

# Systematic comparison of 1D and 2D hydrodynamic models for the assessment of hydropeaking alterations

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## Abstract

Numerical hydrodynamic models enable the simulation of hydraulic conditions under various scenarios and are thus suitable tools for hydropeaking related assessments. However, the choice of the necessary model complexity and the consequences of modelling choices are not trivial and only few guidelines exist. In this study we systematically evaluate numerical one-dimensional (1D) and two-dimensional (2D) hydrodynamic models with varying spatial resolution regarding their suitability as input for hydropeaking-sensitive, ecologically relevant hydraulic parameters (ERHPs), and their computational efficiency. The considered ERHPs include the vertical dewatering velocity, the wetted area variation between base and peak flow and the bed shear stress as a proxy for macroinvertebrate drift. We then also quantified the habitat suitability of brown trout for different life stages. The evaluation is conducted for three channel planforms with morphological characteristics representative for regulated Alpine rivers, ranging from alternating bars to a braiding river morphology. Our results suggest, that while a highly resolved 1D model is sufficient for accurate predictions of the dewatering velocity and wetted area in the less complex alternating bar morphology, a 2D model is recommended for more complex wandering or braiding morphologies. For the prediction of habitat suitability and bed shear stress, a 1D model appears to be always insufficient, and a highly resolved 2D model is suggested. Reducing the spatial resolution of 2D models leads to computational efficiency similar to 1D, while providing more accurate results. This study can serve as guideline for researchers and practitioners in the selection and setup of hydrodynamic models for hydropeaking.

*Keywords:* Hydropeaking, Hydrodynamic modelling, River morphology, Computational efficiency, 1D-2D comparison,

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## 1. Introduction

Electricity generation by high-head hydropower plants (hereinafter HPP) plays a key role in responding to short term fluctuations in the electricity demand. The call for renewable and carbon-neutral energy

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4 sources likely increases the intermittent electricity production by solar or wind energy in the future, further  
5 underpinning the important role of HPP in balancing electricity supply and demand [29, 22].

6 However, the storage of water and partly sediment in high-head reservoirs for electricity generation alters  
7 the downstream flow regime, sediment transport and river morphology [7, 35]. Furthermore, hydropeaking  
8 introduces unnatural flow variations in downstream river sections and has been associated with changes  
9 of the ecohydraulic regime, with severe impacts on the abundance and composition of the local flora and  
10 fauna [3, 30, 45], as well as on their habitat [5, 43]. Drift of individual species due to a sudden increase in  
11 discharge has been shown to have adverse effects on the population size of macroinvertebrates [10, 34] and  
12 fish [1].

13 Different studies indicate a displacement of suitable habitat between base and peak flows and a reduction  
14 of persistently available habitat due to frequent variations in flow depths and velocities [5, 43], with severe  
15 impacts on populations of immobile species or life stages with low mobility. Sudden decreases in discharge  
16 related to hydropeaking has been reported to result in mortality of fish [21, 37, 49], fish eggs [12] and  
17 macroinvertebrates [36] due to stranding.

18 The magnitude of hydropeaking alterations relates also with river morphology. More heterogeneous river  
19 morphologies might reduce adverse impacts of hydropeaking due to increased availability of shelter (refugia)  
20 during peak flows [43, 52]. However, also the stranding risk of fish is found to increase in more complex and  
21 heterogeneous morphologies, as a result of direct stranding on gravel banks or trapping inside channels or  
22 potholes [49, 31].

23 Legislation such as the Water Framework Directive (WFD) in the European Union or the Federal Act  
24 on the Protection of Waters in Switzerland request the mitigation of adverse effects on waterbodies from  
25 hydropeaking. In literature, three types of mitigation measures are identified [9, 28, 43]: (i) Operational  
26 measures, such as reducing the discharge ratio between peak and base flow or the vertical dewatering velocity;  
27 (ii) constructional measures such as building compensation basins and (iii) restoration measures to improve  
28 the overall ecological conditions in river reaches affected by hydropeaking. The efficient design of such  
29 mitigation measures often requires the assessment of ecological impacts from hydropeaking under status  
30 quo, as well as under different mitigation scenarios.

31 Numerical hydrodynamic models facilitate predictions of the hydro-morphological state of a river reach  
32 under different discharge scenarios. Simulated spatial distribution of flow velocity and depth can be used  
33 for the calculation of Ecologically Relevant Hydraulic Parameters (ERHPs) [sensu Vanzo et al. [52]], and  
34 habitat quantity and quality. Such metrics are proxies for more complex ecological impacts and are useful  
35 to evaluate the effectiveness of potential mitigation scenarios. Examples of ERHPs in literature are the  
36 dewatering velocity or the percentage of wetted area variation between peak and base flow [e.g. 47].

37 A consequence of the application of numerical models to generate primary input data is that model ac-  
38 curacy affects the quantification of the considered ecologically relevant metrics. Accuracy primarily depends

39 on the choice of mathematical equations and on the spatial discretization of such equations. Despite increas-  
40 ing availability of computational resources and progress in numerical solutions [46, 51], computational costs  
41 for multi-dimensional models of river sections are not negligible [16]. Eventually, the choice of appropriate  
42 model complexity is a trade-off between prediction accuracy and computational cost.

43 Various studies apply numerical hydrodynamic models for hydropeaking impact analysis and environ-  
44 mental assessment. For example, Person [43] and Boavida et al. [5] make use of a two-dimensional (2D)  
45 hydrodynamic model in combination with the habitat model CASiMiR [38] for habitat modelling of fish.  
46 Quantity, quality and location of different fish habitats were computed with a cross sections based, pseudo  
47 2D model, and compared to field measurements in García et al. [14]. Pasternack et al. [42] applied a  
48 2D hydrodynamic model to evaluate different alternatives of spawning gravel replenishment using habi-  
49 tat and sediment entrainment criteria. Vanzo et al. [52] analysed the interactions between hydropeaking  
50 and river morphology for several ERHPs by employing a 2D model. Similarly, Hauer et al. [24] applied a  
51 one-dimensional (1D) hydrodynamic numerical model to investigate the influence of highly unsteady flow  
52 conditions resulting from hydropeaking on river morphology, while Hauer et al. [23] investigated streamwise  
53 changes in the vertical dewatering velocity using a 1D and a 2D model. Tuhtan et al. [49] investigated the  
54 stranding risk of fish related to hydropeaking under consideration of different river morphologies, also using  
55 a 2D model.

56 Few studies address the accuracy of applied models with regard to model dimensionality or provide  
57 recommendations on the necessary spatial resolution. Casas-Mulet et al. [12] evaluated the performance  
58 of a 1D model for the calculation of stranding areas by comparing simulated and measured inundation  
59 area. A similar approach was followed in Juárez et al. [28] for a 2D hydrodynamic model. Brown and  
60 Pasternack [8] compared 1D and 2D numerical models for the prediction of hydraulic conditions and physical  
61 spawning habitat of Chinook salmon in riffle-pool units and found that 1D models over-predict fish habitat  
62 quality. By comparing depth, velocity, and shear velocity predictions from a 2D model at the 1-m scale  
63 to field measurements, Pasternack et al. [41] found the numerical model to result in depth and velocity  
64 prediction errors of 21% and 29%, respectively. A recent study compares the performance of 2D and 3D  
65 hydrodynamic models for instream habitat modelling, concluding that the use of a three-dimensional model  
66 leads to significantly improved results Pisaturo et al. [44]. However, it is questionable if 3D models will find  
67 soon wide application in hydropeaking impact assessment at reach scale, due to high computational costs.

68 To the authors' best knowledge, no studies provide a systematic overview over the suitability of 1D and 2D  
69 hydrodynamic models for hydropeaking impact assessment with different ERHPs and for habitat quality and  
70 quantity, for a range of river morphologies and spatial resolutions of the computational domains. Therefore,  
71 we address this knowledge gap by comparing the 1D and 2D hydrodynamic model of the numerical software  
72 BASEMENT v2.7 [53] as input for the computation of some ERHPs, namely the dewatering velocity, the  
73 wetted area at peak and base flow and the bed shear stress, and also of habitat suitability for three different

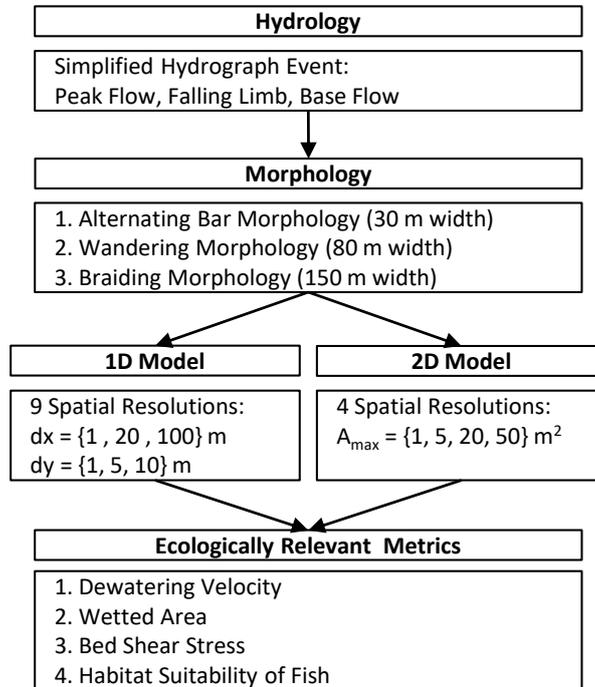
74 life stages of brown trout (spawning areas, juvenile and adult). Furthermore, the influence of the spatial  
75 resolution of the 1D and 2D models on the given metrics is quantified. The comparison is done under the  
76 consideration of three different river planforms, an alternating bar, a wandering and a braiding morphology,  
77 representative of Alpine rivers. Recommendations regarding the required model dimensionality and spatial  
78 resolutions are established for different ERHPs and with respect to the morphological complexity of the  
79 river reach under consideration.

## 80 2. Methods

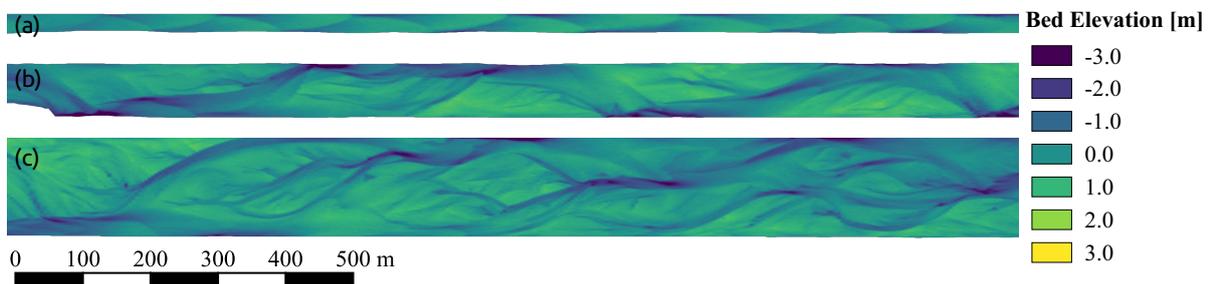
81 For the conducted analysis we define a characteristic hydropeaking event and generate the computa-  
82 tional domains based on three different river planforms. These serve as input for the 1D and 2D simulations  
83 performed with the numerical software BASEMENT v2.7 [53]. Based on the simulated hydraulic variables,  
84 namely the water depth and the flow velocity, different ERHPs are computed. The ERHP results obtained  
85 from the 1D and 2D models are compared for different spatial resolutions, where the finest resolved 2D model  
86 is considered the most accurate solution and is therefore regarded as the reference scenario (benchmark).  
87 When comparing 1D and 2D hydrodynamic models as input for a hydropeaking impact analysis we hypoth-  
88 esize that the hydrodynamic modelling results (flow velocities and flow depths) exhibit increasing accuracy  
89 by increasing the (i) model dimensionality (1D/2D) [41] and (ii) spatial resolution of the computational  
90 domain [27, 17, 39]. The numerical model results are thus not compared to experimental or field data. A  
91 similar approach was followed by Vanzo et al. [52]. Investigations using a 3D model are typically limited to  
92 small river sections due to high computational costs and are not considered in this study. The workflow is  
93 illustrated in Fig. 1.

### 94 2.1. Channel Morphologies

95 River morphological complexity is expected to affect both ecological impacts from hydropeaking [e.g. 52]  
96 and numerical model performance [e.g. 24, 4]. Therefore, we consider three river planforms with morpholog-  
97 ical characteristics representative for regulated Alpine rivers, namely an alternating bar, wandering [13] and  
98 braiding morphology. Similar river morphologies can be found along the approximately 90 kilometer long  
99 section of the Alpine Rhine between Tamins, Switzerland and the estuary of Lake of Constance. Similar  
100 to previous studies [e.g. 52, 50], we utilize digital elevation models (DEM) of three laboratory flumes from  
101 Garcia Lugo et al. [15] with a channel of 14.5 m length and 0.3 m, 0.8 m and 1.5 m width, respectively. To  
102 obtain spatial scales typical for Alpine rivers, the DEM were upscaled by a factor of  $\lambda = 100$  as in Vanzo  
103 et al. [52] and the mean bed slope was corrected to 3‰. The detrended channel planforms are depicted in  
104 Fig. 2.



**Figure 1:** Overview of adapted workflow including the definition of a characteristic hydropeaking event, the three morphological planforms, the 1D and 2D hydrodynamic numerical models with varying spatial resolutions and the considered ecologically relevant hydraulic parameters.



**Figure 2:** Bed elevation of the finest resolved 2D computational grids detrended with the longitudinal slope of the (a) alternating bar, (b) wandering and (c) braiding morphology.

105 *2.2. Numerical Model Setup*

106 The hydrodynamic simulations were performed with the software BASEMENT (v2.7) [53]. BASEMENT  
107 solves the unsteady 1D de Saint-Venant equations and the 2D shallow-water equations. For details on the  
108 mathematical and numerical models we refer to the software manual [54].

109 For the 2D scenarios, the hydraulic roughness was set to  $31 \text{ m}^{1/3}\text{s}^{-1}$  (Strickler parametrization). To  
110 minimize the deviations between 1D- and 2D-results originating from different numerical treatment of bed  
111 roughness, the Strickler coefficients of the 1D models were determined in a calibration process by minimizing  
112 the difference in the water surface elevation (WSE) between the finest resolved 1D and 2D model for bankfull  
113 discharge conditions. Thereby, Strickler values of 27.7, 28.5 and  $29.0 \text{ m}^{1/3}\text{s}^{-1}$  were determined for the  
114 alternating bar, wandering and braiding morphology, respectively.

115 Simulation time was set to 10.33 hours, matching the hydrograph duration (cf. Supplementary Mate-  
116 rial 1), and simulations were run in parallel computing, using a 16-cores Intel Xeon E5-2667 v3 (3.20GHz)  
117 processor unit.

118 *2.2.1. 2D Computational Domains*

119 For each morphology, four unstructured triangular grids with varying spatial resolution were generated  
120 with the QGIS plugin BASEmesh [54]. The series of four grids have mean (maximum) element size  $A_{mean}$   
121 ( $A_{max}$ ) of 0.63 (1), 6.0 (10), 12 (20), and 27 (50)  $\text{m}^2$ , respectively. Finer mesh resolutions were not considered  
122 here, as the benefit of a mesh refinement to a spatial resolution finer than the input topographic data is  
123 debatable. We therefore considered the finest resolution (mean size of  $0.62 \text{ m}^2$ ) as a reference in this study.  
124 However, it is worth mentioning that also 2D model results with high spatial resolutions (1 m scale) can  
125 still exhibit non-negligible deviations in comparison to field measurements. For riffle-pool sequences with  
126 complex 3D features, Pasternack et al. [41] reported mean prediction errors for depth and velocity of 20%  
127 and 30%, whilst Papanicolaou et al. [40] found errors below 10% and 25%, respectively. The coarsest spatial  
128 resolution (mean size of  $27 \text{ m}^2$ ) was indicatively chosen as upper limit for a sufficient representation of the  
129 morphological features.

130 *2.2.2. 1D Computational Domains*

131 The computational domains for the 1D simulations are based on cross sections. To minimize topograph-  
132 ical deviations in comparison to the finest resolved 2D grids ( $A_{max} = 1 \text{ m}^2$ ), the finest resolved 2D grids  
133 were converted into regularly spaced digital elevation models with point spacing of 1 m, respectively. Subse-  
134 quently, cross section based computational domains were extracted with three different spatial resolutions in  
135 longitudinal (1, 20, 100 m) and transversal direction (1, 5, 10 m), respectively, resulting in nine 1D domains  
136 for each morphology.

137 *2.2.3. Error in Topographic Representation*

138 For each of the 1D and 2D computational domains, the error in topographic representation compared  
139 to the finest resolved 2D computational grid (reference) was quantified by the area weighted mean absolute  
140 error (MAE). Therefore, bed elevations of each computational domain were resampled at the element center  
141 locations of the reference grid and the MAE was computed as,

$$MAE_z = \frac{\sum_{i=1}^N A_{i,ref} \cdot (|z_i - z_{i,ref}|)}{\sum_{i=1}^N A_{i,ref}} \quad (1)$$

142 where  $z_i$  are the bed elevations resampled at the center locations of element  $i$  of the reference grid,  $z_{i,ref}$   
143 and  $A_{i,ref}$  are the bed elevations and element areas of the reference grid and  $N$  is the number of elements  
144 in the reference grid.

145 *2.2.4. Characteristic Hydropeaking Event*

146 A hydropeaking event was designed by simplifying and downscaling an observed hydrograph from the  
147 gauging station Domat/Ems, Switzerland on the Alpine Rhine, while preserving observed key characteristics  
148 such as the ratio between peak and base flow of five and the recession rate of  $0.75 \text{ m}^3\text{s}^{-1}\text{min}^{-1}$ . The  
149 downscaling of base and peak flow magnitudes was performed such that the alternating bar morphology  
150 exhibits emerging sediment banks during base flow, while being completely inundated during peak flow  
151 conditions, since such flow conditions are observed on the Alpine Rhine. During the defined base flow of  
152  $15 \text{ m}^3\text{s}^{-1}$  a significant part of the river bed remains dry in all three morphologies, representing typical  
153 environmental flow conditions downstream of storage HPP. During peak flow conditions of  $75 \text{ m}^3\text{s}^{-1}$ , the  
154 alternating bar morphology is bankfull, while some river bed area remains dry in the wandering and braiding  
155 morphologies. The duration of constant base or peak flow was chosen sufficiently long for the hydrodynamic  
156 simulations to reach quasi steady-state conditions. Further information on the hydrograph are presented in  
157 the Supplementary Material 1. The hydrograph serves as input on the upstream boundary conditions for all  
158 three morphologies. The occurrence of different channel morphologies along one river reach (i.e. with the  
159 same hydrograph) is a valid assumption, as for example on the Alpine Rhine river morphology changes from  
160 a braiding or wandering morphology in the riparian river reach in Mastrils, Switzerland to an alternating  
161 bar morphology within several kilometers. The assumption is reasonable also in case of river restoration  
162 projects targeting at modifying reach scale morphologies, but with same hydrological regime.

163 *2.3. Ecologically Relevant Hydraulic Parameters (ERHPs)*

164 In this study, we focus on a set of ERHPs that are hydropeaking sensitive, namely: (a) the vertical  
165 dewatering velocity, (b) the wetted area at peak and base flow and (c) the bed shear stress.

166 *2.3.1. Vertical Dewatering Velocity*

167 The vertical dewatering velocity during the falling hydrograph limb was calculated as the decrease in  
168 water depth  $\Delta h$  in a defined time interval  $\Delta t$  [23] given by Eq. 2.

$$V_{dewatering} = \Delta h / \Delta t \quad (2)$$

169 In this study, the vertical dewatering velocity was calculated for time intervals of five minutes between  
170 the start of the falling limb of the hydrograph and the time when again steady-state base flow conditions are  
171 reached. For the 1D simulations, the vertical dewatering velocity was calculated for each cross section [2].  
172 Similarly, for the 2D model results the dewatering velocity was calculated for defined transversal slices of  
173 1 m. For each cross section (1D) or slice (2D), the maximum dewatering velocity during the considered time  
174 period was determined, since on a local scale increasing vertical dewatering velocities have been associated  
175 with a higher stranding risk [20]. For the comparison of dewatering velocities between different simulations  
176 (reach scale), the median dewatering velocity was determined based on the maximum values of all cross  
177 sections or slices, respectively [2, 47].

178 *2.3.2. Wetted Area at Peak and Base Flow*

179 For the 1D simulations, the wetted area  $A_{wet}$  was computed by multiplying the wetted perimeter of  
180 each cross section with its longitudinal extent, which corresponds to the longitudinal cross section spacing,  
181 and half of the longitudinal cross section spacing for the first and last cross sections, respectively. For the  
182 2D simulations, the wetted area was obtained by summing up the area of all wetted elements. The wetted  
183 area was evaluated based on flow depths larger than a minimum flow depth of 1 cm, corresponding to the  
184 minimum flow depth selected in the numerical model to avoid numerical instabilities.

185 *2.3.3. Macroinvertebrate Drift*

186 The rapid increase in discharge during hydropeaking events is known to cause disturbances of the river bed  
187 and is associated with catastrophic drift of bottom-dwelling benthic species [18, 10, 11]. Catastrophic drift  
188 is closely linked to the increase in near-bed shear stress as a result of increased flow velocities [18]. Following  
189 previous studies [25, 52], we consider near-bed shear stress  $\tau_B$  as a proxy indicator for macroinvertebrate  
190 drift. The bed shear stress was evaluated in each cell of the 2D grids and on each point along the cross  
191 sections of the 1D computational domains with the Strickler parametrization for wide channels ( $B/h > 10$ ):

$$\tau_B = \rho g \frac{U^2}{k_{st}^2 h^{1/3}} \quad (3)$$

192 where  $\rho$  is the density of water,  $g$  is the gravitational acceleration,  $U$  is the local flow velocity magnitude,  
193  $k_{st}$  is the Strickler roughness coefficient,  $h$  is the local flow depth and  $B$  is the channel width. This simplified  
194 expression for the bed shear stress for wide channels holds in this study as  $B/h > 10$  was fulfilled in all

195 morphologies. The mean absolute errors (MAE) of the predicted bed shear stress  $\tau_B$  w.r.t. to the finest  
 196 resolved 2D model were calculated as described by Eq. 1. Further, the relative MAE was obtained by  
 197 normalizing the MAE of a scenario with the mean bed shear stress of all elements obtained with the finest  
 198 resolved 2D simulation.

#### 199 2.4. Habitat Suitability of Fish

200 Together with previous ERHPs, we evaluate also fish habitat suitability, following a well-established  
 201 procedure. Habitat quantification is assessed in terms of weighted usable area (WUA) for juvenile and  
 202 adult brown trout as well as for spawning areas of brown trout (common target species in Alpine rivers).  
 203 For the three life stages in consideration, univariate preference functions for flow depth and flow velocity  
 204 were applied (see Fig. 4). The preference functions from Hauer et al. [26] were used for juveniles, while for  
 205 adults and spawning areas, the preference functions from Person [43] were applied. The weighted usable  
 206 area  $WUA_{Q,s}$  for given flow  $Q$  and life stage  $s$ , reads

$$WUA_{Q,s} = \sum_{i=1}^N A_{i,Q} CSI_{i,Q,s}, \quad (4)$$

207 where  $A_{i,Q}$  is the surface area of computational element  $i$  and  $CSI_{i,Q,s}$  is the composite suitability index  
 208 of the  $i^{th}$  element. The latter is calculated as the product of the univariate suitability index for the flow  
 209 depth  $SI_{i,Q,s,h}$  and the flow velocity  $SI_{i,Q,s,v}$  ([6]):

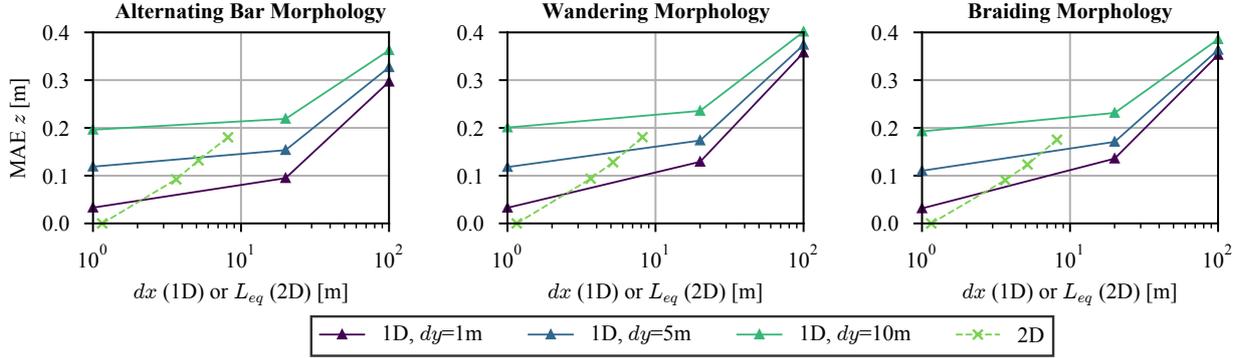
$$CSI_{i,Q,s} = SI_{i,Q,s,h} \cdot SI_{i,Q,s,v}. \quad (5)$$

210 It is important to recall that habitat quantity and quality depend also on the chosen biological model,  
 211 and not only on the underlying ERHPs (e.g. flow and depth distributions). Differences arising from the  
 212 choice of alternative biological models are beyond the scope of this work.

### 213 3. Results

#### 214 3.1. Topographic Representation

215 In Fig. 3, the error in the topographic representation is illustrated as a function of the longitudinal cross  
 216 section spacing  $dx$  for the 1D scenarios and as a function of the equivalent length  $L_{eq}$  for the the 2D scenarios.  
 217 The equivalent length  $L_{eq}$  for each 2D scenario is determined as  $L_{eq} = \sqrt{\frac{4}{3}A_{max}}$  and corresponds to the  
 218 edge length of an equilateral triangle with an area equivalent to that of the maximum element size of the  
 219 respective 2D grid. For the finest resolved 2D models,  $L_{eq}$  takes a value of 1.155 m. As expected, the error  
 220 in the topographic representation of the 1D computational domains increases with increasing longitudinal  
 221 cross section spacing  $dx$ , but also with increasing transversal point spacing  $dy$  (Fig. 3). For the 2D grids,



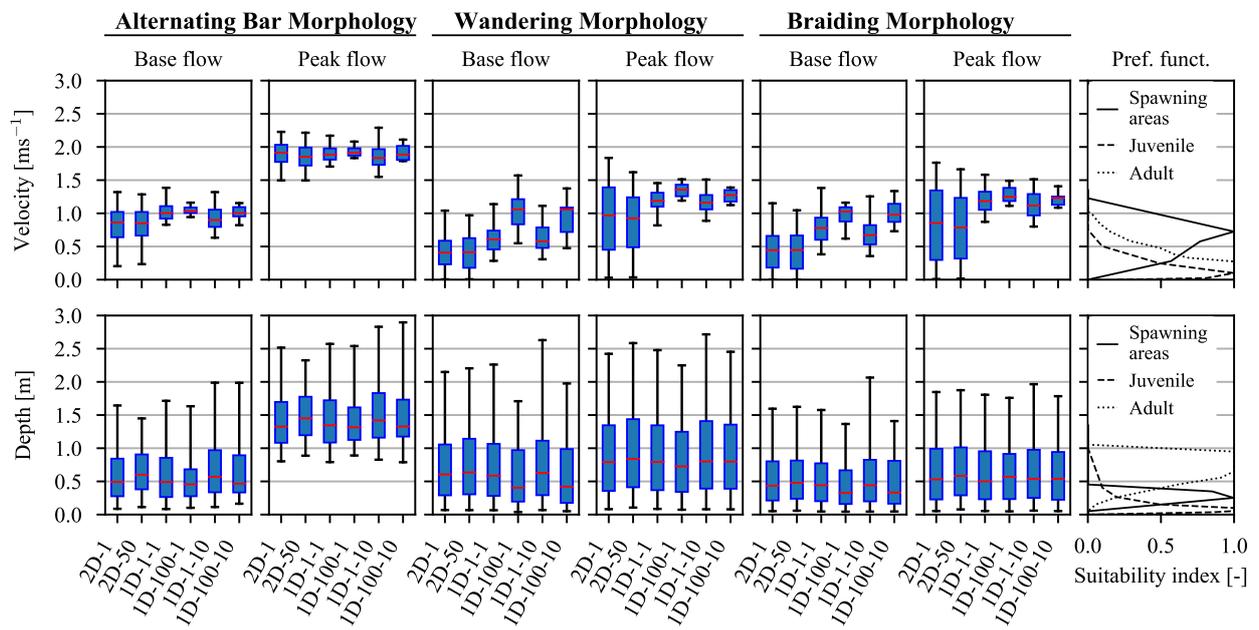
**Figure 3:** Mean absolute errors (MAE) in the bed elevation of the 1D and 2D computational domains in comparison to the finest resolved 2D grids.

222 the topographic error increases with larger maximum element size. The topographic errors of the 2D grids  
 223 are comparable to those of the finer resolved 1D computational domains, whereas the topographic error of  
 224 the 1D domains with the largest longitudinal spacing of  $d_x = 100$  m is approximately twice the error of the  
 225 coarsest 2D grid.

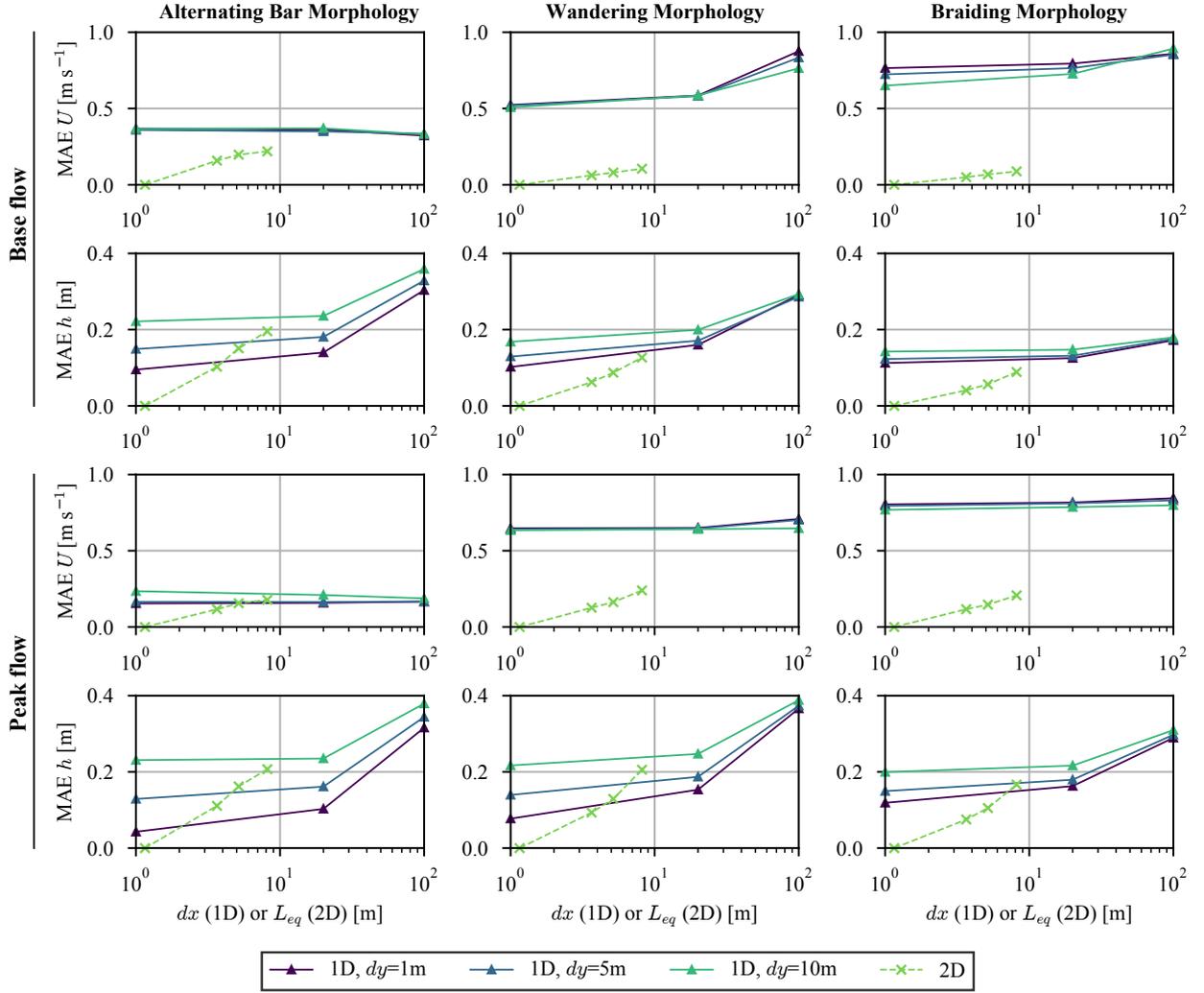
### 226 3.2. Hydraulic Variables

227 In Fig. 4, the depth and velocity distributions resulting at steady-state base and peak flow conditions in  
 228 the 30 m wide alternating bar morphology, the 80 m wide wandering and the 150 m wide braiding morphology  
 229 are presented for 1D and 2D simulations with the finest and coarsest spatial resolution, respectively. For the  
 230 sake of conciseness, the results of the simulations with intermediate spatial resolutions are omitted. For a  
 231 given flow condition and morphology, the 1D models reproduce a significantly smaller range of flow velocities  
 232 and larger median flow velocities than the 2D models. The deviations from the finest resolved 2D models  
 233 increase with larger cross section spacing  $d_x$ , while the lateral point spacing  $d_y$  has a less significant impact.  
 234 For the 2D scenarios, the range of represented flow velocities and the median flow velocities slightly decrease  
 235 for the coarser spatial resolution. Flow depth distributions are less sensitive to model dimensionality and  
 236 spatial resolution than flow velocity distributions. Median flow depths slightly increase with coarsening  
 237 spatial resolution for the 2D model and slightly decrease for the 1D model, respectively.

238 The mean absolute errors (MAE) of the predicted flow velocities magnitudes  $U$  and flow depths  $h$  w.r.t.  
 239 to the finest resolved 2D model were calculated in the same manner as the MAE of the bed elevation  
 240 described by Eq. 1 and are illustrated in Fig. 5 as a function of the longitudinal cross section spacing  $d_x$   
 241 and the lateral profile resolution  $d_y$  for the 1D scenarios and as a function of the equivalent length  $L_{eq}$  for  
 242 each 2D scenario. The 1D simulations result in significantly larger flow velocity errors than any of the 2D  
 243 simulations. An exception to this poses the alternating bar morphology at peak flow conditions, where all  
 244 considered scenarios result in a similar flow velocity error. Further, the velocity errors of the 1D models

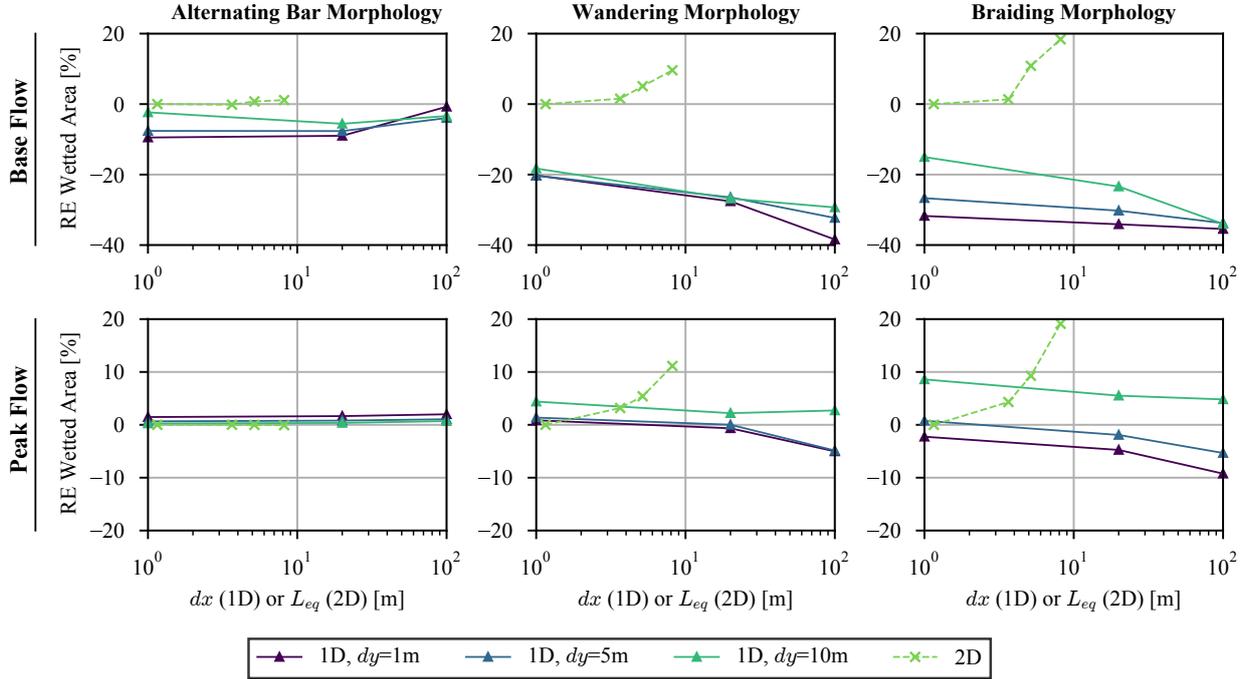


**Figure 4:** Simulated velocity and depth distributions at base and peak flow conditions in all three considered morphologies for the finest and coarsest resolved 1D and 2D scenarios. The boxes are limited by the lower and upper quartile values and include the median (red). The whiskers indicate the five and 95 percentiles. For 2D scenarios the maximum element area is indicated by «2D- $A_{max}$ » and for 1D scenarios the longitudinal ( $dx$ ) and lateral ( $dy$ ) spacing is given as «1D- $dx$ - $dy$ ». The habitat suitability index (SI) curves for spawning areas, juvenile and adult brown trouts are illustrated for reference.



**Figure 5:** Mean absolute errors (MAE) in simulated velocities magnitudes  $U$  and flow depths  $h$  obtained with the 1D and 2D scenarios in comparison to the finest resolved 2D scenarios.

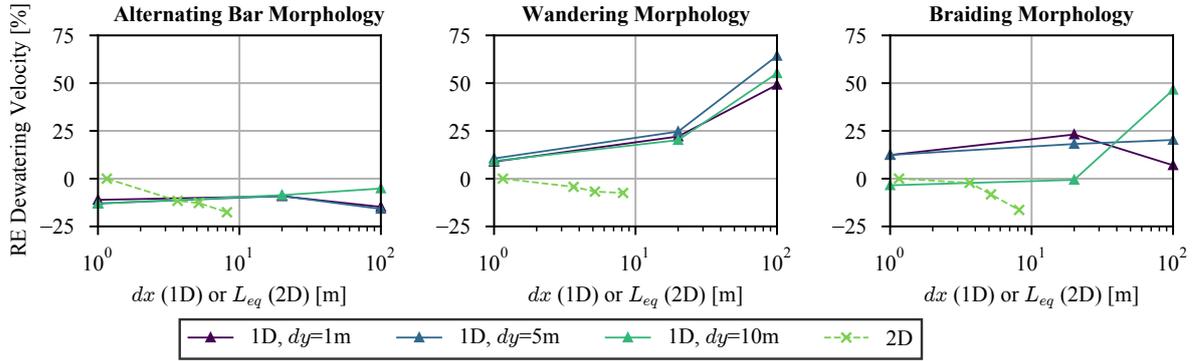
245 are significantly larger in the wandering and braiding morphology, than in the alternating bar morphology.  
 246 In contrast, flow velocity errors of the 2D models are similar across all three morphologies. While the 2D  
 247 models exhibit a clear trend of larger flow velocity errors with coarser spatial resolution, this is not true for  
 248 the 1D models. As expected, the depth error increases with coarser longitudinal and lateral resolution (1D)  
 249 and increasing equivalent element length (2D). However, 1D models with a longitudinal resolution of 1 m  
 250 and 20 m perform similarly well or better than the coarser resolved 2D simulations, with exception for base  
 251 flow conditions in the braiding morphology.



**Figure 6:** Relative errors (RE) in the wetted area at base and peak flow conditions in comparison with the finest resolved 2D simulation as a function of the longitudinal  $dx$  and lateral spacing  $dy$  for the 1D scenarios and of the equivalent length  $L_{eq}$  for each 2D scenarios.

### 252 3.3. Wetted Area

253 The relative errors (RE) in the predicted wetted area at base and peak flow conditions are represented  
 254 for all three morphologies in Fig. 6. In the alternating bar morphology, the relative errors of both 1D and  
 255 2D models are comparable, especially at the almost completely inundating peak flow conditions. Moreover,  
 256 the relative errors remain comparable for varying spatial resolutions. In the more complex morphologies,  
 257 the relative error is significantly affected by model dimensionality and spatial resolution. While the coarser  
 258 resolved 2D models generally result in an overestimated wetted area, 1D models tend to result in an under-  
 259 estimation. Further, the deviation between 1D and 2D models is more distinct during base flow conditions,  
 260 where the 1D scenarios result in significantly larger errors. At peak flow conditions the 1D and 2D models  
 261 result in a similar range of errors below  $\pm 20\%$ . For the 2D models, the relative error increases with in-  
 262 creasing element size. For the 1D scenarios, the relative error mostly increases with increasing longitudinal  
 263 cross-section spacing, while a finer spatial resolution in lateral direction does not necessarily reduce the  
 264 relative error.



**Figure 7:** Relative errors (RE) in the dewatering velocity in comparison with the finest resolved 2D simulation as a function of the longitudinal  $dx$  and lateral spacing  $dy$  for the 1D scenarios and of the equivalent length  $L_{eq}$  for the 2D scenarios.

### 265 3.4. Dewatering Velocity

266 The RE in the dewatering velocity with regard to the finest resolved 2D simulation are compared for all  
 267 scenarios in Fig. 7. In the alternating bar morphology, all scenarios underestimate the dewatering velocity in  
 268 comparison to the finest resolved 2D simulation, but RE remain below 25%. In the wandering morphology,  
 269 the 1D models with 1 m longitudinal cross section result in a similar RE as the coarser resolved 2D models.  
 270 However, the relative error increases significantly with increasing longitudinal cross section spacing, reaching  
 271 25% for cross section spacings  $dx$  of 20 m and 50% for  $dx$  of 100 m, respectively. For the most complex  
 272 braiding morphology, the 1D and 2D models result in a similar range of errors, mostly with below  $\pm 25\%$   
 273 with exception of the 1D scenario with the coarsest spatial resolution.

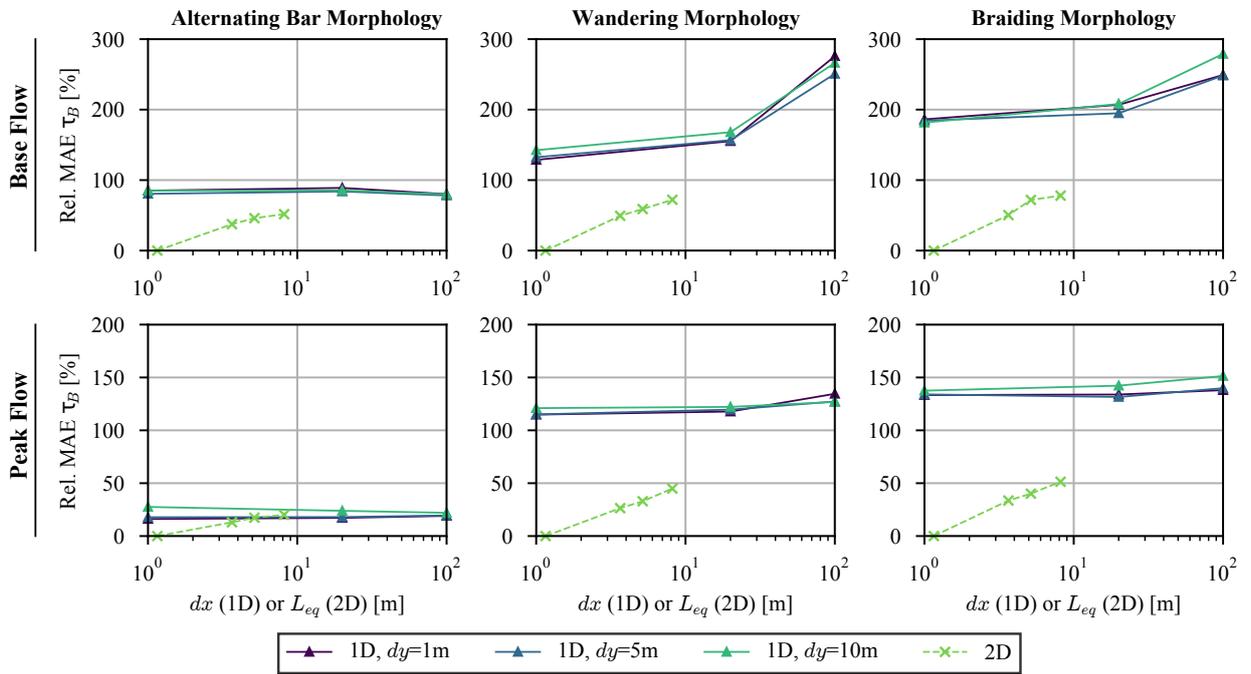
### 274 3.5. Bed Shear Stress

275 Generally, the 1D scenarios result in large relative mean absolute errors (MAE), above 80% and even up  
 276 to 280% (Fig. 8), with exception of peak flow conditions in the alternating bar morphology, where the relative  
 277 MAE is approximately 20%. Also the coarser resolved 2D scenarios result in significant errors between 30%  
 278 and 80%. In most cases, the relative MAE increase with coarser spatial resolution, for both 1D and 2D  
 279 models. Further, the relative MAE are generally larger at base flow than at peak flow conditions.

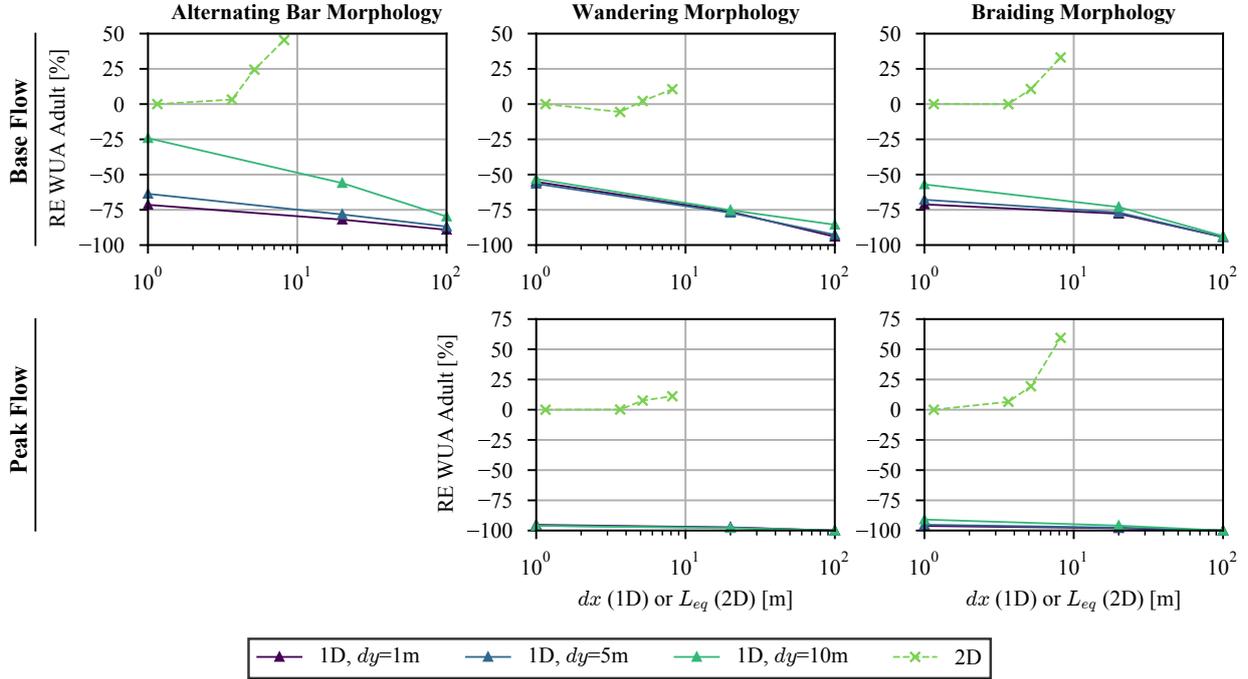
### 280 3.6. Habitat Suitability of Fish: Weighted Usable Area (WUA)

281 The relative errors (RE) of the predicted WUA for adult brown trout in comparison to the finest resolved  
 282 2D scenarios are illustrate in Fig. 9. The WUA during peak flow conditions in the alternating bar morphology  
 283 was zero for all models, since the simulated flow velocities lie outside the range of the preference functions  
 284 (Fig. 4). Hence, this comparison is omitted in Fig. 9.

285 The RE of the finest resolved 1D models range between  $-55\%$  and  $-71\%$  at base flow and between  
 286  $-95\%$  and  $-100\%$  at peak flow conditions. In the alternating bar morphology, the 1D models with a lateral



**Figure 8:** Relative mean absolute error (MAE) in the bed shear stress in comparison with the finest resolved 2D simulation as a function of the longitudinal  $dx$  and lateral spacing  $dy$  for the 1D scenarios and of the equivalent length  $L_{eq}$  for each 2D scenarios.



**Figure 9:** Relative errors (RE) in the weighted usable area of adult brown trout in comparison with the finest resolved 2D simulation as a function of the longitudinal  $dx$  and lateral spacing  $dy$  for the 1D scenarios and of the equivalent length  $L_{eq}$  for each 2D scenarios.

287 resolution  $dy$  of 10 m result in smaller errors than the simulations with  $dy$  of 1 m and 5 m. The WUA  
 288 calculated from 2D model results with  $A_{max}$  of  $10 \text{ m}^2$  ( $L_{eq}$  of 10.8 m) exhibits RE between  $-6\%$  and  $3\%$ .  
 289 However, a further coarsening of the spatial resolution of the 2D model significantly affects WUA prediction,  
 290 resulting in RE up to 59%.

291 Due to the similarity with the results for adult brown trout, the results for the WUA for spawning areas  
 292 of brown trout and juvenile brown trout are omitted here for the sake of conciseness and are instead included  
 293 in the Supplementary Material.

### 294 3.7. Computational Effort

295 The computational runtime of the simulations with a duration of 10.33 hours varies over seven orders  
 296 of magnitude, where the coarsest resolved 1D simulation in the alternating bar morphology requires only  
 297 0.07 s, while the finest resolved 2D simulation in the braiding morphology requires almost 16 hours. The  
 298 applied numerical models [53] make use of explicit time integration schemes, for which the computational  
 299 runtime scales exponentially with the number of computational elements due to stability constraints [e.g. 48].  
 300 Despite the simplified mathematical equations of the 1D model in comparison to the 2D model, the finest  
 301 resolved 1D model ( $dx = 1\text{m}$ ,  $dy = 1\text{m}$ ) requires runtimes in the same order of magnitude ( $\sim 10^3$  s) as the

302 second finest resolved 2D model ( $A_{max} = 10 \text{ m}^2$ ) within the same morphology. Unsurprisingly, the runtime  
303 of both 1D and 2D models can be further reduced with a coarser spatial resolution. The computational  
304 speedup in comparison to the finest resolved 2D simulations obtained by reducing the spatial resolution of  
305 the 2D models range between 30 and 430, while for the 1D models speedups between 22 and 563'787 are  
306 achieved.

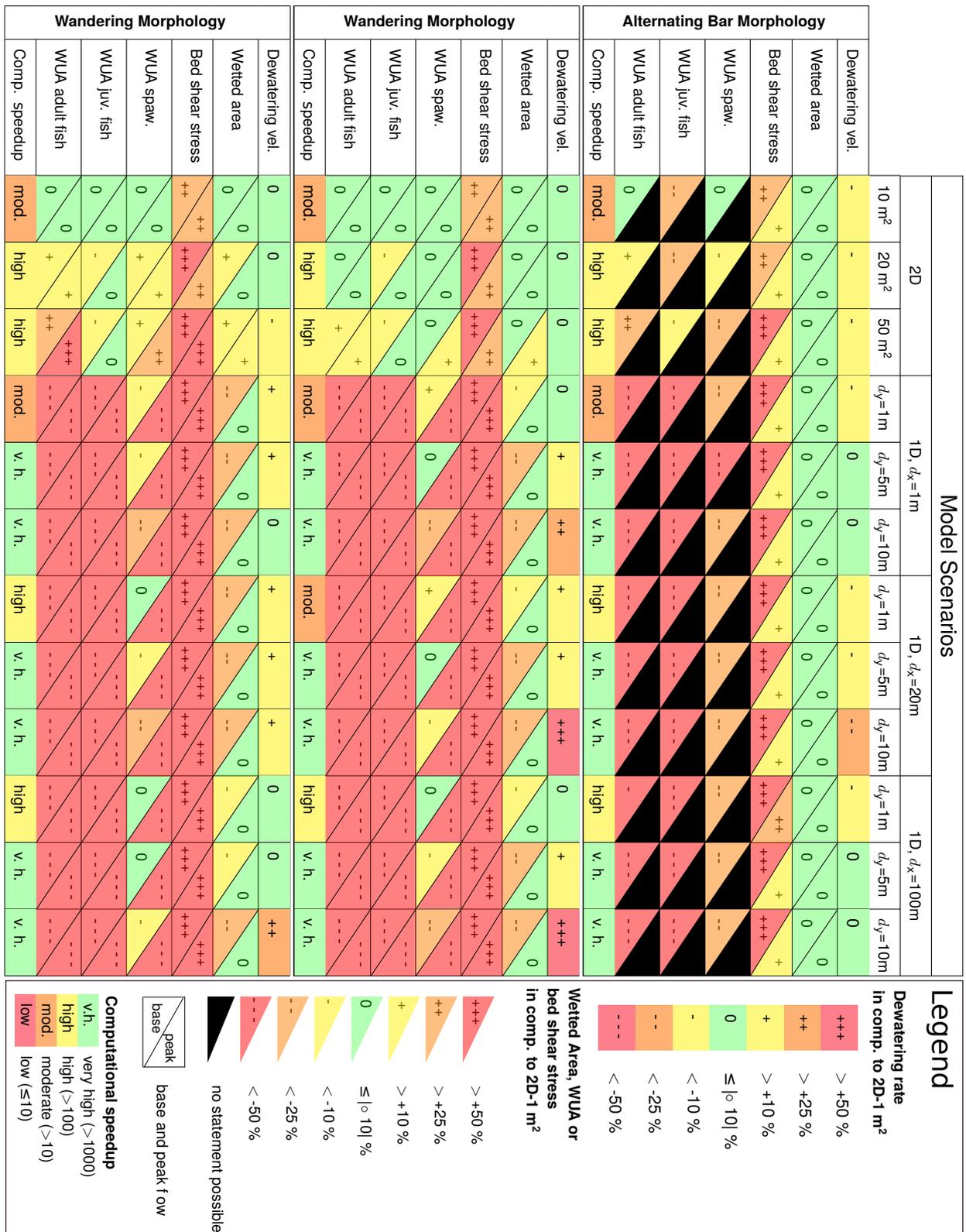
## 307 4. Discussion

308 The relative deviations of the considered metrics in comparison to the finest resolved 2D models and the  
309 performance in terms of computational effort are summarized in Fig. 10. The 1D and 2D scenarios with  
310 varying spatial resolutions are distinguished by columns, while the three morphologies are distinguished into  
311 horizontal sections. The relative deviations are classified into 7 categories, for which the deviation from the  
312 finest resolved 2D scenarios ( $A_{max} = 1 \text{ m}^2$ ) is indicated by colors ranging from green (small deviation) to  
313 red (large deviation). Further, under- and overestimations are indicated by minus (-) and plus (+) signs,  
314 respectively. The results are discussed below.

### 315 4.1. Hydraulic Variables: Flow Depth and Velocity

316 For a given morphology and flow condition, the mean absolute errors (MAE) of flow velocities simulated  
317 with the 1D models are larger than those simulated with any of the considered 2D models. Further,  
318 the MAE of the velocities simulated with the 1D models generally increase with incrementally complex  
319 morphology and with reduced discharge (Fig. 5). The fact that median flow velocities of 1D models generally  
320 decrease with incrementally complex morphology and with reduced discharge (Fig. 4), highlights that the  
321 velocity predictions with 1D models are strongly affected by the morphological complexity and the discharge  
322 conditions. In contrast, the MAE of the flow depths simulated with 1D models do not vary noticeably with  
323 the river morphology and the flow conditions. For the 2D models, the MAE of both velocity and flow depth  
324 decrease with increasingly complex morphology. This in combination with the trend to smaller flow depths  
325 and velocities in the more complex morphologies indicates that the river morphology has a significantly  
326 smaller impact on the prediction accuracy of the flows depths and velocities for 2D models than for 1D  
327 models.

328 The observed trends are not surprising, since in more complex morphologies, as well as during low  
329 discharge flow conditions, morphological structures such as sediment bars emerge through the water surface  
330 and may significantly affect the local flow and tend to induce 2D or even 3D flow patterns. The ability  
331 to resolve such flow structures with the numerical model decreases with reduced model dimensionality and  
332 with coarser spatial resolution. Increased model sensitivity to topographic input data for low discharge flow  
333 conditions and in the presence of morphological features which induce a topographic steering effect was also



**Figure 10:** Summarized overview of the relative deviations and computational speedups obtained with the different modelling scenarios in comparison to the finest resolved 2D model. 18

334 observed in previous studies [8, 33]. Moreover, in 1D models flow is not routed between two subsequent cross  
335 sections, but instead a constant WSE based on conveyance is computed for each cross section. Therefore,  
336 the naturally occurring flow paths captured in the 2D model may not be fully depicted by the 1D models.

337 Considering the above limitations, the observation that 1D simulations exhibit significant deviations  
338 in terms of simulated flow velocity compared to the finest resolved 2D simulations is not surprising and  
339 corresponds with findings of Benjankar et al. [4] and Gibson and Pasternack [19]. The aforementioned  
340 findings are not true for the alternating bar morphology at peak flow conditions, where all considered  
341 scenarios result in a similar flow velocity error. We assume the small differences are the result of the  
342 completely inundated peak flow conditions, which result in a predominantly 1D flow pattern.

343 For both 1D and 2D models, the MAE of flow depths and velocities generally increase with coarser  
344 spatial resolutions. However, for the velocity obtained with 1D models the MAE are much less affected by  
345 the lateral resolution  $dy$  than by the longitudinal resolution  $dx$ , presumably due to the cross sectionally-  
346 averaged nature of the 1D model. Nevertheless, it is doubtful whether the hydraulic conditions can be  
347 predicted with sufficient robustness when e.g. the 30 m wide alternating bar morphology is resolved by  
348 approximately 4 stencils in lateral direction for the coarsest lateral resolution  $dy = 10\text{m}$ .

349 Further, we found that the range of simulated flow velocities is substantially smaller for 1D than for  
350 2D models, as a consequence of the cross sectionally-averaged nature in the 1D model (Fig. 4). This is  
351 in agreement with findings of Brown and Pasternack [8]. Similarly, coarser spatial resolution effectuates a  
352 spatial averaging effect and reduces a model's ability to resolve local velocity variations, resulting in smaller  
353 ranges of simulated flow velocities (Fig. 4).

#### 354 4.2. Wetted Area and Dewatering Velocity: A Proxy for Fish Stranding

355 In the alternating bar morphology, the relative error for the wetted area is below  $\pm 10\%$  for both 1D  
356 and 2D models and is not significantly influenced by the spatial resolution (Fig 6). This is not surprising,  
357 since during peak flow conditions, the water level is approximately at the height of the sediment bars and  
358 the entire channel is inundated. Further, the alternating bar morphology is characterized by one main flow  
359 path, which may explain the insensitivity to the model dimensionality and the spatial resolution also during  
360 base flow conditions. In the more complex wandering and braiding morphologies, the relative errors of the  
361 1D and 2D models diverge. While the 1D models underestimate the wetted area in comparison to the finest  
362 resolved 2D models, the coarser 2D models result in an overestimation. The underestimation of the wetted  
363 area with 1D models is in agreement with their tendency to underestimate the flow depths (Fig 4). Similarly,  
364 the coarser resolved 2D models tend to overestimate the flow depths in comparison to the finest resolved 2D  
365 models and consequently also overestimate the wetted area.

366 For peak flow conditions, the finest resolved 1D model results in small relative errors of the wetted area  
367 in all three morphologies ( $\text{RE} < 5\%$ ). However, the significant underestimations of  $-20\%$  in the wandering

368 and  $-32\%$  in the braiding morphology for base flow conditions indicate that a 1D model is not well suited  
369 for the prediction of the wetted area in complex river morphologies with emerging topographical features or  
370 multiple flow paths.

371 In the wandering and braiding morphology, the only minor deviations ( $RE < 5\%$ ) in the wetted area  
372 were observed when increasing the maximum element area of the 2D model from  $1 \text{ m}^2$  to  $10 \text{ m}^2$ . A further  
373 increase of the maximum element area to  $20 \text{ m}^2$  or  $50 \text{ m}^2$  is not recommended, since deviations in the wetted  
374 area in comparison to the finest resolved 2D model exceed  $10\%$  (Fig. 10).

375 Accurate predictions of the vertical dewatering velocity mainly depend on the simulation of the spatial  
376 and temporal WSE distribution. As shown in Fig. 3, the finest resolved 1D models exhibit a small error in the  
377 topographic representation ( $< 5 \text{ cm}$ ) and are reasonably well capable of reproducing flow depths distributions  
378 obtained with the finest resolved 2D models, with MAE around  $0.1 \text{ m}$ , both at base and peak flow conditions.  
379 Therefore, also the error in the spatial WSE distribution is expected to be moderately small. This is to  
380 some extent reflected in the acceptably small relative errors ( $RE < 25\%$ ) in the dewatering velocity obtained  
381 with the finest resolved 1D model across all three morphologies (Fig. 7). In the more complex morphologies,  
382 increasing the spatial resolution of the 1D models results in significantly deviating dewatering velocities  
383 and less robust results. Hence, a high-resolution 1D model may suffice for the prediction of the dewatering  
384 velocity within a relative error of  $\pm 25\%$ , even in more complex morphologies. The fact, that a coarser  
385 lateral resolution  $dy$  of  $10 \text{ m}$  results in a smaller relative error than the finer lateral resolution, should not  
386 be interpreted as improved prediction accuracy, but indicates a divergence of the model results due to an  
387 oversimplification of the topography. The vertical dewatering velocity calculated from the 2D model results  
388 is sensitive to the mesh resolution. However, all considered mesh resolutions predict the vertical dewatering  
389 velocity with less than  $25\%$  deviation from that of the finest resolved 2D model (Fig. 10). The effect of the  
390 river morphology on the relative error of the dewatering velocity appears to be negligible for the 2D model.

391 Methods for quantifying fish stranding risk typically rely on predictions of both the wetted area and  
392 vertical dewatering velocities [e.g. 32, 31]. Therefore, a 1D model might not be adequate for the quantification  
393 of fish stranding risk in more complex river morphologies and during low flow conditions, mainly due to the  
394 limited accuracy of predicting the wetted area. A 2D model appears to be the more adequate choice for the  
395 prediction of the wetted area and for fish stranding risk assessment.

#### 396 4.3. Macroinvertebrate Drift: Bed Shear Stress

397 Bed shear stress is proportional to the squared flow velocity and to the inverse flow depth (2.3.3).  
398 Consequently, error propagation for the bed shear stress predictions is non-linear and over-proportionally  
399 affected by errors in the simulated flow velocities. Since the 1D models tend to overestimate flow velocities  
400 and underestimate flow depths in comparison to the finest resolved 2D model, observed bed shear stress  
401 errors are particularly large. As indicated in Fig. 10, none of the 1D scenarios or coarser resolved 2D scenarios

402 result in a relative error under  $\pm 25\%$ , except during fully inundating peak flow conditions in the alternating  
403 bar morphology. Therefore, we recommend the use of a highly resolved 2D model with a maximum element  
404 area in the order of  $\sim 1 \text{ m}^2$  or finer, for hydropeaking related analysis for which the bed shear stress as a  
405 proxy for macroinvertebrate drift is of interest.

#### 406 4.4. Habitat Suitability of Fish: Weighted Usable Area

407 For juvenile and adult brown trout, the weighted usable areas (WUA) obtained from the 1D scenarios  
408 are significantly underestimated in comparison to the WUA computed from the model results of the finest  
409 resolved 2D scenarios, with relative errors between  $-50\%$  and  $-100\%$  (Fig 10). The large deviations can be  
410 attributed to a combination of three factors, as 1D models (i) generally exhibit a smaller range of occurring  
411 flow velocities due to the cross sectionally-averaged nature of the 1D model and (ii) tend to overestimate  
412 the flow velocities in comparison to the finest resolved 2D model (Fig. 10). Additionally, (iii) the univariate  
413 preference functions for juvenile and adult brown trout require relatively small flow velocities below  $1 \text{ ms}^{-1}$ .  
414 In contrast, the WUA for spawning areas of brown trout can be more accurately predicted from the model  
415 results of the finest resolved 1D model ( $\text{RE} > -25\%$ ), since the preference function for the spawning areas  
416 requires larger flow velocities that tend to coincide with the range of flow velocities predicted by the 1D  
417 models. This indicates that 1D models are not robust tools for predicting habitat suitability of fish based  
418 on univariate preference functions for the flow velocity due to their limited ability of distinguishing lateral  
419 velocity variations. This even more so holds for cases where the spatial distribution of habitat is of interest.  
420 The significant deviations between habitat predictions based on 1D and 2D model results are in agreement  
421 with findings of Brown and Pasternack [8], that global habitat suitability indices (GHSI) in riffle-pool units  
422 differ substantially when calculated from 1D and 2D models. In contrast to the significant discrepancies  
423 found in our study, Benjankar et al. [4] only observed small differences in WUA obtained from 1D and 2D  
424 modelling reach scale. However, Benjankar et al. [4] used 1D models results as input to the fish habitat  
425 model CASiMIR [38] to generate spatially distributed, local velocities and thereby circumvent the major  
426 limitation of the 1D model.

427 Increasing the maximum element area of the 2D model from  $1 \text{ m}^2$  to  $10 \text{ m}^2$  has in most cases only an  
428 insignificant effect on the predicted WUA of spawning areas of brown trout and juvenile and adult brown  
429 trout, regardless of the morphology (Fig. 10), but can reduce computational costs by one order of magnitude.  
430 A further reduction of the spatial resolution tends to result in significant under- and overestimations of the  
431 WUA in comparison to the finest resolved 2D scenarios, since a reduced spatial resolution limits the model's  
432 ability to reproduce complex flow structures, such as horizontal eddies and recirculating zones. Based on the  
433 results in Fig. 10, a 2D model with a spatial resolution with maximum element areas between  $1^2$  or  $10 \text{ m}^2$  is  
434 recommended for hydropeaking related evaluations based on habitat suitability in terms of weighted usable  
435 area.

## 436 5. Conclusions

437 In this study, we evaluate the performance of different 1D and 2D hydrodynamic model setup by compar-  
438 ing derived hydropeaking-sensitive ecologically relevant metrics. In particular, the influence of the spatial  
439 resolution of the 1D and 2D models on the final results was quantified and evaluated. The analysis was  
440 conducted on three different morphologies: alternating bar, wandering and braiding morphology.

441 Our results indicate that 1D models with a high spatial resolution of about  $\sim 1$  m, allow the prediction  
442 of the vertical dewatering velocity and wetted area with a relative error compared to a 2D model below  
443  $\pm 25\%$  when the river morphology is relatively simple (e.g. alternating bars). In case of more complex  
444 morphologies, the use of a 2D model is recommended. For the 2D case, the prediction accuracy for the  
445 vertical dewatering rate and wetted area improved with higher spatial resolution; nevertheless the coarsest  
446 spatial resolution (maximum element area of  $50 \text{ m}^2$ ) still provided satisfactory results with relative errors  
447 below 25%.

448 Our results indicate that the 1D models are not suitable for prediction of habitat suitability, even with  
449 high spatial resolutions. We found that weighted usable areas (WUA) derived from 1D model results differ  
450 substantially from WUA derived from the finest resolved 2D models, with relative deviations mostly larger  
451 than 50%, mainly due to differences in simulated flow velocities. We recommend the use of a highly resolved  
452 2D model with spatial resolutions characterized by maximum element area between  $1 \text{ m}^2$  and  $10 \text{ m}^2$ , since  
453 the use of coarser resolved 2D models also resulted in relative deviations larger than 25%.

454 Further, our results show how bed shear stress quantification is extremely sensitive to mesh resolution.  
455 For studies concerning macroinvertebrate drift, a 2D model with high spatial resolution with maximum  
456 element area in the order of  $\sim 1 \text{ m}^2$  or finer is thus recommended. Further investigations on the convergence  
457 of simulated bed shear stresses for resolutions with maximum element areas smaller than  $\sim 1 \text{ m}^2$  are necessary  
458 for an improved trade-off between accuracy and computational costs.

459 We also quantified the trade-offs between accuracy and computational efficiency: low spatial resolution  
460 2D models show comparable speedup to the finest resolved 1D models, while generally obtaining more  
461 accurate results.

462 This systematic comparison of 1D and 2D hydrodynamic models with varying spatial resolution and river  
463 morphologies may be a useful guideline for modelers and planners to select an appropriate modelling ap-  
464 proach for hydropeaking impact assessment. Beyond the considerations on the computational costs, the ap-  
465 propriate model choice should be driven by the ecohydraulic process of interest and the hydro-morphological  
466 characteristics of the studied reach.

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589 **Conflicts of interest**

590 The Authors declare that there are no conflicts of interest.

591 **Data Availability Statement**

592 The data that support the findings of this study are available from the corresponding author upon  
593 reasonable request.

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