

1 **Terrestrial Evaporation and Moisture Drainage in a Warmer Climate**

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11 **Key Points:**

12 • Assess sensitivity of terrestrial evaporation and drainage to climate perturbations based
13 on satellite-era soil moisture observations

14 • Climate perturbation effects are superposable despite nonlinear flux mechanisms and
15 mixed-sign effects of each climate perturbation

16 • Changes in water fluxes poorly-captured by hydroclimate indices (Budyko framework)
17 with changing precipitation extremes

18

19 Abstract

20 To determine hydrologic changes in a warmer climate, we impose precipitation and potential
21 evaporation (E_o) perturbations on hydrologic response functions constructed from precipitation
22 and satellite soil moisture observations across the United States. Despite nonlinearities in the
23 evaporation (E) and drainage (D) responses and opposing-sign perturbations, changes in
24 individual fluxes are superposable. Empirical frameworks (Budyko) can misrepresent changes in
25 E/D partitioning by neglecting shifts/trends in hydrologic regime and subseasonal precipitation
26 dynamics. E/D both increase to balance mean precipitation (\bar{P}) increases, and increased
27 E_o reduces soil moisture. E and D are generally more elastic to changes in \bar{P} than E_o . The results
28 suggest that (1) the impacts of regional hydrologic perturbations may allow for simple
29 superposition/scaling, (2) changes in timing/intensity of precipitation may have substantial
30 impacts on mean moisture states and fluxes, and (3) changes to the distribution of surface
31 moisture states are likely more relevant for E/D partitioning than common aridity indices.

32 Plain Language Summary

33 We use satellite observations of soil moisture and expected increase in air temperature to
34 determine how evaporation and soil drainage (to groundwater recharge and rivers/streamflow)
35 will change in a warmer climate. The impacts of drier air, more rainfall, and more extreme
36 rainfall (drier dry days and wetter wet days) can largely be considered separately and then added,
37 which will help when predicting a specific location's water balance using scenarios from global
38 climate models. In typical scenarios, soils are likely to dry, evaporation is likely to increase, and
39 — when precipitation increases — drainage to groundwater/streams is likely to increase as well.
40 Evaporation and drainage are relatively more sensitive to changes in precipitation and humidity
41 in the Western US than the East, and the Corn Belt is particularly susceptible to changes in
42 precipitation intensity. Common methods of determining changes in evaporation and drainage
43 which neglect changes in soil moisture may have large errors in global change scenarios.

44 Introduction

45 Our best predictions for the future of the terrestrial water cycle come from Global Climate and
46 Earth System Models (GCMs, ESMs), which forecast general increases in precipitation (Greve et

47 al., 2018; O’Gorman et al., 2012; O’Gorman & Schneider, 2008) and atmospheric water demand
48 (Q. Fu & Feng, 2014), along with distributional changes in precipitation intensity (Greve et al.,
49 2014; Hirabayashi et al., 2013; Schewe et al., 2014). The spatial patterns of these changes are
50 driven by the coupling between radiative processes, cloud physics, and moisture advection, and
51 are affected to a large degree by unpredictable internal variability in global atmospheric
52 dynamics (Anderson et al., 2015; Greve et al., 2018; Greve & Seneviratne, 2015; Hawkins &
53 Sutton, 2011; Samset et al., 2016). The modeled land-surface response to these changes is
54 represented through parameterizations — as simple as single functions or as complex as a land-
55 surface biosphere model — and is rarely separated from the uncertain atmospheric drivers or
56 confronted with observations (Berg et al., 2017).

57 Water cycle changes are often summarized as changes in an aridity index — typically the ratio of
58 mean precipitation (P) to mean potential evaporation (E_o) — following well-known hydro-
59 climatological frameworks which suggest that this ratio is sufficient (under some assumptions) to
60 determine land-surface water flux partitioning into evaporation and drainage/runoff (Budyko,
61 1963; Oldekop, 1911; Roderick & Farquhar, 2011; Turc, 1954). Changes in both E_o and mean
62 precipitation may offset each other, potentially with no change in \bar{E}/E_o . Projected changes in
63 storm characteristics, such as intensification of precipitation extremes (Kharin et al., 2013;
64 O’Gorman & Schneider, 2009), are neglected in these frameworks.

65 Land surface moisture fluxes are state dependent, however (Akbar et al., 2018; Haghghi et al.,
66 2018; Koster et al., 2018; Short Gianotti et al., 2019). Thus, changes in flux partitioning are
67 better understood through (1) changes in the probability distribution of soil moisture (θ , the
68 surface moisture state variable, highly inconsistent across models; Koster et al., 2009) and (2) its
69 downstream impacts on evaporation (E), surface runoff (R), and drainage/percolation (D). E
70 determines surface temperatures and humidity through the surface energy balance. D and R
71 together determine streamflow and groundwater recharge, and will be assessed together in this
72 study as D (see discussion below). Both outfluxes, E and D , depend nonlinearly on θ . It is thus
73 necessary to query observations of the land surface to see which changes in atmospheric forcings
74 are expected to add linearly and which may be offsetting.

75 Observations of surface soil moisture (Entekhabi et al., 2010; O'Neill et al., 2016) and inferred
76 surface moisture fluxes (Akbar et al., 2019) provide an opportunity to constrain these
77 downstream variables. The use of historical observations to develop hydrologic response
78 functions does not replace ESMs which couple the synchronous dynamics of atmospheric
79 circulation, surface hydrology, and land-atmosphere feedbacks (Berg et al., 2016; Milly &
80 Dunne, 2016; Swann, 2018). These observations instead provide a framework to assess the
81 response of each component of the surface water balance to different climate perturbations free
82 from model-imposed parameterizations. Additionally, daily time series allow finer temporal
83 assessment of θ variations and the mechanisms of E/D partitioning, an advantage over use of
84 historical annual means in other frameworks (Berghuijs et al., 2017; Greve et al., 2018; Roderick
85 et al., 2014; Roderick & Farquhar, 2011).

86 This motivates our research question: How will precipitation be partitioned into evaporation and
87 subsurface drainage fluxes in a warmer climate with changes in potential evaporation (E_o), mean
88 precipitation (\bar{P}), and precipitation extremes?

89 To address this question, we use an observation-driven framework to assess how key fluxes pull
90 the landscape water balance in opposing directions in response to climate perturbations. We use
91 hydrologic response functions estimated from historical precipitation and satellite soil moisture
92 observations to (a) determine evaporative and drainage responses to precipitation forcing and soil
93 moisture state. We then (b) use the retrieved E and D response functions to determine changes in
94 warm-season fluxes across the Contiguous United States (ConUS) subject to perturbed (i) E_o , (ii)
95 \bar{P} , (iii) precipitation extremes, and (iv) coincident changes in all three.

96 As we will find, these perturbations lend themselves well to superposition and magnitude-
97 scaling, and so the choice of perturbation magnitudes is not particularly significant, except in
98 relation to the magnitude of impact on E or D.

99 We will compare the results to typical aridity-index (Budyko) methods for estimating changes in
100 E and D and find that changes to the precipitation distribution/extremes are critical in E/D
101 partitioning. Finally we calculate elasticities of E and D to changes in E_o , \bar{P} , and precipitation
102 extremes.

103 **Methods**104 *Water balance model and parameter estimation*

105 To derive time series of surface soil moisture, evaporation, and drainage we follow the method
 106 of Akbar et al., (2019). Surface soil moisture for the summers (May-September) of 2015-2017 is
 107 modeled using an estimation approach with two objective functions to minimize squared
 108 differences between modeled surface microwave brightness temperatures and observations from
 109 the SMAP satellite (Entekhabi et al., 2010; O'Neill et al., 2016) while additionally conserving
 110 water mass. The problem is solved with an adjoint variational method where the water balance
 111 equation is incorporated using a Lagrange multiplier. The water balance is driven by gridded
 112 gauge-based precipitation observations from NCEP's Climate Prediction Center (Chen et al.,
 113 2008) and determines effluxes of water from the homogeneous surface layer as

$$\Delta z \cdot \frac{d\theta}{dt} = P(t) - E(\theta) - D(\theta) \quad \#(1)$$

114

115 where Δz is the thickness of the surface layer, θ is the volumetric soil moisture (modeled as
 116 uniform within the layer), $P(t)$ is the precipitation rate, $E(\theta)$ is evapotranspiration to the
 117 atmosphere, and $D(\theta)$ is drainage losses to subsurface soil layers. Four parameters — E_o , b , c ,
 118 and d determine the shapes of the evaporation (E) and drainage (D) components:

119

$$E(\theta; E_o, b) = \frac{1}{2} \cdot \left(1 + \tanh \left[8 \left(\frac{\theta}{\phi} - \frac{1}{1 + e^{-b}} + 0.25 \right) \right] \right) \cdot E_o \quad \#(2)$$

120

$$D(\theta; c, d) = c \cdot \left(\frac{\theta}{\phi} \right)^d \quad \#(3)$$

121

122 for porosity ϕ . The parameters Δz , E_o , b , c , and d are estimated in Akbar et al. (2019) for each
123 36km SMAP pixel and create a forward water model for surface soil moisture given precipitation
124 inputs and the previous soil moisture state. The loss functions (2) and (3) are intentionally
125 flexible enough to span most plausible moisture/flux relations: b shifts the evaporation function
126 as a function of soil moisture, c and d are effective Clapp-Hornberger parameters (Clapp &
127 Hornberger, 1978). The estimated Δz determines the thickness of the surface layer that maintains
128 hydrologic mass balance. An example loss function is shown for a location in Southern Iowa in
129 Figure 1a.

130 *Climate Perturbations*

131 To begin we impose warm-season (MJJAS) climate perturbations as 3%, 6%, 9%, and 12%
132 increases of E_o , 2.5%, 5%, 7.5%, and 10% increases in \bar{P} , and 2.5%, 5%, 7.5%, and 10%
133 increases in “heavy” (upper decile) precipitation, denoted P_{X90} . The values are selected as typical
134 of the modeled global mean (including oceans) response to 1-4°C increases in global temperature
135 (Fläschner et al., 2016; Hartmann et al., 2013; Kharin et al., 2013; Lambert & Webb, 2008;
136 McVicar et al., 2012; Pall et al., 2007; Pendergrass et al., 2017; Samset et al., 2016; Scheff &
137 Frierson, 2014), but this selection is somewhat arbitrary. We assert that these perturbations are
138 not intended to represent real forecasts of future climate. Forecasts of perturbations at local scale
139 will depend on uncertain changes in atmospheric water vapor dynamics (Anderson et al., 2015;
140 Byrne & O’Gorman, 2015; Dai et al., 2018; Gianotti et al., 2014; Muller et al., 2011; Prein &
141 Pendergrass, 2019; Romps, 2011; Sohn & Park, 2010; Thackeray et al., 2018; Vecchi et al.,
142 2006) and land-atmosphere-biosphere feedbacks (Greve et al., 2018; Greve & Seneviratne, 2015;
143 Novick et al., 2016; Rigden et al., 2018), and must be estimated in fully-coupled settings (Berg et
144 al., 2016; Berg & Sheffield, 2018; Milly & Dunne, 2016; Swann, 2018). Results from this study
145 can then be applied to variable fields of perturbations.

146 To investigate water demand-only effects, the E_o parameter is perturbed at each location. E
147 values from Equation 2 represent the soil moisture-conditioned mean-state evaporation, and
148 hence E_o represents the mean-state potential evaporation. E itself varies at the timestep of our
149 model (sub-daily), as it is driven by daily precipitation influxes and soil moisture states. See
150 Figure 1b for loss function impacts.

151 In all scenarios, precipitation occurrence is unchanged, as is the timing of the ranked
152 precipitation intensity (if the July 12th rain event is the 10th heaviest observed amount at a given
153 location, this timing/ranking will remain true in all scenarios). The intensities themselves are
154 altered by either: (a) increasing mean precipitation or (b) through an amplification of extreme
155 events. To increase mean precipitation, intensities on all wet days are multiplied by a scaling
156 factor $1 + m \cdot v$, using $v = 0.025$, $m = \{1,2,3,4\}$ (Figure 1c). Amplification of extreme
157 precipitation re-allocates some precipitation from the dry tail of the intensity distribution to the
158 wet tail in a manner which leaves both the mean and maximum precipitation unchanged (Figure
159 1d and Supplementary Figure 1). See Supplementary Information for further details. Note that
160 this re-allocation has no impact on E/D partitioning in the Budyko framework.

161 *Water Balance*

162 The time series of θ , E , and D are determined by integrating the water balance (1) using the CPC
163 precipitation observations at 3-minute time steps and the parameters estimated in Akbar et al.
164 (2019) (additionally, see Akbar et al., (2019) for validation of θ against SMAP Level 3 soil
165 moisture retrievals, D against gauged streamflow, and E against flux tower latent heat flux
166 measurements). Explicit Hortonian overland runoff is generated when precipitation influx
167 exceeds the storage capacity of the entire pixel, but is rare at 36km scale using 3-minute time
168 steps. Drainage from the surface layer drives both groundwater recharge and surface streamflow
169 in this framework; the two are not estimated separately. Seasonal mean Hortonian runoff out of
170 the saturated pixel is $< 0.01\%$ of seasonal mean precipitation for all locations. Adding this
171 runoff to estimated drainage fluxes has no impact on the results.

172 We integrate the water balance (1) using the observations (Figure 1a), the altered potential
173 evaporation parameter (E_o experiment — Figure 1b), the altered precipitation through scaling (\bar{P}
174 experiment — Figure 1c), and the altered precipitation through amplification of extremes (P_{X90}
175 experiment — Figure 1d) independently to determine perturbative effects on evaporation and
176 drainage partitioning. An example of each experiment is shown for a single summer in Figure 1.
177 We also integrate the water balance with all interacting effects (Combined experiment) for
178 analysis. In each case, the dynamics by which the probability distribution of soil moisture plays

179 out through the moisture-dependent flux functions determine the aggregate flux partitioning into
180 E and D.

181 **Results**

182 As found previously (Akbar et al., 2019), warm season continental evaporation under present
183 conditions is generally larger than drainage by a factor of 2 or more (Figure 2a). As shown in
184 Figure 1b, increasing E_o shifts the PDF of soil moisture towards drier states, decreasing the D
185 component faster than the E component. E increases (and D decreases) by roughly 0.05-0.1
186 mm/day for a 3% increase in E_o in the wettest regions (Figure 2b), with notable changes in the
187 Eastern ConUS, and minimal changes in the already water-limited West.

188 Increasing water supply through increased precipitation (\bar{P} experiment; Figure 2c) leads to
189 increases in both E and D as expected, with larger magnitude increases in D in wetter, more
190 energy-limited regions and larger magnitude increases in E in drier, more water-limited regions
191 (effectively defined by the typical 100th parallel arid/humid division of ConUS hydroclimate).
192 Drainage is essentially unchanged in arid regions, where existing E_o dominates surface fluxes.
193 The shift in the soil moisture marginal distribution does not significantly increase drainage in
194 these arid regions since the drainage function (steep only for wetter soils) is largely insensitive to
195 soil moisture under drier conditions (see Fig. 1a).

196 The redistribution of precipitation from light rainfall days to heavy days (P_{X90} experiment;
197 Figure 2d) leads to near-universal increases in D at the expense of E. This is perhaps expected
198 due to the sensitivity of hydraulic conductivity to soil moisture, but not *a priori* certain due to the
199 complex interplay of precipitation regimes, climatic conditions, and soil texture controls on
200 evaporative and drainage fluxes. The dominant impacts in the Eastern US highlight the role the
201 wet end of the precipitation and soil moisture distributions can play in seasonal-scale water
202 budgets, effectively offsetting E_o (atmospheric demand) changes in 2b with no change to the
203 seasonal supply.

204 When integrating the water balance using the combined forcings of the E_o , \bar{P} , and P_{X90}
205 experiments, we find near-universal increases in both mean E and D across the range of changes
206 to E_o and precipitation (Figure 2e). As with the subcomponents shown in 2b-d, D is primarily

207 impacted in the Eastern ConUS, while E has more-nearly-equivalent magnitude changes across
208 climate gradients for equivalent boundary condition perturbations. Recall that water supply
209 changes in these experiments are driven by equivalent *relative* changes, e.g., 5% of local mean
210 precipitation, rather than absolute changes in mm/day. Mean soil moisture decreases universally
211 across the ConUS as well for the combined experiment (Supplementary Figure 2), which is also
212 projected in coupled model experiments (Gu et al., 2019). The largest magnitude decreases occur
213 in the Ohio and Mississippi River Valleys, effectively shifting the arid/humid transition zone to
214 the East.

215 On average across the ConUS, a 3% increase in E_o alone (spatial average of leftmost column of
216 Figure 2b) increases E by a little more than 3 mm over a single warm season (0.017 mm/day or
217 1% of the ConUS-averaged E). A 2.5% increase in mean precipitation increases E by a little
218 more than 5 mm, and a 2.5% increase in the upper decile of precipitation (transferred from the
219 driest days) decreases E by 2.6 mm. The combined effect is an average 5.6 mm, 1.5% of the
220 ConUS average, and equivalent to a roughly 0.82 W/m^2 latent heat equivalent. These are all
221 roughly an order of magnitude larger than the corresponding (same sign) changes in surface soil
222 moisture storage.

223 *Water balance sensitivities to climate perturbations using superposition*

224 In Figure 2 — while each location is driven by the unique local characteristics of the retrieved
225 moisture loss functions — all locations are subject to identical relative perturbations, which
226 would not be the case in a broader future climate change scenario. We investigate the impact of
227 the relative perturbation magnitudes on the combined (Figure 2e) experiment in Supplementary
228 Figures 3-5 and find similar spatial patterns with changes in E/D partitioning magnitudes.
229 Exploring every combination of E_o , \bar{P} , and P_{X90} perturbations is infeasible, and it prompts the
230 question of how significant the interactions of multiple simultaneous perturbations is on changes
231 to E/D partitioning.

232 We find that adding the change in evaporation ΔE (or changes in drainage ΔD) from each of the
233 E_o , \bar{P} , and P_{X90} perturbations modeled independently is quite similar to the ΔE from imposing
234 the perturbations separately. Figure 3a shows the difference between the rightmost column of
235 Figure 2e ($E_o + 12\%$, $\bar{P} + 10\%$, and $P_{X90} + 10\%$, all perturbations simultaneously) and the sum

236 of the rightmost columns of Figure 2b-d. Errors are on the order of 1% or less, suggesting
 237 counterintuitively small impact of interactions between moisture supply and demand when
 238 averaged over the warm season.

239 We also find that scaling the impact of a perturbation is a close approximation of the impact of a
 240 scaled perturbation. Figure 3b shows the error in estimating ΔE in the rightmost column of
 241 Figure 2e ($E_o + 12\%$, $\bar{P} + 10\%$, and $P_{X90} + 10\%$ simultaneously) as four times ΔE in the
 242 leftmost column ($E_o + 3\%$, $\bar{P} + 2.5\%$, and $P_{X90} + 2.5\%$ simultaneously). Just as for
 243 superposition errors (combining different types of perturbations), combining multiple, say, 3%
 244 perturbations of E_o to get a 12% E_o perturbation works quite well. Errors are on the order of 2%
 245 or less in terms of ΔE , and much less in terms of the total $E + \Delta E$ estimate for the season.

246 *Comparison with aridity-index approach: The Budyko framework misses important dynamics*

247 The integration of the water balance in (1)-(3) results in time series of E across the ConUS,
 248 which can then be used to fit the spatially-varying n parameter of the standard
 249 hydroclimatological Budyko curve (Choudhury, 1999) for each pixel location:

$$\frac{E}{E_o} = \frac{P}{(P^n + E_o^n)^{\frac{1}{n}}} \#(4)$$

250 Without the actual evaporation (E) time series, n is typically either assumed constant (often
 251 $n = 2$ as from Turc [1954], although for a single continent-wide fit we find better fit with
 252 $n = 2.24$), or n comes somewhat circularly from land surface model output, where the internal
 253 functions driving water flux partitioning are themselves parameterized. We emphasize that using
 254 model output to fit these hydroclimatological parameters without some ingested water cycle data
 255 will necessarily return results based largely on the parameters used to drive the same land surface
 256 model. We fit n for each pixel using monthly aggregated values across the warm season using
 257 total least-squares (or “errors in variables”) regression (Figure 4a), giving a spatial map of the n
 258 parameter (Figure 4b). This n estimation technique is independent of these circularities arising
 259 from use of land surface model outputs.

260 Changes in evaporation (ΔE) due to perturbations of E_o and \bar{P} alone are similar for the
 261 framework in this study and the Budyko-based method (Figure 4c, $r^2 = 0.88$ for $E_o + 12\%$,
 262 $r^2 = 0.96$ for $\bar{P} + 10\%$, similar for ΔD and for smaller perturbations), but with some bias.
 263 Errors between the methods are nearly entirely explained by changes in surface moisture storage,
 264 and the Budyko method's evaporation bias for increased \bar{P} is similarly due to neglecting the shift
 265 in mean soil moisture towards wetter, more drainage-favorable conditions.

266 Changes in the distribution of precipitation intensities, however, have no representation in the
 267 Budyko framework (Figure 4c $\Delta P_{X90} + 10\%$), and can be a major player in the partitioning of E
 268 and D (bias in ΔE by a factor of 2, and $r^2 = 0.58$ for all effects combined).

269 *Elasticity of evaporation and drainage to E_o , \bar{P} , and extreme P perturbations*

270 To assess relative impacts with more spatial granularity, we calculate the rate of change of each
 271 hydrologic response to a given climate perturbation (Supplementary Figure 6). When
 272 normalized, these are elasticities of fluxes to perturbations (Andréassian et al., 2016; Chiew,
 273 2006; G. Fu et al., 2007; Risbey & Entekhabi, 1996; Sankarasubramanian et al., 2001; Yang &
 274 Yang, 2011). These elasticities are calculated as regression slopes of E versus E_o (normalized by
 275 observed E/E_o) for 0-12% changes in E_o , of E vs \bar{P} (normalized by observed E/\bar{P}) for 0-10%
 276 changes in \bar{P} , and of E vs P_{X90} (normalized by observed E/P_{X90}) for 0-10% changes in P_{X90} —
 277 where P_{X90} is the amount of precipitation in the upper decile of the observed precipitation
 278 distribution. P_{X90} increasing by 5% represents the wettest days originally providing 10% of
 279 seasonal average precipitation now provide 10.5% of seasonal precipitation, and the driest days
 280 contributing 0.5% of seasonal precipitation now see no rain. Elasticities for drainage are
 281 calculated similarly.

282 Evaporation is more sensitive to relative changes in \bar{P} than E_o (both positive elasticities) in 74%
 283 of ConUS pixels, and drainage is more sensitive to relative changes in \bar{P} than E_o in 99% of
 284 pixels. Differences between these numbers are due to the difference in effect of surface soil
 285 drying/wetting for E and D (i.e., transient climate change effects of changes in storage). The
 286 general finding that a 1% change in moisture supply \bar{P} has a larger impact on downstream fluxes

287 than a 1% change in moisture demand E_o is typical of elasticity studies using aridity index
288 frameworks and modeled evaporation data (Berghuijs et al., 2017).

289 Drainage elasticities to change in mean potential evaporation E_o and mean precipitation \bar{P} are an
290 order of magnitude larger than for E , and with opposing signs (Supplementary Figures 6d and
291 6e). The shifts in precipitation extremes P_{X90} has equivalent magnitude as shifts in \bar{P} , amplifying
292 the impacts on drainage.

293 Separating the ConUS into East and West halves (Supplementary Figure 7) leads to average E
294 increases of 0.17 mm/day per each additional 1 mm/day of E_o in the East (aggregate elasticity of
295 0.34) versus 0.05 mm/day in the West (aggregate elasticity of 0.28). East (West) ConUS E
296 increases by 0.35 (0.66) mm/day per 1 mm/day of additional \bar{P} , an aggregate elasticity of 0.48
297 (0.72). By changing precipitation extremes, E in the East (West) decreases 0.62 (0.34) mm
298 seasonally per 1 mm of precipitation reallocated to wet days from dry days, an aggregate
299 elasticity of -0.30 (-0.14). Actual evaporation increases slightly with increased extremes in the
300 most arid pixels (Supplementary Figures 6 and 10) due to a shift of the soil moisture PDF from
301 “Stage III” evaporation to “Stage II” evaporation, but magnitudes of both fluxes are vanishingly
302 small.

303 **Discussion and Conclusions**

304 This study assesses the responses of evaporation and soil drainage to changes in potential
305 evaporation, mean precipitation, and the distribution of daily precipitation intensities using a
306 water balance framework developing observed fields of surface soil brightness temperatures and
307 precipitation alone. These response sensitivities have been previously calculated using models,
308 but we argue that modeled sensitivities are inherently determined by land surface
309 parameterizations of evapotranspiration and soil moisture pedotransfer functions. This study
310 confronts these sensitivities with data directly—in an “offline” mode with no representation of
311 feedbacks (e.g., canopy conductance) other than that captured in the water cycle observations—
312 and thus serves as a partial derivative of land surface moisture fluxes to climate perturbations,
313 holding feedbacks constant. We claim that this uncoupled (but data-driven) approach serves as a
314 necessary counterbalance to data-blind models (but with fully coupled dynamics), and we

315 advocate for future assimilation and reanalysis schemes incorporating dynamical land surface
316 and vegetation data streams.

317 We find that the E/D partitioning response to climate perturbations is generally additive and
318 scalable (Figure 4). This is unexpected, given the nonlinearity of the loss functions and time
319 series responses shown in Figure 1, but may prove quite useful in both parameterized land
320 surface schemes in global climate models and in estimating climate impacts from the output of
321 GCMs with simplified land surface hydrology representations. As an example, locally-estimated
322 climate sensitivities for E_o , \bar{P} , and P_{X90} to surface temperature changes from a coupled model
323 could be multiplied by the perturbation responses in Figure 2b-d for temperature-driven future
324 scenario estimation of water flux partitioning.

325 There are, however, many processes that could change this linearity, including land-cover
326 change, changes to seasonal water storage (snowpack), and land-atmosphere feedbacks such as
327 convective triggering and changes in vegetation-controlled surface conductance and water-use
328 efficiency. We hypothesize that the major non-linearities occur around the transition from water-
329 limited to energy-limited states; thus, this simple additivity is unlikely to hold for shifts large
330 enough to transition between moisture regimes (see the Central Plains, Supplementary Figure 8).

331 Our method for amplifying precipitation extremes is simple, and one of many possible
332 approaches to represent this process. Actually characterizing the local changes to the
333 precipitation distribution as a function of global mean temperature changes is likely empirically
334 intractable, due to the magnitude of internal variability relative to low-frequency signals
335 (Gianotti et al., 2014). Similarly, we do not investigate changes in the timing of precipitation (Pal
336 et al., 2013) or storm/interstorm durations, which might expose more of the nonlinearity of the
337 land surface flux partitioning. The results of these investigations would only be as robust as our
338 estimation of the climate signal itself, which remains highly uncertain at this time.

339 While the superposability and scaling of impacts of climate perturbations is similar to the
340 assumptions of aridity-index based hydroclimatology frameworks (Budyko), we find differences
341 between these frameworks and our observation-driven scheme. These differences include large
342 biases in changes to evaporation and drainage when precipitation extremes change (Figure 4).
343 This suggests that mean-state conditions alone (E_o , seasonal P totals) are not sufficient to

344 characterize changes to land-surface wetting of the atmosphere and surface- and ground-water
345 resource supplies under changing climate scenarios.

346 Two major consequences of using our more process-based representation over a steady-state
347 aridity-index formulation are 1) that transient changes in water storage (not part of the Budyko
348 scheme) are significant for global change scenarios which display trends/shifts in surface
349 moisture regimes, and 2) that assumptions embedded in aridity-index frameworks where E/D
350 partitioning responds identically to a 10% increase in seasonal precipitation as to a 10% decrease
351 in E_o neglect the significant nuance of water balance dynamics. We argue that both of these
352 effects are important, and that the dynamic system state of (2) — summarized here as the
353 probability distribution of soil moisture — plays a role in the long-term average E and D
354 partitioning. This is shown clearly in the P_{x90} experiment in Figures 2d, 4c, and 5c,f.

355 GCM studies suggest trends towards drier warm season surface soils, but perhaps wetter
356 subsurface soils (Berg et al., 2017), which align with our global mean-state perturbation
357 experiments (Figure 2e and Supplementary Figure 2). We argue that this is the expected
358 consequence of an increase in both water supply and water demand, and that these trends are
359 likely to only be amplified by increases in precipitation extremes (heavy days and dry spells).

360 The results of this study suggest that caution is necessary when viewing land surface conditions
361 through a simple aridity lens when asking questions about global change. The downstream
362 responses to water supply and demand will depend on the dynamics and distribution of land
363 surface state variables (e.g., soil moisture). Changes in these state variables will more directly
364 determine how the continental water cycle responds to global climate change.

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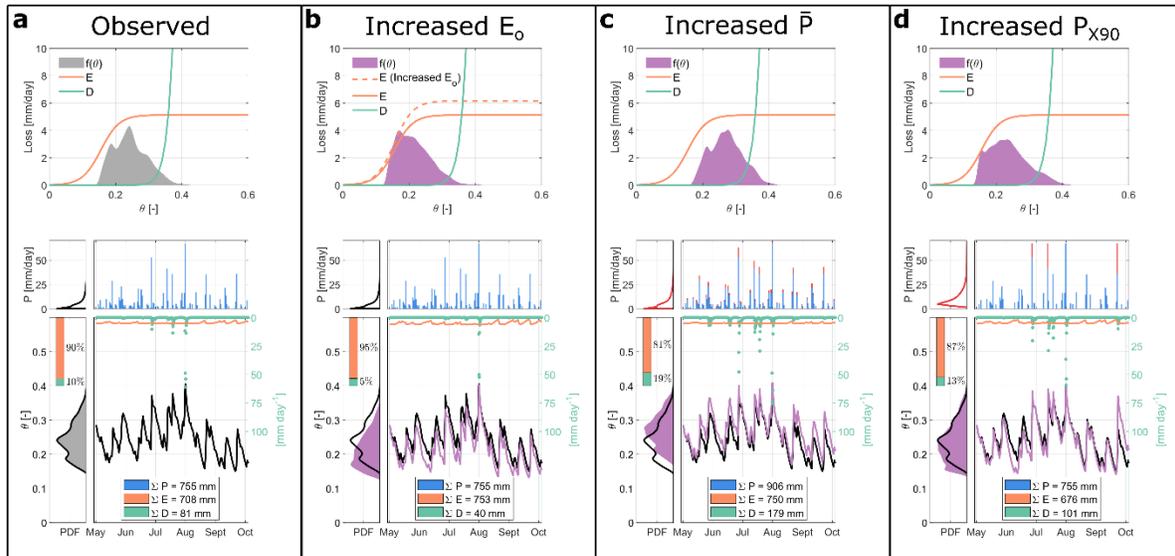
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558

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562 (ftp.cpc.ncep.noaa.gov/precip/CPC_UNI_PRCP/) The authors acknowledge no conflicts of
563 interest.

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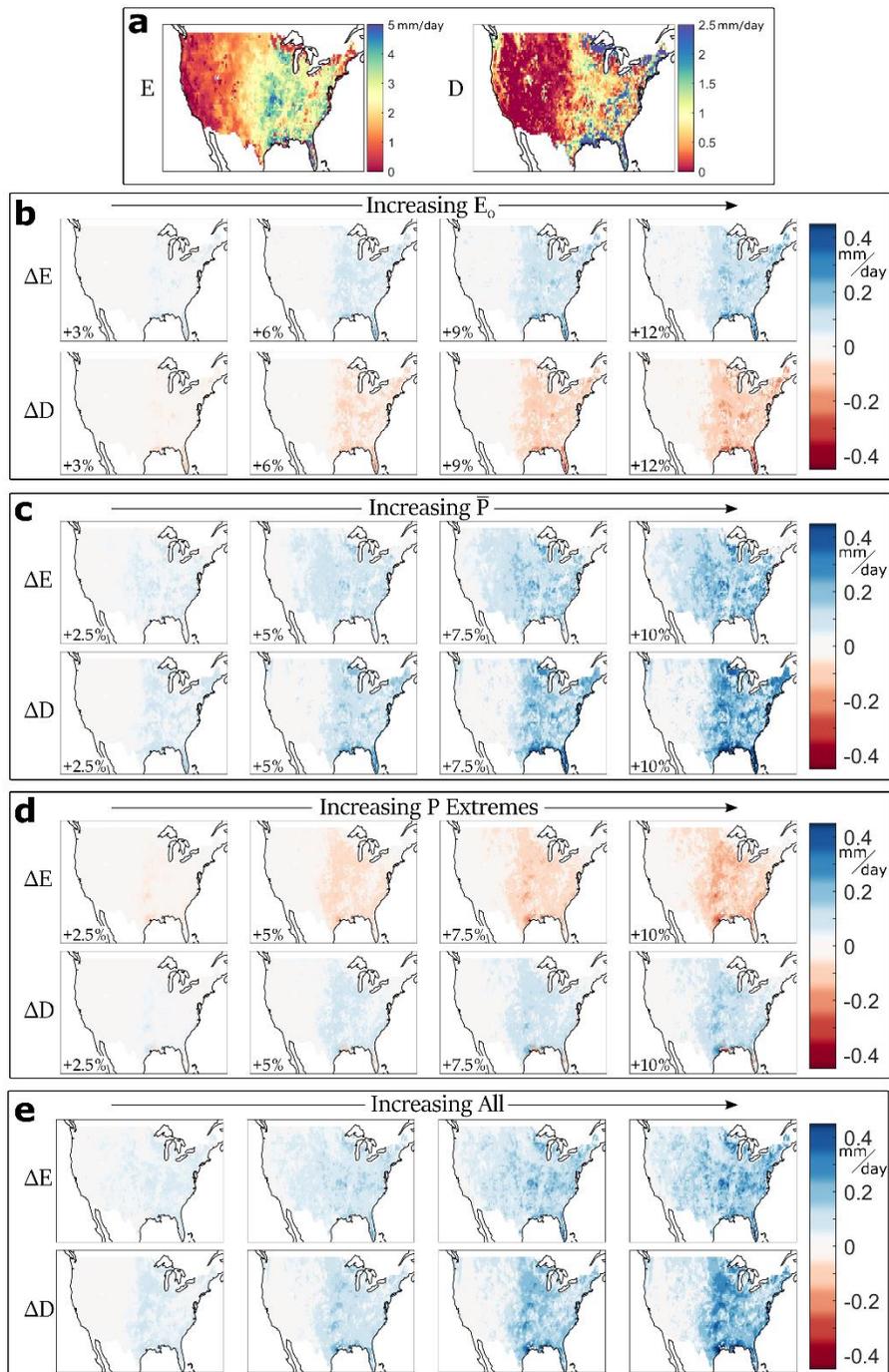
567 **Figure 1: Example evaporation and drainage loss losses for observations and experimental**
 568 **perturbations for a location in Southern Iowa, summer 2015.** a) Retrieved evaporation (E)
 569 and drainage (D) loss functions; observed precipitation (P); and time series of soil moisture (θ),
 570 E, and D based on observations. Grey shaded regions show the marginal probability density
 571 (PDF) of soil moisture $f(\theta)$. Blue bars show daily precipitation with accompanying PDF for wet
 572 days. Black line, orange line, and green markers show θ , E, and D time series (E and D shown
 573 on right axis). Orange (green) bar shows E's (D's) fractional contribution to seasonal outfluxes
 574 from the land surface. b) Same as (a), except for the increased potential evaporation (E_0)
 575 experiment using the orange dashed line for $E(\theta)$. Precipitation unchanged, but $f(\theta)$ moves
 576 towards drier conditions where E losses dominate D. c) Same as (a) except for with increased
 577 daily precipitation, shown as red bars above observed (blue) precipitation bars. $f(\theta)$ (in red)
 578 shifts to wetter conditions, increasing D. d) Same as (a) except with increased precipitation
 579 extremes (mean and maximum daily P unchanged). Red P bars show added precipitation on wet
 580 days, taken from an equal amount of precipitation removed on drier days. $f(\theta)$ (in red) increases
 581 the wet tail at the expense of the dry tail, leading to increased D and reduced E.

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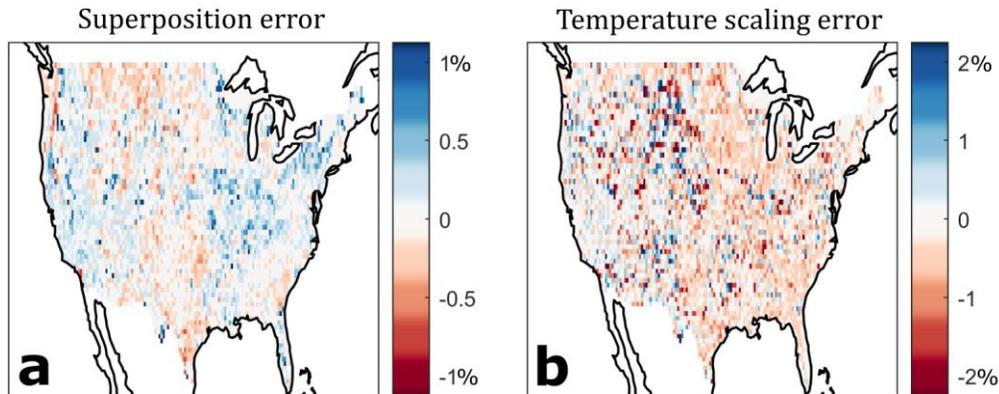


586

587 **Figure 2: Evaporation, drainage, and hydrologic sensitivities to climate perturbations.** a)
 588 Mean evaporation (E) and drainage (D) for warm season 2015–2017 from the estimated loss
 589 functions and climate perturbations. b) First column shows changes ΔE and ΔD relative to (a) for

590 a 3% increase in E_o . Columns 2–4 show impacts for E_o increases of 6%, 9%, and 12%.
591 Evaporation increases across the ConUS and drainage decreases, with the most substantial
592 changes in the energy-limited East. c) Same as (b) but for perturbations to \bar{P} . d) Same as (b) but
593 for increases in extreme precipitation (percentages show heaviest decile increase — no change in
594 \bar{P}). e) Changes in mean E and D when simultaneously combining the impacts of increased E_o , \bar{P} ,
595 and precipitation extremes.

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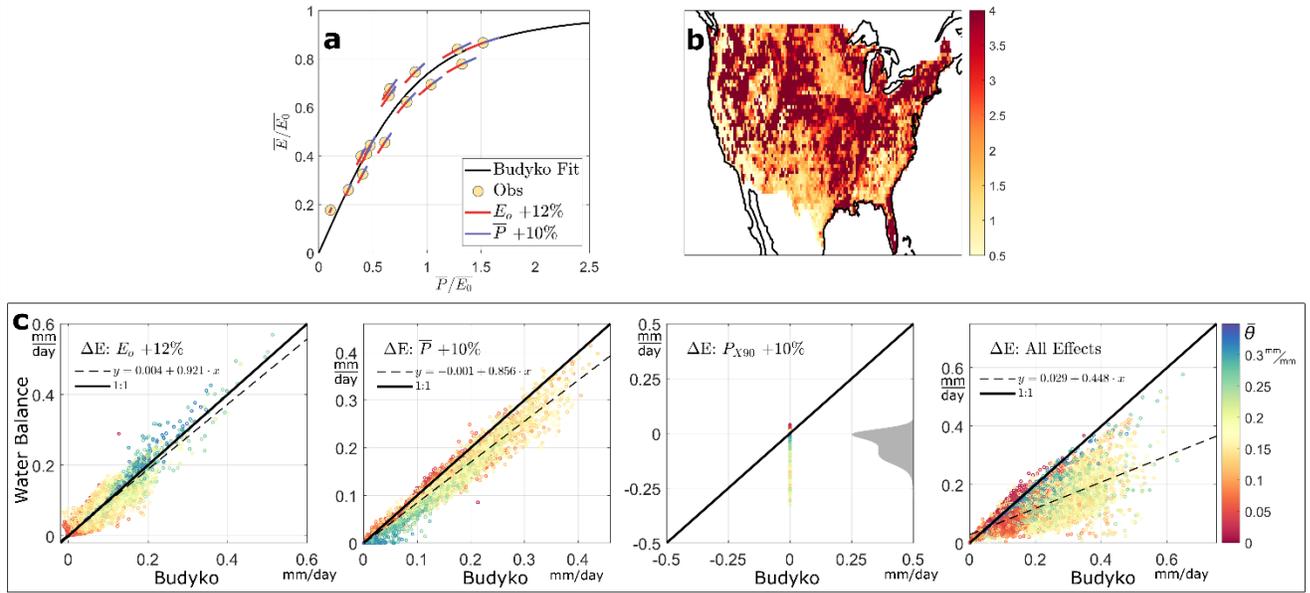


597

598 **Figure 3: Superposability and scaling of individual perturbations.** a) The percentage error in
599 evaporation when adding the individual E_o , mean P, and extreme P perturbations (the sum of the
600 rightmost column of Figure 2b-d) in place of the fully-coupled system (rightmost column of
601 Figure 2e). b) The percentage error in evaporation when assuming linear temperature-equivalent
602 scaling of perturbations (4 times the leftmost column of Figure 2e in place of the rightmost
603 column of Figure 2e). Both the superposition errors and the scaling errors are small (~ 1 -2%),
604 suggesting that the effects shown in Figure 2b-d can be combined and scaled directly based on
605 local estimated climate sensitivities of E_o , \bar{P} , and P extremes. See also Supplementary Figures 8-
606 9.

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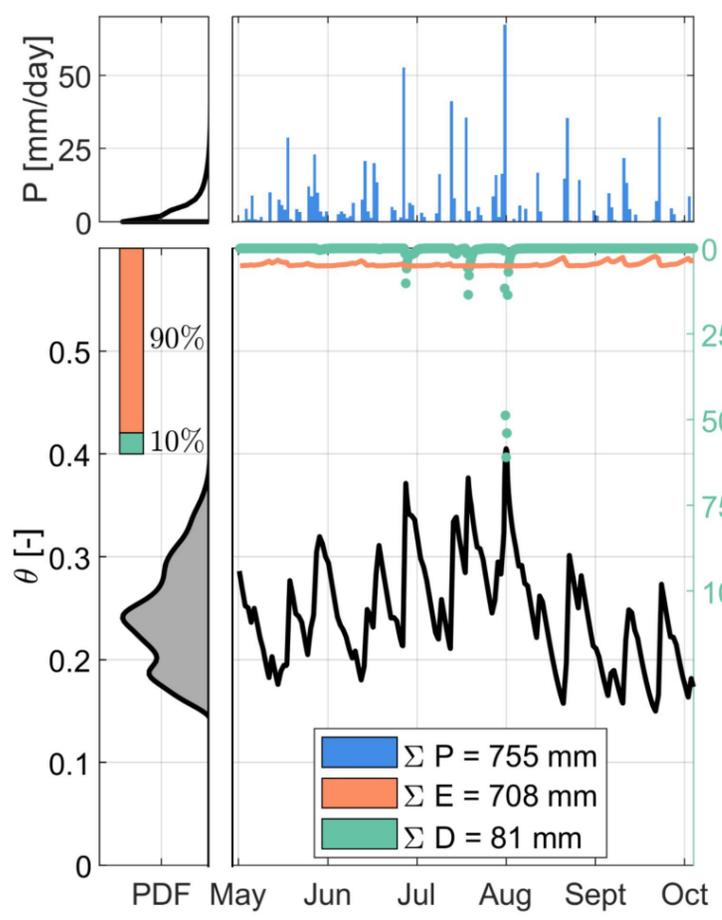
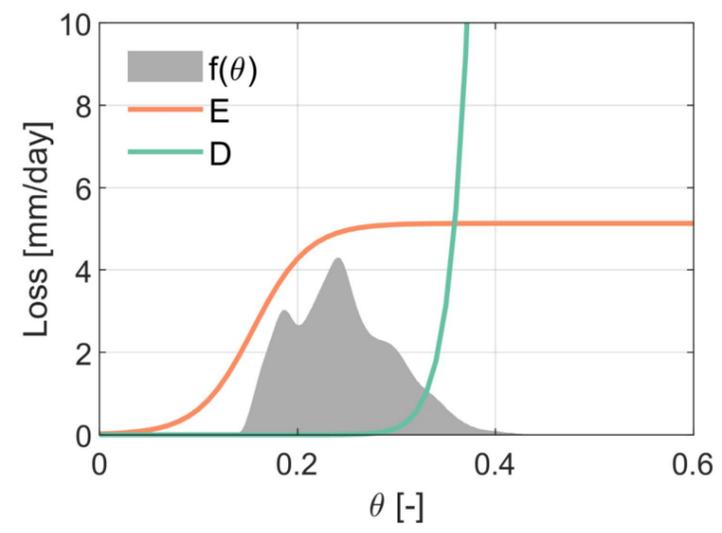


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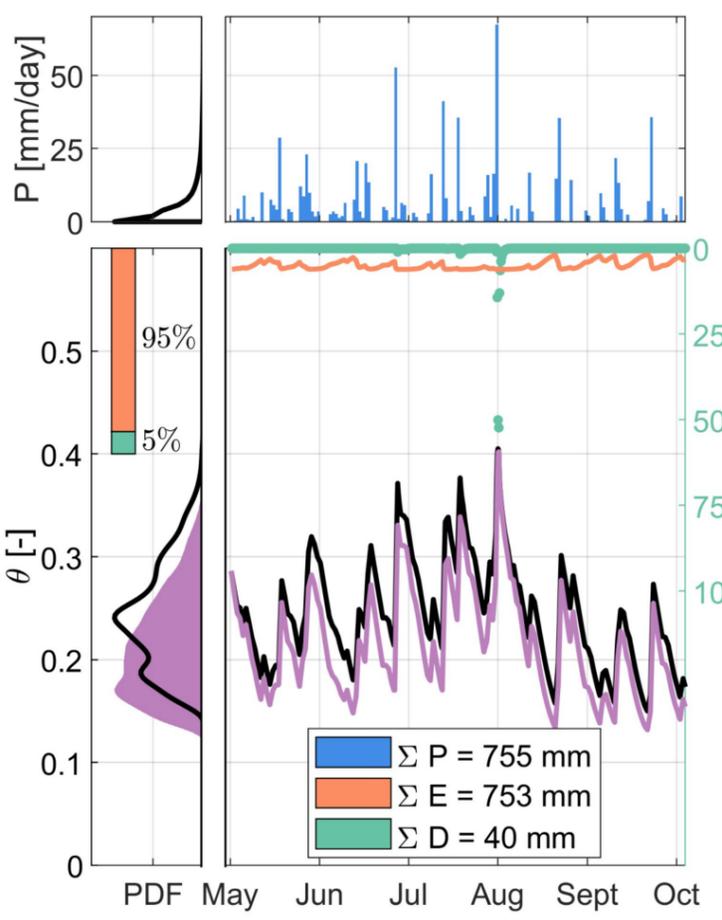
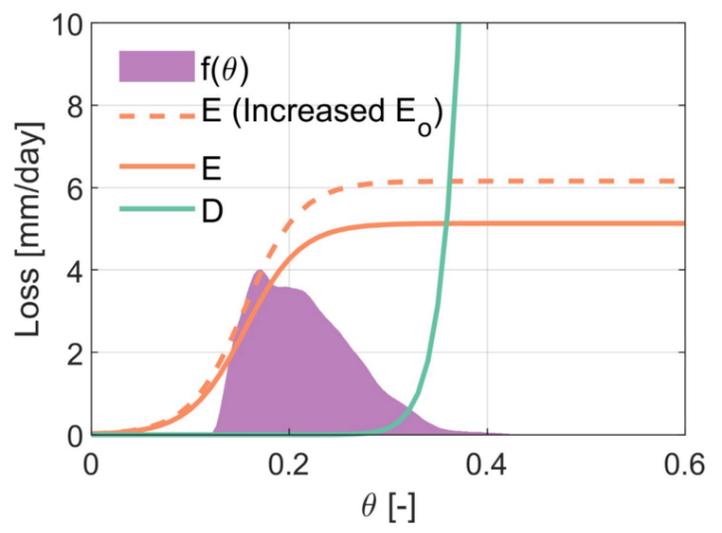
610 **Figure 4: Comparison with Budyko hydroclimatological framework.** a) The classical
 611 Budyko curve fit to a single location. Each point is a month of mean evaporation \bar{E} versus mean
 612 precipitation \bar{P} , both normalized by potential evaporation E_o . Evaporation time series and
 613 estimated potential evaporation are from the integration of the water balance (1)-(3). Lines show
 614 the shift in \bar{E}/E_o that follows a change in \bar{P}/E_o by changing E_o (red) or \bar{P} (blue). Equal E_o and
 615 \bar{P} changes cancel each other. b) The estimated Budyko n parameter for each location: $\bar{E} = \bar{P} \cdot$
 616 $E_o \cdot (\bar{P}^n + E_o^n)^{-\frac{1}{n}}$. c) Comparison of changes in evaporation ΔE versus the Budyko method.
 617 Plots show comparison for increased E_o , increased \bar{P} , increased precipitation extremes (P_{X90}),
 618 and all simultaneous effects combined. Colors show mean soil moisture calculated as part of the
 619 water balance. Bias in increased \bar{P} comparison due primarily to changes in soil water storage.
 620 Bias in All Effects comparison due primarily to impact of precipitation extremes.

Figure 1.

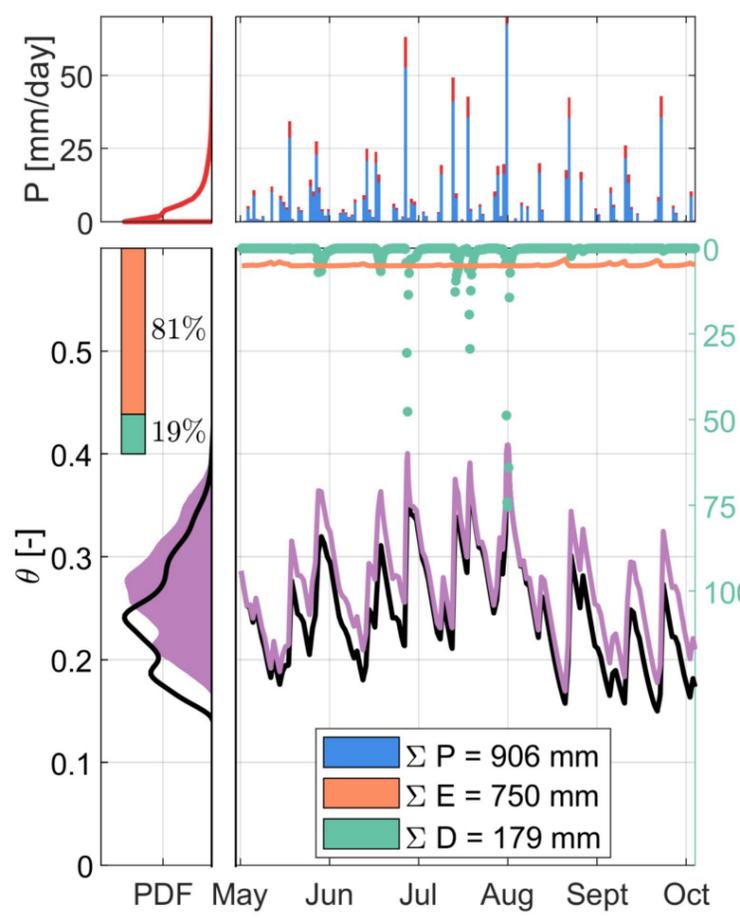
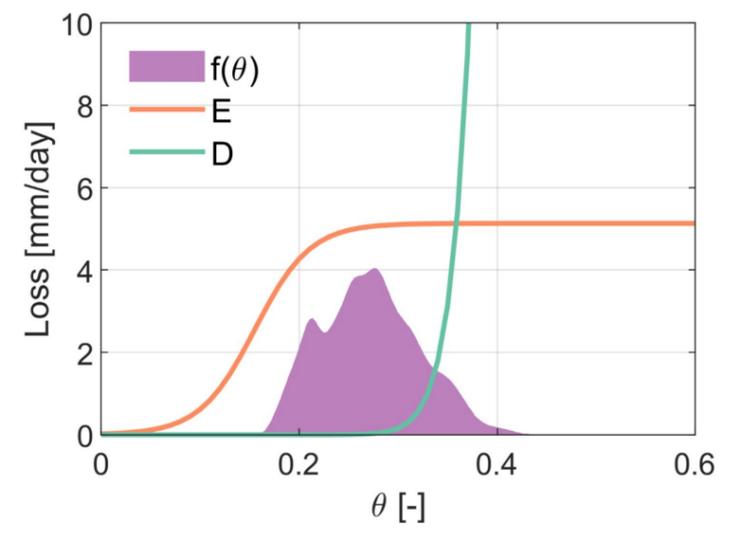
a Observed



b Increased E_0



c Increased \bar{P}



d Increased P_{X90}

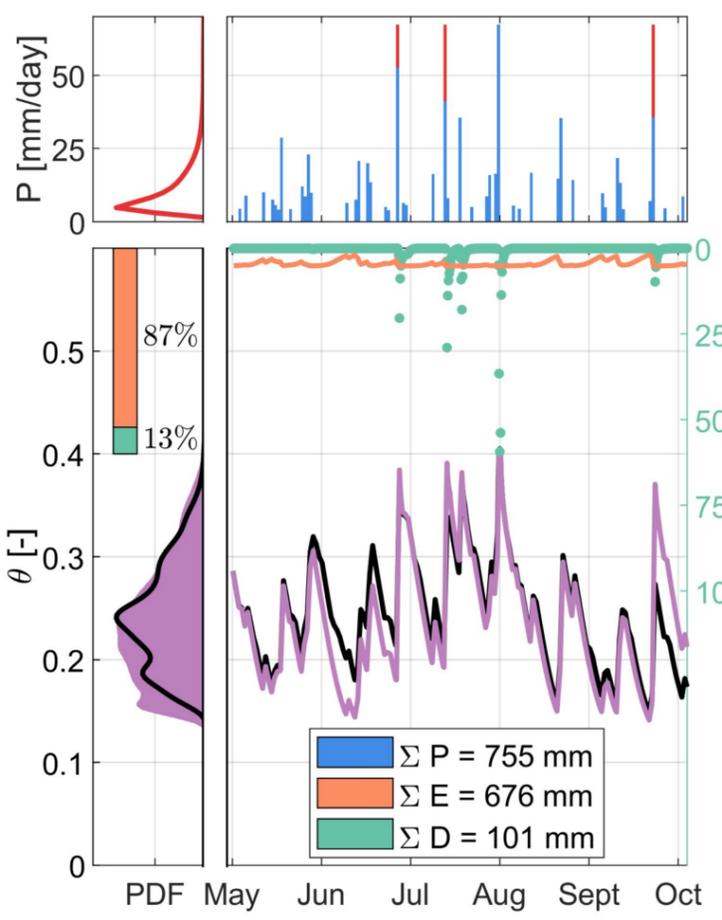
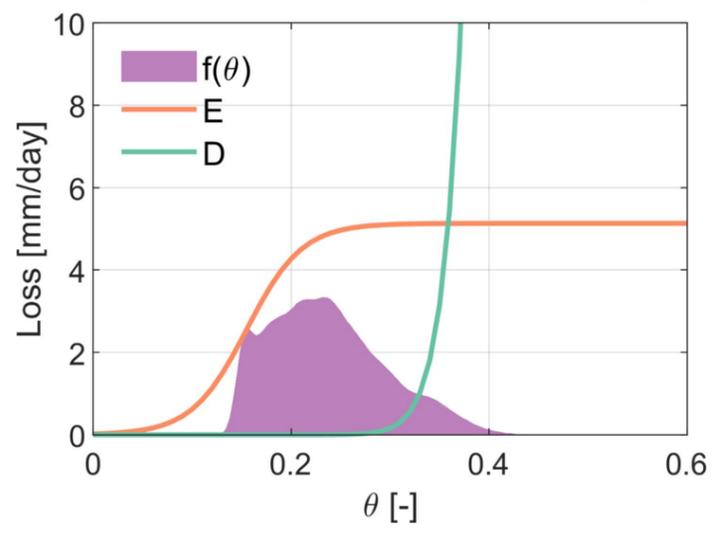


Figure 2.

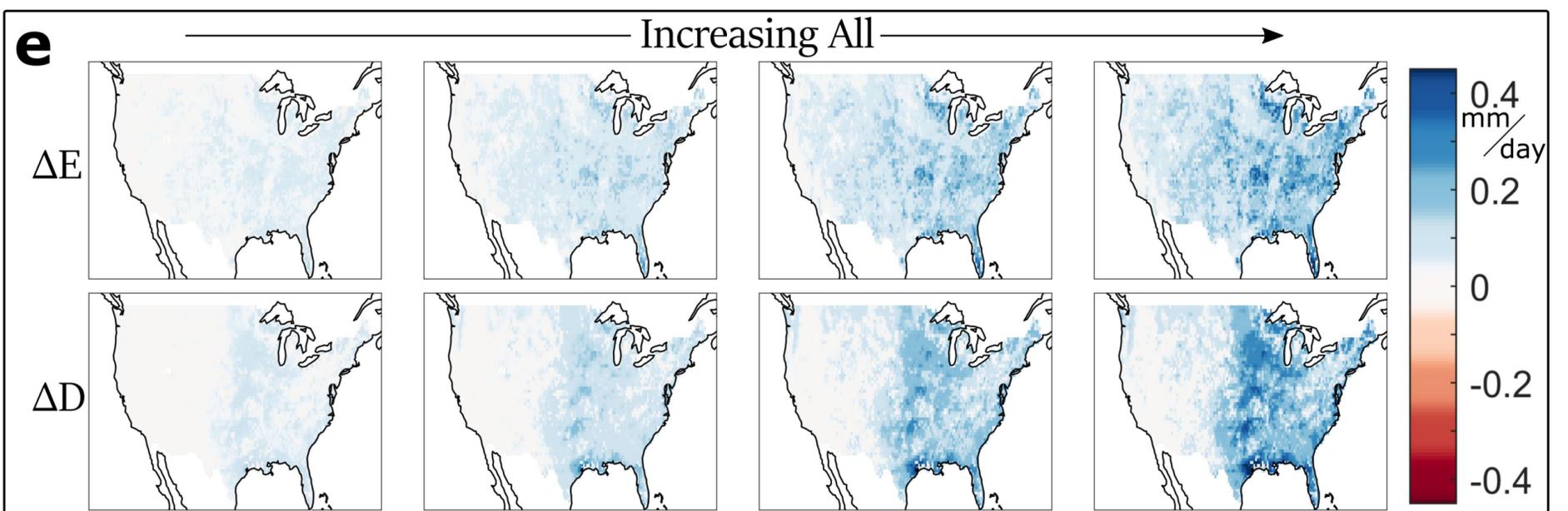
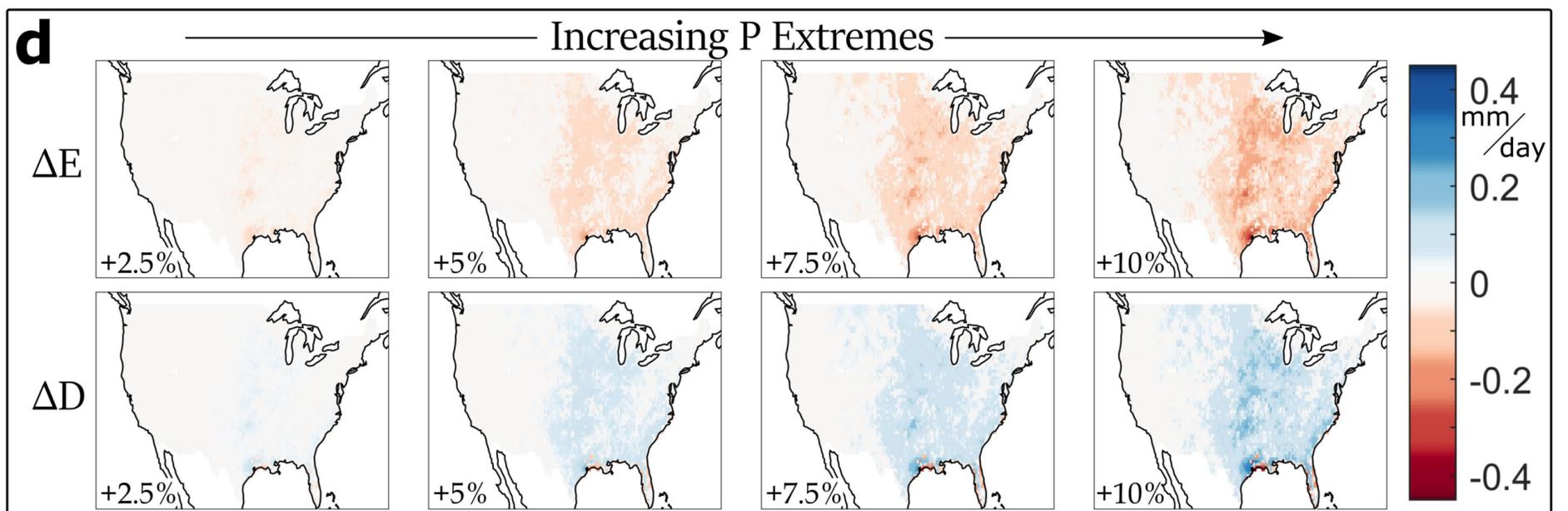
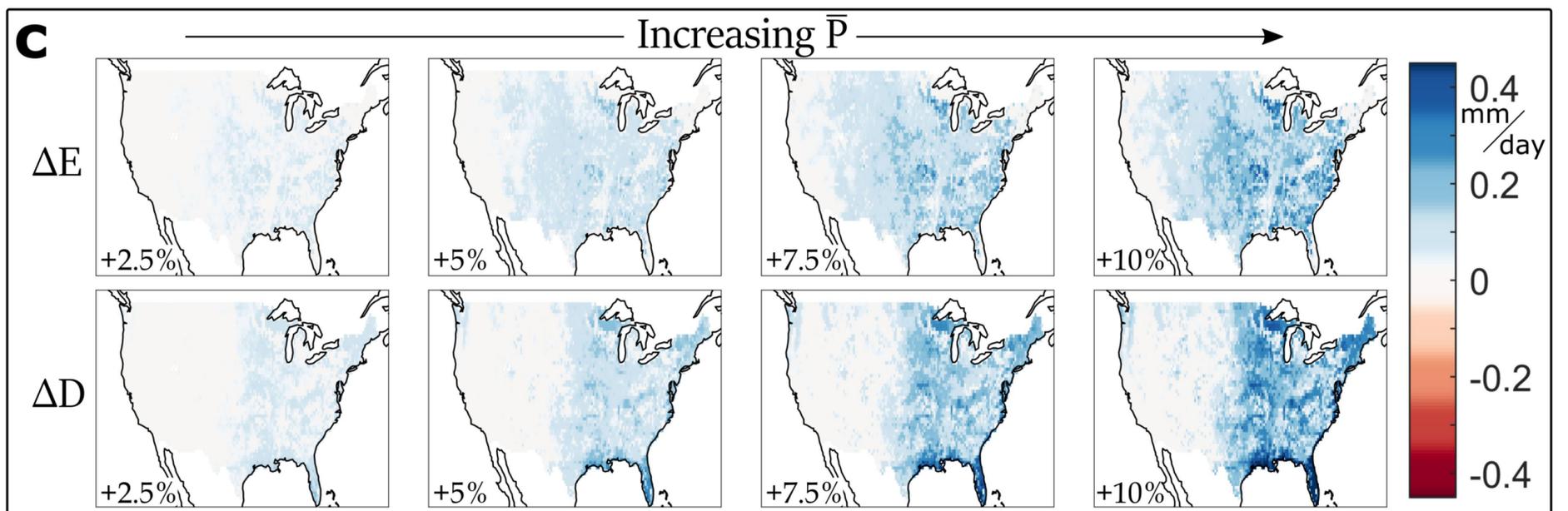
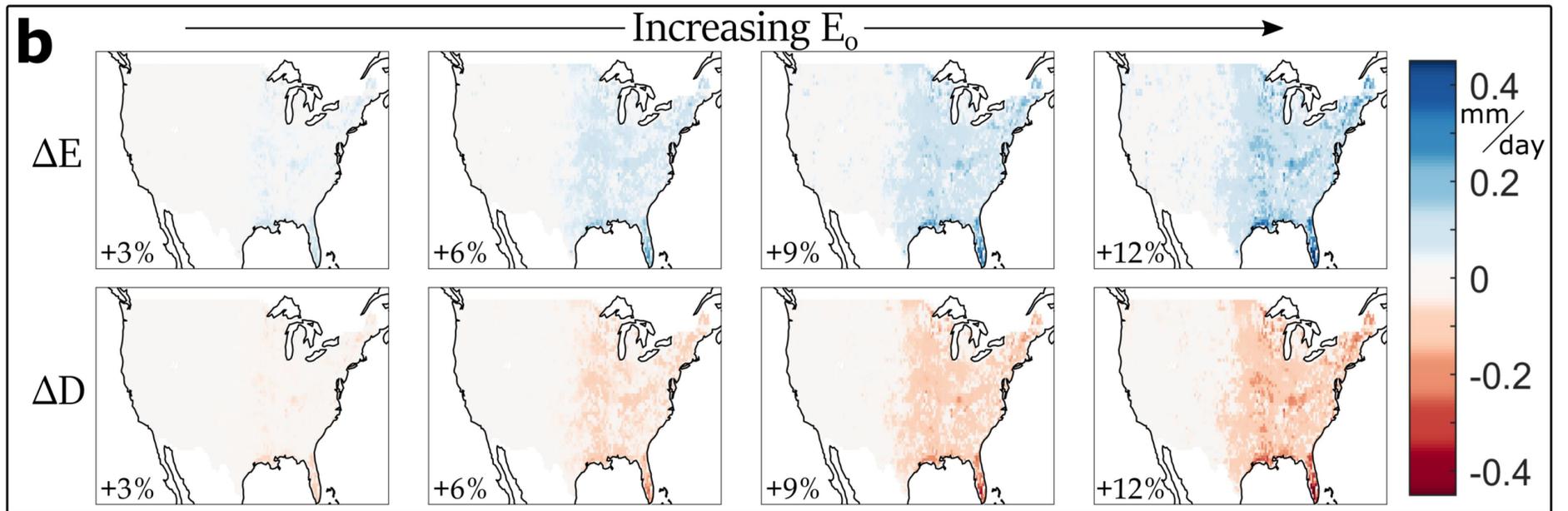
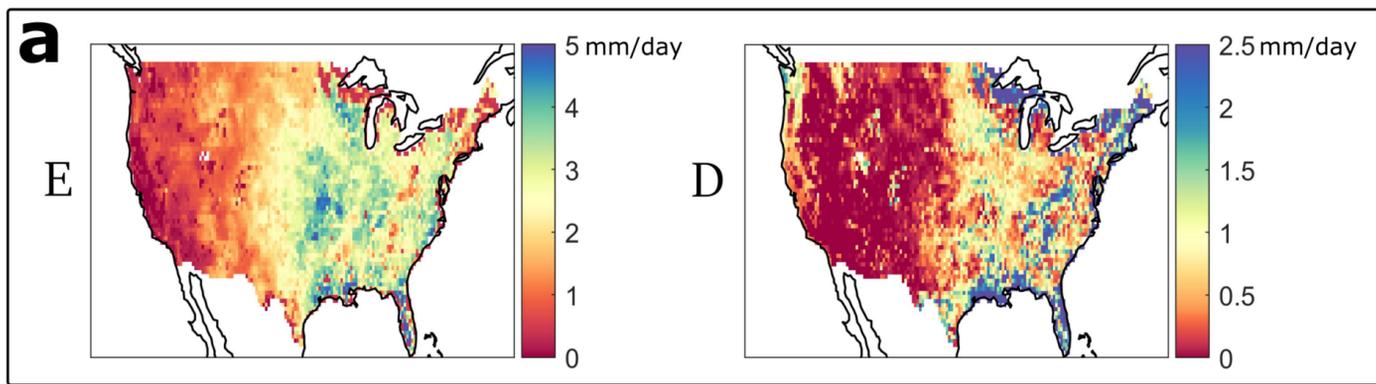
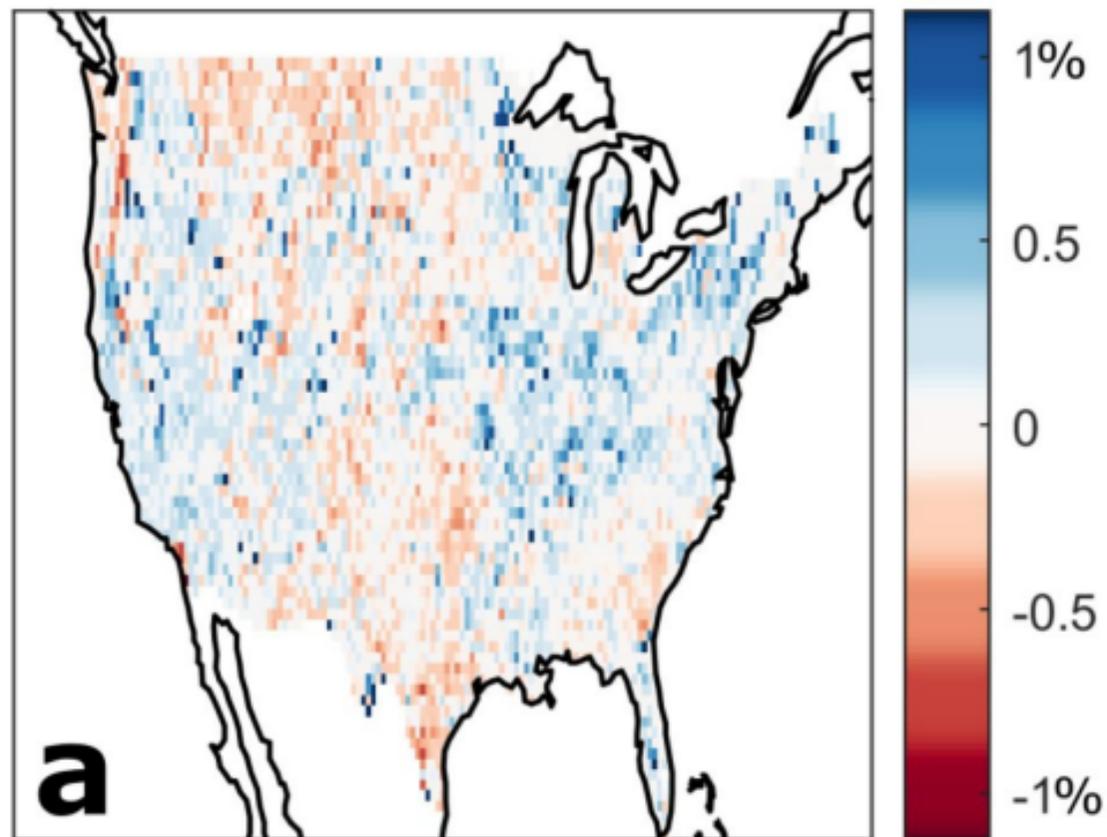


Figure 3.

Superposition error



Temperature scaling error

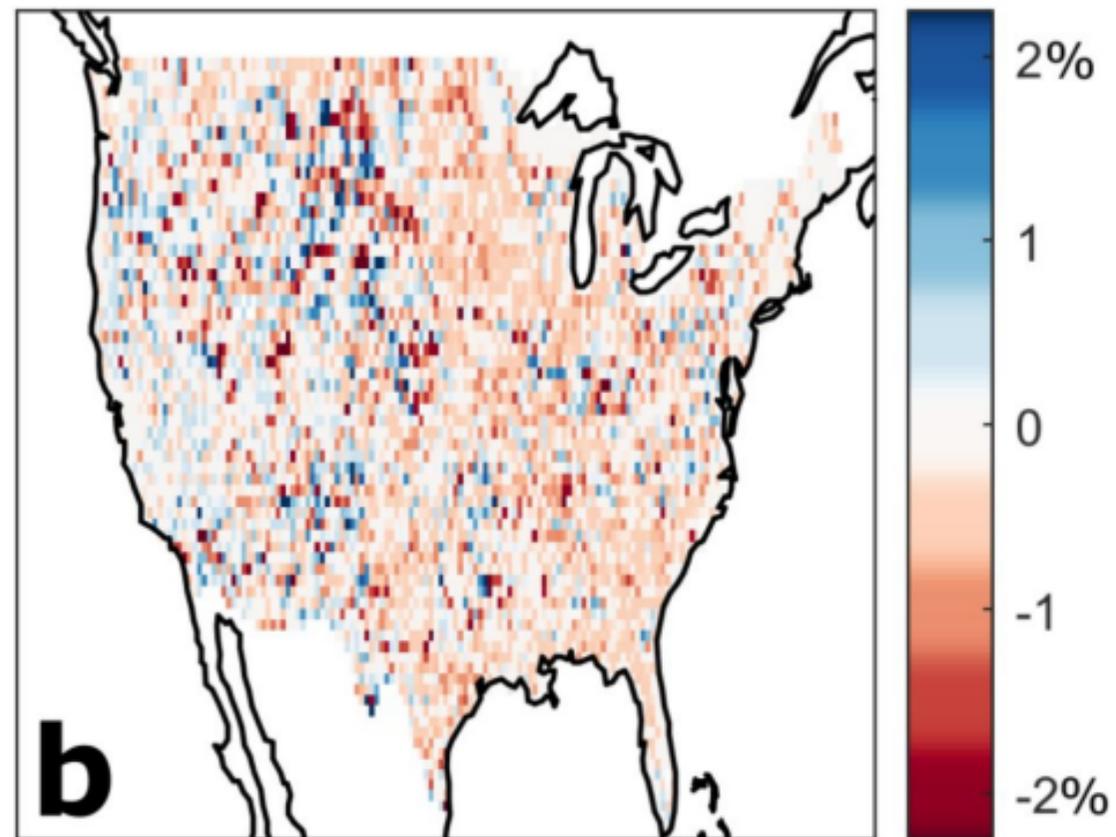


Figure 4.

