

Stochastic parcel tracking in an Euler-Lagrange compartment model for fast simulation of fermentation processes

Cees Haringa^{1,*}, Wenjun Tang^{1,2}, Henk J. Noorman^{1,2}

Abstract

Compartment modeling (CM) [1, 2, 3] is a well-known approach for computationally affordable, spatially-resolved hydrodynamic modeling of unit operations. Recent implementations use flow profiles based on CFD simulations [4, 5, 6], and several authors included microbial kinetics to simulate gradients in bioreactors [7, 8, 9, 10]. However, these studies relied on black-box kinetics, that do not account for intra-cellular changes and cell population dynamics in response to heterogeneous environments. In this paper, we report the implementation of a Lagrangian reaction model, where the microbial phase is tracked as a set of biomass-parcels, each linked with an intra-cellular composition vector and a structured reaction model describing their intra-cellular response to extracellular variations. A stochastic parcel tracking approach [11] is adopted, in contrast to the resolved trajectories used in prior CFD implementations [12, 13, 14]. A penicillin production process is used as a case-study [15]. We show good performance of the model compared to full CFD simulations, both regarding the extra-cellular gradients [14] and intra-cellular pool response [16], provided the mixing time in the CM matches the full CFD simulation; taking into account that the mixing time is sensitive to the number of compartments. The sensitivity of the model output towards some of the inputs is explored. The coarsest

*Corresponding author:

Email address: c.haringa@tudelft.nl (Cees Haringa)

¹Bioprocess Engineering, Biotechnology department, Delft University of Technology, Lorentzweg 1, 2628 CJ Delft, the Netherlands

²DSM Biotechnology Center, Alexander Fleminglaan 1, 2613 AX Delft, the Netherlands

representative CM requires a few minutes to solve 80 hours of flow time, compared to approx. 2 weeks for a full Euler-Lagrange CFD simulation of the same case [16]. This alleviates one of the major bottlenecks for the application of such CFD simulations towards analysis and optimization of industrial fermentation processes.

Keywords: CFD, Compartment model, Euler-Lagrange, Fermentation, Metabolic modeling

1 **Abbreviations**

2	CFD	Computational Fluid Dynamics
3	CRD	Computational Reaction Dynamics
4	CM	Compartment Model
5	EL	Euler-Lagrange
6	PBM	Population Balance Model
7	RTD	Residence Time Distribution
8	SD	Scale-Down
9	STR	Stirred Tank Reactor
10	UDM	User Defined Memory
11	UDF	User Defined Function

12

	Roman	Units	Description
	A	m^2	Area (general)
	C_s	mol/kg	Substrate concentration
	C_{ext}	mol/kg	Generic extracellular concentration
	C_{int}	mol/g_{dw}	Generic intra-cellular concentration
	C_x	g/kg	Biomass concentration (compartment)
	$C_{x,p}$	g/parcel	Biomass concentration (parcel)
	F	kg/s	Feed rate (general)
	F_s	mol/s	Substrate feed rate (general)
	K_s	mol/kg	Affinity constant for substrate
	N_s	mol	Substrate amount
	N_c	–	Total number compartments
	N_{ax}	–	Axial compartments
	N_r	–	Radial compartments
	N_θ	–	Tangential compartments
	N_p	–	Total number parcels
	N_{liq}	–	Number of liquid phase species
13	N_{pool}	–	Number of intra-cellular species
	P_{jump}	–	Probability parcel p leaves compartment
			n
	$P_{dest,i}$	–	Probability parcel p enters compartment
			i
	q_p	$\text{mol}_p/C\text{mol}_x/\text{h}$	Specific production rate of product
	q_s	$\text{mol}_s/Cg_{dw}/\text{s}$	Specific uptake rate of substrate
	$q_{s,max}$	$\text{mol}_s/Cg_{dw}/\text{s}$	Max. Specific uptake rate of substrate
	Q_{jump}	–	jump quantifier
	r_p	$\mu\text{mol}/g_{dw}/\text{h}$	Reaction rate, parcel-based
	R_s	mol/s	Reaction rate of s , compartment-based
	S	–	Stoichiometric matrix
	t	s	Time (general)
	Δt_{max}	s	Maximum timestep size
	V	m^3	Tank volume
	V_n	m^3	compartment volume
	V_T	m^3	Total volume (general)
	X_p	$\mu\text{mol}/g_{dw}$	Intra-cellular pool

	Greek	Units	Description
	ρ	kg/m ³	Density
	μ	h ⁻¹	Growth rate
	Φ	m ³ /s ⁻¹	Flow matrix
	ϕ_n	m ³ /s ⁻¹	Flow out of compartment n
	ϕ_{ni}	m ³ /s ⁻¹	Flow from n to i
14	ψ	—	Uniform random number
	τ_{95}	s	Mixing time
	τ_{circ}	s	Circulation timescale
	τ_{rxn}	s	Uptake timescale of substrate
	τ_{run}	s	Simulation runtime
	τ_n	s	Residence time, compartment n
15	ϕ_{ij}	kg/s	Flowrate from compartment i to j

16 **1. Introduction**

17 Assessing the impact of environmental heterogeneity in industrial fermen-
18 tation processes is a challenging aspect of process development. Due to the
19 disparity between timescales of nutrient uptake and of mixing, nutrient gra-
20 dients may exist in production fermentors [1, 17] which may impact process
21 performance [17, 18]. The poor predictability of this impact poses a scale-up
22 risk, and may lead to under-performance of industrial fermentation processes
23 compared to their lab-scale counterparts. As cells perceive heterogeneous en-
24 vironments in the form of temporal fluctuations, experimental studies rely on
25 ’scale-down simulators’ that impose temporal fluctuations from the perspective
26 of the cell [19, 20, 21]. Ideally, such scale-down studies represent the (expected)
27 large-scale environment, but quantifying this environment is not trivial for ex-
28 isting fermentors, let alone conceptual designs.

29 Due to limitations in experimental assessment in production fermentors,
30 quantification of the large-scale environment generally relies on simulations,
31 combining Computational Fluid Dynamics (CFD) with ‘Computational Reac-
32 tion Dynamics’ (CRD). To incorporate adaptation of the microbes to fluctuating
33 conditions, population balances [22, 23, 24] or agent-based (Lagrangian) reac-
34 tion models are used [12, 13, 16], where the biomass is discretized into ‘biological
35 parcels’ (parcels). But while CFD allows fermentor hydrodynamics to be stud-
36 ied in great detail, the computational burden constrains use of combined CFD-
37 CRD. Even with considerable simplifications such as a frozen flowfield, days to
38 weeks of computation time may be required to study a fed-batch process [16].

39 Compartment models (CM) form an interesting middle-ground between ideal
40 reactor models and full CFD. Originally these models were based on experimen-
41 tal data [1, 3], nowadays CFD is typically used to compute inter-compartment
42 flows [4, 5, 6]. Combined with black-box kinetics [7, 8, 9, 10, 25], such models
43 provide information on large-scale gradients in seconds. However, black-box ki-
44 netics rely on the assumption of instantaneous equilibrium between intra- and
45 extra-cellular conditions, which is questionable. As with full CFD, more realis-

46 tic reaction dynamics can be incorporating via population balances [24, 26] or
47 agent-based models. While a methodology for parcel tracking in compartment
48 models has been published previously [11], biological kinetics were not included.
49 Hence, in this work we study the implementation of agent-based CRD in a com-
50 partment model. We focus on the technical implementation and benchmarking
51 of the outcome against full-CFD simulations. Whilst a considerable reduction
52 in computation time is already evident in the current implementation, further
53 optimization in order to minimize the computation time is a subject for further
54 study.

55 2. Compartment model setup

56 Penicillin production in a 54m^3 stirred fermentor is used as a case study;
57 The CFD simulations underlying the compartment model have been described
58 in prior work [14, 16]. Compartments are generated using a homogeneous
59 cylindrical grid,[6]. In the rest of this work, the used grid is indicated as
60 $A[N_{ax}]R[N_r]T[N_\theta]$, with N_{ax} , N_r , N_θ indicating the number of compartments
61 in the respective dimensions. Conceptually, the methods described here are
62 equally applicable to gradient-based compartment layouts [4, 5, 9]. Compart-
63 ment generation is conducted in ANSYS FLUENT via a *User Defined Function*
64 (UDF), which assigns a compartment number to each gridcell, sums the cell vol-
65 umes within each compartment to acquire the compartment volumes, and loops
66 over all faces on compartment interfaces to determine the inter-compartment
67 flowrates (convective and turbulent), using the same formulation as Delafosse
68 et al. [6]. The data is exported in text files. We opt for this UDF-based
69 approach because it provides excellent closure of the compartment mass bal-
70 ances. The text files containing compartment data are imported into Julia v1.5
71 (<https://julialang.org/>), which was used for all CM-CRD calculations. The
72 package *differentialequations.jl* [27] is used to solve the system of ODEs describ-
73 ing the species balances of intra- and extra-cellular components. The current
74 model implementation considers the liquid phase and the parcel phase, resulting

75 in a set of $N_{tot} = N_c \cdot N_{liq} + N_p \cdot N_{pool}$ equations, with the first term representing
 76 the liquid phase balances, and the second term the intra-cellular pool balances
 77 for each parcel. In this work we focus solely on glucose as a substrate ($N_{liq} = 1$).

78 2.1. Reaction calculations

79 Using agent-based model with structured, multi-pool kinetics, the reaction
 80 kinetics are calculated for each individual parcel. The rates r_p may be a function
 81 of both the extra-cellular concentration $C_{s,n}$ in compartment n (where the parcel
 82 resides) and intra-cellular pools X_p . The differentials for intra-cellular pools are
 83 then determined by multiplication with the stoichiometric matrix S

$$dX_p = S \cdot r_p - \mu \cdot X_p \quad [\mu\text{mol/g}_x/\text{h}] \quad (1)$$

84 Where the term $\mu \cdot X_p$ represents pool dilution due to growth. For extra-
 85 cellular species S , the differential equations for the liquid mass balance (in moles)
 86 read:

$$dN_S = \Phi \cdot (N_c/V_c) + F_s - R_s \quad [\text{mol/s}] \quad (2)$$

87 With Φ the inter-compartment flux matrix (consisting of convective plus
 88 turbulent flow), V_c a compartment volume vector, F_s a feed vector (in mol/s)
 89 and R_s the uptake vector. This vector represents the coupling between cell and
 90 environment: first the consumption rate is computed for each individual parcel;
 91 uptake from a compartment n is the sum of consumption rates for the parcels
 92 residing in n :

$$R_{s,n} = \sum_{p \rightarrow n} (q_{s,p} \cdot C_{x,p}) \quad [\text{mol/s}] \quad (3)$$

93 where $q_{s,p}$ is the consumption rate in the metabolic model and $C_{x,p}$ the
 94 quantity of biomass associated with a parcel. The set of differential equations is
 95 solved with the Bogacki-Shampine 3/2 method [28] as it provides a good balance

96 between accuracy and speed (Appendix B). However, we note the best solver
 97 may vary depending on the problem size and stiffness.

98 2.2. parcel position updating

99 In contrast to Delafosse and Delvigne [11], who pre-compute the position
 100 vectors for each parcel, we compute positional updates within the ODE-update
 101 function. There is a benefit in terms of memory usage, as only the current
 102 position is stored, but the need to update positions every timestep introduces
 103 a small performance penalty. In future work, we may consider the compar-
 104 ative performance of these methods. Parcel transport consists of two steps:
 105 1) determining whether a parcel p leaves compartment n , and 2) determining
 106 the destination compartment i in case it does (most compartments have mul-
 107 tiple neighbors). The first is determined by the compartment residence time:
 108 $\tau_n = V_n/\Sigma\phi_n$, where $\Sigma\phi_n$ is the sum of all flowrates leaving n , convective and
 109 turbulent. The probability of parcel p leaving n in timespan Δt hence equals
 110 $P_{jump}(p, n) = 1 - \exp(-\Delta t/\tau_n)$. For step (2), the relative probability for jump-
 111 ing to compartment i is $P_{dest,i} = \phi_{ni}/\Sigma\phi_n$, with ϕ_{ni} the flowrate from n to
 112 i (convective *plus* turbulent). Practically, the two steps can be combined by
 113 introducing a "jump quantifier" Q_{jump} as highlighted in pseudocode-algorithm
 114 1; if Q_{jump} is negative, the parcel stays in place. If it is positive, Q_{jump} ranges
 115 from 0 to 1 and can be used to determine the destination compartment.

Algorithm .1: Pseudocode describing the ump determination algorithm used in the CM model.

```

116
117 1  $\psi = rand(1)$  #draw uniform random number between 0 and 1
118 2  $Q_{jump} = \frac{P_{jump}(p,n) - \psi}{P_{jump}(p,n)}$  # jump quantifier
119 3 if  $Q_{jump} \leq 0$  not jumping
120 4 else  $Q_{jump} > 0$  jump, determine destination
121 5 if  $P_{dest,1} \geq Q_{jump}$  jump to 1st neighbour
122 6 elseif  $(P_{dest,1} + P_{dest,2}) \geq Q_{jump}$  jump to 2nd neighbour
123 7 elseif  $(P_{dest,1} + P_{dest,2} + \dots + P_{dest,m}) \geq Q_{jump}$  jump to  $m^{th}$  neighbour
124
```

125 We verified the implementation by confirming that the mixing time mea-
 126 sured from particulate distribution equalled that of using an Eulerian tracer
 127 (see Appendix A).

128 *2.3. Modeling steps*

129 The compartment model was developed and evaluated in four steps:

- 130 • Step I: Flow implementation and mixing behavior
- 131 • Step II: Implementation of (black box) Monod kinetics
- 132 • Step III: Implementation of structured kinetics - chemostat
- 133 • Step IV: Implementation of structured kinetics - fed batch

134 For each step, the results are compared with prior CFD simulations [14, 16].
135 Besides these steps, a sensitivity study towards the accuracy in the prediction of
136 the penicillin production rate (q_p) and the computation time is done, where the
137 impact of several factors is studied: N_c , N_p , max. timestep size Δt_{max} , inte-
138 grator relative tolerance and integration algorithm. For brevity, the sensitivity
139 study is reported in Appendix B. We use a case with 26 axial, 6 radial and
140 no tangential divisions (A26R6T1) as a base case compartment layout. Unless
141 otherwise mentioned, $N_p = 1000$, an integrator RelTol of 0.001 is used, and
142 $\Delta t_{max} = 0.03$.

143 **3. Results and discussion**

144 *3.1. I: Flow and Mixing*

145 We first confirm the capability of the CM to reproduce mixing from the Eu-
146 lerian perspective. Here, the mixing time is monitored at a single probe point,
147 equal to the CFD simulations [14]; mixing curves for selected cases are visual-
148 ized in fig 1. **A**; the 95 % mixing time is visualized in fig 1. **B**. In line with
149 Delafosse et al. [6], τ_{95} matches the CFD simulations well for a large number of
150 compartments N_c . But, ideally we want to compute with N_c as small as possi-
151 ble, to minimize computational demand. In the low N_c -range, a broad scatter
152 in τ_{95} is observed: few radial compartments N_r result in an over-estimation of
153 τ_{95} (due to under-resolving the re-circulation), while few axial compartments

154 N_{ax} leads to under-estimation of τ_{95} (due to under-resolving axial mixing re-
 155 sistance [29, 30]). Properly balancing N_r and N_{ax} can lead to a good solution
 156 in terms of mixing time. Keep in mind that this solution cannot be considered
 157 'grid-independent' as it is acquired by balancing out errors, but provided the
 158 prediction of the magnitude of the gradient, and subsequent metabolic calcula-
 159 tions, are not impacted by the low N_c , this approach may provide a pragmatic,
 160 computationally manageable model of bioreactor heterogeneity. We further ex-
 161 plore this in section 3.3. Overall we conclude the mixing features observed in
 162 the full CFD simulation are well represented in the CM model, provided N_C is
 163 chosen appropriately (or set large enough). A more elaborate Eulerian mixing
 164 verification, including comparison to lab-scale mixing data [31], is presented in
 165 Appendix A.

166 Next, to verify the Lagrangian implementation, the parcel mixing time is
 167 registered with $N_p = 10^3 - 10^6$ in the base case compartment layout. Mixing
 168 in the full domain is monitored with the coefficient of mixing (CoM) (eq. 4)
 169 [32]. Figure 1, C compares the tracer-based and parcel-based mixing curves
 170 and reveals excellent agreement for N_p set sufficiently large. For lower N_p ,
 171 statistical fluctuations in the local parcel concentration prohibit the threshold
 172 for 95 % mixing from being reached, but this is not necessarily problematic:
 173 provided fluctuations are fast compared to the reaction timescale (τ_{rxn}), these
 174 fluctuations do not propagate (significantly) in the reaction model. Importantly,
 175 no *systematic* offset is observed between the mixing dynamics in the Lagrangian
 176 and Eulerian perspective. In Appendix A we show some offset is observed
 177 if large timesteps are taken, as a large Δt introduces a bias towards longer
 178 residence times in the parcel-jump algorithm. However, we found that once
 179 kinetics are involved, adaptive timestepping keeps Δt sufficiently small to avoid
 180 such effects (Appendix B).

$$CoM(t) = \sqrt{\left(\frac{\sum_n (C_{n,t} - \bar{C})^2 \Delta V_n}{\sum_n \Delta V_n}\right)} \quad (4)$$

181 *3.2. II: Monod kinetics*

182 Black-box Monod kinetics ($q_s = q_{s,max} \cdot (C_s / (K_s + C_s))$), with $q_{s,max} =$
183 $4.444 \cdot 10^{-7}$ mol/g_{dw}/s and $K_s = 7.8$ μmol/kg) were implemented in both an
184 Eulerian and Lagrangian framework, to verify the predicted substrate gradient
185 with respect to the CFD simulation reported in prior work [14]. A constant glu-
186 cose feed of 1.23 g/m³/s is added at the feed location ($y = 7.4$ m, $r = 0.75$ m).
187 The biomass concentration is $C_x = 55$ g_{dw}/kg; in the Lagrangian implementa-
188 tion, this translates to $C_{x,p} = C_x \cdot (V_T \cdot \rho_l) / N_p$ gram biomass per parcel, with V_T
189 the total reactor volume. To facilitate comparison, the excess/limitation/star-
190 vation regime definition [14] is used to visualize the gradient. Figure 2 compares
191 the observed regime division for various compartment layouts, comparing the
192 Eulerian and Lagrangian formulation for reaction coupling, with the full CFD
193 simulation. As mentioned above, the finite N_p will cause some fluctuations in
194 local biomass concentration, which in turn induce spurious substrate concentra-
195 tion fluctuations, and hence regime fluctuations. The Lagrangian regimes are
196 hence averaged over 1800 s (ca. 30 mixing times). The regime distribution is
197 reproduced to a satisfactory degree, although the size of the excess and limita-
198 tion regimes is generally somewhat over-estimated in the CM (note that in all
199 selected compartment layouts, τ_{95} was approximately but not exactly matched
200 with CFD).

201 The spurious oscillations in local substrate concentrations due to the finite
202 N_p are visualized in fig. 3 at three spatial locations; lower N_p naturally results
203 in stronger oscillations. A consistent, positive offset in C_s can be observed,
204 which is more pronounced at low N_p . In brief, this offset is caused by clip-
205 ping of the reaction terms in case uptake exceeds availability (it is addressed in
206 more detail in [33] in the CD context). This offset explains why, in fig. 2 and
207 quantitatively noted in table 1, the starvation zone is somewhat smaller for all
208 Lagrangian cases. A higher N_p will reduce the offset, but at cost of increased
209 computation time. Whether these spurious oscillations translate into the intra-
210 cellular response depends on the timescales involved; in case the timescale of

211 artificial extra-cellular oscillations matches the timescales related to the related
212 intra-cellular pools, artificial intra-cellular oscillations may be observed, which
213 could result in erroneous results (e.g. if there are irreversible or hysteresis effects
214 in the metabolic model). In the current implementation, some intra-cellular os-
215 cillations in pool *Xgly* are observed (especially with low N_p), however, these
216 oscillations do not lead to consistent offsets, and the oscillations do not substan-
217 tially propagate to other intra-cellular pools due to their much longer turnover
218 times.

219 3.3. III: Structured kinetics: chemostat

220 The 9 – pool model for penicillin production [34] was implemented to study
221 the performance with structured kinetics. We replicate the "TU-A" CFD sim-
222 ulation of our prior CFD work [16]: 80 h of flow-time are simulated to establish
223 a steady-state in intra-cellular pools, the concentration of glucose transporters
224 is fixed in chemostat mode to achieve $q_{s,max} = 1.13 \cdot 10^{-3}$ mol/g_{dw}/h, and
225 $K_s = 9.8 \mu\text{mol/kg}$. The base-case (*A26R6T1*) simulation is compared with the
226 CFD results in fig. 4, with $N_p = 1000$. Data was stored every 3600 s to min-
227 imize time spent on data writing, and averaged over all parcels. The results
228 match very well between CFD and CM, which shows the successful integration
229 of agent-based structured kinetics in the compartment model framework. In ta-
230 ble 2, results are shown for several other compartment realizations with similar
231 τ_{95} , which reveals q_p is well estimated even with low N_c and N_p . The advantage
232 of using the CM over CFD is striking: while full CFD required around 1 day of
233 computation *per hour* of flow time (with frozen flow, $\Delta t = 0.03$ and $N_p = 2500$),
234 the CM required slightly more than an hour to run 80 *hours of flow time* with
235 $N_p = 1000$, and less than 2 min for the coarsest realization. A wide range of
236 cases, and sensitivity to various settings, is analyzed in Appendix B. Natu-
237 rally, the numbers will be dependent on the model complexity: while low N_p
238 facilitates a fast runtime, it is not suitable to study the potential emergence of
239 population heterogeneity; a larger N_p is required to model the population dis-
240 tribution, with due computational cost. Another application of the CM-CRD

241 approach may be lifeline-based downscaling; figure 5 shows parcel lifelines from
242 the above-mentioned cases. While the lower resolution removes rapid turbulent
243 fluctuations, these lifelines clearly reflect the major fluctuations observed in full-
244 CFD simulations [14]. As such, these lifelines can be analyzed for downscaling
245 purposes analogous with the approaches discussed in earlier work [14, 35].

246 3.4. IV: Structured kinetics: fed-batch

247 To conclude, we compare the compartment model with the fed-batch simula-
248 tion reported in prior work [16]. In the current implementation, we are subject
249 to the same simplifications as the original CFD, particularly the assumption of a
250 constant volume. In future work, this limitation may be lifted, e.g. by stepwise
251 updating of the compartment volumes, as recently reported by Nadal-Rey et
252 al. [25]. In contrast to the chemostat simulations in sec. 3.3, the amount of
253 biomass per parcel $C_{x,p}$ and the glucose transporter concentration X_{11} are now
254 dynamic pools. Figure 6 shows the comparison between the CFD simulation,
255 the CM base-case in fed-batch mode, and a very low resolution case (A18R2,
256 $N_P = 36$, $\Delta t_{max} = 0.03$ s). In addition, ideally mixed realizations with a black-
257 box model [16] and the 9-pool model are added. Fig. 6 **A** provides the imposed
258 feed profile, which is corrected for the constant volume assumption that has
259 been applied in the current *CM* as well as the previous CFD simulation. Fig. 6
260 **B** shows the mean biomass concentration, computed as $C_x = \Sigma(C_{x,p})/(\rho_l V_T)$.
261 The profiles from both CM simulations very tightly follow the CFD result; due
262 to the stochasticity induced by the low number of parcels, the growth rate (fig.
263 6 **C**) is more strongly oscillating in the CM simulations. Fig. 6 **D** shows the
264 q_p is in very good agreement with the CFD simulations, too. If we consider
265 the intra-cellular pools (fig. 6 **E**), we see equally good agreement between the
266 CM and CFD simulations, with only minor offsets in mean pool size and the
267 standard deviation, the latter being representative of population heterogeneity.
268 The emergence of population heterogeneity in the base case CM is further visu-
269 alized in figure 6 **F**. This figure shows the distribution of the amino acid pool,
270 glucose transporter pool and penicillin producing enzyme pool. As in fig. 6

271 **E**, we observe excellent qualitative agreement with a minor quantitative offset
272 compared to the full CFD simulations. As for the previous full-CFD approach,
273 the CM approach is capable of making predictions regarding the emergence
274 of population heterogeneity, although we must stress (as in our previous work
275 [16]) that the predictions regarding population heterogeneity have not been ex-
276 perimentally verified. At this point, they mostly serve to present hypotheses
277 for experimental follow-up. We think such experimental follow-up, as well as
278 the development of cell models explicitly designed to model (experimentally ob-
279 served) population heterogeneity, presents a very interesting avenue for further
280 work.

281 In previous work, we compared the CFD model with the ideally-mixed model
282 with black-box kinetics. Currently, we also included a single-compartment (ide-
283 ally mixed) realization of the 9-pool model, to indicate how much of the q_p -
284 reduction results from spatial heterogeneity, and how much from the impact
285 of low growth rates. Figure 6 **D** indicates the low growth rate substantially
286 contributes to productivity loss, more so than in the black box model (prospec-
287 tively due to the reducing X_{E11} , the glucose transporter pool, in the 9-pool
288 model). While the q_p is still somewhat over-estimated compared to plant data,
289 the conceptually simpler and faster-solving ideally mixed implementation may
290 suffice for initial screening purposes. The CM-CRD model may then be utilized
291 to produce a more accurate quantitative prediction, accounting for the produc-
292 tivity losses due to spatial heterogeneity, in a computation time that is vastly
293 superior to the full CFD simulation.

294 **4. Conclusions**

295 In this work, we report a compartment model using stochastic parcels to rep-
296 resent the biotic phase, akin to Lagrangian tracking in CFD simulations. The
297 introduction of a particulate phase allows the coupling of structured metabolic
298 models, considering the microbial response in multiple intra-cellular pools. In
299 addition, the fluctuations in extra-cellular conditions experienced by microbes

300 can be monitored in these compartment models, which, like their CFD coun-
301 terparts, can be used in the design of scale-down simulators [35]. The use of
302 compartment models for such studies provides a strong computational gain over
303 full-CFD models; even with many simplifications and frozen flow, an Euler-
304 Lagrange CFD study can take days to weeks for a full batch - compared to
305 minutes for the coarsest compartment models. Nevertheless, the main features
306 of extra-cellular gradients are maintained, and the microbial response with a
307 structured kinetic model closely follows the results of the full CFD simulation.
308 With this, the simulations also run considerably faster than real-time, which
309 opens up a range of new applications in, for example, process optimization [16],
310 that are well out of reach for full CFD simulations for the foreseeable future.
311 In this paper, we focused on outlining the concept of a Lagrangian compart-
312 ment model, and comparing the results with a full CFD simulation. As such, a
313 number of simplifications were made, similar to those used in the CFD model.
314 Future extensions may aim at improving the physical representation of fermen-
315 tation processes, by including e.g. the gas phase, mass transfer, and volume
316 changes in fed-batch processes. Such extensions have recently been shown for
317 Eulerian compartment models [25], and can straightforwardly be translated to
318 the current framework. Furthermore, we did not currently aim at a full numeri-
319 cal optimization. While the computation time is already favorable compared to
320 CFD simulations, further gains in processing time may be possible by numeri-
321 cal optimization, or considering solvers that are better suited for the stochastic
322 nature of parcel tracking than regular ODE-solvers.

323 **5. acknowledgements**

324 The authors thank Victor Koppejan, Wouter van Winden and Liang Wu
325 of the DSM Biotechnology Center and Sjef Cornelissen of Centrient Pharma-
326 ceuticals for their valuable input. This work builds upon results from previous
327 collaborations between TU Delft, DSM, Centrient Pharmaceuticals, East China
328 University of Science and Technology (ECUST) and Shanghai Guojia Ltd. Our

329 collaborators at these institutions are gratefully acknowledged for their contri-
330 butions.

331 References

- 332 [1] N. M. Oosterhuis, N. W. Kossen, Dissolved oxygen concentration profiles in
333 a production-scale bioreactor, *Biotechnology and bioengineering* 26 (1984)
334 546–50.
- 335 [2] P. Vrabel, R. Van der Lans, Y. Cui, K. Luyben, Compartment Model
336 Approach, *Chemical Engineering Research and Design* 77 (1999) 291–302.
- 337 [3] P. Vrabel, R. G. van der Lans, F. N. van der Schot, K. C. Luyben, B. Xu,
338 S.-O. Enfors, CMA: integration of fluid dynamics and microbial kinetics in
339 modelling of large-scale fermentations, *Chemical Engineering Journal* 84
340 (2001) 463–474.
- 341 [4] F. Bezzo, S. Macchietto, C. C. Pantelides, General hybrid multizonal/CFD
342 approach for bioreactor modeling, *AIChE Journal* 49 (2003) 2133–2148.
- 343 [5] F. Bezzo, S. Macchietto, C. C. Pantelides, A general methodology for
344 hybrid multizonal/CFD models: Part I. Theoretical framework, *Computers
345 and Chemical Engineering* 28 (2004) 501–511.
- 346 [6] A. Delafosse, M.-L. Collignon, S. Calvo, F. Delvigne, M. Crine, P. Thonart,
347 D. Toye, CFD-based compartment model for description of mixing in biore-
348 actors, *Chemical Engineering Science* 106 (2014) 76–85.
- 349 [7] R. Spann, K. V. Gernaey, G. Sin, A compartment model for risk-based
350 monitoring of lactic acid bacteria cultivations, *Biochemical Engineering
351 Journal* 151 (2019) 107293.
- 352 [8] R. Spann, J. Glibstrup, K. Pellicer-Alborch, S. Junne, P. Neubauer,
353 C. Roca, D. Kold, A. E. Lantz, G. Sin, K. V. Gernaey, U. Kruhne, CFD
354 predicted pH gradients in lactic acid bacteria cultivations, *Biotechnology
355 and Bioengineering* 116 (2019) 769–780.

- 356 [9] T. Tajssoleiman, R. Spann, C. Bach, K. V. Gernaey, J. K. Huusom,
357 U. Krühne, A CFD based automatic method for compartment model de-
358 velopment, *Computers and Chemical Engineering* 123 (2019) 236–245.
- 359 [10] G. Nadal-Rey, D. D. McClure, J. M. Kavanagh, S. Cornelissen, D. F.
360 Fletcher, K. V. Gernaey, Understanding gradients in industrial bioreac-
361 tors, *Biotechnology Advances* (2020) 107660.
- 362 [11] A. Delafosse, S. Calvo, M.-L. Collignon, F. Delvigne, M. Crine, D. Toye,
363 Euler–Lagrange approach to model heterogeneities in stirred tank biore-
364 actors – Comparison to experimental flow characterization and particle
365 tracking, *Chemical Engineering Science* 134 (2015) 457–466.
- 366 [12] A. Lapin, D. Müller, M. Reuss, Dynamic Behavior of Microbial Populations
367 in Stirred Bioreactors Simulated with EulerLagrange Methods: Traveling
368 along the Lifelines of Single Cells, *Industrial Engineering Chemistry Re-
369 search* 43 (2004) 4647–4656.
- 370 [13] A. Lapin, J. Schmid, M. Reuss, Modeling the dynamics of E. coli popu-
371 lations in the three-dimensional turbulent field of a stirred-tank bioreac-
372 tor—A structured–segregated approach, *Chemical Engineering Science* 61
373 (2006) 4783–4797.
- 374 [14] C. Haringa, W. Tang, A. A. T. Deshmukh, J. Xia, M. Reuss, J. J. Heijnen,
375 R. F. R. Mudde, H. H. J. Noorman, Euler-Lagrange computational fluid
376 dynamics for (bio)reactor scale down: An analysis of organism lifelines,
377 *Engineering in Life Sciences* 16 (2016) 652–663.
- 378 [15] G. Wang, B. Wu, J. Zhao, C. Haringa, J. Xia, J. Chu, Y. Zhuang, S. Zhang,
379 J. J. Heijnen, W. van Gulik, A. T. Deshmukh, H. J. Noorman, Power
380 Input Effects on Degeneration in Prolonged Penicillin Chemostat Cultures:
381 A Systems Analysis at Flux, Residual Glucose, Metabolite and Transcript
382 Levels, *Biotechnology and Bioengineering* (2017).

- 383 [16] C. Haringa, W. Tang, G. Wang, A. T. A. Deshmukh, W. A. W. van Winden,
384 J. Chu, W. W. M. van Gulik, J. J. J. Heijnen, R. R. F. Mudde, H. J. H.
385 Noorman, Computational fluid dynamics simulation of an industrial P.
386 chrysogenum fermentation with a coupled 9-pool metabolic model: To-
387 wards rational scale-down and design optimization, *Chemical Engineering*
388 *Science* 175 (2018) 12–24.
- 389 [17] G. Larsson, M. Törnkvist, E. S. Wernersson, C. Trägårdh, C., H. Noorman,
390 S. O. Enfors, Substrate gradients in bioreactors: origin and consequences,
391 *Bioprocess Engineering* 14 (1996) 281–289.
- 392 [18] S.-O. Enfors, M. Jahic, A. Rozkov, B. Xu, M. Hecker, B. Jürgen, E. Krüger,
393 T. Schweder, G. Hamer, D. O’Beirne, N. Noisommit-Rizzi, M. Reuss,
394 L. Boone, C. Hewitt, C. McFarlane, A. Nienow, T. Kovacs, C. Trägårdh,
395 L. Fuchs, J. Revstedt, P. Friberg, B. Hjertager, G. Blomsten, H. Skogman,
396 S. Hjort, F. Hoeks, H.-Y. Lin, P. Neubauer, R. van der Lans, K. Luyben,
397 P. Vrabel, Å. Manelius, Physiological responses to mixing in large scale
398 bioreactors, *Journal of Biotechnology* 85 (2001) 175–185.
- 399 [19] A. R. Lara, E. Galindo, O. T. Ramírez, L. A. Palomares, Living With
400 Heterogeneities in Bioreactors: Understanding the Effects of Environmental
401 Gradients on Cells, *Molecular Biotechnology* 34 (2006) 355–382.
- 402 [20] P. Neubauer, S. Junne, Scale-down simulators for metabolic analysis of
403 large-scale bioprocesses., *Current opinion in biotechnology* 21 (2010) 114–
404 21.
- 405 [21] G. Wang, W. Tang, J. Xia, J. Chu, H. J. Noorman, W. M. van Gulik,
406 Integration of microbial kinetics and fluid dynamics toward model-driven
407 scale-up of industrial bioprocesses, *Engineering in Life Sciences* 15 (2015)
408 20–29.
- 409 [22] J. Morchain, J.-C. Gabelle, A. Cockx, Coupling of biokinetic and popula-
410 tion balance models to account for biological heterogeneity in bioreactors,
411 *AIChE Journal* 59 (2013) 369–379.

- 412 [23] J. Morchain, M. Pigou, N. Lebaz, A population balance model for bioreac-
413 tors combining interdivision time distributions and micromixing concepts,
414 Biochemical Engineering Journal (2016).
- 415 [24] M. Pigou, J. Morchain, Investigating the interactions between physical
416 and biological heterogeneities in bioreactors using compartment, population
417 balance and metabolic models, Chemical Engineering Science 126 (2014)
418 267–282.
- 419 [25] G. Nadal-Rey, D. D. McClure, J. M. Kavanagh, B. Cassells, S. Cornelissen,
420 D. F. Fletcher, K. V. Gernaey, Development of dynamic compartment
421 models for industrial aerobic fed-batch fermentation processes, Chemical
422 Engineering Journal (2021) 130402.
- 423 [26] M. Pigou, J. Morchain, P. Fede, M.-I. Penet, G. Laronze, An assessment
424 of methods of moments for the simulation of population dynamics in large-
425 scale bioreactors, Chemical Engineering Science 171 (2017) 218–232.
- 426 [27] C. Rackauckas, Q. Nie, Differentialequations.jl—a performant and feature-
427 rich ecosystem for solving differential equations in julia, Journal of Open
428 Research Software 5 (2017).
- 429 [28] P. Bogacki, L. F. Shampine, A 3 (2) pair of Runge-Kutta formulas, Applied
430 Mathematics Letters 2 (1989) 321–325.
- 431 [29] C. Haringa, R. Vandewijer, R. F. Mudde, Inter-compartment interaction
432 in multi-impeller mixing: Part I. Experiments and multiple reference frame
433 CFD, Chemical Engineering Research and Design 136 (2018) 870–885.
- 434 [30] C. Haringa, R. Vandewijer, R. F. Mudde, Inter-compartment interaction in
435 multi-impeller mixing. Part II. Experiments, sliding mesh and large Eddy
436 simulations, Chemical Engineering Research and Design 136 (2018) 886–
437 899.
- 438 [31] M. Jahoda, M. Moštek, A. Kukuková, V. Machoň, CFD Modelling of Liquid
439 Homogenization in Stirred Tanks with One and Two Impellers Using Large

- 440 Eddy Simulation, *Chemical Engineering Research and Design* 85 (2007)
441 616–625.
- 442 [32] H. Hartmann, J. J. Derksen, H. E. A. van den Akker, Mixing times in a
443 turbulent stirred tank by means of LES, *AIChE Journal* 52 (2006) 3696–
444 3706.
- 445 [33] C. Haringa, H. J. H. H. J. Noorman, R. F. R. Mudde, Lagrangian modeling
446 of hydrodynamic–kinetic interactions in (bio)chemical reactors: Practical
447 implementation and setup guidelines, *Chemical Engineering Science* 157
448 (2017) 159–168.
- 449 [34] W. Tang, A. A. T. Deshmukh, C. Haringa, G. Wang, W. van Gulik, W. van
450 Winden, M. Reuss, J. J. Heijnen, J. Xia, J. Chu, H. H. J. Noorman,
451 A 9-pool metabolic structured kinetic model describing days to seconds
452 dynamics of growth and product formation by *Penicillium chrysogenum*,
453 *Biotechnology and Bioengineering* 114 (2017) 1733–1743.
- 454 [35] C. Haringa, A. T. Deshmukh, R. F. Mudde, H. J. Noorman, Euler-Lagrange
455 analysis towards representative down-scaling of a 22m³ aerobic *S. cerevisiae*
456 fermentation, *Chemical Engineering Science* 170 (2017) 653–669.

Table 1: Comparison of mean extra-cellular substrate concentration and the regime division for different N_p ; other settings equal that of the base-case. The Lagrangian results are averaged over 1800 s, the margin indicates 2 standard deviations.

	$N_p = 100$	$N_p = 1000$	$N_p = 10000$	CM (Euler)	CFD (Euler)
$\overline{C_s}$	$4.33 \cdot 10^{-5}$	$4.40 \cdot 10^{-5}$	$4.43 \cdot 10^{-5}$	$4.17 \cdot 10^{-5}$	$3.44 \cdot 10^{-5}$
Excess	$9.54 \pm 4.67\%$	$9.50 \pm 2.15\%$	$9.23 \pm 0.73\%$	8.68%	6.8%
Limit.	$39.0 \pm 10.8\%$	$36.3 \pm 4.26\%$	$36.5 \pm 2.02\%$	33.9%	36.2%
Starv.	$51.5 \pm 12.2\%$	$54.3 \pm 5.00\%$	$54.3 \pm 2.17\%$	57.4%	57.0%

Table 2: Performance of various compartment realizations in predicting q_p in chemostat configuration. In all cases, the total flowtime is 80 h, $\Delta t_{max} = 0.03$ s, RelTol = 0.001. More cases are reported in Appendix B.

Compartments	parcels	run-time (s)	$q_p(end)$	% <i>diff. CFD</i>
<i>CFD</i>	2500	$> 10^6$	$2.99 \cdot 10^{-4}$	—
<i>A26R6T1</i>	1000	4314	$2.93 \cdot 10^{-4}$	-2.14
<i>A48R10T6</i>	1000	16601	$2.99 \cdot 10^{-4}$	0.16
<i>A18R2T1</i>	1000	4158	$2.96 \cdot 10^{-4}$	-0.92
<i>A18R2T1</i>	36	100	$2.98 \cdot 10^{-4}$	-0.37

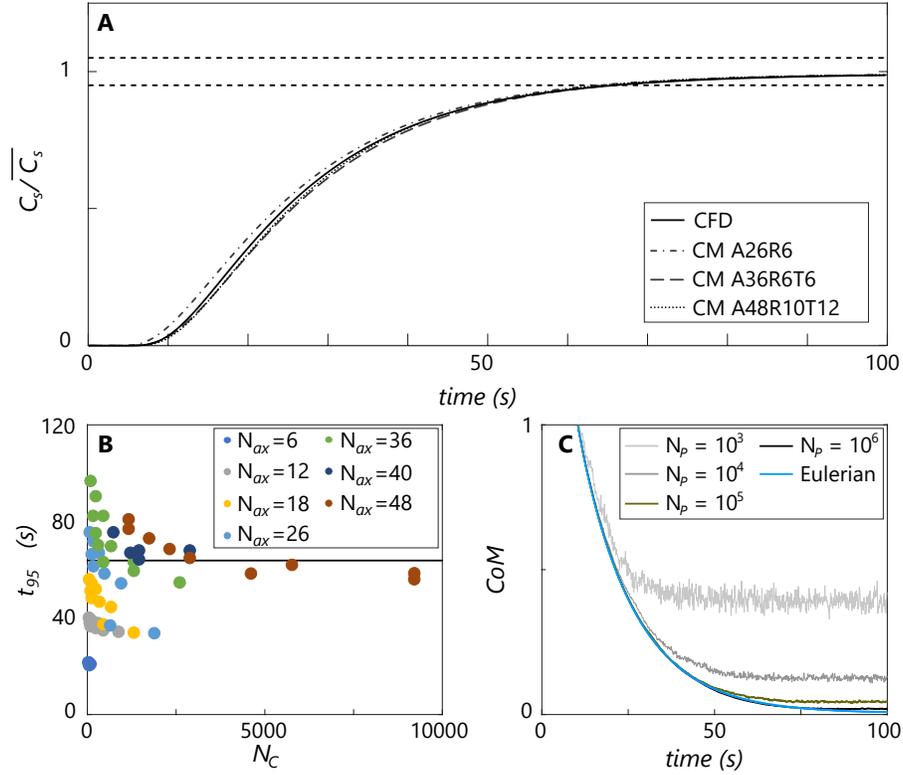


Figure 1: Mixing behavior in the compartment model. **A:** Mixing curve (single-point measurement) for several realizations of the compartment model compared to the CFD result. Note the cases were selected based on agreement with the CFD simulation; more cases are discussed in Appendix A. **B:** Point-based mixing time versus number of compartments (colored by N_{ax}) for the full range of tested compartment realizations. **C:** Comparison between Eulerian (tracer-based) and Lagrangian (parcel-based) mixing time, using the coefficient of mixing, for various N_p . $N_c = 156$ in these cases (A26R6T1).

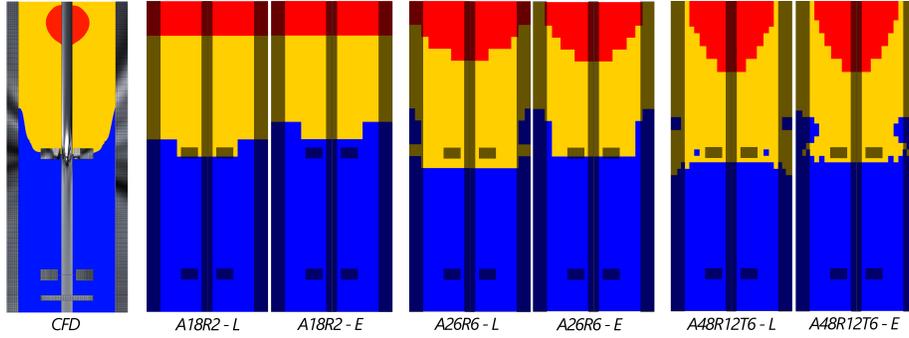


Figure 2: Regime analysis in the compartment model using Monod kinetics. Left: Full CFD model ($\tau_{95} = 63.8$). Compartment models layouts from left to right: A18R2 ($N_p = 1000$, $\tau_{95} = 56.2$), A26R6 ($N_p = 1000$, $\tau_{95} = 61.6$), A48R12T6 ($N_p = 5000$, $\tau_{95} = 68.2$). "L" indicates the time-averaged Lagrangian result, "E" the Eulerian result.

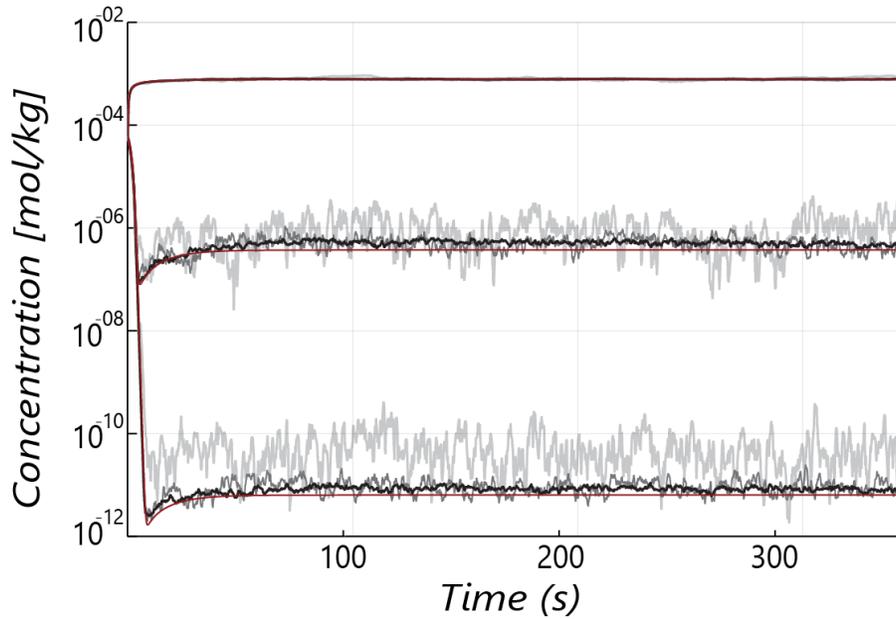


Figure 3: Oscillations in substrate concentration, measured at three different axial locations: at the feed point (top lines), at $r = 0.75$ m, $y = 3.85$ m (middle lines) and at $r = 0.75$ m, $y = 0.25$ m (bottom lines). Light gray lines: $N_p = 100$, dark gray; $N_p = 1000$, black: $N_p = 10000$. The red lines represent the Eulerian solution.

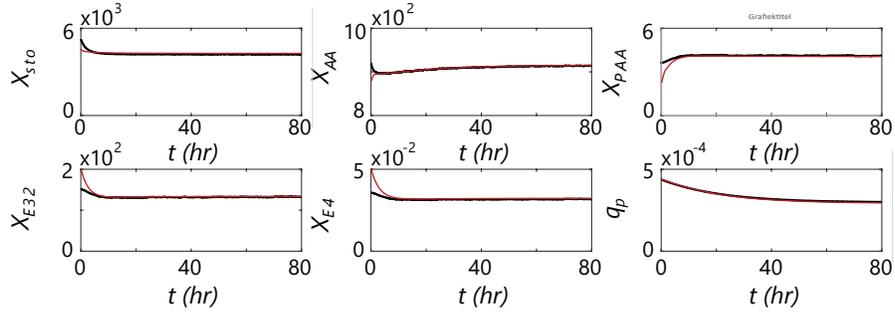


Figure 4: Pool dynamics of the CFD simulation (black) versus CM base-case (red). Offsets near $t = 0$ are caused by slightly different initial conditions; these do not affect the final 'steady' value to which the simulation converges.

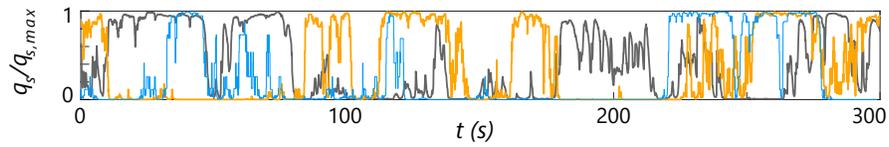


Figure 5: Example lifelines of specific glucose uptake rate (scaled with $q_{s,max}$) for a parcel in the base-case (orange) and coarse model (A18R2, blue), using the chemostat setup, compared to the CFD case (dark gray). Even with a coarse compartment model, the spatial resolution of lifelines is sufficient to reveal major fluctuations, which can be subjected to the statistical analysis described in [14, 35]

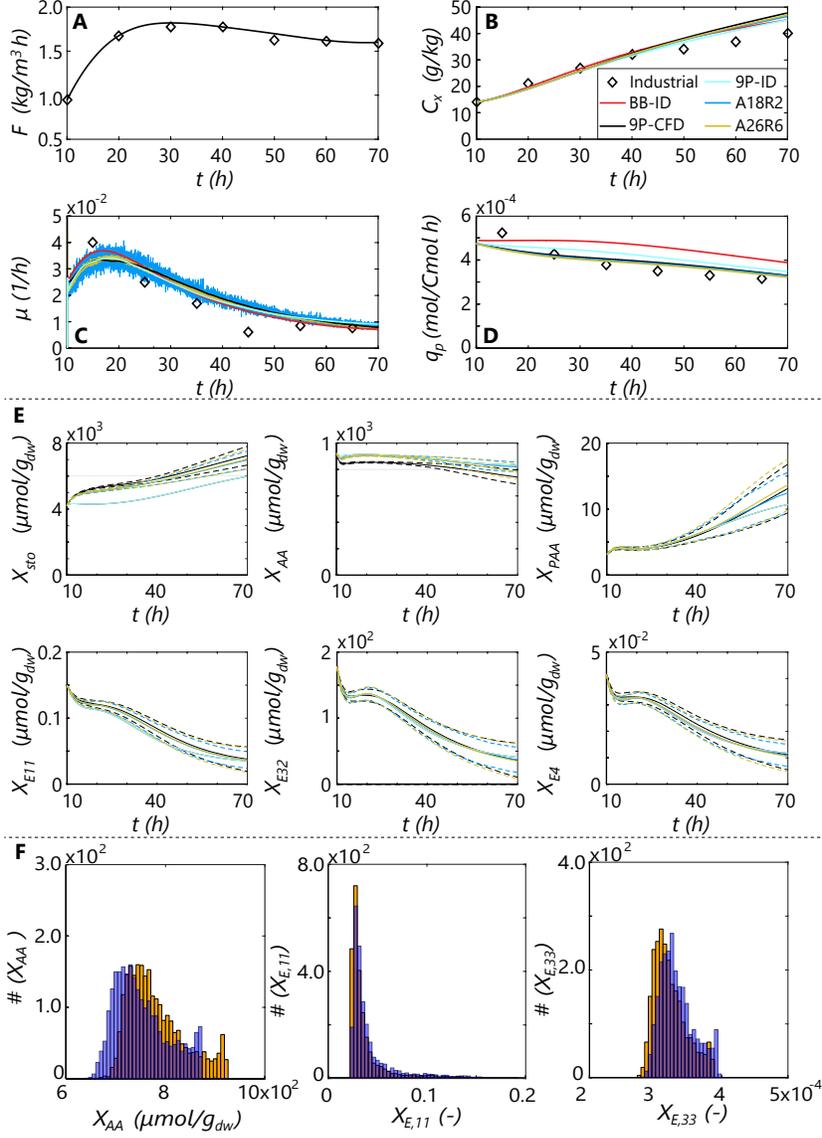


Figure 6: Dynamics of a fed-batch simulation for 2 compartment realizations (base-case and A18R2 with $N_p = 36$), compared with the full CFD simulation, an ideal-mixed model, and plant data [16]. **A:** Imposed feed profile. **B:** Biomass concentration. **C:** Growth rate. **D:** Specific penicillin production rate. **E:** parcel-averaged intra-cellular pools. The dashed lines indicate ± 1 standard deviation. **F:** Histograms of 3 pools (amino acids, transporter enzymes, penicillin production enzymes) showing population heterogeneity at the end of the process. Orange: current simulation. Transparent blue: CFD simulation from prior work [16].

457 **Appendix A. Mixing time verification**

458 The hydrodynamic performance of the compartment model was verified by
459 comparing the mixing behavior observed in three stirred reactor configurations
460 with full CFD simulations of the same systems. The following three test cases
461 were studied:

- 462 • single Rushton impeller, full domain.
- 463 • dual Rushton impeller, 180° domain
- 464 • dual Rushton impeller (penicillin production), 180° domain

465 Note that all cases studied here have the simplification of single phase flow.
466 We expect the methods to be equally applicable to multiphase flow. The flow
467 patterns in the CFD simulation would be different in that case, but they can
468 equally be translated to the appropriate inter-compartment flow maps.

469 *Appendix A.1. Single impeller geometry*

470 The single Rushton case is based on the geometry of Jahoda et al [31]: $T =$
471 0.29 m, $H = T$, $C = T/3$ and $D = T/3$. The flow is solved in steady state with
472 the multiple-reference frame (MRF) method ($N_s = 300$ RPM), *realizable* $k - \epsilon$
473 turbulence model, and 2^{nd} order discretization. Since we are interested in the
474 performance of the CM compared to CFD, no mesh dependency study was
475 done. After flow convergence, the flow is frozen, and species tracking equations
476 enabled. The simulation is switched to transient mode ($\Delta t = 0.01$ s). The
477 tracer concentration development is monitored at a single probe point ($r =$
478 $9.5T/20$, $Y = T/4$, $\theta = 45^\circ$), the tracer pulse is injected at $t = 0$ at $r = T/4$, $Y =$
479 0.28 m, $\theta = 225^\circ$, both in line with the experimental setup. With this, the CFD
480 mixing curve, reported in fig. B1, **C** is acquired.

481 The CFD domain is translated into a range of compartment layouts, with
482 the following divisions: $N_{ax} = 3 - 48$, $N_r = 2 - 12$, $N_\theta = 6 - 18$. CM-mixing
483 simulations using the same injection and detection locations are conducted in
484 Julia. The observed τ_{95} for all compartment layouts is shown in fig. B1, **A**,

485 with the dots colored by N_{ax} , and the black line representing the CFD bench-
 486 mark. It is visible that, while slightly underestimating τ_{95} , the compartment
 487 mixing time becomes 'grid independent' for $N_C > 2500$, within the space of
 488 chosen compartment layouts. For low N_{ax} , the mixing time is strongly under-
 489 estimated because axial transport is not properly resolved, while a high N_{ax}
 490 with low N_r leads to a strong over-estimation of the mixing time, because of
 491 poor resolution of the circulation loop. N_θ has little influence on τ_{95} . As shown
 492 in fig. B1, **C**, the local dynamics of mixing are not very well captured by the
 493 CM, even if τ_{95} is comparable - the relatively large volume of compartments,
 494 modeled as ideally mixed zones, inherently induce some 'numerical blending'
 495 which affect the resolution of local dynamics. This is a conclusion similar to
 496 that by Delafosse et al. [6], even though in their 2 impeller setup, local dynamics
 497 were less pronounced to begin with, due to the resistance towards mixing being
 498 in the inter-compartment region [30, 29].

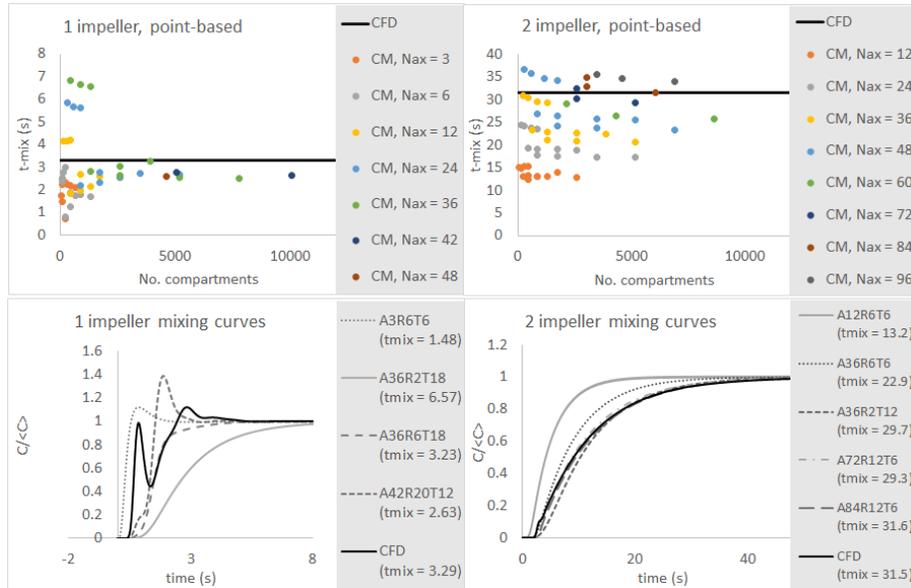


Figure B1: **A**: Mixing time (monitor point) for the 1-impeller setup of Jahoda; CFD versus various compartment realizations. **B**: As A, for the 2 impeller setup. **C**: Mixing curves for selected compartment realizations vs. CFD, 1 impeller. **D**: As C, for the 2 impeller setup.

499 *Appendix A.2. Dual impeller geometry*

500 The two-impeller geometry is equally based on Jahoda et al. [31]: $T =$
 501 0.29 m, $H = 2T$, $C = T/3$, $\Delta C = T$ and $D = T/3$. The CFD setup equals
 502 that of the single impeller case, except only 180° of the domain is modeled,
 503 with a periodic boundary condition. In this case, the monitor point was placed
 504 $r = 9.5T/20$, $Y = T/4$, $\theta = 45^\circ$ and a tracer pulse was injected at $t = 0$ at
 505 $r = T/4$, $Y = 0.57$ m, $\theta = 225^\circ$, yielding the mixing curve reported in fig. B1,
 506 **D**.

507 As for the single impeller, a wide range of compartment resolutions is em-
 508 ployed: $N_{ax} = 12 - 96$, $N_r = 2 - 12$, $N_\theta = 3 - 18$. N_{ax} is chosen such that
 509 the impellers and inter-impeller midplane, where fully radial flow is anticipated,
 510 consistently align with compartment interfaces. The same applies to the im-
 511 peller blades (3 blades in the 180° domain) with respect to tangential divisions.
 512 Fig. B1, **B** shows the 95% mixing time, with symbols colored by the number of
 513 axial compartments. The impact of N_{ax} is even more pronounced than for the
 514 single impeller case, and as such, a wider scatter in τ_{95} vs. N_c is observed. This
 515 scatter may seem surprising, considering the good agreement observed by De-
 516 lafosse et al. [6], but it must be kept in mind all their simulations featured high
 517 N_c (4360 – 17600), whereas we explore much lower resolutions. With $N_{ax} > 60$,
 518 we observe results consistent with Delafosse. As before, a low N_r leads to a
 519 higher τ_{95} , although this effect is less pronounced, because the effect of low N_{ax}
 520 tends to dominate. Still, a proper 'balance' of N_{ax} and N_r can be used to yield
 521 a good prediction of τ_{95} at relatively low N_c . For example, $36 \cdot 2 \cdot 12$ compart-
 522 ments leads to a good prediction of τ_{95} , including local dynamics that are well
 523 in line with the CFD result (fig. B1, D), because the impact of under-resolved
 524 axial and radial flow balances out. In general, the qualitative agreement be-
 525 tween the mixing curves from *CM* and *CFD* (fig. B1, D) at the bottom of
 526 the reactor is much better for the 2-impeller case, due to the resistance towards
 527 mixing being in the inter-impeller region, leading to more gradual mixing in the
 528 bottom region which lacks the sharp oscillations observed in the 1-impeller case.
 529 This qualitative agreement is also well in line with the observations of Delafosse

530 et al. [6].

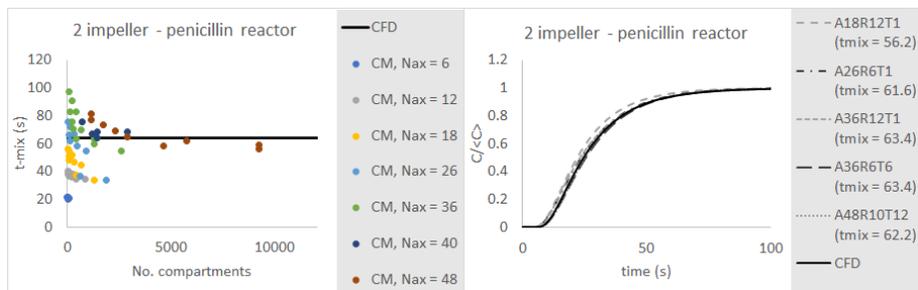


Figure B2: **A**: Mixing time (monitor point) for the penicillin reactor; CFD versus various compartment realizations. **B**: Mixing curves for selected compartment realizations vs. CFD.

531 *Appendix A.3. Penicillin reactor*

532 The penicillin reactor is also a 2-Rushton impeller geometry, although it
 533 differs somewhat from Jahoda et al. Most notably, the bottom impeller has 8
 534 rather than 6 blades. The tank dimensions are $H = 7.7$ m, $C = T/3$, $\Delta C = T$
 535 and $D = T/3$. The CFD setup used in this study is described in detail in
 536 earlier work [14]. Considering both τ_{95} versus N_C (fig. B2, **A**), and the mixing
 537 curves (fig. B2, **B**) for selected cases the trend is very similar to the Jahoda
 538 2-impeller setup. As noted previously, this implies good results for τ_{95} can be
 539 acquired with relatively few compartments, provided the error induced by under-
 540 resolving N_{ax} and N_r balances. While this solution is not 'grid-independent', it
 541 may be a pragmatic way to reduce the required number of compartments (hence
 542 computation time) in compartment model simulations.

543 *Appendix A.4. Mixing performance: conclusions*

544 Overall, we observe that for a sufficient number of compartments (typically
 545 N_c approaching 10^4) the mixing behavior in the CM is close to that of a full CFD
 546 simulation, and becomes independent of N_c . At high N_c , the agreement becomes
 547 comparable to the observations of Delafosse et al. [6], although in contrast to
 548 their work, we did not explore $N_c > 10000$, considering such compartment
 549 numbers would be impractical in later metabolic simulations. For a low number

550 of compartments, there is substantial scatter in τ_{95} versus N_c , with under-
551 resolution in the axial direction (low N_{ax}) leading to lower τ_{95} due to faster
552 axial transport, and under-resolution in the radial direction (low N_r) leading to
553 higher τ_{95} due to poor representation of the circulation flow. Properly setting
554 the combination of N_r and N_{ax} can lead to a good prediction of τ_{95} at low
555 N_c , which may facilitate metabolic computations by keeping the computational
556 burden low. This result is not 'grid independent' - a poor selection of N_r
557 and N_{ax} can easily lead to erroneous predictions in both mixing and metabolic
558 response (more on this in Appendix B), and hence mixing performance should
559 be validated against the CFD data. Additionally, it is recommended to verify
560 the metabolic computations against a case with equal τ_{95} but higher N_C - even
561 at equal τ_{95} , spatial under-resolution of the substrate gradient may affect the
562 model output, which should be avoided.

563 *Appendix A.5. Parcel mixing*

564 As shown in the main text, for high N_p the Eulerian and Lagrangian mixing
565 behavior are in full agreement. The timestep used in positional updating can
566 have an impact on the accuracy of the Lagrangian trajectories, however. In this
567 work, the position is updated during each function call of the integrator (which
568 is multiple times per timestep in the multi-step methods employed here). If the
569 Δt between two function evaluations is large, this will introduce inaccuracies
570 in parcel behavior by introducing bias towards longer residence times. This
571 effect is shown in figure B3, **A**. Here, simulations were conducted with various
572 values for Δt_{max} . In addition, the Eulerian tracer concentration was set to 0
573 everywhere, so that all differentials dN/dt were equally zero; this results in the
574 adaptive timestep solver (and hence parcel position updating) defaulting to the
575 maximum stepsize. The impact of the introduced bias is clearly visible: mix-
576 ing slows down compared to the Eulerian approach, and a plateau is reached
577 at a higher CoM-value, indicating that the parcel distribution remains hetero-
578 geneous. Figure B3 **B** shows the same cases, but in this case the solution is
579 simultaneously computing an Eulerian and Lagrangian mixing problem. In this

580 case, the adaptive timestepping kicks in to control the accuracy of the Eulerian
581 approach; the timesteps become sufficiently small to accurately resolve mixing
582 both in the Eulerian and Lagrangian approach (although statistical fluctuations
583 in local N_p still lead to a finite plateau-CoM). These results indicate that as
584 long as Eulerian dynamics are present, the adaptive timestep algorithm is suffi-
585 cient to provide accurate Lagrangian mixing, too. Conceptually, this is logical,
586 considering that the physical mixing timescales for the Eulerian and Lagrangian
587 phase are similar. However, in cases where Eulerian and Lagrangian behavior
588 are decoupled, additional care may be needed to properly set the particle position
589 update interval. As a first estimate, $\Delta \ll \tau_{res}$ seems a reasonable choice,
590 with τ_{res} the residence time in compartment with the shortest residence time.

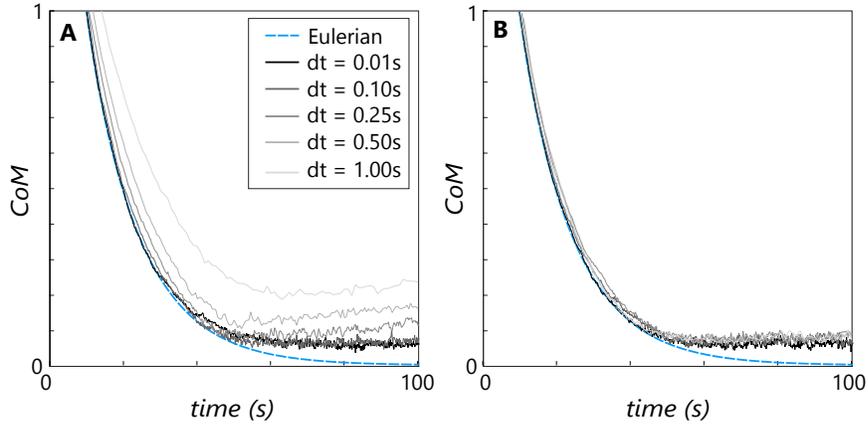


Figure B3: Lagrangian mixing behavior with $N_p = 25000$, $A18R2$, for various Δt . **A:** No timestep control. **B:** Timestep control by the Eulerian phase.

$$CoM(t) = \sqrt{\left(\frac{\sum_n (C_{n,t} - \bar{C})^2 \Delta V_n}{\sum_n \Delta V_n}\right)} \quad (\text{A.1})$$

591 **Appendix B. Impact of various CM parameters on metabolic pre-**
592 **dictions**

593 As a base case, we take $N_{ax} = 26$, $N_r = 6$, $N_\theta = 1$, $N_p = 1000$, $\Delta t =$
594 0.03 s and integration relative tolerance $RelTol = 1E - 3$. These settings were
595 chosen for the manageable amount of compartments ($N_C = 156$), parcels per
596 compartment (ca. 6.5) and solution time (ca. 1 – 1.5 h for 80 h flow time).

597 All simulations reported in this work were conducted on a desktop computer
598 with an INTEL i5-4460 (3.2GHz, quadcore) with 16GB 1600MHz DDR3 RAM,
599 colloquially known as "a decent midrange gaming PC built on a PhD budget
600 in 2015". The ratings presented below are the time required to solve the ODE-
601 section of the code: pre-processing (memory allocation, parcel initialization and
602 preparing the property vectors and transition matrices) and post-processing
603 (data storage and/or plotting) are excluded. Pre-processing was found to be a
604 minor component of the total time requirement, whereas the time for plotting
605 depends very strongly on the number of datapoints plotted, rather than the size
606 of the system itself. All numbers were treated as Float64 (double-precision)

607 The current Julia code was constructed following the efficient computation
608 guidelines offered in the DifferentialEquations.jl documentation, keeping into
609 account the following:

- 610 • In-place computations were used to avoid allocation of memory during
611 computation (updating the system of equations and parcel positions re-
612 quired 0 allocations). All arrays were pre-allocated, and no global variables
613 were used.
- 614 • The equations were devectorized into for-loops to yield minimal overhead
615 in the compiled code.
- 616 • Recurring mathematical operations on constants were pre-computed (e.g.
617 recurring divisions or exponents).
- 618 • Considering the inherent fluctuations in the solution, a high numerical
619 accuracy was not deemed necessary: the BS3 solver was used, for offering

620 the highest speed. A comparison with the TSIT5 solver (default) was
 621 made.

622 *Appendix B.1. Impact of N_p*

623 Using the base case for all other settings, N_p is varied between 50 – 2500.
 624 With $N_{pool} = 8$ dynamic pools, the total number of equations in the system is
 625 $N_c + N_p \cdot N_{pool}$. If we plot τ_{run} versus the total number of equations, the time
 626 requirement scales linear (fig. C 1) - hence an increase in N_p gives a proportional
 627 increase in τ_{run} . The results are quantitatively summarized in table C 1 for
 628 A26R6T1. This table also list the impact on the parameter of interest in this
 629 study, q_p , at the end of the 80 h simulation timeframe. It can be observed that
 630 in all cases, the q_p in the compartment model is slightly lower than in the CFD
 631 simulation - within 2.5 % of the CFD simulation. For different compartment
 632 layouts (A6R6T1 and A36R6T1) a similar linear impact is observed. Table C 2
 633 lists the time requirement for the other cases.

Table C 1: Impact of N_p on runtime τ_{run} . Other settings: $\Delta t = 0.03$ s, RelTol = 0.001.

Compartments	parcels	τ_{run} [s]	$q_p(end)$	% diff. CFD
A26R6T1	50	344	$2.94 \cdot 10^{-4}$	-1.64
A26R6T1	100	485	$2.93 \cdot 10^{-4}$	-1.96
A26R6T1	250	1063	$2.93 \cdot 10^{-4}$	-2.08
A26R6T1	1000	4314	$2.93 \cdot 10^{-4}$	-2.14
A26R6T1	2500	10403	$2.93 \cdot 10^{-4}$	-2.09
A26R6T1	5000	21865	$2.93 \cdot 10^{-4}$	-2.12

634 *Appendix B.2. Impact of N_c*

635 In fig. C 1, **A**, the gray symbols indicate the computation time for a fixed
 636 $N_p = 1000$ and a varying number of compartments (details in table C 3). Here, a
 637 strong impact of N_c on the runtime is observed, scaling with $\tau_{run} \approx N_c^{3.9}$ in the
 638 studied range. There are a number of factors leading to this sharp increase: N_c

Table C 2: Impact of N_p on runtime τ_{run} , with different compartment numbers. Other settings: $\Delta t = 0.03$ s, RelTol = 0.001.

Compartments	N_c	N_p	τ_{run} [s]
<i>A6R6T1</i>	36	250	1022
<i>A6R6T1</i>	36	1000	4169
<i>A6R6T1</i>	36	2500	10262
<i>A36R12T1</i>	432	250	2110
<i>A36R12T1</i>	432	100	8293
<i>A36R12T1</i>	432	2500	19887

639 compartments have N_c^2 potential connections, increasing the computation time
640 of the flow equations. In addition, the lower residence time per compartment as
641 well as lower number of parcels per compartment (leading to larger fluctuations
642 in local biomass concentration) increase the stiffness of the problem - requiring
643 smaller timesteps to properly resolve parcel behavior.

644 As observed in table C 3, q_p differs substantially from that computed in
645 the CFD simulation for cases *A6R6T1* and *A36R2T1*. This offset is easy to
646 explain: the mixing time in *A6R6T1* is strongly under-estimated compared to
647 CFD, while for *A36R2T1* it is substantially over-estimated. This hence leads to
648 a substantial under-estimation in extracellular heterogeneity for the former, and
649 over-estimation for the latter, which affect q_p . For all the other cases, the mixing
650 time is close to the CFD result ($\tau_{95} = 63.8$), and consequently, q_p is predicted
651 within 2.5 % accuracy compared to the CFD result. This indicates the extra-
652 cellular gradient does not need to be resolved in high spatial resolution, provided
653 the range of C_s and the frequency at which parcels observe changes is well
654 approximated, for which matching of τ_{mix} suffices even in coarse simulations
655 in the current study. Of course, the impact of spatial resolution can more
656 substantial for other metabolic models.

Table C 3: Impact of N_c on runtime τ_{run} . Other settings $N_p = 1000$, $\Delta t = 0.03$ s, RelTol = 0.001.

Compartments	N_c	τ_{run} [s]	τ_{95} [s]	$q_p(end)$	% <i>diff.</i> CFD
A6R6T1	36	4169	20.3	$3.78 \cdot 10^{-4}$	26.54
A18R2T1	36	4158	56.2	$2.96 \cdot 10^{-4}$	-0.92
A36R2T1	72	4203	97.2	$2.54 \cdot 10^{-4}$	-14.92
A26R6T1	156	4314	61.6	$2.93 \cdot 10^{-4}$	-2.14
A36R12T1	432	8293	63.4	$3.00 \cdot 10^{-4}$	0.43
A36R6T6	1296	7549	63.3	$2.95 \cdot 10^{-4}$	-1.36
A48R10T6	2880	16601	65.1	$2.99 \cdot 10^{-4}$	0.17
A48R10T12	5760	31392	62.2	$3.03 \cdot 10^{-4}$	1.47

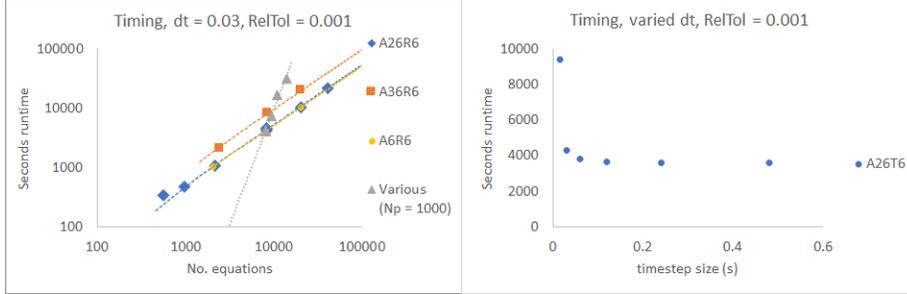


Figure C 1: Impact of various parameters on computation time. **Left:** the computation time as a function of the number of equations (parcel* N_p + compartment), for 3 compartment layouts and a variable number of parcels N_p . The scaling with $N_p \cdot N_{pool}$ is linear. The gray curve shows a fixed number of parcels ($N_p = 1000$), with a variable number of compartments. Scaling follows a power law N_c^n with $n > 3$, showing an increase in compartments has a large impact on computational burden. **Right:** The impact of maximum timestep size Δt on the result. For the given settings, the results indicate the maximum timestep size is not used for $\Delta t > 0.06$, hence the computation time is independent of Δt .

657 *Appendix B.3. Impact of Δt_{max}*

658 Fig. C 1, **B** shows the impact of Δt_{max} for the base case setup. Note that
 659 we are using a variable timestep solver, so in order to control accuracy, the used
 660 timestep may be smaller than Δt_{max} . This is clearly reflected in the figure: for
 661 $\Delta t_{max} > 0.06$ s the runtime τ_{run} is constant, indicating the maximum timestep
 662 size is not used. Conversely, the computation time for $\Delta t_{max} = 0.015$ s is double
 663 that of $\Delta t_{max} = 0.03$ s, which indicated the maximum timestep size *is* limiting
 664 the computation here (and the runtime scales inversely with Δt_{max} in this
 665 range). As mentioned in section Appendix B.2, the 'optimal' Δt likely depends
 666 on N_c because the compartment residence time decreases with an increase in
 667 compartment number, and smaller steps are needed to properly resolve parcel
 668 behavior. The results are quantitatively summarized in table C 4. Considering
 669 the agreement for q_p , a higher Δt_{max} seems to lead to a very slightly lower
 670 q_p , because there is some bias towards longer compartment residence times
 671 (hence a slight increase in parcel circulation time). However, the offset in q_p
 672 never exceeds 2.5 %, indicating the use of an adaptive timestepping algorithm
 673 prevents a residence time bias once metabolic coupling is introduced, meaning
 674 manual tuning of Δt_{max} is not required.

Table C 4: Impact of Δt on runtime τ_{run}

Compartments	Δt_{max} [s]	τ_{run} [s]	$q_p(end)$	% <i>diff. CFD</i>
A26R6T1	0.015	9410	$2.94 \cdot 10^{-4}$	-1.62
A26R6T1	0.03	4314	$2.93 \cdot 10^{-4}$	-2.14
A26R6T1	0.06	3818	$2.92 \cdot 10^{-4}$	-2.38
A26R6T1	0.12	3633	$2.92 \cdot 10^{-4}$	-2.40
A26R6T1	0.24	3581	$2.92 \cdot 10^{-4}$	-2.43
A26R6T1	0.48	3602	$2.92 \cdot 10^{-4}$	-2.43

675 *Appendix B.4. Impact of RelTol*

676 Interestingly, RelTol has no large impact the solution accuracy, or the com-
 677 putation time (table C 5) , which may appear to contradict the statement in
 678 the previous segment. Most likely, this is because the pool dynamics are slow
 679 in comparison to $\Delta t_{max} = 0.03$ s (even with the rapid extra-cellular uptake of
 680 the current microbe, $\tau_{rxn} \approx 12\Delta t_{max}$. As long as a parcel remains in place,
 681 tolerances are easily met. The situation changes if parcels are moving around,
 682 which causes discontinuities in the uptake terms due to sudden changes in the
 683 observed substrate concentration, $C_S(p)$. Hence, we hypothesize that the pre-
 684 viously noted impact on Δt results from the frequency of parcel jumps and
 685 consequent rapid changes in uptake (as, in this case, the Lagrangian and Eule-
 686 rian frameworks are coupled), and not from the solver tolerance.

Table C 5: Impact of tolerance on runtime τ_{run}

Compartments	<i>RelTol</i>	τ_{run} [s]	$q_p(end)$	% <i>diff. CFD</i>
A26R6T1	1E - 2	4287	$2.92 \cdot 10^{-4}$	-2.11
A26R6T1	1E - 3	4314	$2.93 \cdot 10^{-4}$	-2.14
A26R6T1	1E - 4	4264	$2.93 \cdot 10^{-4}$	-2.13

687 *Appendix B.5. Impact of Solver*

688 All simulations were conducted with the BS3 algorithm, based on Julia
 689 benchmarks for ODE solutions with high RelTol. We compared the result to the
 690 default algorithm for differential equations - Tsit5. The difference in runtime
 691 between these algorithms is approximately a factor 2 (table C 6), without a
 692 notable difference in q_p .

Table C 6: Impact of tolerance on runtime τ_{run}

Compartments	solver	τ_{run} [s]	$q_p(end)$	% <i>diff. CFD</i>
A26R6T1	Tsit5	8400	$2.93 \cdot 10^{-4}$	-2.19
A26R6T1	BS3	4314	$2.93 \cdot 10^{-4}$	-2.14

693 *Appendix B.6. Timing: conclusions*

694 Overall, the factors that have the biggest impact on the solution timing are
695 N_c and N_p . In particular N_C has a substantial impact on the overall computa-
696 tion time, probably because increasing N_C both leads to a larger system of fluid
697 equations, and because finer timesteps are used by the adaptive timestepper in
698 order to properly resolve parcel behavior (due to the shorter per-compartment
699 residence time). For the studied range of compartment numbers, the predicted
700 q_p was negligibly influenced by N_C compared to the full-CFD result, provided
701 the mixing time matched that of the CFD simulation. The number of parcels
702 N_p has a linear impact on the computation time in the studied range. Interest-
703 ingly, the agreement in q_p was hardly impacted by N_p , even for just 50 parcels
704 in 156 compartments - meaning only 1 in 3 compartments even contain a sin-
705 gle parcel at any time, and C_s in each compartment is quite variable. This
706 is likely because the timescales of the intra-cellular pools, in particular q_p , are
707 very long compared to the timescale of the (artificial) fluctuations induced by
708 the under-resolved biomass phase. Hence, the impact of these spurious fluctu-
709 ations is buffered. Note this conclusion may not generalize to other systems,
710 the impact of N_p should be studied on a case-to-case basis, and with respect to
711 the parameter of interest. In addition, note that currently only the mean pool
712 size was compared between the CFD simulation and CM simulation, and it was
713 not checked whether the number of parcels was adequate to fully represent the
714 population distribution of the pool (i.e. if the higher order moments were also
715 matched). It may be that a larger N_p is needed to ensure the full pool distribu-
716 tion is independent of N_p , and as such, to draw conclusions on the emergence
717 and distribution of population heterogeneity.

718 In this particular case q_p is the parameter of interest, and it is well predicted
719 (within 2.5 %) even for a low N_c and N_p , provided τ_{95} is close to the CFD
720 simulation. The results show that for low N_c and N_p a simulation of 80 h flow
721 time can be conducted in several minutes - compared to weeks for a full CFD
722 simulation with frozen flow. Case in point: a final run with A18R2T1, $N_p = 36$

⁷²³ (1 parcel/compartment), $\Delta t_{max} = 0.5$ s and all other settings at default ran in
⁷²⁴ 100 s - with a q_p offset of 0.4 % compared to full CFD.