↑	↑	↑
Oznet Crop vs. Grazed vs. Grass	Expected process	Vertical infiltration -> overland-flow due to compaction (Alaoui et al., 2018; Ziegler et al., 2001); storage flashiness↑ due to storage↑					More close to transition threshold due to storage↑ (Detty & McGuire, 2010); extended wet period due to <u>irrigation</u> (Smith et al., 2012)				Storage↓ due to compaction (Bormann & Klaassen, 2008; Selassie & Ayanna, 2013); Storage↑ due to <u>irrigation</u> (Smith et al., 2012; Lawston et al., 2017)		
	Expected signature	↑	shallow↑ deep↓	shallow↓ deep↑	shallow↑ deep↓	shallow↓ deep↑	↓	↓	↑	↓	↓ or ↑	↓ or ↑	↓ or ↑
	Observed signature	↓	shallow↓ deep↑	↑	↓	↑	↓	↑	mixed ↑ & ↓	shallow↑ deep↓	↑	↑	shallow↓ deep↑

Observed signature matches with literature interpretation Observed signature does not match with literature interpretation

FIGURE 9 Process-based interpretation of signature differences between land-uses in terms of signature magnitude. The cells are highlighted blue when the signature matched with literature values and red if not. 'Shallow' and 'deep' mean different behavior expected or observed depending on the soil depth

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FIGURE 10 An example flow chart that classifies soil moisture dynamics using event-based soil moisture signatures

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TABLE 1 Key climatic and geological characteristics of study sites. The data are obtained from ‘Key reference papers.’ Aridity was calculated using GLDAS-2.1 (Rodell *et al.*, 2004) as the ratio of the annual total precipitation rate to the annual potential evaporation rate. For each station, aridity calculated at all sensor points and averaged for the observation period

Study site (abbreviation)	Land-use, in the order of degree of disturbance	Annual precipitation (mm/yr)	Annual mean temperature (°C)	Aridity	Vegetation	Soil type (texture and soil type)	Key reference papers
Wüstebach WB	Forested vs. deforested (Logging removed 97 % of tree biomass. Stumps and litter remained. Trees were transported by skid rails to minimize soil compaction)	1220	7 (< 0C Dec. to Mar.)	0.97	Coniferous trees (Norway Spruce and Sitka spruce) planted in the 1940s. Average density 370 trees/ha, average height 25 m	Silty clay loam with fractions of coarse material; Cambisols, Planosols, and Gleysols	(Rosenbaum <i>et al.</i> , 2012; Wiekenkamp <i>et al.</i> , 2019; Bogena <i>et al.</i> , 2015)
Hamburg HB	Greenspace vs. urban (The urban area mostly consists of housings. The degree of sealing is 50 – 60%. Soil moisture sensors were installed in the backyards. Soil profile contains construction waste)	775	Avg 9; max 17; min 1	0.78	Lawn, high pasture grass, short grass, and deciduous trees	Sand, sandy loam, loamy sand, and peat; Cambisols, Technosol, Luvisol, Anthrosol, Gleysol, Histosol, and Regosol	(Wiesner <i>et al.</i> , 2014, 2016)
Raam RM	Deep (>1m) vs. shallow groundwater (The definition follows Benninga <i>et al.</i> , 2018). The groundwater table fluctuates 25 – 160 cm below the soil surface.)	818	Avg 9.1; max 18.3; min 3.3;	0.58	Grass	Sand with 20% loam content; Podzols and Anthrosols	(Benninga <i>et al.</i> , 2018b)
Texas TX	Ungrazed vs. grazed (The definition follows field note in the metadata)	807	18.4	0.40	Oak trees, woody plants (ashe juniper and honey mesquite), and grass	Sand, sandy loam, clay loam, silty clay, clay; Calciustolls, Haplustepts, Calciustepts	(Woodruff and Wilding, 2008; Caldwell <i>et al.</i> , 2019; Bureau of Economic Geology, 2020)
Maqu MQ	Non-wetland vs. wetland (Soil organic matter content is 17 – 56 g/kg and 136 – 229 g/kg, respectively)	593	1.3 (< 0C from Nov. to Mar.)	0.42	Grass	Silt loam; N/A	(Su <i>et al.</i> , 2011; Dente <i>et al.</i> , 2012; Wang <i>et al.</i> , 2019)
Oznet OZ	Grass vs. grazed vs. crop (The definition follows the metadata. Grazing is sheep, beef, and dairy. Cropping includes both irrigated- and non-irrigated method)	Varies (300 – 1900)	16	0.17	Grass, pasture, crops (rice, barley, and oats)	Silty loam; N/A	(Young <i>et al.</i> , 2008; Smith <i>et al.</i> , 2012)

SUPPLEMENTAL MATERIALS

TEXT S1 Data quality control

TEXT-S1.1. Quantitative control of short-term errors

We preprocessed soil moisture data for quality control. In most cases, data were preprocessed by each observatory based on its standards. We inspected the remaining errors automatically and manually as follows. First, errors were removed by quantitative assessment. Soil moisture value above 100%, sudden drops of more than 10% decrease in 1 hr, and sudden increases of more than 25% in 1 hr were removed automatically. Then, data gaps that were shorter than 3 hrs were filled by linear interpolation. Longer gaps were retained as no data. If there is a data fragment whose length is less than 5 days, the period of record was removed. Some stations (5 – 50% of stations, depending on the network) showed different mean soil moisture values during an initial settling-in period and/or later observation periods. To identify such breaks, we first smoothed out the time series using a moving average with a one-year sampling window, and rejected after or before the sudden change in the smoothed time series. After the quantitative cleaning, manual cleaning was performed on the remaining errors (isolated time series, erroneous oscillation, remaining sudden changes in soil moisture values).

TEXT-S1.2. Qualitative assessment of long-term trends

After the quantitative control, we qualitatively classified the soil moisture time series by visual inspection as (a) reliable, (b) unreliable, or (c) trending. Time series were categorized as ‘(a) reliable’ if they comprised more than two seasonal cycles without apparent erroneous oscillation, fragments of data, implausible saturation, implausible sudden changes in soil moisture values, referring to Dorigo *et al.*, (2013); and categorized as ‘(b) unreliable’ if not. The time series were categorized into ‘(c) trending’ if the time series was reliable but had an increasing or decreasing trend in mean soil moisture value over the entire observation period, which can be caused by changes in the sensor voltage power (Rosenbaum *et al.*, 2012; Martini *et al.*, 2015), oxidation of sensor rods, salinization, soil compaction (Dorigo *et al.*, 2013). ‘(b) unreliable’ and ‘(c) trending’ time series were removed from the analysis. Only ‘(a) reliable’ time series were used for this thesis because distribution type, estimated field capacity, and estimated wilting point were sensitive to the trending time series. Event-based and season-based signatures may be less

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sensitive to trends and could be calculated for both ‘(a) reliable’ and ‘(c) trending’ time series in future analysis.

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FIGURE S3 Box plots of all study sites for season-based signatures. The box is drawn between the first and third quartile, with a line in between indicating the median. The whiskers extend within a distance to the box equal to 1.5 times the interquartile range. Dots indicate the outliers

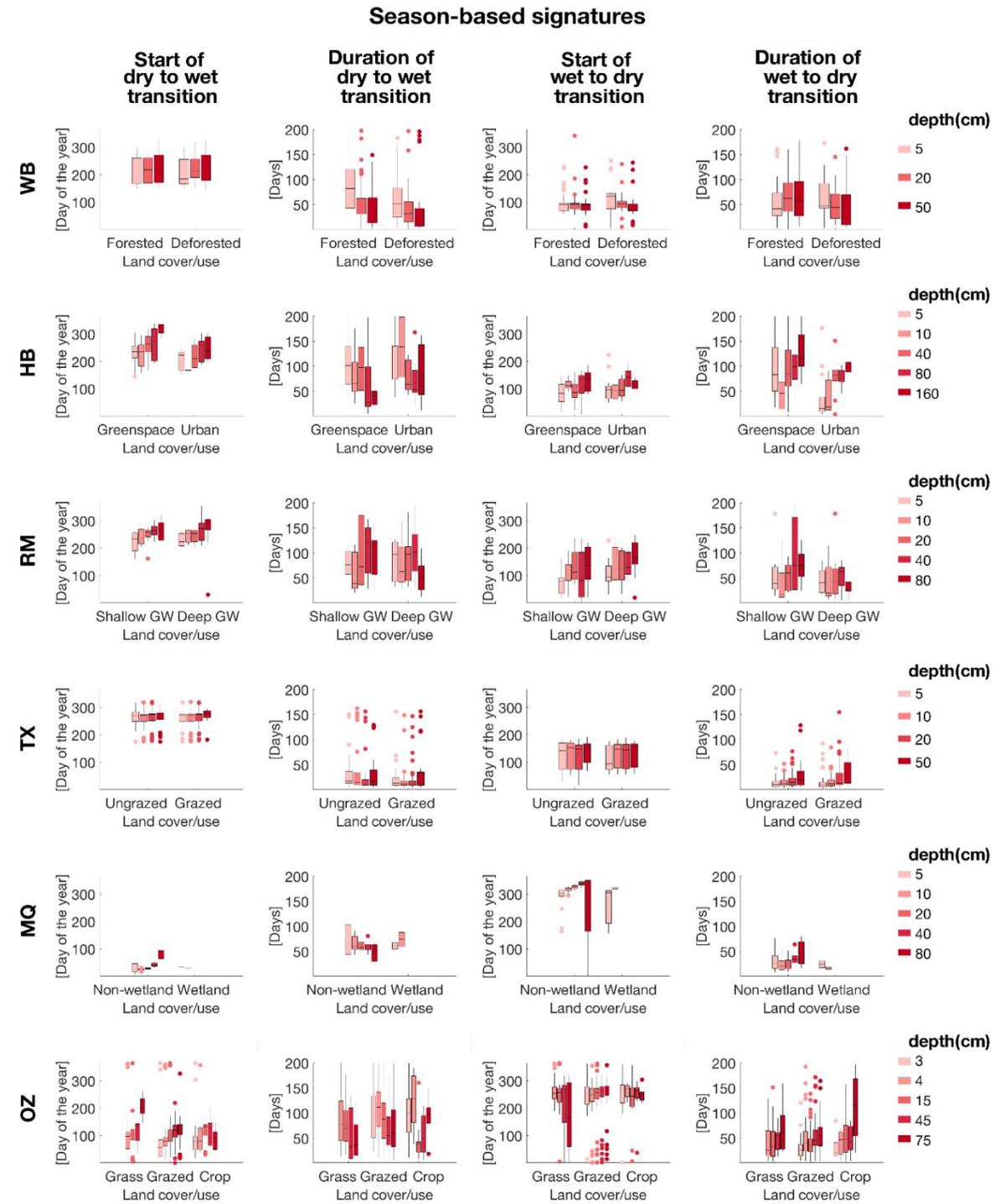
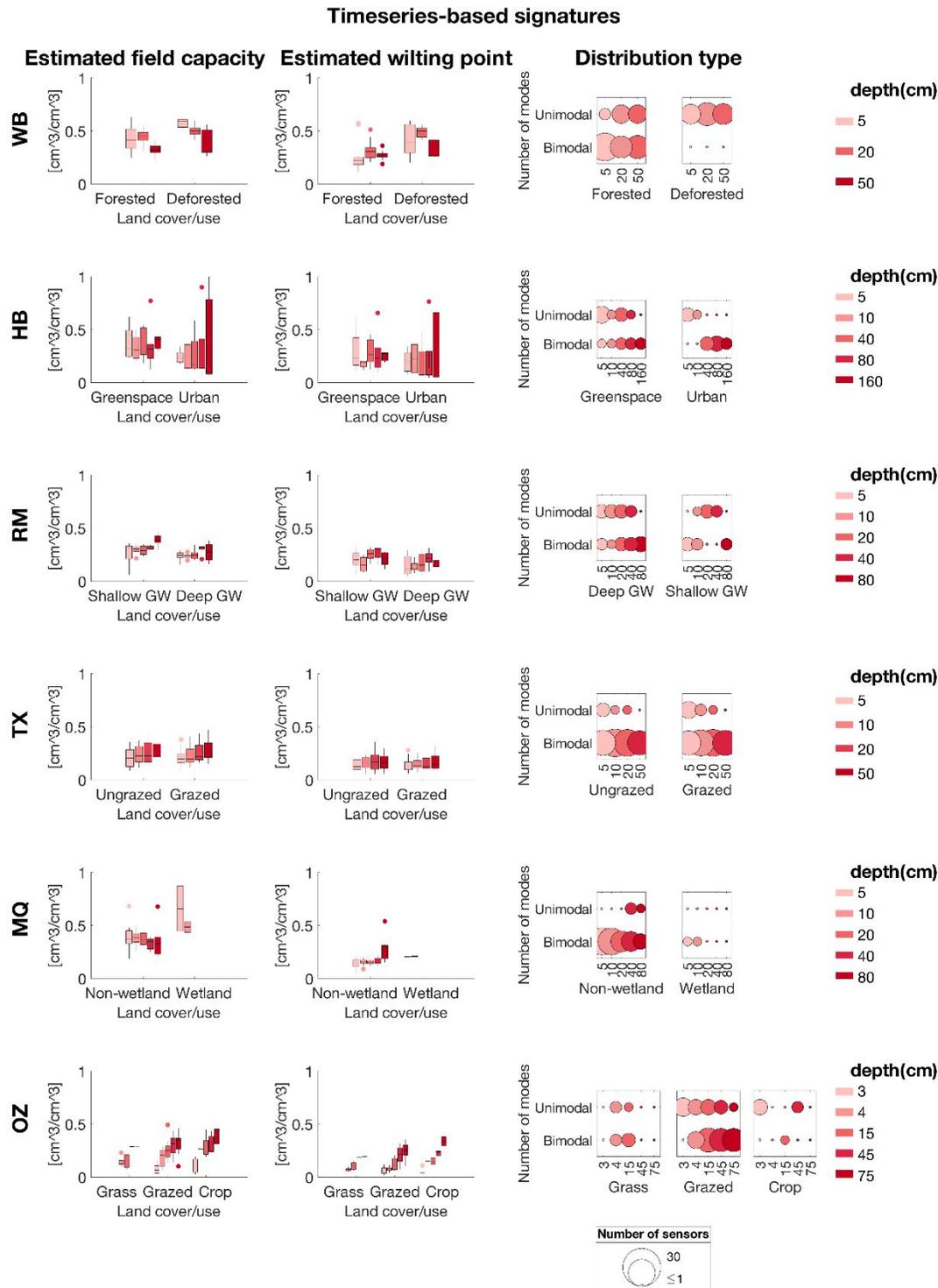


FIGURE S4 Box and bubble plots of all study sites for timeseries-based signatures. The box is drawn between the first and third quartile, with a line in between indicating the median. The whiskers extend within a distance to the box equal to 1.5 times the interquartile range. Dots indicate the outliers



TEXT S2 Applicability of signatures to data from a wider variety of environments

Most soil moisture signatures were originally created and tested in limited environments. Since we applied those signatures to data from a broader range of environments, we encountered several difficulties extracting and interpreting the signature values. After visual inspection of time series and the graphical display of signature results, we summarized our recommendations below. We included those signature values in our results with careful attention.

First, extraction of seasonal signatures (timing and duration of seasonal changes) failed when there were two rainfall seasons. Since the seasonal transition signatures assumed only one wet season per year (Oznet site, OZ in Figure S5), the seasonal transition models did not fit the time series of data with two rainfall seasons (Texas site, TX in Figure S5). Another condition where season-based signatures failed was when freeze and thaw occurred (Maqu site, MQ in Figure S5). Seasonal transition signatures were initially designed to represent wet-to-dry/dry-to-wet season transition (Branger and McMillan, 2020); instead, they also represented freeze and thaw processes (Chen *et al.*, 2019; Wang *et al.*, 2019).

Second, the 'bimodal type' in distribution type signatures represented more than one sort of dynamics. The bimodal distribution was initially designed to represent soil moisture seasonality (Branger and McMillan, 2020). For example, weak seasonality in a station in Wüstebach (WB in Figure S5) was represented by unimodal distribution, and strong seasonality in a station in Oznet (OZ in Figure S5) was represented by bimodal distribution. However, bimodality also occurred in our data when there were large dry-downs (Texas site) even during wet seasons, or freeze and thaw processes (Maqu site).

Third, the degree of data quality control impacts the signature calculation. For example, the estimated wilting point signature did not give reliable values while the soil was frozen (Maqu site). This was because the sensors measure the electronic conductivity of ice once freezing starts (Dente *et al.*, 2012). Many studies reject soil moisture time series during freezing conditions as part of the quality control process. Nevertheless, the estimated wilting point was still helpful for calculating other signatures, such as normalizing the response amplitude, or constraining parameters when running seasonal transition signature codes. In this case, we recommend

rejecting timeseries during freezing conditions only when necessary, such as not using estimated wilting point values for physical interpretation or calculating event-based responses. More generally, we recommend reviewing data quality control practiced by other literature (e.g., Dorigo *et al.*, 2013; Rosenbaum *et al.*, 2018) and visually inspecting the data and signature results.

FIGURE S5 A figure showing how the time series of data under diverse types of climates are translated into distribution type signature

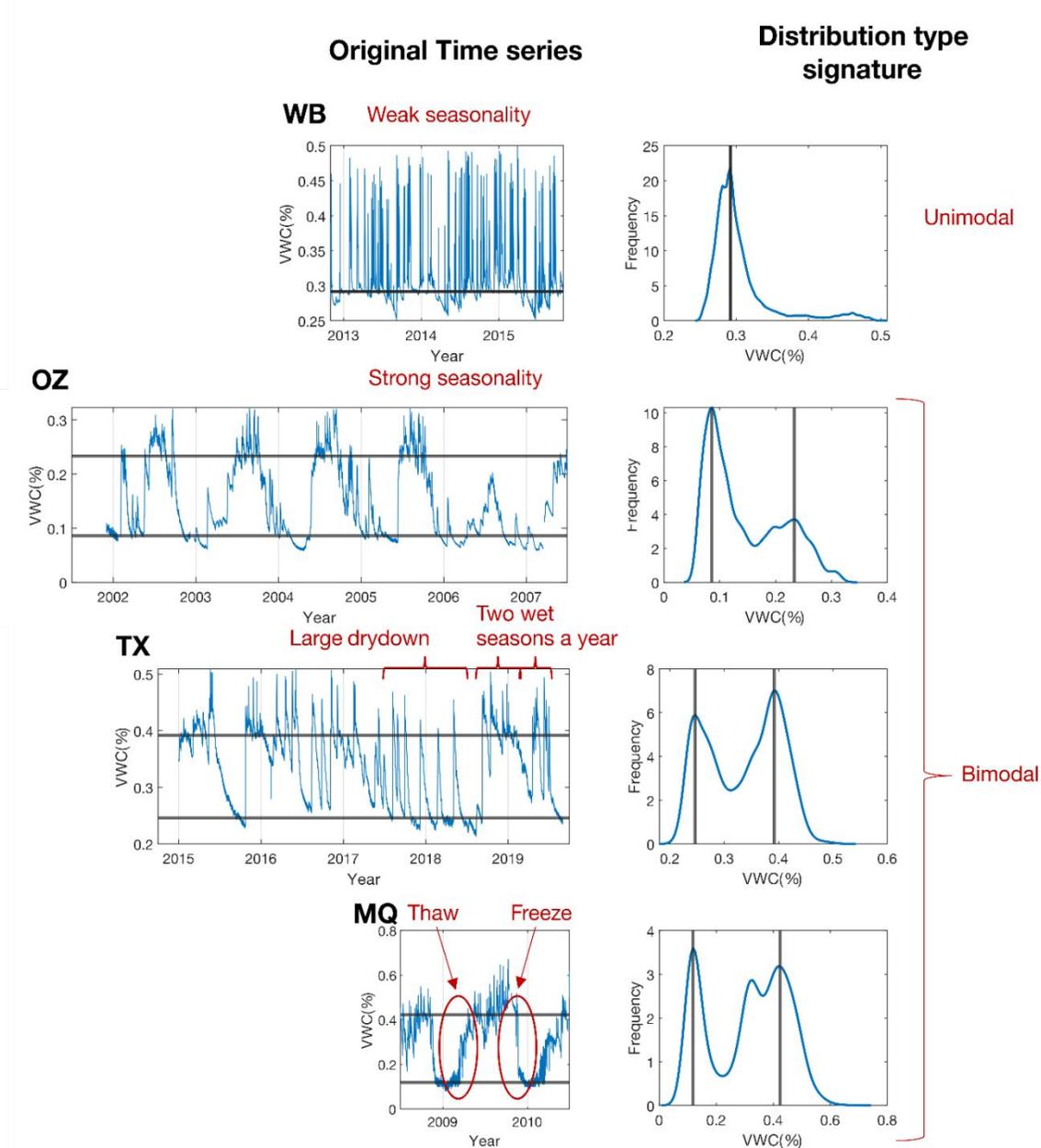


TABLE S1 Sensor configurations of the study sites. Data were derived from ‘Key reference papers’ cited in Table 1

Soil moisture network (name and abbreviation)	Network size (km ²)	Soil moisture measurement instrumentation (type of the sensor, manufacturer, product number, and the measurement accuracy reported by manufacturer)	Soil moisture sensor depth (cm)	Number of the soil moisture sensors	Observation record (length and period)	Rainfall measurement instrumentation (type of the sensor, and installation location)
Wüstebach WB	0.27	Capacitance sensors; Meter group; ECHO-EC5; $\pm 3\%$	5, 20, 50	51	6 years, 2013 – 2018	Weight-based gauge; a national weather station located 8 km west of the catchment
Hamburg HB	755	6 stations in both land-uses: water content reflectometer; Campbell Scientific; CS616; $\pm 2.5\%$ 4 stations in both land-uses: capacitance sensor; Meter group; 5TM; $\pm 2\%$	5, 10, 40, 80, 160	15	7 years, 2010 – 2016	Weight-based gauge; a national weather station located 8 km north of the catchment
Raam RM	223	Capacitance sensors; Meter group; EC-TM; $\pm 3\%$	5, 10, 20, 40, 80	15	3 years, 2016 – 2019	N/A; a national weather station located within the catchment
Texas TX	1,296 Estimated as the area of 36 km square	Water content reflectometer; Campbell Scientific; CS655; $\pm 1\%$	5, 10, 20, 50	38	5 years, 2014 – 2019	Tipping bucket gauge; at each soil moisture sensor location
Maqu MQ	3,200	Capacitance sensors; Meter group; EC-TM; $\pm 3\%$	5, 10, 20, 40, 80	20	2 years, 2008 – 2010	N/A; a station next to a soil moisture sensor CST01
Oznet OZ	82,000 3 catchments with areas 145 km ² , 600 km ² , and 2,500 km ²	Sensors installed at 3 cm depth: soil dielectric sensors; Stevens Water Monitoring Systems; Hydraprobe; $\pm 1\%$ Sensors installed below 4 cm depth: water content reflectometer; Campbell Scientific; CS615 and CS616; $\pm 2.5\%$	3, 4, 15, 45, 75	38	19 years, 2001 – 2019	Tipping bucket gauge; at each soil moisture sensor location