

1     **Towards a Unified Setup to Simulate Mid-Latitude and**  
2             **Tropical Mesoscale Convective Systems at**  
3                     **Kilometer-Scales**

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8     **Key Points:**

- 9     • A method is developed that accounts for spatiotemporal displacements in simu-  
10        lated U.S. and Brazilian mesoscale convective systems (MCSs).  
11     • Central U.S. MCSs are found more sensitive to microphysics while planetary bound-  
12        ary layer (PBL) physics are more influential in Brazil.  
13     • Optimal mid-latitude and tropical MCS model settings are identified.

**Abstract**

Mesoscale convective systems (MCSs) are the main source of precipitation in the tropics and parts of the mid-latitudes and are responsible for high-impact weather worldwide. Studies showed that deficiencies in simulating mid-latitude MCSs in state-of-the-art climate models can be alleviated by kilometer-scale models. However, whether these models can also improve tropical MCSs and weather we can find model settings that perform well in both regions is understudied. We take advantage of high-quality MCS observations collected over the Atmospheric Radiation Measurement (ARM) facilities in the U.S. Southern Great Plains (SGP) and the Amazon basin near Manaus (MAO) to evaluate a perturbed physics ensemble of simulated MCSs with 4 km horizontal grid spacing. A new model evaluation method is developed that enables to distinguish biases stemming from spatiotemporal displacements of MCSs from biases in their reflectivity and cloud shield. Amazon MCSs are similarly well simulated across these evaluation metrics than SGP MCSs despite the challenges anticipated from weaker large-scale forcing in the tropics. Generally, SGP MCSs are more sensitive to the choice of model microphysics, while Amazon cases are more sensitive to the planetary boundary layer (PBL) scheme. Although our tested model physics combinations had strengths and weaknesses, combinations that performed well for SGP simulations result in worse results in the Amazon basin and vice versa. However, we identified model settings that perform well at both locations, which include the Thompson and Morrison microphysics coupled with the Yonsei University (YSU) PBL scheme and the Thompson scheme coupled with the Mellor–Yamada–Janjic (MYJ) PBL scheme.

**1 Introduction**

Mesoscale convective systems (MCSs) play an important role in Earth’s energy balance (Houze Jr, 2018) and are essential for Earth’s water cycle in the tropics (Nesbitt et al., 2006; Feng, Leung, et al., 2021) and mid-latitude regions (Fritsch et al., 1986; Feng et al., 2016; Feng, Leung, et al., 2021). These systems are prolific rain producers and are the main cause of warm-season flooding (R. S. Schumacher & Johnson, 2005; Rasmussen et al., 2014; Pokharel et al., 2018). Observations of MCSs over the continental U.S. indicate that extreme precipitation rates associated with MCSs have significantly increased during the past decades (Feng et al., 2016) and MCSs are predicted to further intensify in the future climate (A. F. Prein et al., 2017). Nevertheless, a major bottleneck for predicting possible climate change effects on climate extremes has been related to the poor representation of MCS intensity (e.g., precipitation rates, updraft strength) and spatiotemporal evolution in state-of-the-art models (Wang et al., 2020; Donner et al., 2016; Lin et al., 2022). Improving our MCS modeling capabilities on weather, seasonal, and climate time scales is essential to advance the credibility of model predictions.

The frontier of global and regional atmospheric modeling has reached convection-permitting scales (horizontal grid spacings  $\Delta x \leq 4$  km; Satoh et al. (2019)). While global large eddy (LES) simulations on climate timescales are far out of reach, convection-permitting “gray-zone” decadal simulations are already feasible in regional models (e.g., Liu et al. (2017); Berthou et al. (2020)) and will soon be achievable with global models (e.g., Stevens et al. (2019)). Convection-permitting models (CPMs) can explicitly simulate deep convective clouds, which revolutionizes our ability to simulate and predict severe weather and climate extremes (A. F. Prein et al., 2015; Clark et al., 2016). These CPMs substantially improve the simulation of MCSs including their propagation direction and speed, evolution, size, and associated extreme precipitation (A. F. Prein et al., 2020). Although this progress is encouraging, CPMs have difficulties simulating MCS cold pool and draft dynamics that modulate the MCS lifecycle and development, especially in weakly-forced environments (Haberlie & Ashley, 2019a; Wang et al., 2020).

64 In this study, we investigate the ability of the Advanced Research Weather Research  
65 and Forecasting (AR-WRF or short WRF) model (Skamarock & Klemp, 2008; Powers  
66 et al., 2017) at convection-permitting resolution (4 km horizontal grid spacing) to sim-  
67 ulate MCSs that overpassed the U.S. Department of Energy’s (DOE) Atmospheric Ra-  
68 diation Measurement (ARM) (Mather & Voyles, 2013) sites in the U.S. Southern Great  
69 Plains (SGP, Lamont, Oklahoma) (Sisterson et al., 2016) and the Amazon basin (MAO,  
70 Manaus, Brazil) (Martin et al., 2016). MCSs in those regions initiate and develop un-  
71 der very different environmental conditions, which promotes distinct convection lifecy-  
72 cle characteristics and associated precipitation behaviors (Wang et al., 2019).

73 Moreover, Wang et al. (2019) recently showed that MCSs over the SGP and MAO  
74 sites feature similar rainfall rates and accumulations, though having larger stratiform rain-  
75 fall contributions in the U.S. SGP events. Similarly, the convective cold pools have com-  
76 parable strength in both regions. However SGP MCSs show more intense convective up-  
77 drafts, deeper and stronger convective downdrafts, and larger mass flux than MAO MCSs  
78 (Wang et al., 2020). The SGP MCS investigated for this study predominantly occur in  
79 the springtime (see Table 1) and feature strong large-scale forcing (e.g., frontal passages),  
80 which is distinctly different from MAO MCSs that typically occur under much weaker  
81 synoptic-scale forcing. These differences in synoptic-scale and thermodynamic forcings  
82 motivate this study to explore the skill of CPMs and their sensitivities to model physics  
83 in simulating MCSs in both regions. There have been several studies that investigate the  
84 sensitivity of simulated MCSs to the model microphysics (Xue et al., 2017; Feng et al.,  
85 2018; Shpund et al., 2019; Fan et al., 2017; Han et al., 2019) and planetary boundary  
86 layer (PBL) schemes (Stephan & Alexander, 2014) using kilometer-scale models. Most  
87 of these studies focus on U.S. mid-latitude MCSs and do not assess sensitivities across  
88 climate zones.

89 The MCS overpasses over the SGP and MAO sites that are described in Wang et  
90 al. (2019) provide a unique opportunity for in-depth analyses of the performance of CPMs  
91 in simulating MCSs in these two regimes. However, using ARM observations for model  
92 evaluation is challenging due to the limited spatial coverage of the observations and spa-  
93 tiotemporal displacements of MCSs in the simulations. Traditional evaluation methods  
94 cannot be used in such situations due to the so-called ”double-penalty problem” (Roberts  
95 & Lean, 2008; A. Prein et al., 2013). In these cases, simulation performance is penalized  
96 twice compared to null behaviors, once for not properly simulating the primary event  
97 and once for including secondary features that were not observed. We present a new method  
98 that identifies the spatiotemporal displacement of simulated storms, which allows us to  
99 disentangle displacement errors from other modeling errors. We use this method to test  
100 the sensitivity of simulated MCSs at the SGP and MAO sites to perturbed model PBL-  
101 and micro-physics schemes.

## 102 2 Data and Methods

### 103 2.1 MCS Case Selection

104 MCSs in the U.S. Great Plains are well studied using observations (Fritsch et al.,  
105 1986; Houze Jr, 2018; Song et al., 2019; Haberlie & Ashley, 2019b; Wang et al., 2019)  
106 and models (Trier et al., 2010; Feng et al., 2018; A. F. Prein et al., 2020). U.S. MCSs  
107 have a strong seasonality and are most frequent in the southern Great Plains during spring  
108 and in the northern Great Plains during summer (Li et al., 2021). Spring MCSs are fre-  
109 quently related to frontal passages, feature an enhanced Great Plains low-level jet, and  
110 are typically squall lines (Song et al., 2019). Spring MCSs occur under large convective  
111 available potential energy (CAPE) and convective inhibition (CIN) anomalies, while sum-  
112 mertime MCSs occur under much weaker synoptic-scale forcing (Feng, Song, et al., 2021).  
113 Even kilometer-scale models can have difficulties in simulating MCSs under weakly forced  
114 summertime conditions (A. F. Prein et al., 2020).

MCSs are the dominant rain-producer in the Amazon basin, which features about 7,200 MCSs per year (Rehbein et al., 2018; Anselmo et al., 2021) contributing approximately 50% of the annual rainfall (Feng, Leung, et al., 2021). MCSs in the Amazon can have various morphologies and sizes but typically develop in lines (Anselmo et al., 2021). The number of MCSs during the ARM Green Ocean Amazon (GoAmazon) campaign period (2014–2015), whose data we leverage in this study, was about 50% lower than the 2000–2013 climatology (Rehbein et al., 2019) probably due to positive equatorial Pacific sea surface temperatures and reduced moisture transport into the Amazon basin. For additional context, the larger-scale regimes associated with GoAmazon mature MCS events are summarized by Wang et al. (2019) and more generically in Giangrande et al. (2020).

We investigate MCS events over the U.S. DOE ARM (Mather & Voyles, 2013) SGP (Sisterson et al., 2016) site and the 2014/15 GoAmazon field campaign site near Manaus Brazil (MAO; Martin et al. (2016, 2017); Giangrande et al. (2017)). In previous work, we identified 16 MCS overpasses over the SGP site and 44 over the MAO sites (Wang et al., 2019). From these cases, we simulate a sub-set of 13 cases at the SGP site and 41 cases at the MAO site based on observational data availability. After comparing to observed radar reflectivity ( $Z$ ) and satellite brightness temperature ( $BT$ ) we select 11 well-simulated MAO MCSs that occurred in different seasons (4 cases in the wet seasons, 4 cases in the transition seasons, and 3 cases in the dry seasons; see Table 1). These MCSs have various morphologies (e.g., propagating lines vs. convection organization over the site). The dates and characteristics of the selected SGP and MAO cases are shown in Table 1.

## 2.2 Observational Datasets

We use S-band  $Z$  (10-cm wavelength, 3 GHz) and satellite-derived cloud  $BT$  for model evaluation. For MAO MCS cases, volumetric radar observations collected during the GoAmazon2014/15 campaign by the SIPAM S-band radar are used. These data are quality controlled and interpolated to a three-dimensional grid (C. Schumacher & Funk, 2018). Data are available every 12 minutes on a 2 km horizontal grid, which covers a maximum area of 480 km $\times$ 480 km. The vertical grid spacing is 500 m at constant altitude plan position indicator (CAPPI) with a vertical grid spacing of 500 m up to 20 km height. We test the sensitivity of our model evaluation using scans at 2 km, 4 km, and 6 km altitudes. Those levels are chosen due to the complete data coverage over the radar site (higher levels have missing data over the radar location). The 2 km CAPPIs (those that sample to the furthest distance) are limited to 180 km in range, which informed our decision about the default scanning distance that we will introduce in Section 2.4. For the SGP MCS events, we use the GridRad (Homeyer & Bowman, 2017) product that merges  $Z$  from the U.S. National Weather Service NEXRAD WSR-88D high-resolution S-band Doppler weather radars (Ansari et al., 2018). GridRad provides hourly, three-dimensional,  $Z$  on an  $0.02^\circ$  horizontal and 1 km vertical grid between 1995 to 2018 covering the Contiguous United States. We use the same scanning distance as for the Amazon MCS evaluation for consistency, but the larger radar coverage in the U.S. also allows testing the sensitivity of our analysis to the scanning distance setting. The NEXRAD and SIPAM are quality controlled and accurate within 1-2 dBz (Wang et al., 2019).

The  $BT$  observations are derived from the GOES-13 satellite Channel 4 (Knapp & Wilkins, 2018). Channel 4 measurements (often referred to as the "Cirrus" Band; approx. central wavelength is 1.37  $\mu\text{m}$ ) were selected owing to their high contrast in identifying anvil clouds and their good agreement with simulated  $BT$  data (Feng, Leung, et al., 2021). The only exception where we substituted GOES13 data with GOES15 data is June 5, 2013, MCS case that over-passed the SGP site due to missing data in GOES13. GOES data are provided hourly with all data mapping to the nearest hour on an equal angle grid with a spacing of  $0.04^\circ$ .

166 BT needs to be estimated from WRF output since it is not a standard output vari-  
 167 able, either by running a radiative transfer model (NCEP, 2020) or by applying empir-  
 168 ical relationships between the top of the atmosphere long-wave outgoing radiation and  
 169 BT (Yang & Slingo, 2001; Wu & Yan, 2011). We tested both approaches and found that  
 170 they result in very similar estimates, especially over the convective regions, which are  
 171 the focus of this study. Since empirical estimates are significantly cheaper to perform  
 172 compared to running a radiative transfer model, we use the equation presented in Wu  
 173 and Yan (2011) for the calculation of the BTs using WRF top of the atmosphere out-  
 174 going long-wave radiating. This approach is similar to what has been used in existing  
 175 MCS studies (Feng, Leung, et al., 2021).

### 176 2.3 Model Setup

177 We use the WRF model version 4.1.5 (Skamarock & Klemp, 2008; Powers et al.,  
 178 2017) for the simulations. The simulation domains are shown in Fig. 1. Each domain con-  
 179 sists of  $500 \times 500$  grid cells and 96 stretched vertical levels. The horizontal grid spacing  
 180 is approximately 4 km, which is sufficient to capture bulk MCS properties reasonably well  
 181 at computational affordable costs (A. F. Prein et al., 2020). Each simulation is started  
 182 24-hours before an MCS overpass at the corresponding ARM site (Wang et al., 2019)  
 183 and has a total runtime of 36-hours. The initial and lateral boundary conditions are de-  
 184 rived from hourly pressure level data from the fifth generation European Center for Medium-  
 185 Range Weather Forecasting (ECMWF) reanalysis (ERA5; Hersbach et al. (2020)). We  
 186 use the Noah-MP land surface model (Niu et al., 2011), the RRTMG shortwave and long-  
 187 wave radiation scheme (Iacono et al., 2008) and do not use a convection parameteriza-  
 188 tion scheme. We test three options each for the microphysics and PBL parameterizations,  
 189 to be described below. These schemes were selected as they represent several of the most  
 190 widely tested/used options and feature different levels of complexity and underlying as-  
 191 sumptions.

192 For our microphysical sensitivity tests, we chose the Thompson (Thompson et al.,  
 193 2004), the Morrison (Morrison et al., 2009), and the P3 (Morrison & Milbrandt, 2015)  
 194 schemes. All of these are bulk microphysics schemes that vary in their representation of  
 195 hydrometeors. The Thompson scheme uses two moments for cloud water, rain, and graupel/  
 196 hail hydrometeors and one moment for ice and snow; which allows it to predict graupel/  
 197 hail, water, and rain density. However, Thompson representations for ice properties  
 198 is otherwise limited compared to the other schemes tested. The Morrison microphysics  
 199 scheme is more complex than the Thompson scheme since it also represents two moments  
 200 of ice and snow. The P3 scheme follows the full 2-moment implementation of the Mor-  
 201 rison scheme but includes a detailed prediction of ice particle properties (conceptually  
 202 similar to Jensen et al. (2017)). This change avoids the artificial classification of frozen  
 203 hydrometeors into ice, snow, and graupel/hail categories. This scheme has a conceptual  
 204 advantage over the Morrison and Thompson schemes but is less widely used and tested.

205 For the PBL parameterization sensitivity testing, we considered the Yonsei Uni-  
 206 versity (YSU; Hong et al. (2006)), the Mellor–Yamada–Janjic (MYJ; Janjić (1994); Mesinger  
 207 (1993)), and Mellor–Yamada Nakanishi Niino Level 2.5 schemes (MYNN2.5; Nakanishi  
 208 and Niino (2006, 2009)). YSU is a non-local scheme that uses a first-order closure and  
 209 has an improved simulation of deeper vertical mixing in buoyancy-driven PBLs and shal-  
 210 lower mixing in strong-wind regimes compared to successor PBL schemes (e.g., the MRF  
 211 scheme; Hong and Pan (1996)). However, YSU features systematic biases that may in-  
 212 clude issues such as PBLs that deepen too vigorously for springtime deep convective en-  
 213 vironments, resulting in an underestimation of near-surface buoyancy (Coniglio et al.,  
 214 2013). In contrast, the MYJ parameterization is a local 1.5-order closure scheme, which  
 215 improves PBL simulations compared to its preceding schemes (Mellor & Yamada, 1982),  
 216 without increases in computational costs. However, MYJ tends to undermix PBLs for  
 217 locations upstream of convection (Coniglio et al., 2013). Finally, we employ the MYNN2.5,

218 which is a local scheme that uses a 1.5-order closure and improves the PBL depiction  
 219 compared to non-local schemes (e.g., YSU) during springtime in environments that sup-  
 220 port deep convection (Coniglio et al., 2013). Similar to MYJ, the local formulations of  
 221 MYNN2 do not fully account for deep vertical mixing.

## 222 2.4 Model Evaluation

223 This study is motivated by the complex nature by which model biases arise due  
 224 to spatiotemporal displacements that are, in parts, intrinsic to the simulation of deep  
 225 convection from biases that are predominantly related to model deficiencies (e.g., model  
 226 physics, grid spacing, numerics). We introduce a method that separates those bias com-  
 227 ponents by identifying the time and location in the simulation that best aligns with the  
 228 observed MCS overpass over the ARM sites. The model analysis is performed at the iden-  
 229 tified optimal, displaced location by using observations that are common to many regions  
 230 where MCSs occur.

231 In Fig. 2, we provide a schematic of our approach. It starts by selecting a scan area  
 232 ( $N$ ), which is based on the spatial reach of the SIPAM S-band radar in Manaus ( $\sim 180$  km  
 233 radius at 2 km height). A square box scan area with a side length of  $N=2.6^\circ$  ( $\sim 290$  km)  
 234 was selected over a circular region for computational efficiency. As previously described,  
 235 Z CAPPIS at 2 km, 4 km, and 6km above ground level, are input, with the multiple heights  
 236 included testing the sensitivity of the model evaluation to the height of the radar obser-  
 237 vation. Since BT and GridRAD observations are only available at full hours, we search  
 238 for the time of maximum Z in the scan area for MAO MCSs and round the time to the  
 239 closest full hour.

240 Next, we define a search time window ( $T$ ) that corresponds to the maximal allowed  
 241 temporal displacement in the model. Here we use  $T\pm 4$  hours around the time of the ob-  
 242 served MCS overpass. We decided to constrain  $T$  to four hours since larger temporal dis-  
 243 placements would likely result in MCSs that develop in significantly different environ-  
 244 mental conditions than the observed MCSs. We used a similar rationale in defining a search  
 245 area that is  $2^\circ$  degrees larger than the scan area in each direction ( $M = N+2^\circ+2^\circ$ ; Fig. 2).

246 For each model output interval ( $t=10$ -minutes), we derive the simulated Z and BT  
 247 within the search area and the search time window. For instance, we have  $65\times 65$  grid  
 248 cells within the scan area and  $165\times 165$  grid cells within the search area, which results  
 249 in  $100\times 100$  possibilities to shift the scan area within the search area. For each output  
 250 interval, we calculate two skill scores for all possible shifts of the scan area within the  
 251 search area.

252 The first skill score is the spatial pattern correlation coefficient (CC; Wilks (2011))  
 253 which evaluates the model skill in capturing the spatial pattern of simulated Z and BT  
 254 without penalizing the model for systematic magnitude biases. The second skill score  
 255 is the absolute cumulative distribution function difference (ACDFD; see Fig. 2 for an ex-  
 256 ample). The ACDFD, in comparison, does not penalize the model for deficiencies in sim-  
 257 ulating spatial patterns, but solely focuses on the correct simulation of the Z and BT mag-  
 258 nitudes.

259 This evaluation results in a displacement matrix containing  $T\times(M-N)\times(M-N)$   
 260 skill scores for Z CC, BT CC, Z ACDFD, and BT ACDFD. Using the above exam-  
 261 ple, these two skill scores are calculated 480,000 times for each MCS case, assuming a  
 262 search time window of  $\pm 4$ -hours with 10-minute output intervals (48-time slices) and  $100\times 100$   
 263 possibilities to shift the scan area within the search area. To find the temporal ( $\Delta t$ ) and  
 264 spatial ( $\Delta x$ ) displacement that corresponds to the location of the optimal model perfor-  
 265 mance, we combine these four skill scores by normalizing their distributions to a mean  
 266 of zero and a standard deviation of one. Next, we multiply the normalized ACDFD ma-  
 267 trices by minus one, which means that larger values are better (similarly to the CC statis-

268 tics). The average of the four derived matrices is calculated, resulting in a displacement  
 269 matrix in which larger values correspond to an improved agreement between the model  
 270 and observations. Finally, we search for the maximum in the displacement matrix to de-  
 271 rive  $\Delta t$  and  $\Delta x$  and use the optimal location and time for model evaluation.

272 To better understand the impact of model physics on the MCS environments, we  
 273 calculate CAPE, CIN, and vertical average hydrometeor mixing ratios in a  $\pm 15$  grid cell  
 274 square around the optimal location. CAPE and CIN are calculated with the python `wrf.cape_2d`  
 275 function that finds the level of maximum equivalent potential temperature height in the  
 276 lowest 3,000 m above ground. Next, a parcel with 500 m depth centered on this height  
 277 is defined and used for the CAPE and CIN calculation.

## 278 3 Results

### 279 3.1 Idealized Tests

280 Before we apply the model evaluation method to our simulated MCSs, we test its  
 281 performance on four idealized cases. These cases are similar to cases used in previous  
 282 studies for testing model evaluation methods (e.g., see Fig. 2 in Davis et al. (2009)) and  
 283 exemplify how the derived skill scores are affected by specific biases in the simulation.  
 284 To simplify the analysis, we remove the time dimension and only consider one variable  
 285 ( $Z$ ). A summary of these tests is provided in the list below.

- 286 • The first case represents a simulated MCS that is identical to the observed case,  
 287 but eastward displaced by  $4^\circ$  (Fig. 3a). The algorithm can detect the displacement,  
 288 which is 350 km ( $4^\circ$  longitude at  $36.6^\circ$  North; the latitude of the SGP site). As  
 289 expected, the  $CC=1$  and the  $ACDFD=0$  dBZ when accounting for the shift.
- 290 • The second case (Fig. 3b) is identical to the first case, but the simulated  $Z$  values  
 291 are 10 dBZ higher than the observed ones. The method can identify the displace-  
 292 ment without any problems and returns a perfect correlation coefficient ( $CC=1$ )  
 293 and an  $ACDFD$  of 6 dBZ. The reason why the latter is not 10 dBZ is because we  
 294 are associating cloud-free areas remain zero dBZ, in both observation and simu-  
 295 lations.
- 296 • The third test case (Fig. 3c) features a displacement bias of  $5^\circ$  to the east and has  
 297 a simulated MCSs width that is double the observed one. The algorithm detects  
 298 an eastward displacement of 579.2 km ( $6.6^\circ$ ) and returns a moderate  $CC$  of 0.58  
 299 indicating that the MCS spatial patterns are erroneously simulated. The  $ACDFD$   
 300 score has a value of 6 dBZ resulting from the wider areas of simulated  $Z$ .
- 301 • The final test case (Fig. 3d) features a simulated storm that is identical to the ob-  
 302 served one but shifted by  $7^\circ$  to the east and rotated by  $90^\circ$ . The rotational bias  
 303 is reflected in a low correlation coefficient ( $CC=0.1$ ), while the  $ACDFD$  score is  
 304 zero due to the correct simulation of  $Z$  magnitudes in the scan area. The simu-  
 305 lated storm is identified as shifted eastward and slightly southward. The south-  
 306 ward shift stems from the asymmetry in the storm's  $Z$  values.

### 307 3.2 Evaluation of Simulated MCSs

308 After gaining confidence in our evaluation method based on idealized settings, we  
 309 apply the evaluation algorithm to real-world MCS simulations over the SGP and MAO.  
 310 Fig. 4 shows a representative example of the algorithm's input and output for the June  
 311 6, 2014 case at 9:00 UTC at the SGP site. The observed MCS shows a large anvil cloud  
 312 shield (Fig. 4a) associated with a squall line (Fig. 4g). The simulated cloud shield (Fig. 4b)  
 313 is smaller than the observed one although the model overestimates  $Z$  at 4 km altitude  
 314 (Fig. 4h). The location where the modeled MCS is most similar to the observed one is  
 315 180 km displacement towards the northeast (distance between red and blue dots in Fig. 4a,b)

and occurs 120 minutes later (Fig. 4m). Comparisons between BTs in the observed (Fig. 4c) and simulated (Fig. 4d) scan areas show that simulated cloud tops are warmer (Fig. 4f; ACDFD=7.2K), and the spatial pattern correlation is CC=0.41 (Fig. 4e). The spatial structures of Z in the scan areas are better simulated (Fig. 4i,j) with a slight higher CC of 0.54 (Fig. 4k); however, the simulated Z values are higher than observed (Fig. 4l; ACDFD=3.9 dBZ).

Fig. 4m shows the spatial maximum values of the normalized ACDFD Z, ACDFD BT, Z CC, and BT CC scores for every 10-minutes model output within the search time window. Note that the normalized ACDFD values have been multiplied by minus one, which means that larger values are better for all skill scores. While BT CC is the closest to the observations at t=0 minutes, the maxima in the other skill scores are delayed. Equally weighting the four skill scores results in a temporal delay of 120 minutes between the observed and the simulated MCSs. Fig. 4n shows the displacement matrix at t=120-minutes with the maximum value being highlighted as a blue dot. The displacement matrix component from the four skill scores are shown in Fig. 4o-r, each showing a displacement of the simulated MCS towards the northeast.

### 3.3 Model Physics Sensitivities

Fig. 5 shows observational and simulated results for the Nov. 17, 2014, MCS event at the MAO site to exemplify the impacts of different microphysics and PBL schemes on the simulated cloud and Z fields. The simulated fields are shown at the time of the optimal comparison to the observations. This event features a line of clouds produced by a weakly forced line of convection (Fig. 5a). Somewhat unexpectedly for a tropical MCS event, most of the tested simulations can capture the basic characteristics of this case. Clear outliers are the simulations that use the MYNN2.5 PBL scheme, which produces wide-spread, disorganized clouds. Additionally, MYNN2.5 seems to produce clouds that are strongly influenced by the Amazon River (especially visible in the simulations using the Thompson and Morrison microphysics schemes), which is not evident from the observations.

Fig. 6 shows a 'heat map' that provides an overview of the four skill scores including the spatial and temporal displacements for all tested physics combinations (MCS cases for Z CAPPis at 2 km above the ground). The large case-to-case variability at both locations is prominent, which appears larger than the sensitivity to the selected physics (a more detailed analysis on this topic is presented below). Additionally, there is little correlation between skill scores. This implies that well simulated BT patterns (e.g., the SGP case on June 18, 2016) do not infer well simulated Z patterns or ACDFD values. This lack of consistency is surprising and should be further investigated in follow-up studies. Another surprising result is that skill scores are similar for the MAO and SGP MCSs despite their different environmental conditions. Our initial expectation was that the SGP MCSs might be better simulated due to the involvement of mid-latitude disturbances that help to initiate and organize the systems. However, such a difference is not obvious besides there being a slightly smaller spatiotemporal displacements for SGP MCSs. The largest difference between MCSs at these two locations are the lower (better) ACDFD Z scores over MAO, which is in part due to the stronger and larger frontally driven MCSs in the SGP (i.e., relative Z differences might be more similar). Another noteworthy difference between the two regions is that SGP MCSs tend to be simulated too early (on average), while MAO MCSs are simulated slightly too late for most physics settings. There is little systematic effect from evaluating Z at different altitudes (not shown). We note that slightly higher average CC values are observed for the SGP events that used the 4 km CAPPis. However, ACDFD scores for Z are the worst (highest) at this altitude. We attribute these discrepancies to radar 'bright band' signatures (observed Z enhancement owing to aggregation and melting) in observed Z factor expected near the melting layer, something that model microphysics are struggling to simulate and standard forward model-radar operators do not capture.

Due to the large case-to-case variability, we average the skill scores of all cases and the 2 km, 4 km, and 6 km Z heights to better isolate the impact of the model physics on the simulation performance (Fig. 7). Limited consistency exists between schemes that perform well for the SGP and MAO events. For instance, simulations using the MYNN2.5 scheme have the highest BT CCs for SGP cases, yet feature the lowest CCs for MAO MCSs (Fig. 7b). This result is in agreement with previous examples as in Fig. 5. Interestingly, a lower skill score in simulating cloud top structures (Fig. 7c) does not directly translate to a lower skill score in simulating Z patterns (Fig. 7a).

A more rigorous assessment of the sources of variability in the SGP and MAO cases based on variance decomposition (Déqué et al., 2007; A. F. Prein et al., 2011) is shown in Fig. 8. Case to case variability is the largest source of uncertainty contributing between one-third to two-thirds of the total variability (Fig. 8a–l). The variability stemming from the choice of microphysics or PBL scheme is comparatively small. The choice of the PBL scheme is most important (contributing  $\sim 20\%$  to the total variability) for the ACDFD score of BT in the Amazon (Fig. 8g), while the microphysics scheme selection is most influential in simulating the Z ACDFD score in the SGP (Fig. 8f). The sizes of the rings in Fig. 8 indicate the total variability. The ACDFD scores of Z (Fig. 8e,f) are smaller for cases in MAO, while the opposite is true for temporal and spatial displacements (Fig. 8i–l).

We repeated the variance decomposition by averaging over all cases within a region to highlight the other sources of variability besides the case-to-case variability (Fig. 8m–x). This reveals major differences between modeling sensitivities of MAO and SGP MCS cases. For instance, the PBL scheme substantially impacts Amazon brightness temperature CC (45%; Fig. 8o) and ACDFD scores (80%; Fig. 8s). On the other hand, SGP Z ACDFD scores are very sensitive to the microphysics parameterization (60%; Fig. 8r) and Z CCs change substantially with height at which Z is measured (60%; Fig. 8n). Concerning the total variability at the two locations, BT ACDFD score and the temporal and spatial displacement variabilities at the MAO site are substantially larger than those at the SGP site.

To better understand the impact of the tested physics settings on the MCS simulations at the MAO and SGP sites, we calculate the evolution of CAPE, CIN, and mean cloud condensates at the corresponding optimal locations averaged over all cases (Fig. 9). One of the most noticeable differences is that MAO pre-MCS environments have lower CIN values when using the MYNN2.5 PBL scheme (Fig. 9c). This indicates that using MYNN2.5 results in enhanced mixing at the top of the PBL and supports the development of wide-spread convection, such as seen in Fig. 5. Similarly, CAPE values are typically smaller in pre-MCS environments when using MYNN2.5, although the differences are not as clear as for CIN (Fig. 9a). The impact of model physics on CAPE and CIN is less systematic for SGP MCSs (Fig. 9b,d) except for the post-MCS environmental CAPE, which is the lowest when using Thompson microphysics and the highest when using the Morrison scheme.

Using the MYNN2.5 scheme at the MAO site results in a local maximum of cloud condensates at  $\sim 3$  km height in the pre-MCS environments and during the MCS overpass (Fig. 9e,f), while using the YSU and MYJ schemes leads to a less pronounced peak that is at a lower altitude. Consistent with the above analysis, this indicates that the MYNN2.5 scheme produces a deeper and strongly mixed PBL. Such differences are not obvious for SGP MCS cases (Fig. 9h–j).

### 3.4 Best Performing Model Settings

In this section, we calculate the average skill scores ranks for each physics combination. This allows combining the six individual scores to a single average rank skill score

418 that ranges from zero (best performing option for all tested physics) to one (worst-performing  
419 option), with 0.5 indicating the average performance. For more details, see Section 2.4.

420 Overall, the results plotted in Fig. 10a shows that the Thompson microphysics per-  
421 forms best for SGP MCSs on average, while cases that use the Morrison and P3 schemes  
422 perform worse than average. The average sensitivity to the PBL scheme is smaller com-  
423 pared to microphysics sensitivities, although individual scores such as the temporal dis-  
424 placement show a clear sensitivity to the PBL scheme. Our simulations indicate the Thomp-  
425 son scheme performs best in capturing the Z distribution (ACDFD score), while it per-  
426 forms below average concerning spatial displacements (particularly in combination with  
427 the YSU scheme).

428 The MAO MCS cases are more sensitive to the selection of the PBL scheme than  
429 SGP cases, although there is a clear effect of a microphysics-PBL scheme interaction as  
430 well (Fig. 10b). As shown before, using the MYNN2.5 scheme results in a sub-optimal  
431 performance independent of the microphysics parameterization. The main contributors  
432 to the poor performance are its deteriorated simulation of Z statistics and BT correla-  
433 tion coefficients. Overall, the best performance is achieved with the Thompson and MYJ  
434 scheme, followed by Morrison-YSU, and Thompson-YSU. Interestingly, the worst-performing  
435 physics combination in one region can perform best in the other region and vice-versa.  
436 This can be seen for the spatial and temporal displacement scores when using Thompson-  
437 YSU.

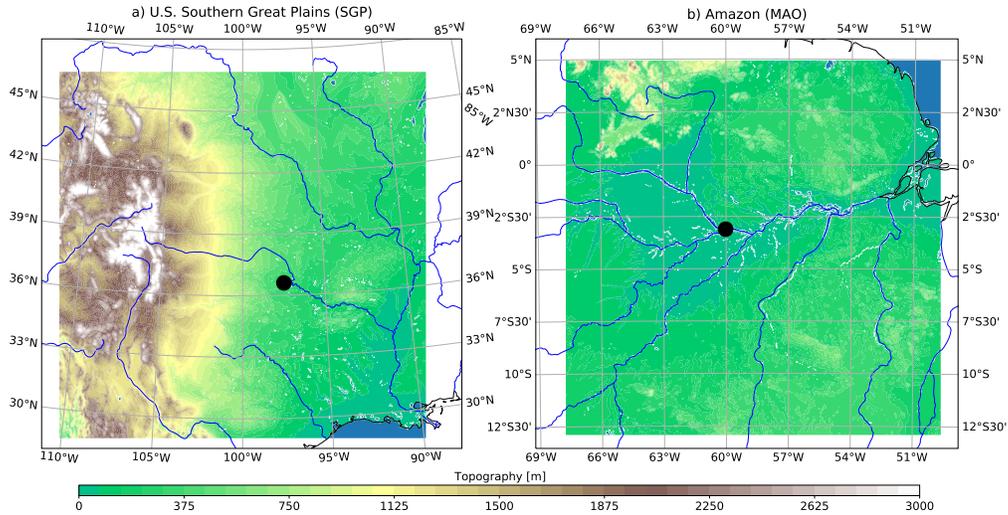
438 From our input sensitivity testing, we find that three physics combinations perform  
439 above average independent of the Z height that is used for the analysis. Those are all  
440 PBL combinations with the Thompson scheme and Morrison-YSU. If the performance  
441 of an individual score is more important than the average performance, other physics com-  
442 binations might be more appropriate such as the P3-YSU combination, which results in  
443 the smallest MCS displacement.

444 In addition to the tests of CAPPI altitudes, we test the sensitivity of model per-  
445 formance to radar coverage/capture domain. These tests are only possible at the SGP  
446 site using NEXRAD GridRad product given the available radar networks (Fig.11). Over-  
447 all, sensitivities are generally smaller than the physics sensitivity. This implies that the  
448 selection of well-performing physics options is not affected by this setting.

#### 449 **4 Summary, Discussion, and Conclusion**

450 We present a new model evaluation method that allows us to differentiate spatiotem-  
451 poral displacement biases from biases in the simulated structure and intensity of the MCSs.  
452 We evaluate the skill of kilometer-scale models in simulating MCSs using SGP and MAO  
453 radar and GOES satellite observations. We are particularly interested in the impact of  
454 the model microphysics and PBL scheme on the simulations of mid-latitude and trop-  
455 ical MCSs. The main results of this study are as follows.

- 456 • Kilometer-scale models equally well simulate continental tropical and mid-latitude  
457 MCSs in terms of the spatial structure and intensity of the convection and the cloud  
458 top field. However, spatial and temporal displacements tend to be smaller in mid-  
459 latitudes, likely due to the ability of the model to capture the large-scale forcing-  
460 driven convection.
- 461 • Model physics that work well in mid-latitudes do not necessarily work well in the  
462 tropics and vice versa. For instance, simulations using the MYNN2.5 PBL scheme  
463 best simulate the pattern correlations of cloud BT in SGP but perform worst in  
464 the Amazon basin. Nevertheless, we can identify model settings that perform above  
465 average in both environments, such as the Thompson and Morrison microphysics  
466 with the YSU PBL scheme or the Thompson scheme with the MYJ PBL scheme.



**Figure 1.** Computational 4 km WRF domains for simulating MCS cases in the U.S. Southern Great Plains (SGP; a) and Amazon basin (MAO; b). The colored contours show the model topography. Each domain consists of  $500 \times 500 \times 96$  grid cells. The black circle shows the location of the ARM SGP and MAO site.

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Finding model setups that work well in different environments is critical for global kilometer-scale modeling efforts.

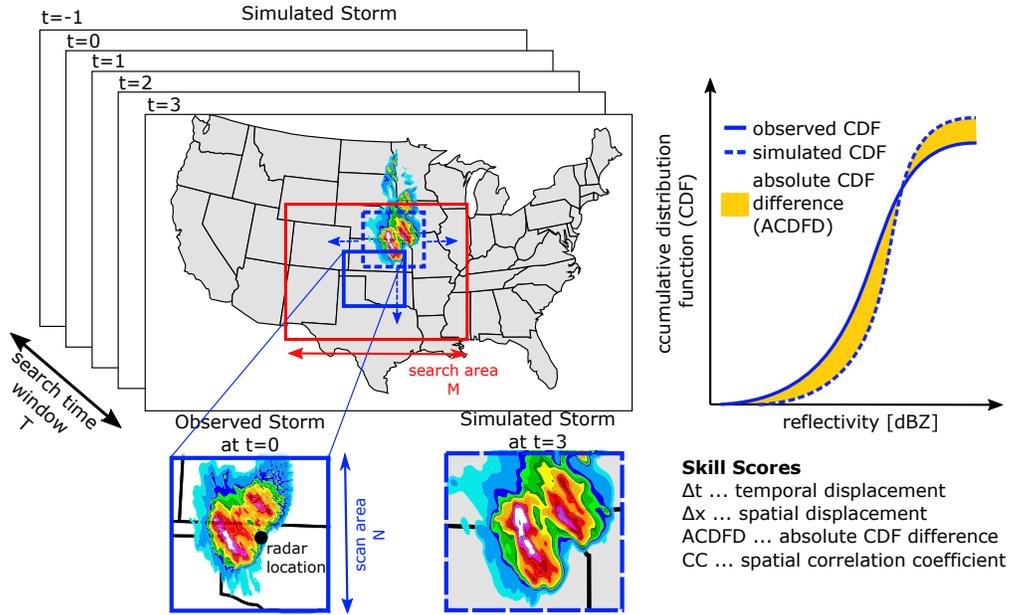
- SGP MCS simulations are most sensitive to changes in the microphysics, in agreement with previous studies (Stephan & Alexander, 2014). Amazon MCSs are more sensitive to the PBL scheme formulation. However, MCS case-to-case variability is the largest source of variability in our model performance evaluation, highlighting the necessity of an ensemble-based approach for model evaluation.
- There is little correlation between the model’s performance in simulating  $Z$  and cloud BTs. This indicates that model physics are potentially tuned to capture one or the other, but have difficulties capturing both fields simultaneously in a physically sound way.

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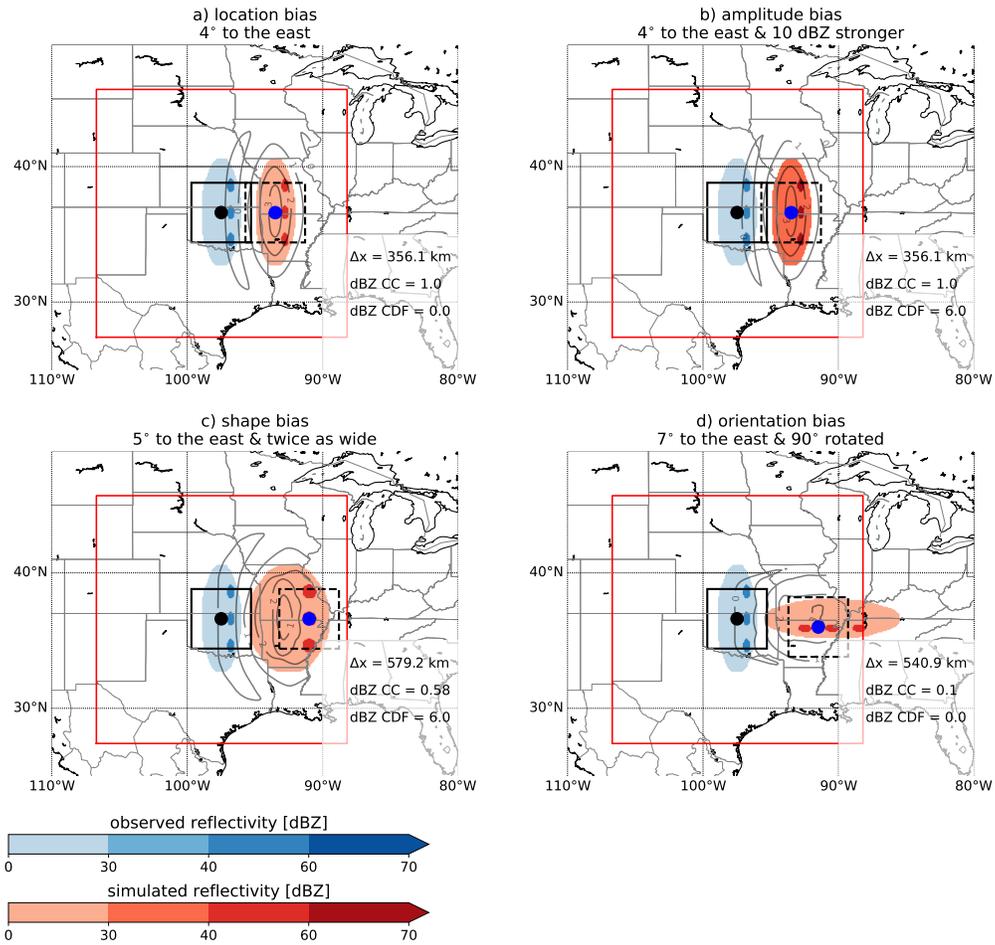
Future studies should focus on the simulation of the 3D structure of  $Z$  in kilometer-scale simulations to better understand potential biases in the vertical structure of MCSs due to deficiencies in simulating convective properties (e.g., up-and down-drafts as shown in Wang et al. (2020)) at 4 km grid spacing in combination with biases in the model physics. A high-priority research area is to better understand the ability of kilometer-scale models to simulate oceanic MCSs, particularly over the tropics due to their importance for the global water and energy cycle.

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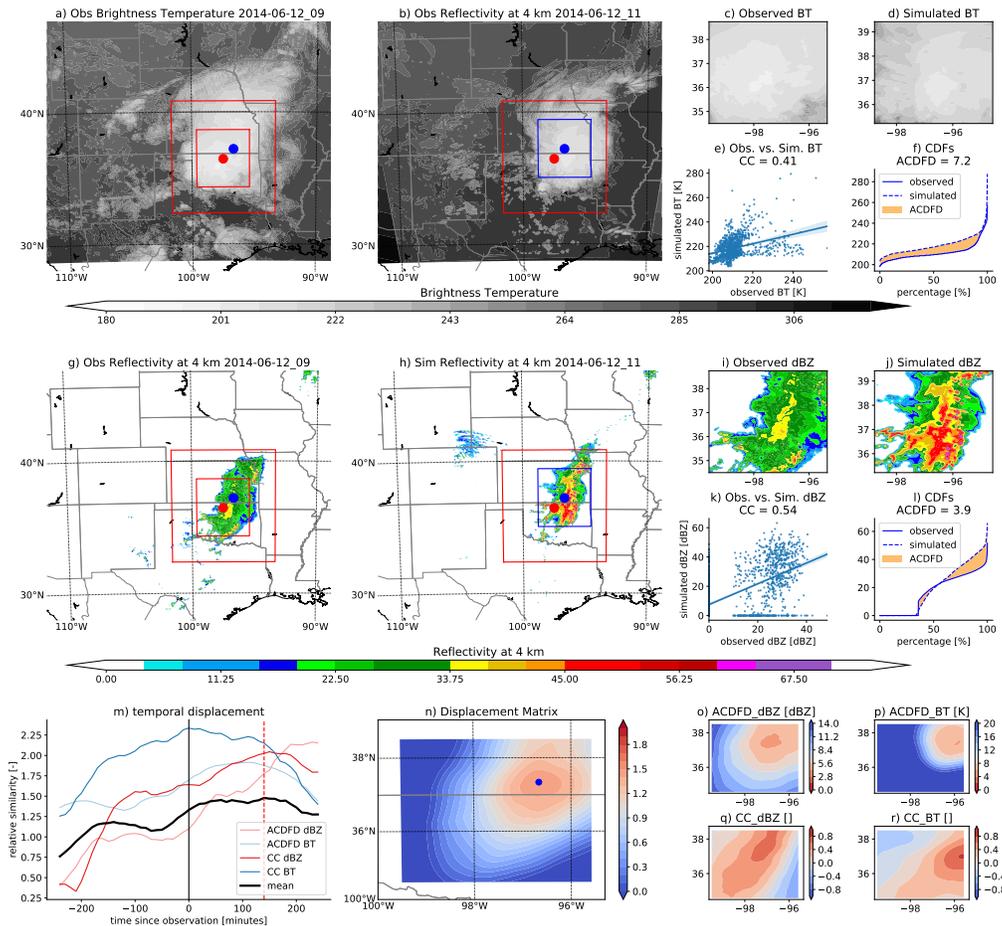
The results from this study will inform the model setup of additional MCS simulations in the U.S. Southern Great Plains and the Amazon basin at different horizontal grid spacings. These simulations will assess the bulk and structural convergence of MCS characteristics in these two environments and will help to improve the representation of MCSs in weather and climate models.



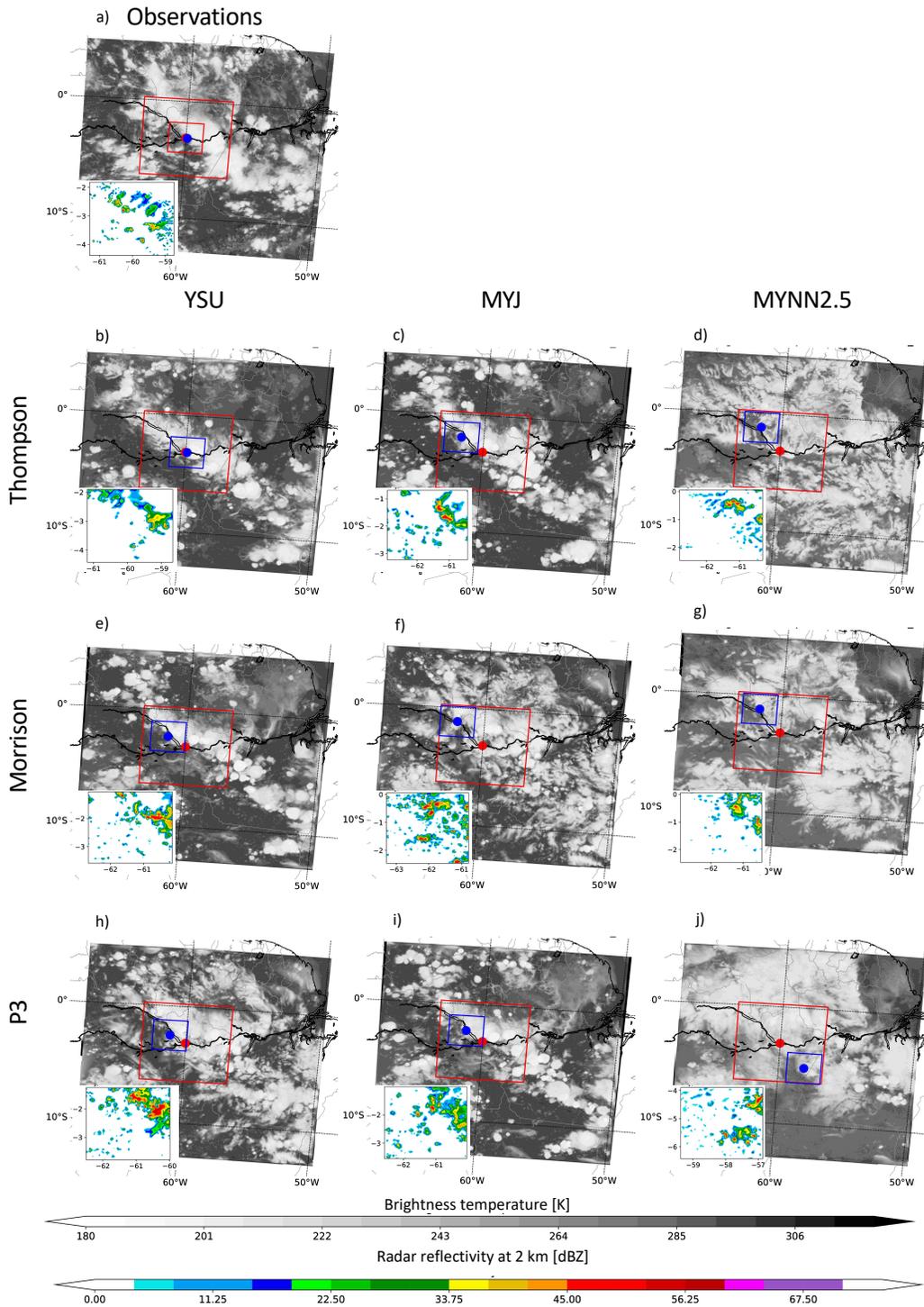
**Figure 2.** Schematic showing the evaluation framework. An observed storm that passes over a target location (here the SGP ARM site) is defined at time  $t = 0$  or  $t_0$  (lower left corner) within a scan area ( $N$ ; e.g. areal extend of a radar station). A search time window ( $T$ ) and search area ( $M$ ; red rectangle in the large map) are defined. Simulated data are derived within the search time window ( $t_0 - T/2 \dots t_0 + T/2$ ) and within the search area  $M$ . Two scores are calculated for all possible shifts of the scan area within the search area and every time step ( $(M - N) \times (M - N) \times T$  combinations for each score). The scores are the spatial correlation coefficient (CC) and the absolute cumulative distribution function difference (ACDFD; orange area in right figure).



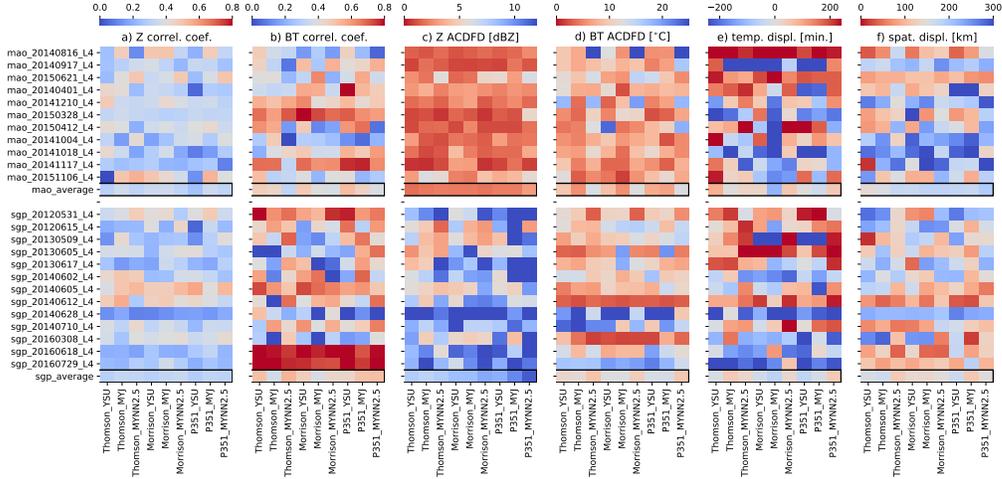
**Figure 3.** Ideal test case experiment showing the impact of displacements (a), intensity (b), shape (c), and rotational biases (d) on the spatial displacement ( $\Delta x$ ), correlation coefficient (CC), and absolute cumulative distribution differences (CDF) skill score between an observed (blue) and simulated (red) storm system. The red rectangle shows the algorithm’s search area ( $M=14$  degrees), the hypothetical location of a radar site (here the ARM SGP site), the approximate reach of an S-Band radar (black) rectangle (4.4 degrees), displacement matrix (gray contour lines), and the optimal displacement location (blue circle and black dashed rectangle).



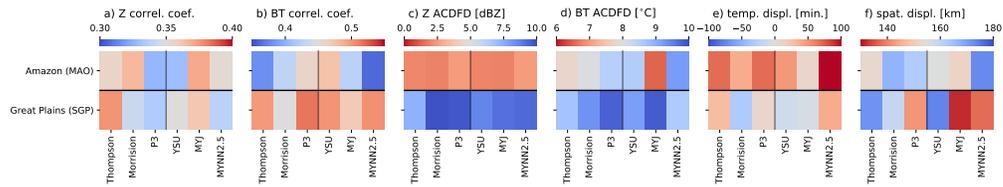
**Figure 4.** Observed brightness temperature (BT in K; a) and reflectivity (Z in dBZ; g) field during an MCS overpass over the ARM SGP site (red dot in a,b,g,h) on June 12, 2014, 9 UTC. Simulated BT (b) and Z (h) field that is most similar to the observed fields using the Thompson microphysics and the YSU PBL scheme. a,b,g,h) The large red rectangle shows the search area and the small red rectangle the scan area. The blue rectangle in b,h shows the most similar simulated area compared to the observed scan area with the blue dot indicating the best estimate for the displacement error. Additionally shown is a zoomed-in version of the observed scan area and the most similar simulated BT (c,d) and Z (i,j). The scatter plot and Spearman correlation coefficient (CC) are shown for BT (e) and Z (k). Only every tenth point is shown in the scatter plot to improve visibility. The absolute commutative distribution differences (ACDFD; orange area) are shown for BT (f) and Z (l). m) Maxima of the normalized spatial fields of Z ACDFD (light red), BT ACDFD (light blue), Z CC (dark red), and BT CC (dark blue). The maxima of the averaged normalized spatial field of these four components is shown as a thick black line and the time displacement (peak value) of the simulated optimal field (120-minutes too late) is indicated with a red dashed line. n) Displacement matrix with the optimal simulated location shown as a blue circle and the four components of the displacement matrix including o) Z ACDFD, p) BT ACDFD, q) Z CC, and r) BT CC during the optimal displacement time.



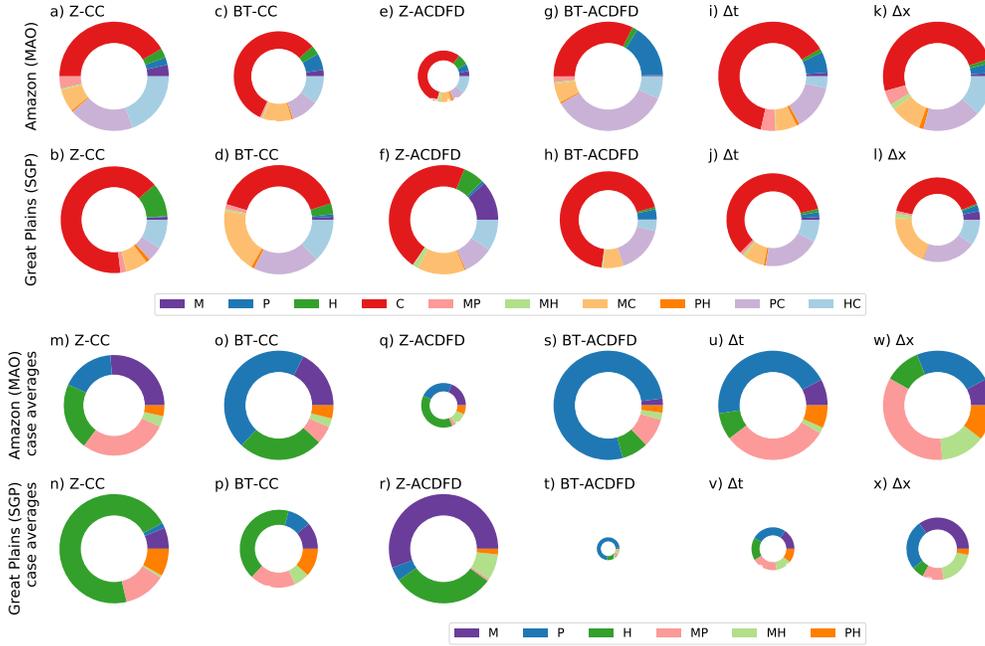
**Figure 5.** Example of the observed (a) and simulated (b-j) BT (gray contours) and radar reflectivity (inlet in lower left) at 2 km for the Nov. 17, 2014, MCS case in the Amazon. Results using the Thompson, Morrison, and P3 microphysics scheme are shown top down and YSU, MYJ, and MYNN2.5 planetary boundary layer scheme from left to right.



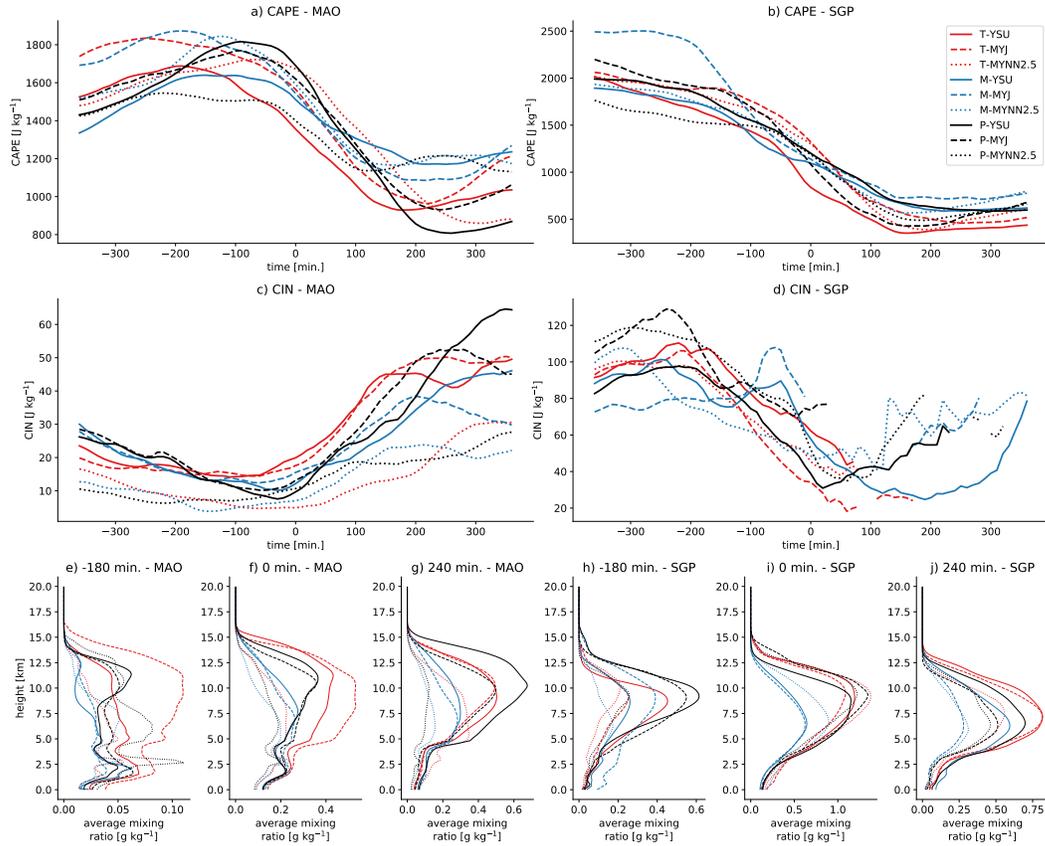
**Figure 6.** Heatmap of the reflectivity (Z) correlation coefficient (a), BT correlation coefficient (b), Z ACDFD (c), BT ACDFD (d), temporal displacement (e), and spatial displacement (f). Each panel shows results from the 9 different physics perturbations (columns) and case experiments (rows) in the Amazon (mao; top block) and U.S. central Great Plains (sgp; bottom block). The average performance (mean over all cases) is highlighted in a black rectangle. Shown are results for a 2 km radar scanning heights.



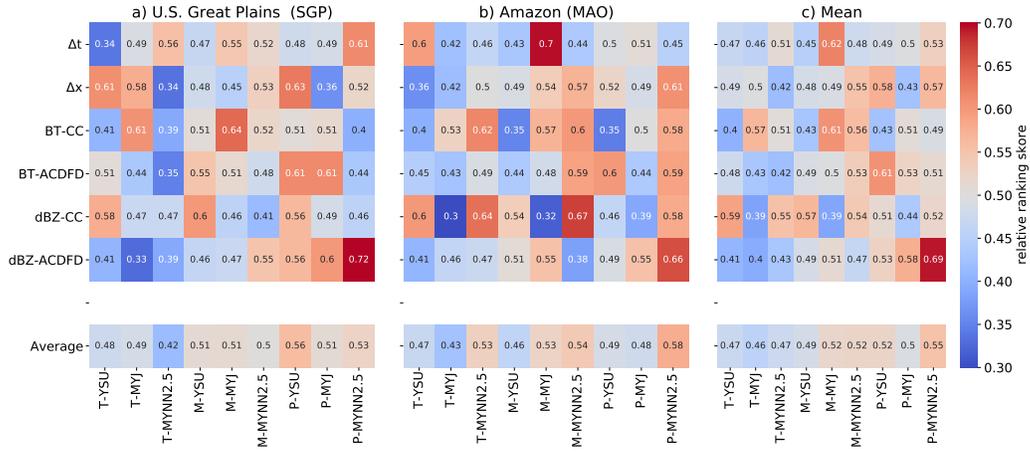
**Figure 7.** Average skill scores over all cases and reflectivity heights in Fig. 6. Note that the color bar ranges are narrower compared to Fig. 6.



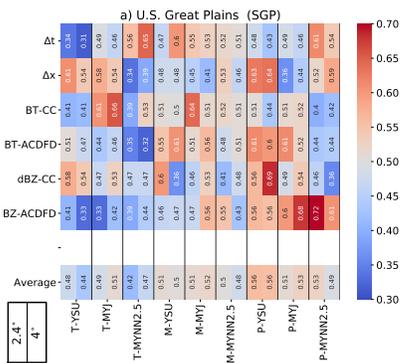
**Figure 8.** Variance decomposition showing the contribution of the PBL scheme (P), microphysics scheme (M), radar scanning height (H), case-to-case variability (C), and mixed terms (e.g., PM denotes the variability from the PBL and microphysics combination) to the total variance in the Z and BT correlation coefficient (CC), Z and BT absolute cumulative distribution function difference (ACDFD), temporal ( $\Delta t$ ), and spatial displacement ( $\Delta x$ ); from left to right. Results are shown including case-to-case variability (top two rows) and for the variability averaged over cases (bottom two rows). For each of these two options results from the Great Plains (SGP, top) and Amazon (MAO, bottom) simulations are shown. Circle sizes indicate the total amount of variability relative to the region with the larger variability (i.e., smaller circles show smaller total variability).



**Figure 9.** Temporal development of CAPE (a, b), CIN (c, d), and average cloud hydrometeor mixing ratios (e–j) for MAO (left column) and SGP MCSs (right column). Shown are the average values over all cases in each region at the optimal location ( $\pm 15$  grid cells) and relative to the optimal time in the simulations. Panels e–j show the average cloud (frozen and liquid) hydrometeor mixing ratios within the  $\pm 15$  grid cells region around the optimal location 180 minutes before, at, and 240 minutes after the optimal time.



**Figure 10.** Average rank skill scores comparing the performance of the tested physics options (columns in each panel) over the SGP (a) and MAO (b) site including the mean scores of both locations (c) concerning temporal displacement ( $\Delta t$ ), spatial displacement ( $\Delta x$ ) BT correlation coefficients (BT-CC), BT absolute cumulative distribution function differences (BT-ACDFD), reflectivity (Z) correlation coefficient (Z-CC), and Z absolute cumulative distribution function differences (Z-ACDFD). The bottom row in each panel shows the mean score averaged over all metrics.



**Figure 11.** Similar to Fig. 10 but showing the impact of increasing the scan area from 2.4° (left sub-columns) to 4° (right sub-columns) for U.S. Great Plains cases. The radar scan height is 2 km.

**Table 1.** Selected MCS cases in the U.S. central Great Plains (SGP) and Amazon basin (MAO). The date and time indicates the overpass of the MCS over the corresponding ARM site as defined in Wang et al. (2019).

Region	Date & Time [UTC]	Season	Morphology
SGP	2012.05.31 04:00	spring	squall line
SGP	2012.06.15 07:00	spring	squall line
SGP	2013.05.09 07:00	spring	squall line
SGP	2013.06.05 09:00	spring	bow echo
SGP	2013.06.17 07:00	spring	squall line
SGP	2014.06.02 04:00	spring	squall line
SGP	2014.06.05 12:00	spring	bow echo
SGP	2014.06.12 06:00	spring	squall line
SGP	2014.06.28 16:00	spring	weakly organized
SGP	2014.07.10 10:00	summer	training line
SGP	2016.03.08 15:00	spring	weak squall line
SGP	2016.06.18 10:00	spring	mesoscale convective complex
SGP	2016.07.29 09:00	summer	squall line
MAO	2014.08.16 14:00	dry	local, small system
MAO	2014.09.17 17:00	dry	squall line
MAO	2015.06.21 14:00	dry	squall line
MAO	2014.04.01 15:00	wet	training line
MAO	2014.12.10 14:00	wet	local, weakly organized
MAO	2015.03.28 15:00	wet	local, weakly organized
MAO	2015.04.12 12:00	wet	squall line
MAO	2014.10.04 13:00	transition	squall line
MAO	2014.10.18 14:00	transition	local, weakly organized
MAO	2014.11.17 18:00	transition	squall line
MAO	2015.11.06 12:00	transition	squall line

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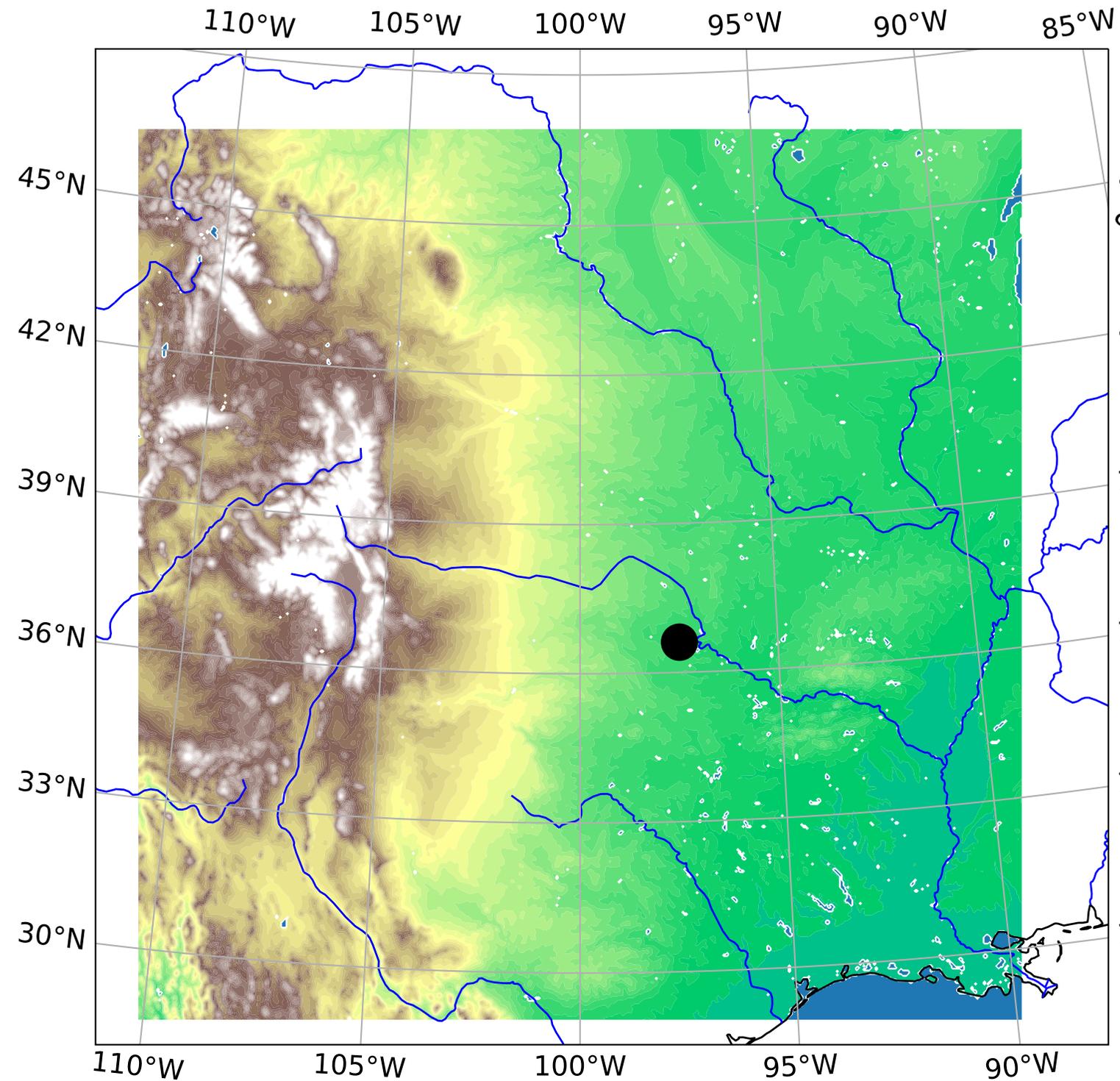
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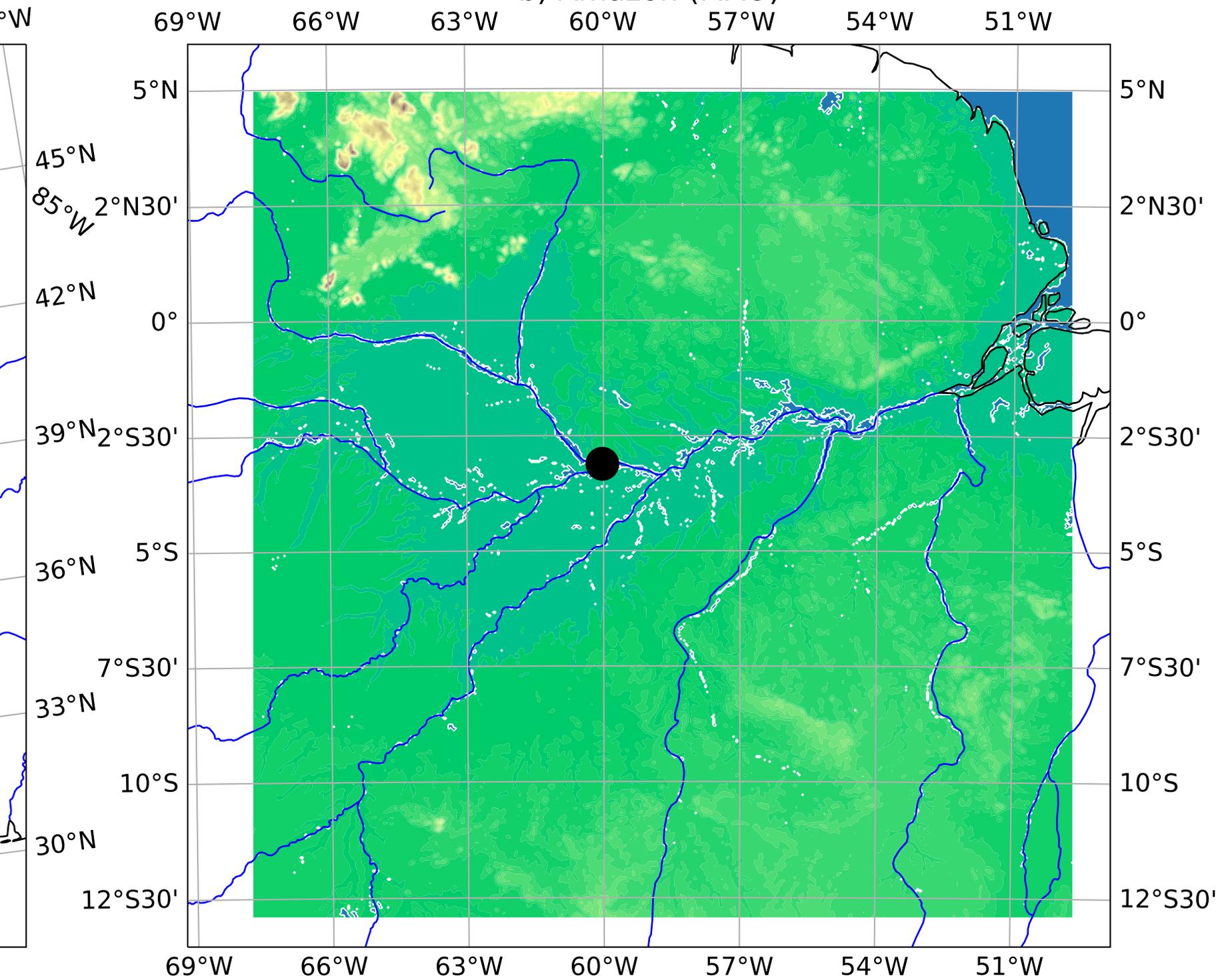
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Figure 1.

a) U.S. Southern Great Plains (SGP)



b) Amazon (MAO)



Topography [m]

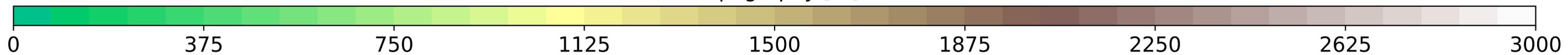
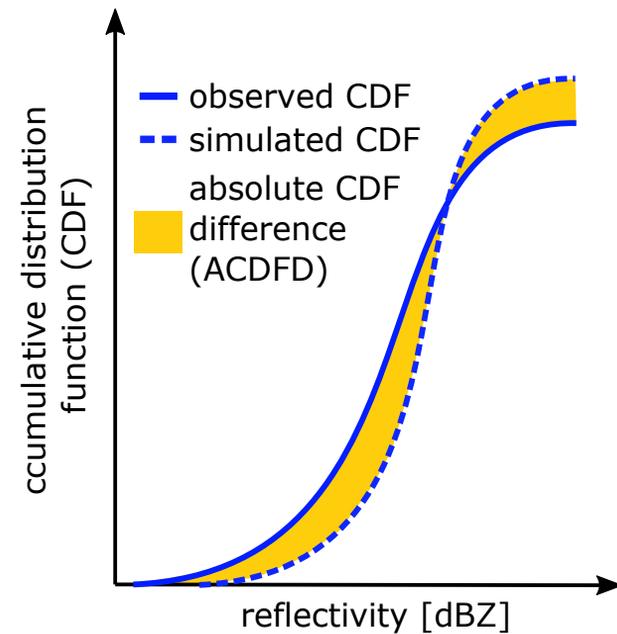
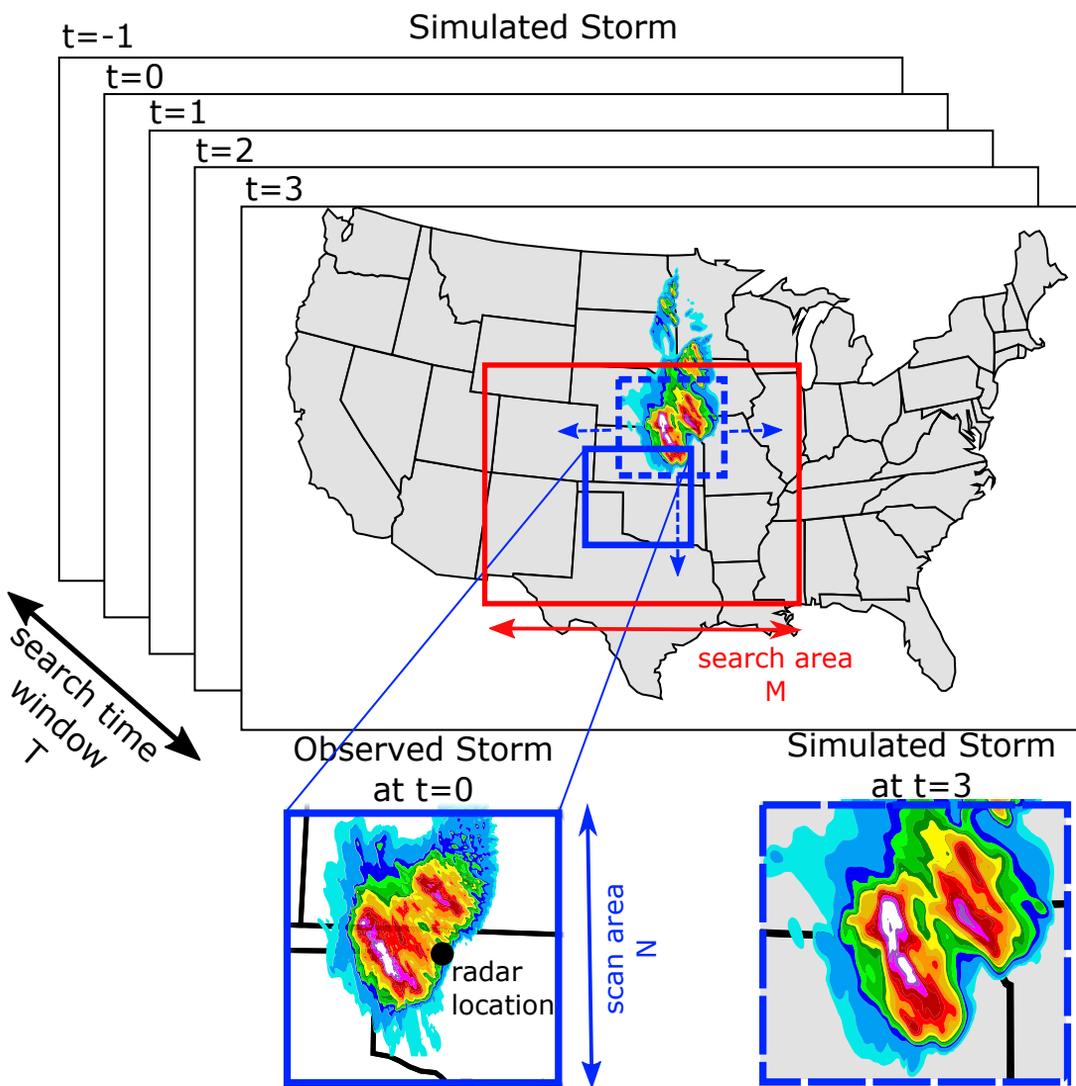


Figure 2.



### Skill Scores

$\Delta t$  ... temporal displacement

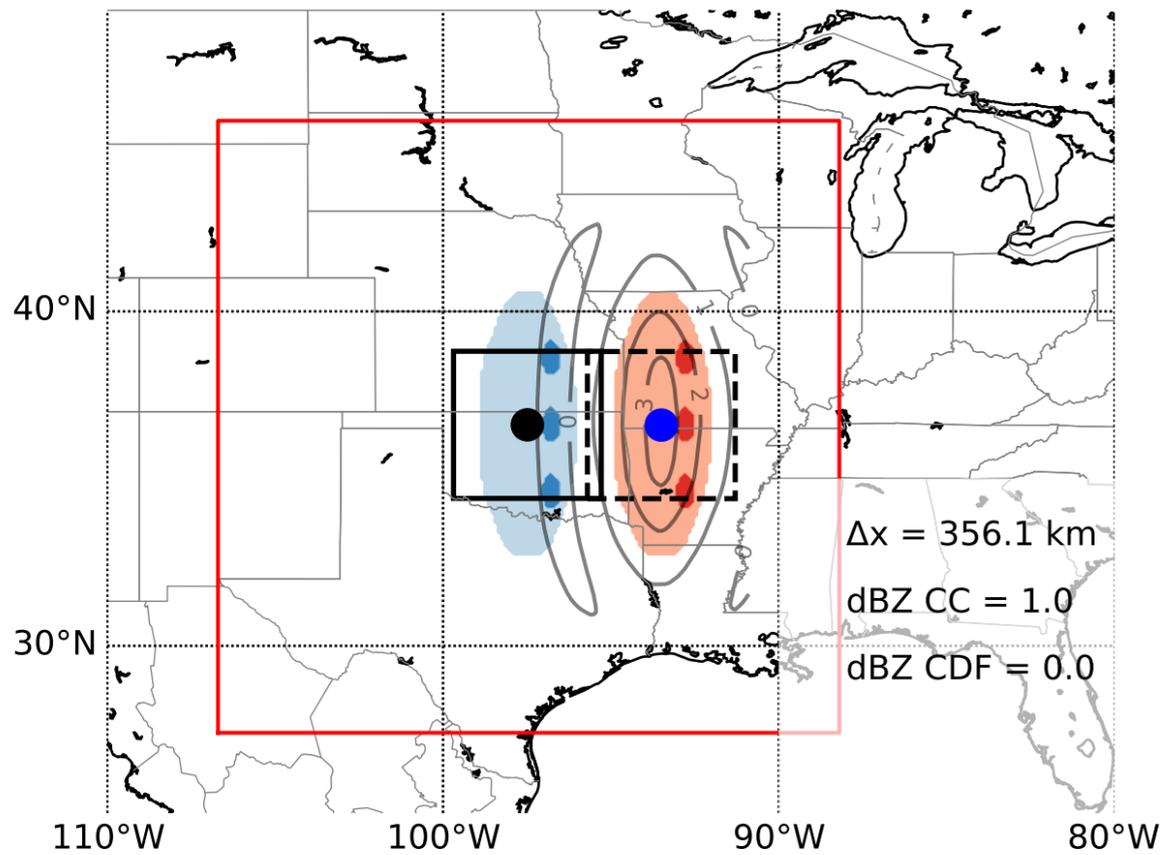
$\Delta x$  ... spatial displacement

ACDFD ... absolute CDF difference

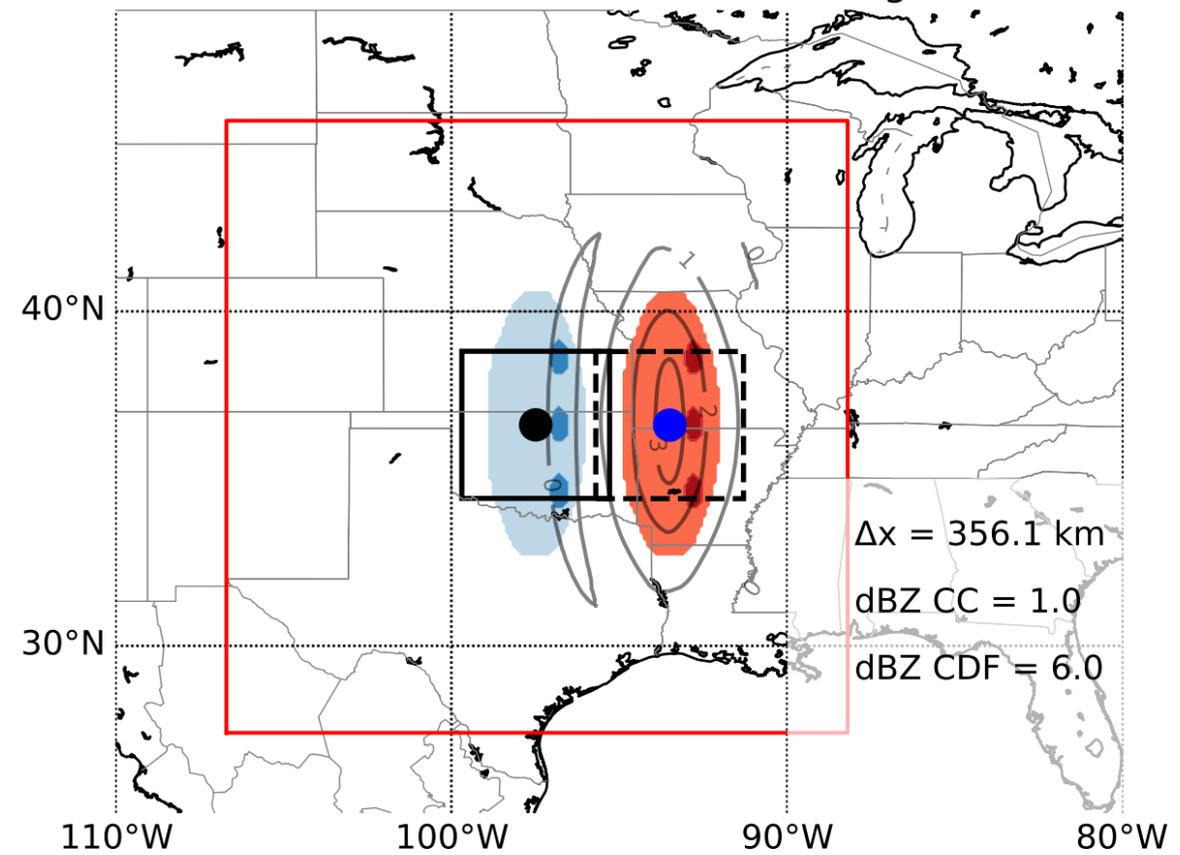
CC ... spatial correlation coefficient

Figure 3.

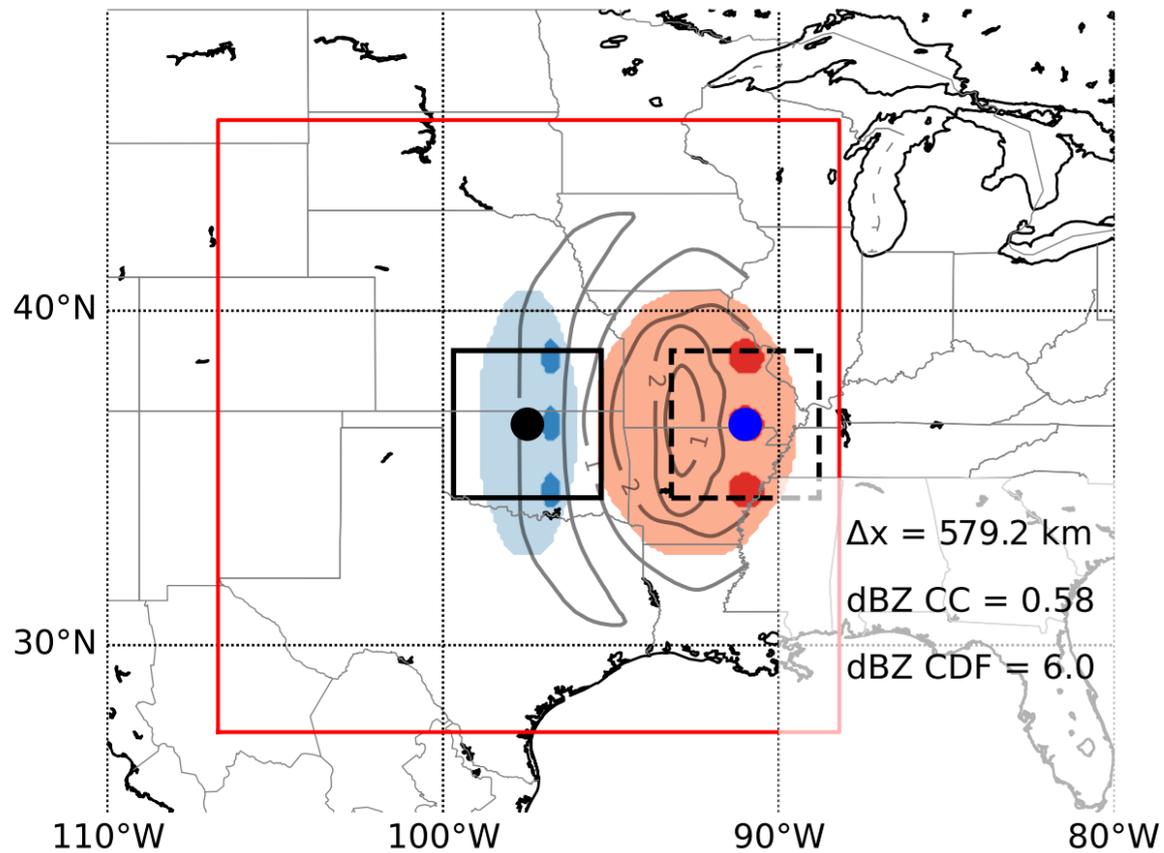
a) location bias  
4° to the east



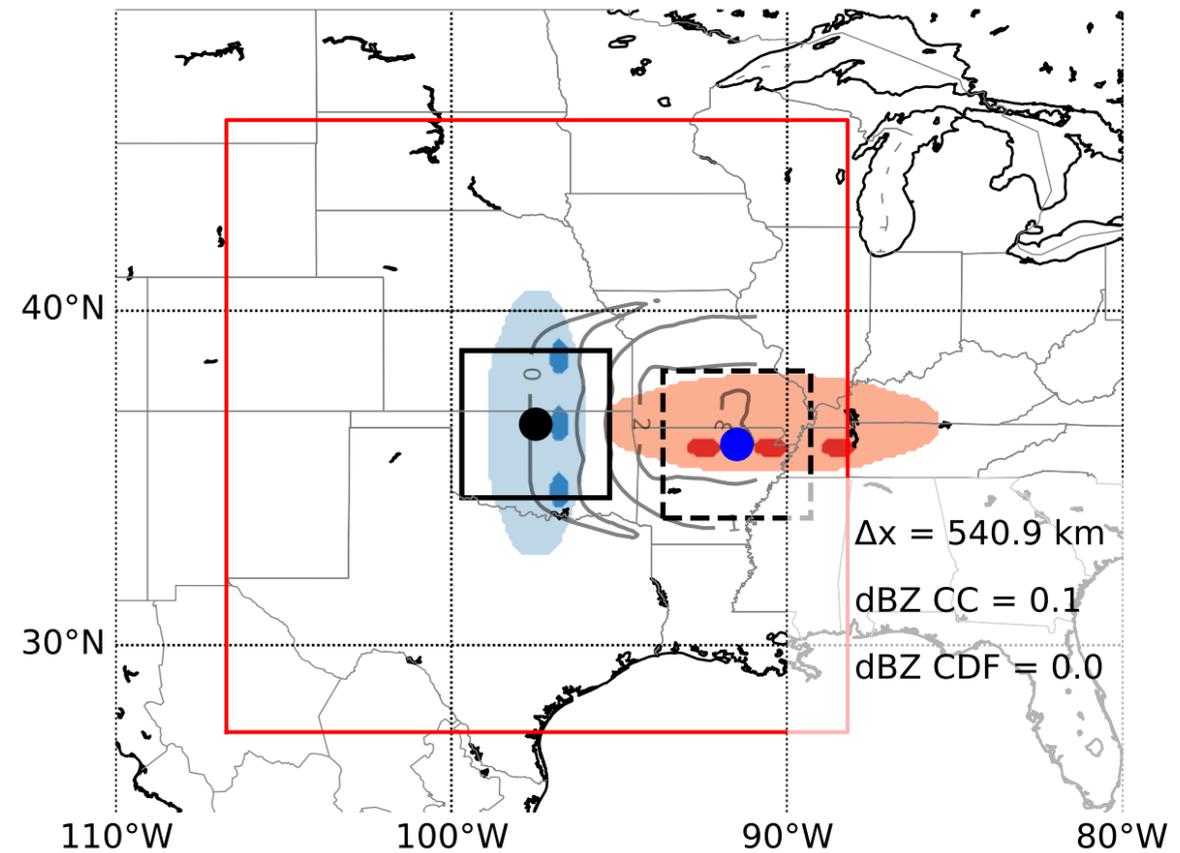
b) amplitude bias  
4° to the east & 10 dBZ stronger



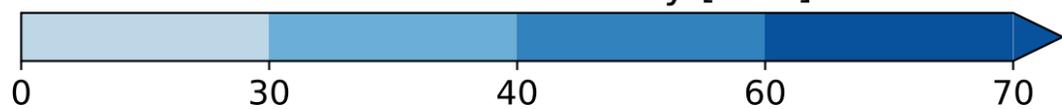
c) shape bias  
5° to the east & twice as wide



d) orientation bias  
7° to the east & 90° rotated



observed reflectivity [dBZ]



simulated reflectivity [dBZ]

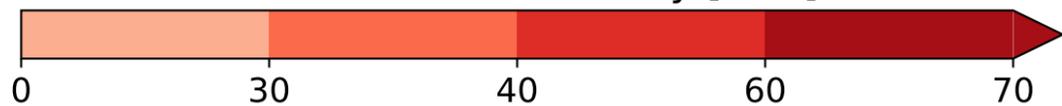
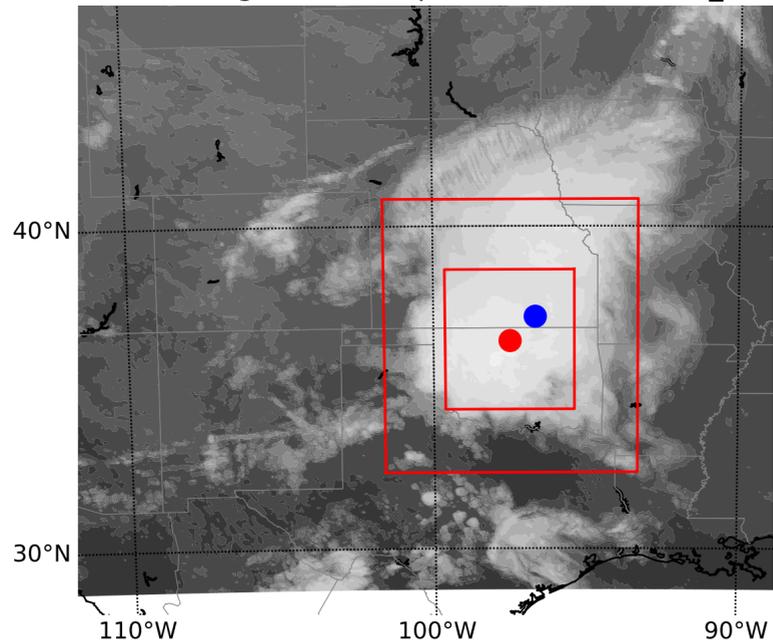
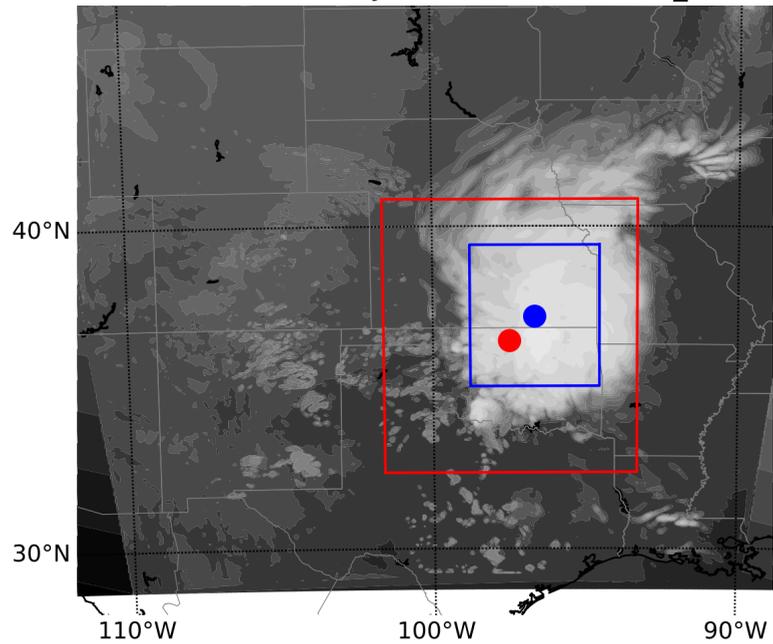


Figure 4.

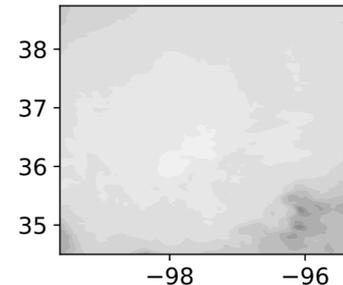
a) Obs Brightness Temperature 2014-06-12\_09



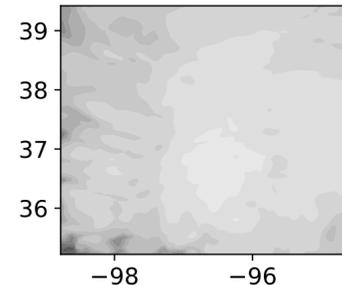
b) Obs Reflectivity at 4 km 2014-06-12\_11



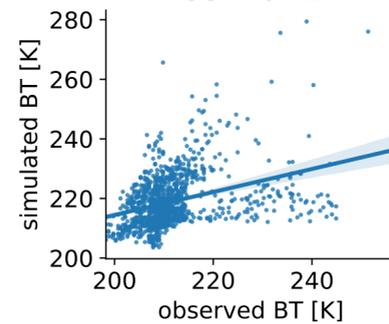
c) Observed BT



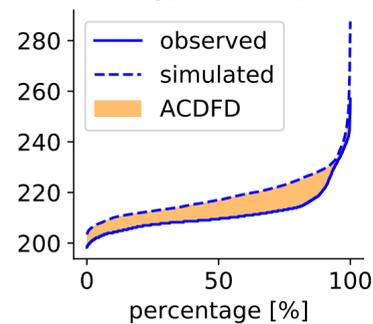
d) Simulated BT



e) Obs. vs. Sim. BT  
CC = 0.41



f) CDFs  
ACDFD = 7.2

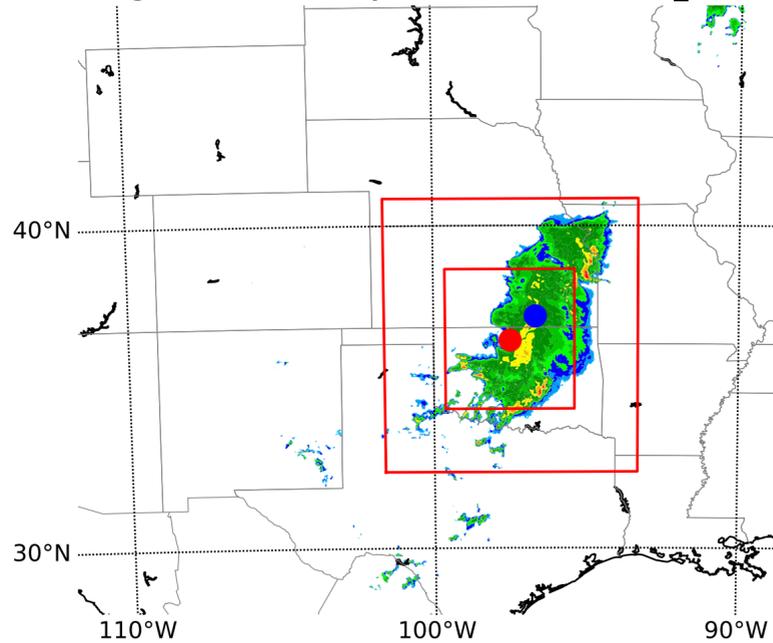


180 201 222

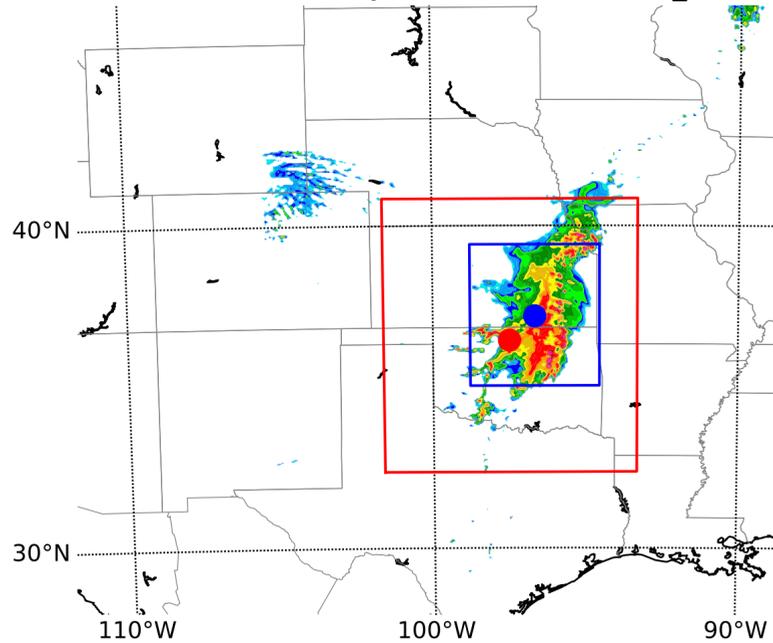
Brightness Temperature

285 306

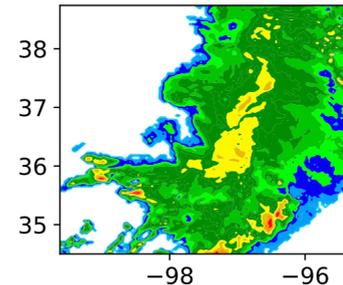
g) Obs Reflectivity at 4 km 2014-06-12\_09



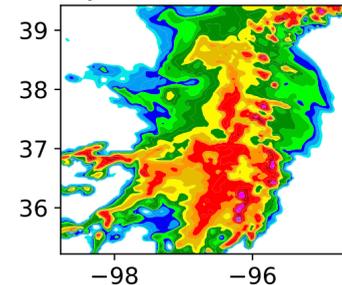
h) Sim Reflectivity at 4 km 2014-06-12\_11



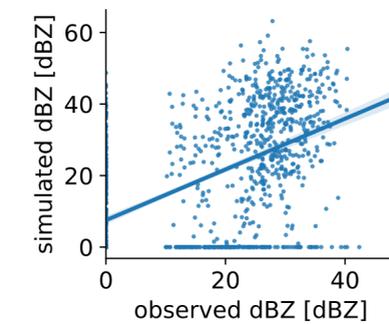
i) Observed dBZ



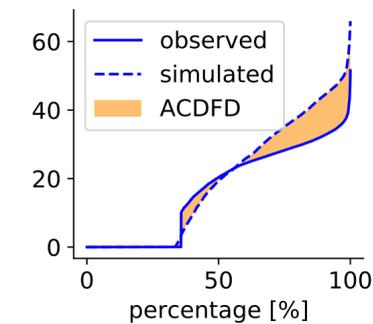
j) Simulated dBZ



k) Obs. vs. Sim. dBZ  
CC = 0.54



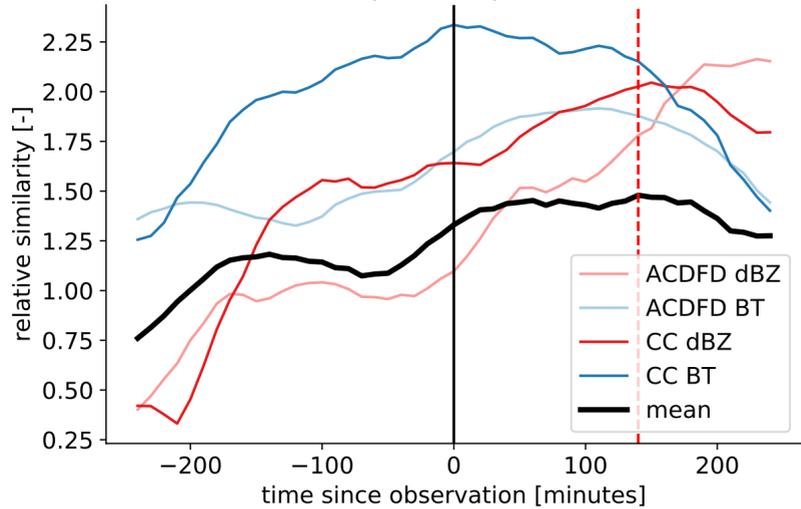
l) CDFs  
ACDFD = 3.9



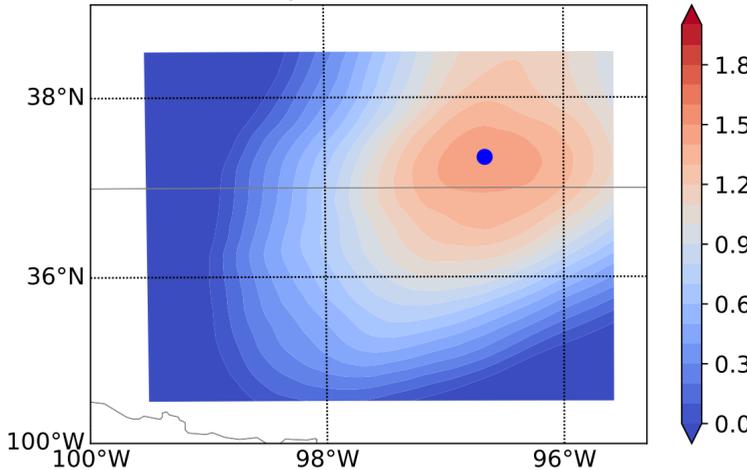
0.00 11.25 22.50 33.75 45.00 56.25 67.50

Reflectivity at 4 km

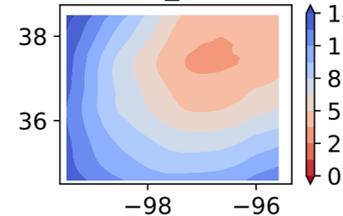
m) temporal displacement



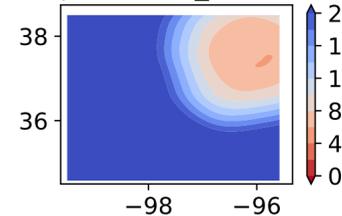
n) Displacement Matrix



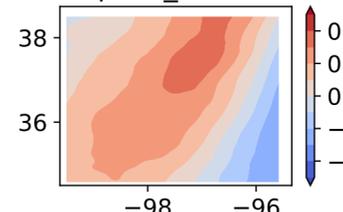
o) ACDFD\_dBZ [dBZ]



p) ACDFD\_BT [K]



q) CC\_dBZ [ ]



r) CC\_BT [ ]

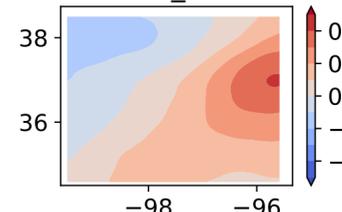
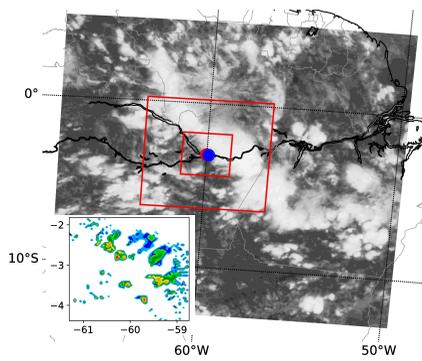


Figure 5.

a) Observations



YSU

MYJ

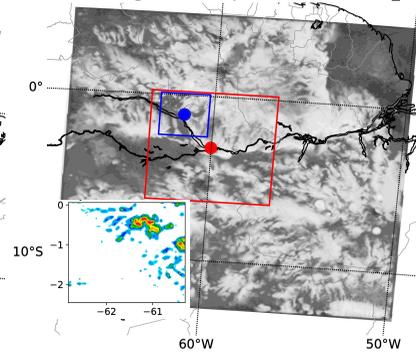
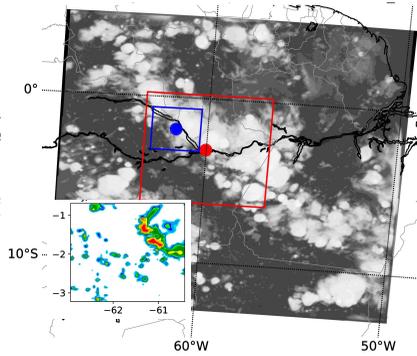
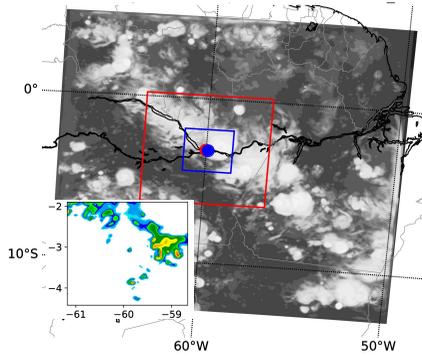
MYNN2.5

b)

c)

d)

Thompson

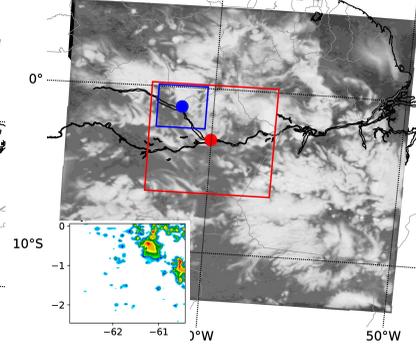
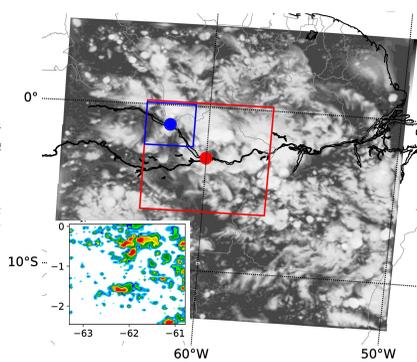
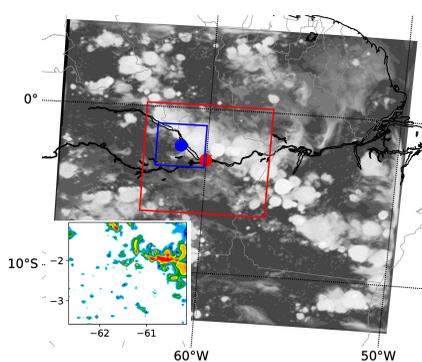


e)

f)

g)

Morrison

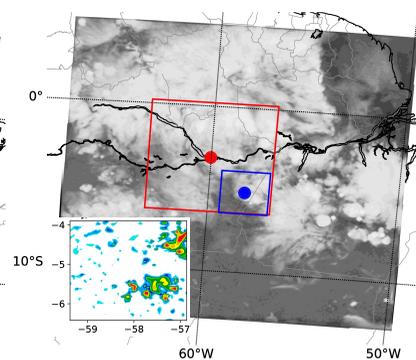
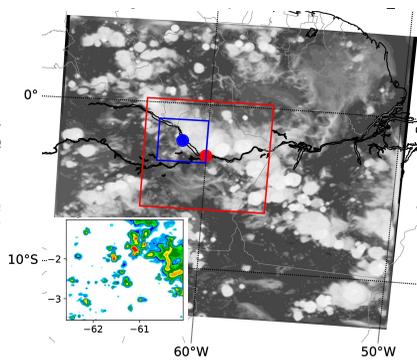
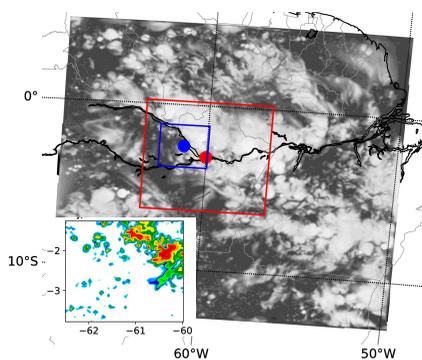


h)

i)

j)

P3



Brightness temperature [K]

Radar reflectivity at 2 km [dBZ]

180      201      222      243      264      285      306

0.00      11.25      22.50      33.75      45.00      56.25      67.50

Figure 6.

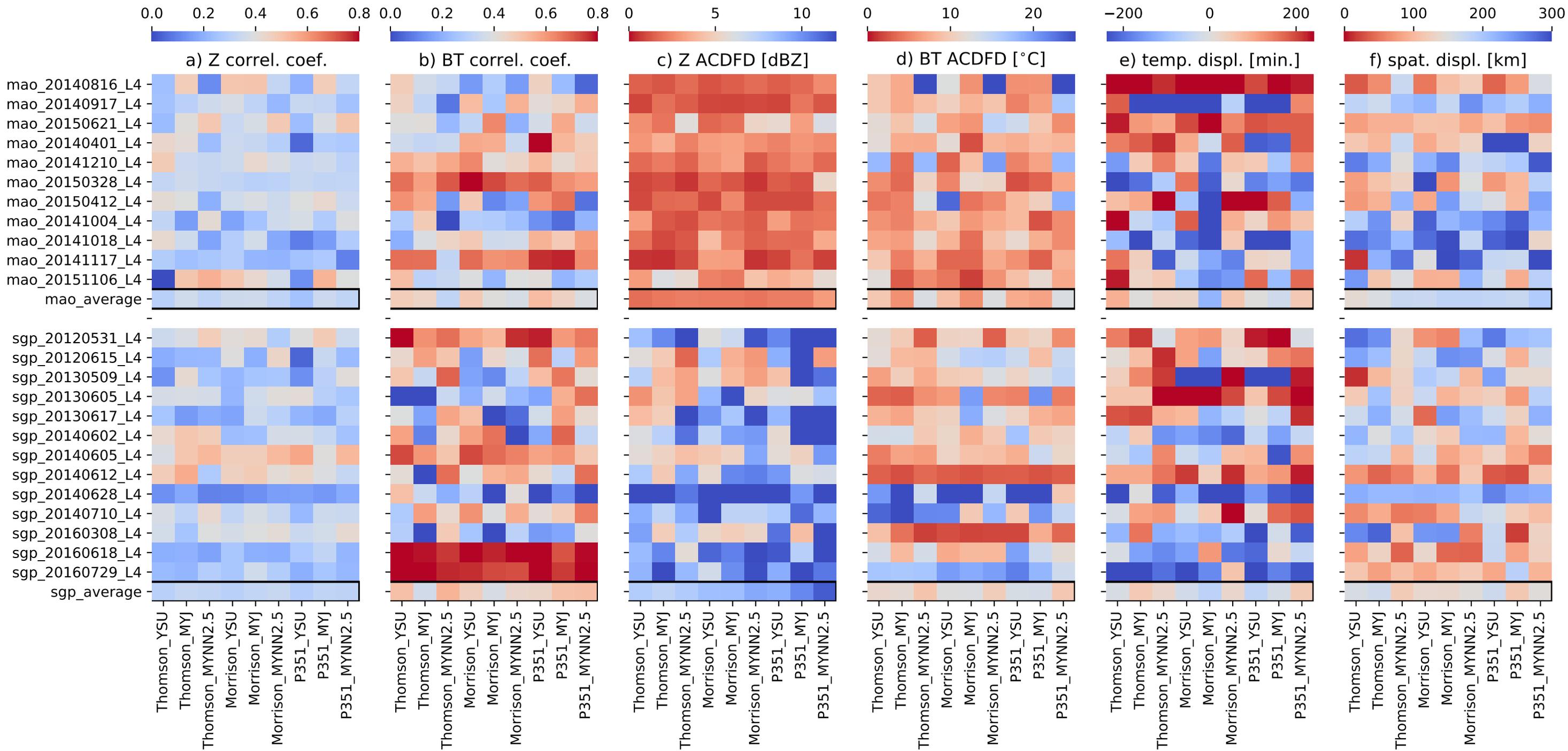


Figure 7.

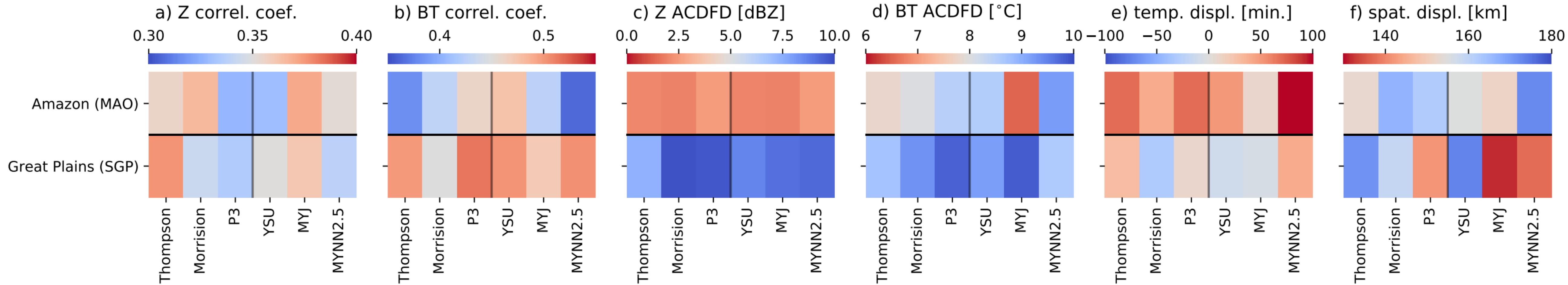


Figure 8.

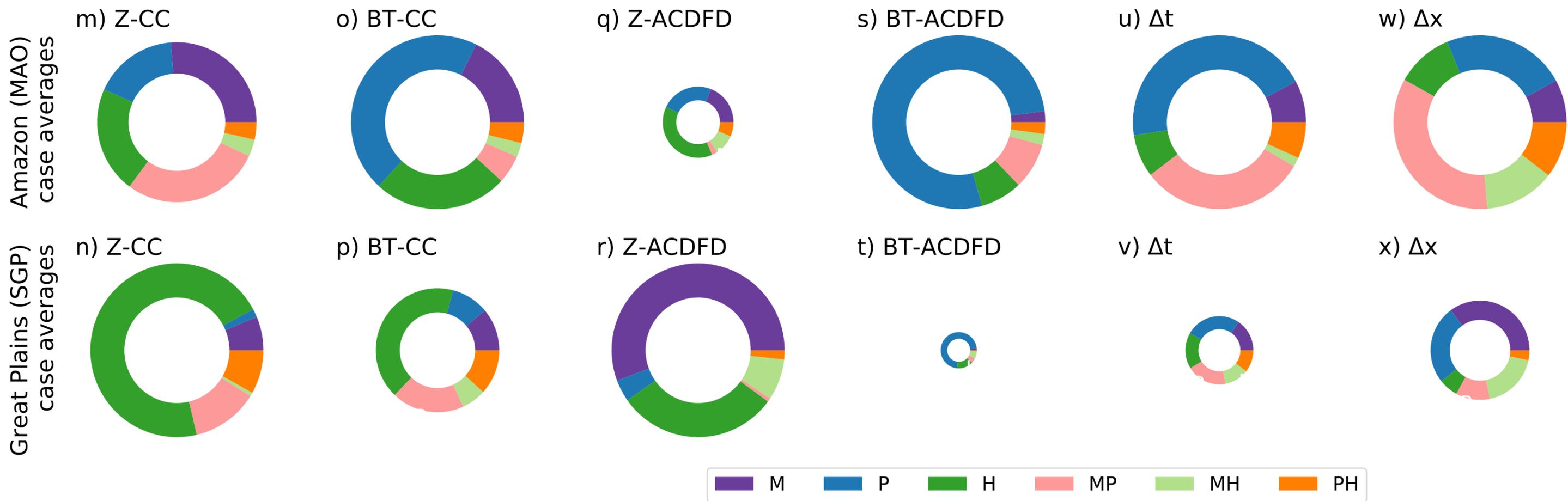
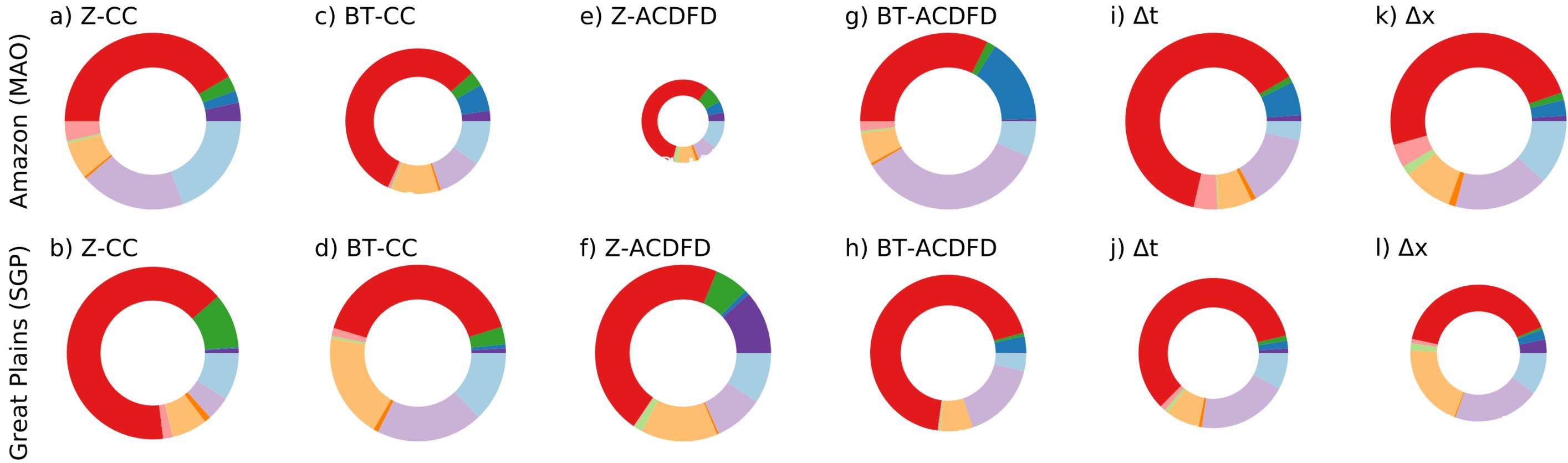
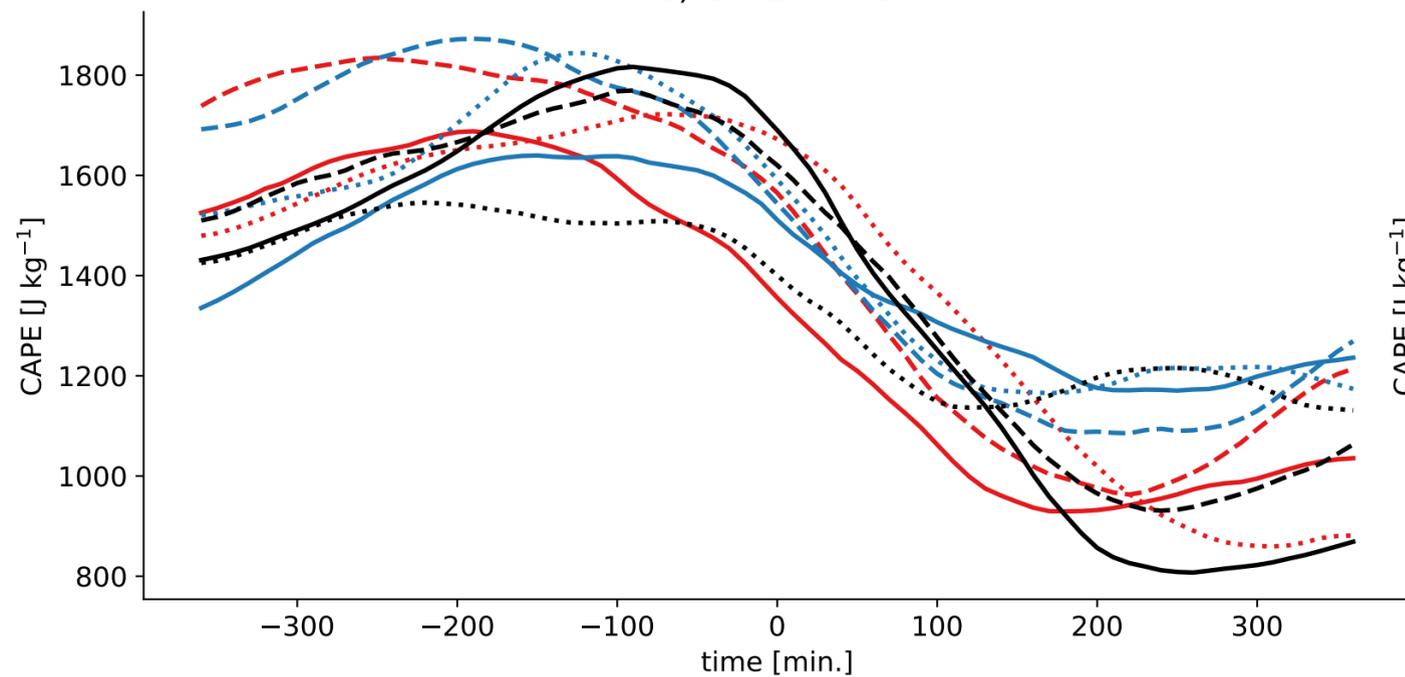
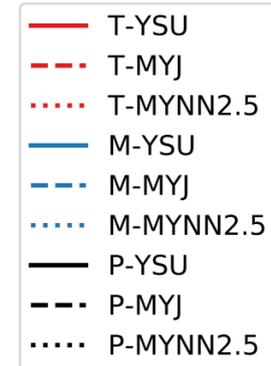
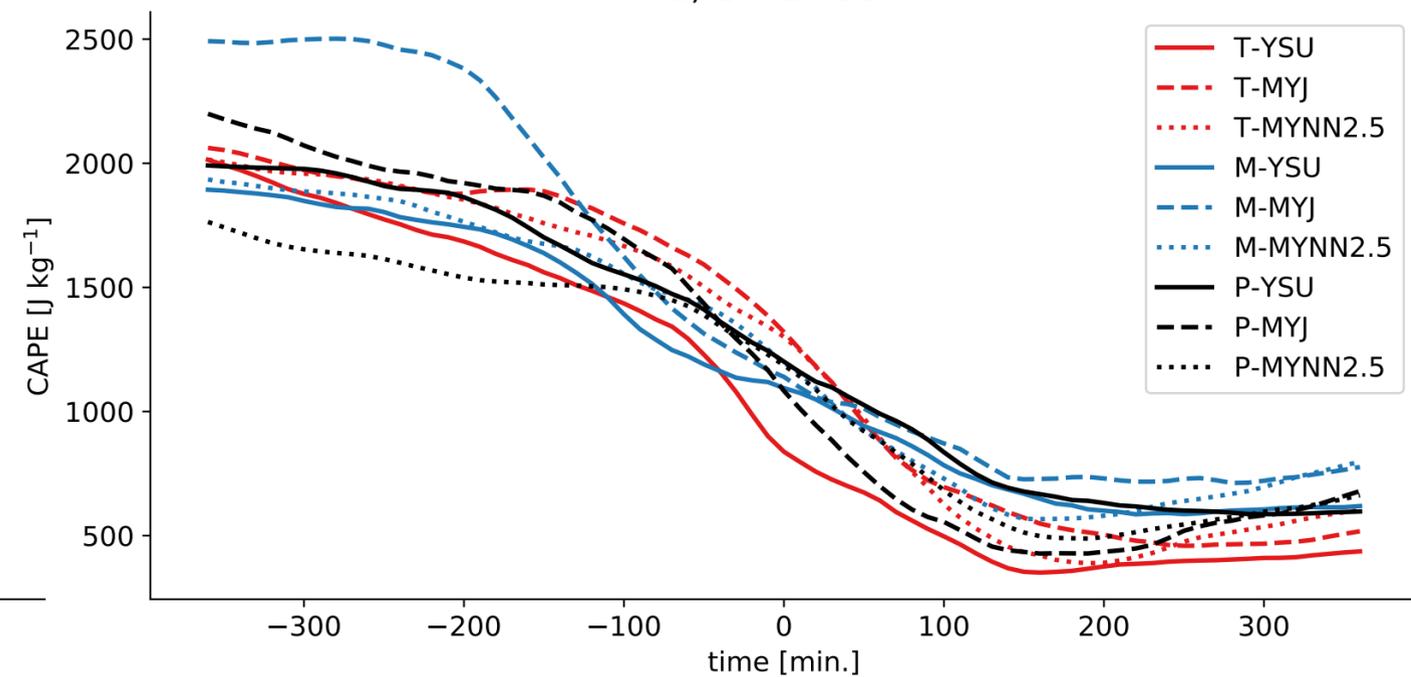


Figure 9.

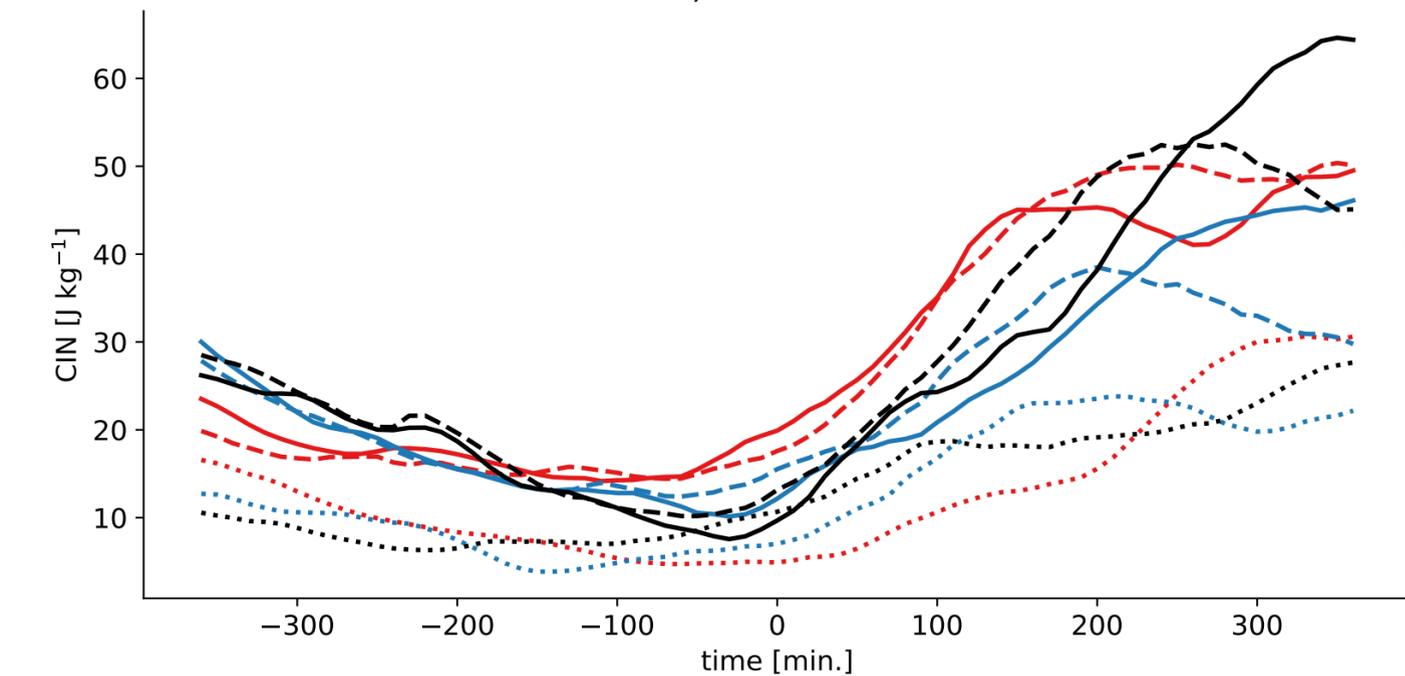
a) CAPE - MAO



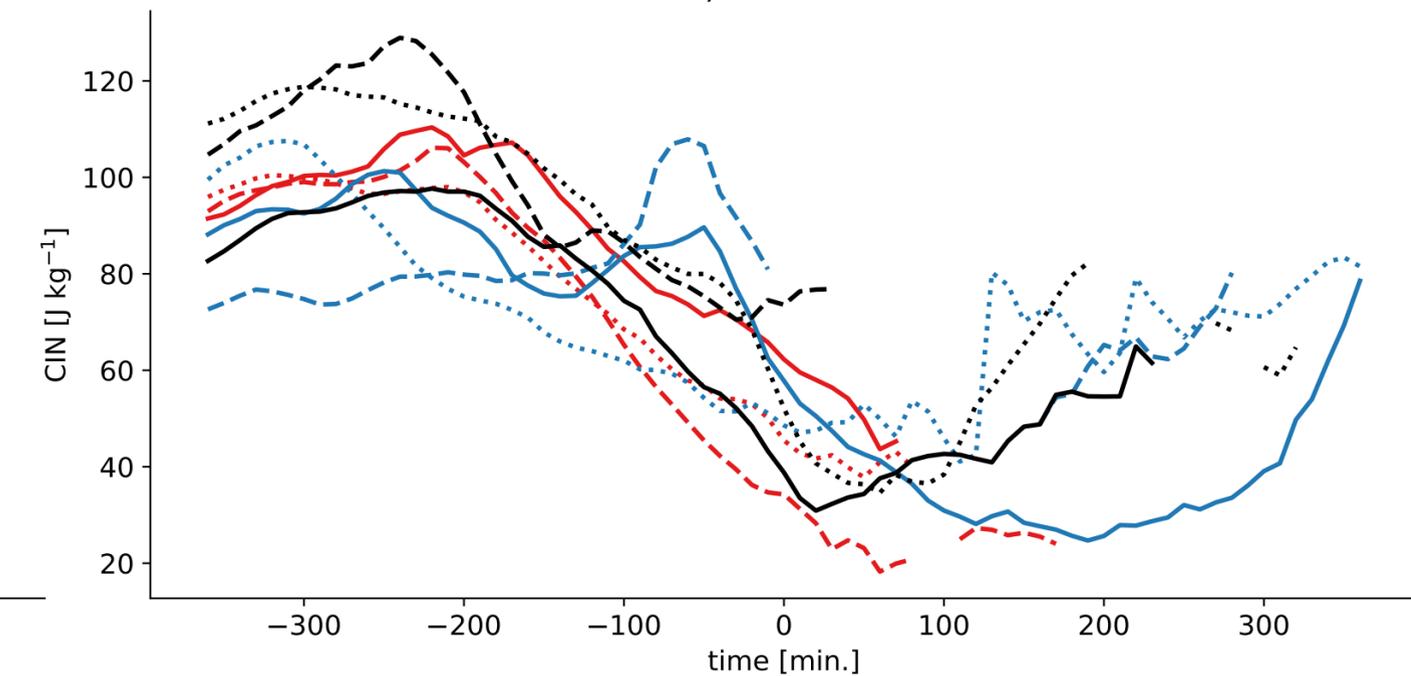
b) CAPE - SGP



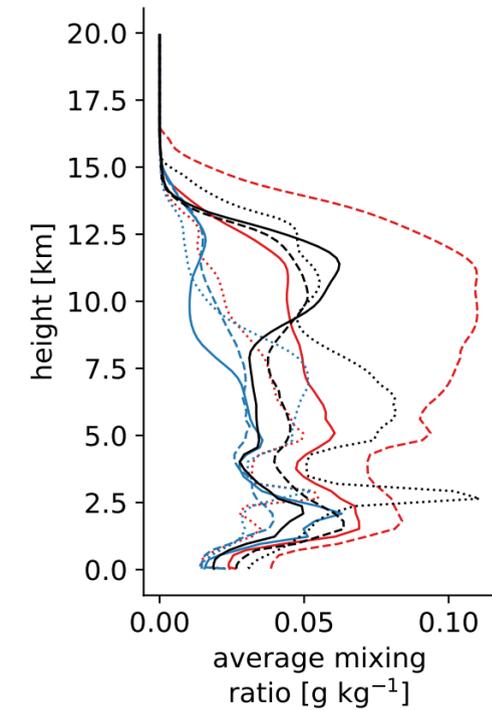
c) CIN - MAO



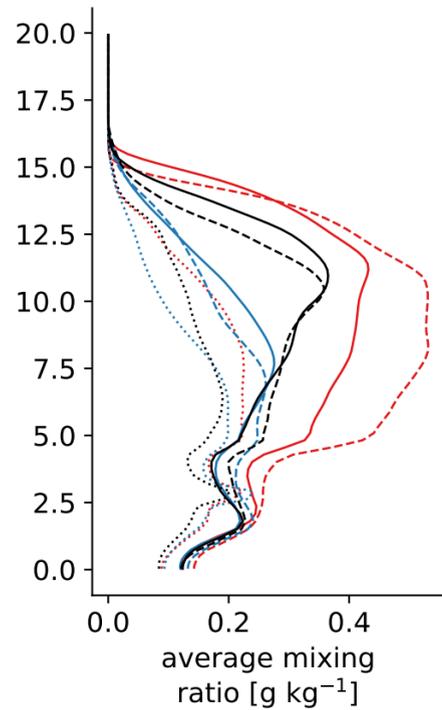
d) CIN - SGP



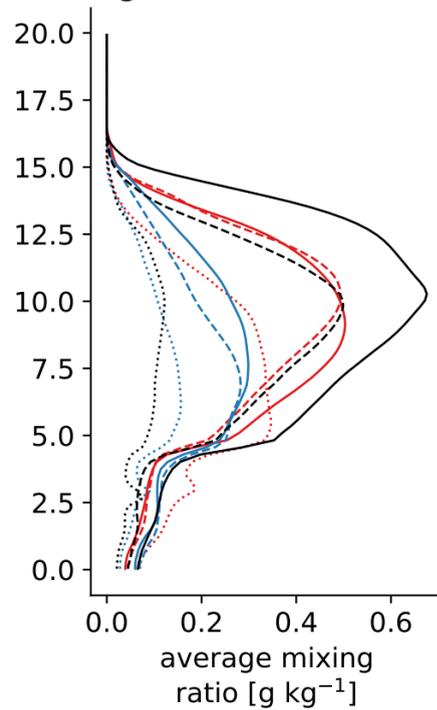
e) -180 min. - MAO



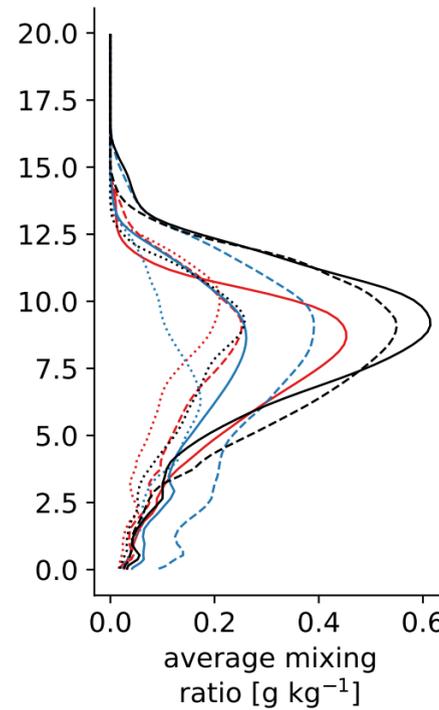
f) 0 min. - MAO



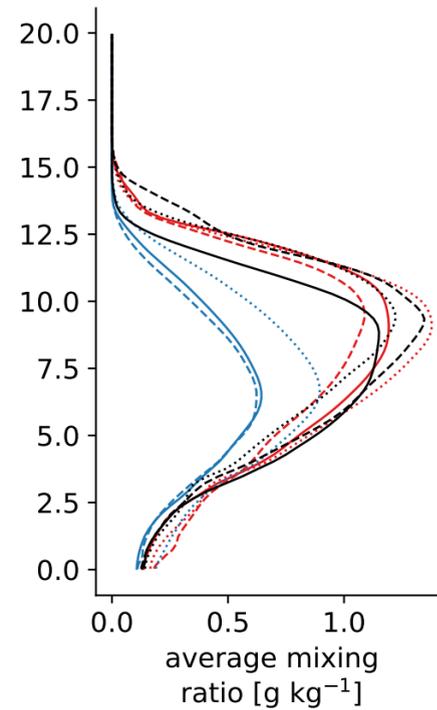
g) 240 min. - MAO



h) -180 min. - SGP



i) 0 min. - SGP



j) 240 min. - SGP

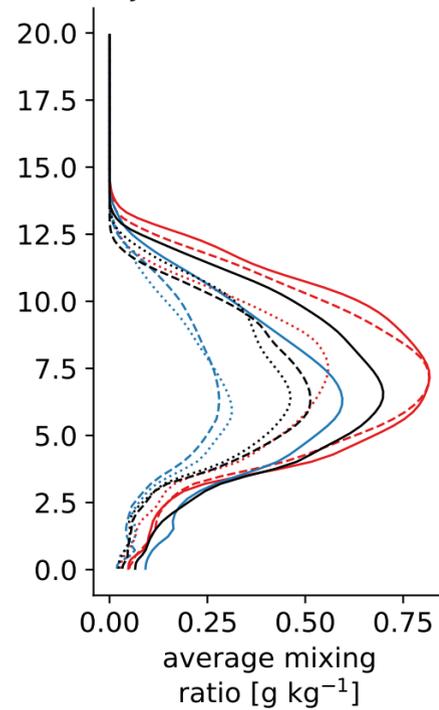
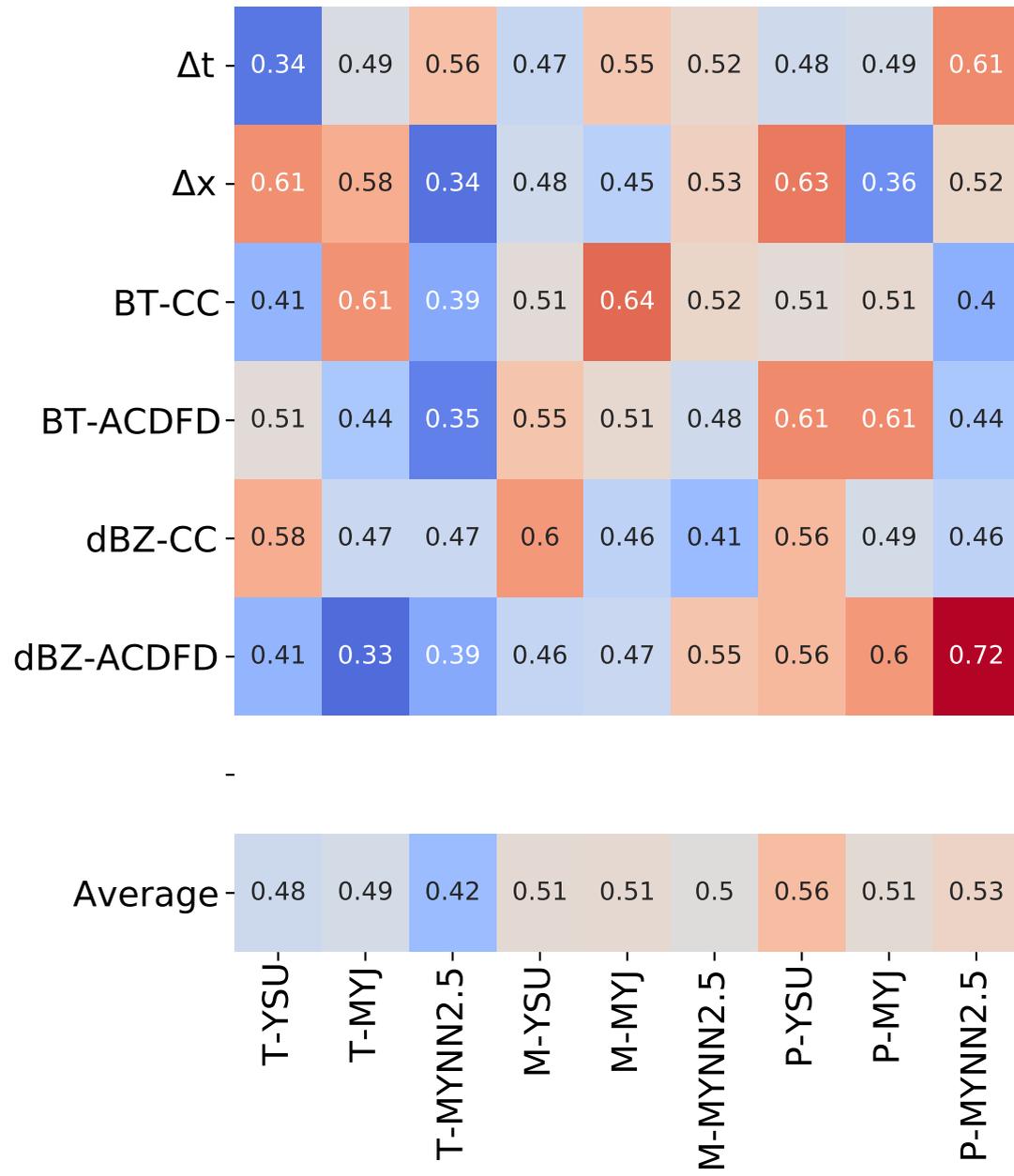
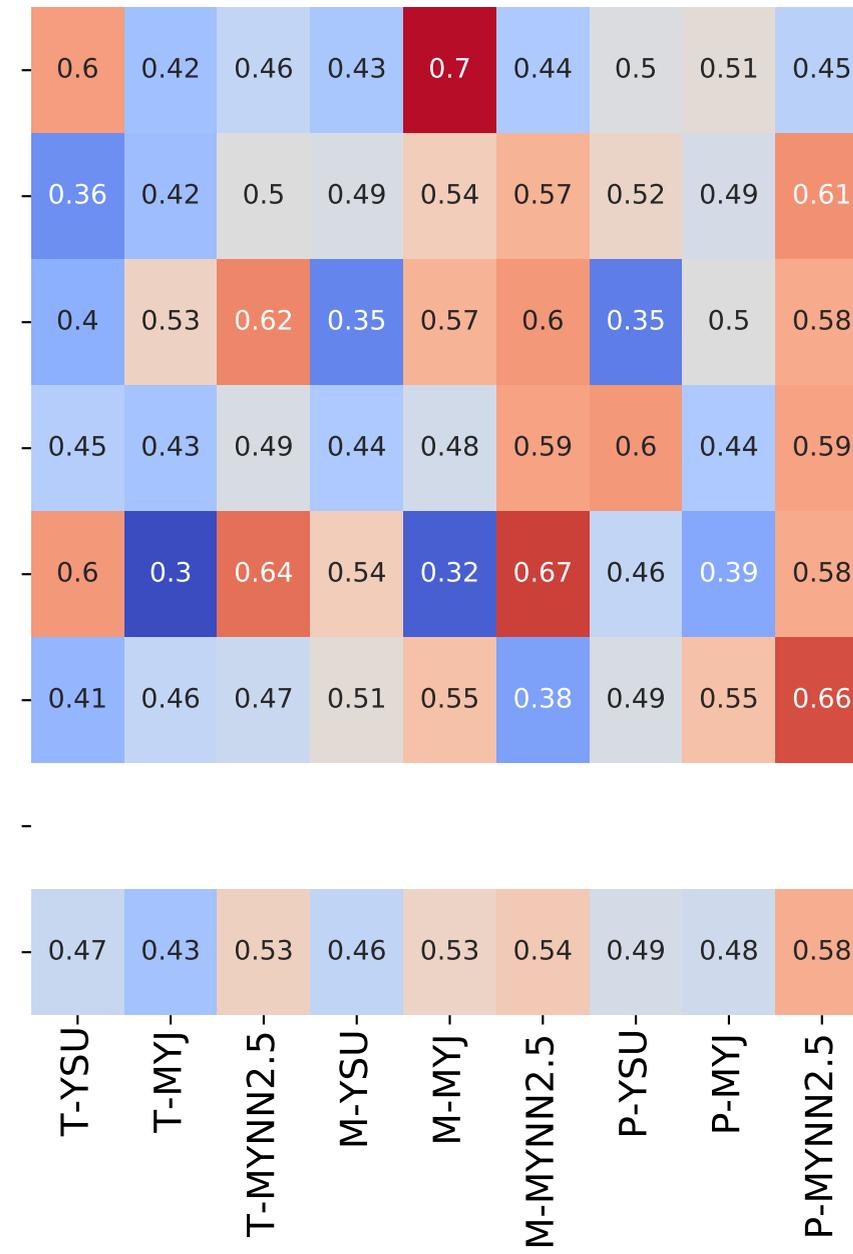


Figure 10.

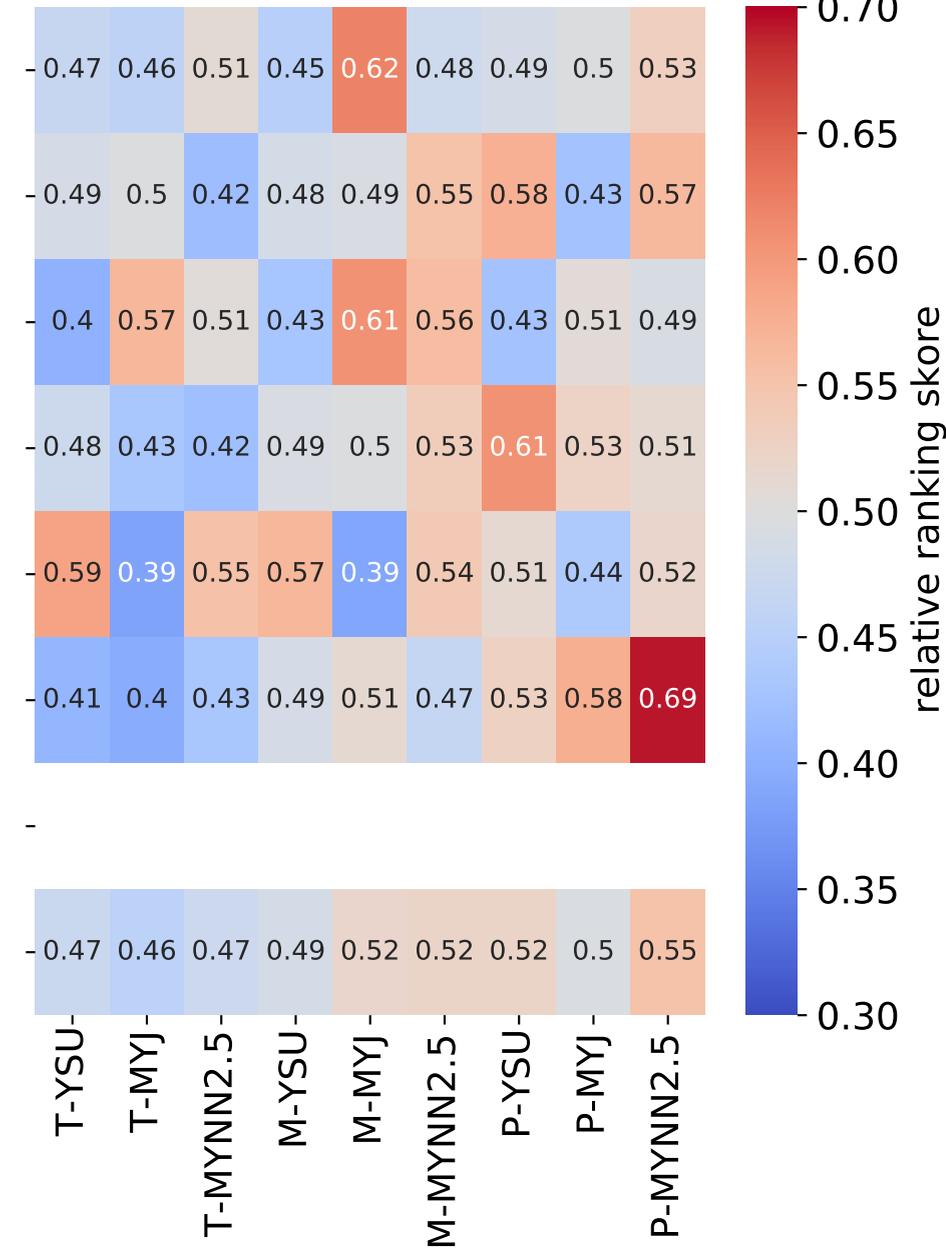
a) U.S. Great Plains (SGP)



b) Amazon (MAO)



c) Mean



relative ranking score

0.70

0.65

0.60

0.55

0.50

0.45

0.40

0.35

0.30

Figure 11.

# a) U.S. Great Plains (SGP)

