

1 **Sea ice interannual variability and sensitivity to fall**
2 **oceanic conditions and winter air temperature in the**
3 **Gulf of St. Lawrence, Canada.**

4 **Peter S. Galbraith¹, Caroline Sévigny²,**
5 **Daniel Bourgault³, and Dany Dumont³**

6 ¹Fisheries and Oceans Canada, Québec Region, Maurice Lamontagne Institute, P.O. Box 1000, Mont-Joli,
7 Qc, Canada, G5H 3Z4

8 ²Environment and Climate Change Canada, 801-1550 avenue D'Estimauville Québec, Qc, G1J 0C3

9 ³Institut de sciences de la mer de Rimouski, Université du Québec à Rimouski, 310 allée des Ursulines,
10 Rimouski, Qc, Canada G5L 3A1

11 **Key Points:**

- 12 • First occurrence of sea ice in the northwest Gulf of St Lawrence is predicted by
13 air temperature with about 40 days of lead time.
- 14 • Seasonal sea ice severity metrics (duration, maximum area and volume) are shown
15 to be related to winter air temperatures.
- 16 • The only five nearly ice-free winters correspond to the warmest winter air tem-
17 peratures over the Gulf.

Abstract

The Gulf of St. Lawrence has been nearly free of sea ice five times in its recorded history, three of which have occurred since 2010. This study examines the inter-annual variability of sea ice cover characteristics (1969-2023) and winter mixed layer heat content (1996-2023), their sensitivity to fall oceanic conditions (since fall of 1995) and to winter air temperatures. The study finds no relationship between the first occurrence of sea ice, maximum seasonal volume or winter mixed layer heat content and fall oceanic conditions as determined by the heat content of the water column in early fall. However, it shows that the first occurrence of sea ice in the northwestern Gulf is related to the timing of sea surface temperature crossing the 0°C threshold with a lag time of about 3 weeks, and with air temperature dropping below -1.8°C with a lag of roughly 40 days. The average air temperature over the Gulf between December and February or March is highly correlated to seasonal maximum sea ice area and volume, as well as ice season duration. This is likely through a link with sensible heat flux. The five nearly ice-free winters correspond to the warmest December to February (or December to March) average air temperatures over the Gulf. From this is inferred that a warming of 2.2 to 2.4°C above the 1991-2020 climatology leads to nearly ice-free conditions in the Gulf of St. Lawrence. This finding is consistent with numerical simulation studies.

Plain Language Summary

The first occurrence and seasonal severity of sea ice in the Gulf of St Lawrence are shown to not be related to early fall ocean conditions. Atmospheric conditions prevalent when water temperature approaches the freezing point are drivers of first occurrence and can be used to predict onset of sea ice in the northwest Gulf with about 40 days of lead time. Seasonal sea ice severity metrics (duration, maximum area and volume) are shown to be related to winter air temperatures. Five nearly ice-free winters correspond to the warmest December to February (or December to March) average air temperatures over the Gulf, providing the threshold required for future climate warming to result in a nearly ice-free Gulf of St. Lawrence of 2.2 to 2.4°C above the 1991-2020 climatology.

1 Introduction

The Gulf of St. Lawrence is a close second to the Sea of Okhotsk for having the southernmost sea ice in the northern hemisphere (Takahashi et al., 2011). Being at the southern edge of sea ice extent means that the GSL is particularly sensitive to global warming given the drastic possibility that it eventually becomes completely ice-free year round. The entire area of the Gulf can become ice covered before the end of the severest winters, while less than a quarter of the area is ice covered by the end of mild winters, usually limited to shallow portions of the Gulf such as the Northumberland Strait. Using this threshold of a quarter of the area covered by ice of stage 4 (grey ice of 10-15 cm in thickness) or greater, the Gulf has been nearly ice-free by the end of winter five times in recorded history, with three occurrences since 2010 (as will be shown below). This suggests that climate warming may have already begun to affect sea ice duration, seasonal maximum area and volume in the Gulf, as the long term warming trend added to strong interannual variability has led to more common nearly ice-free winters. The study of the forcing thresholds responsible for similar events is not only relevant, but urgent.

In the high Arctic, predictions of seasonal sea ice outlooks often focus on the melt season and ice breakup dates for navigation and industrial activity purposes (Blockley & Peterson, 2018), and to track the progression towards the September minimum that is expected to become ice-free on occasion within 30 to 50 years (Notz & SIMIP Community, 2020; Bonan et al., 2021). The focus is towards the opposite of forecasting the date of first occurrence and of upcoming maximum sea ice conditions at lower latitudes

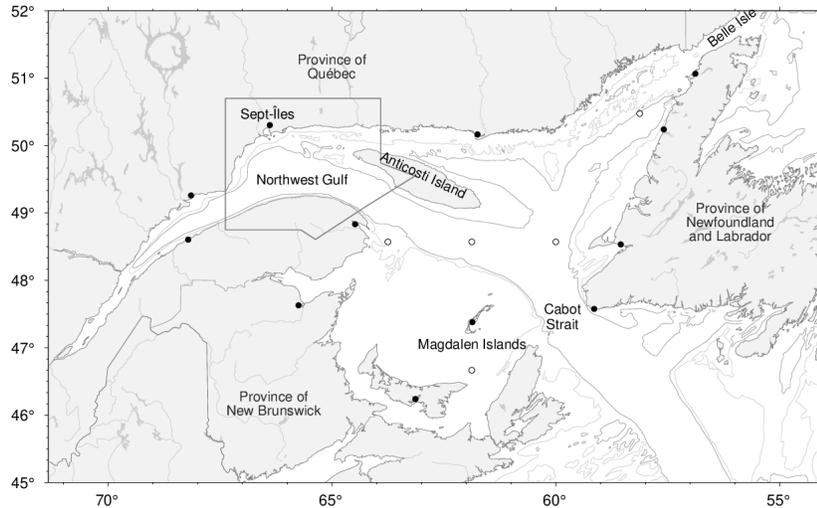


Figure 1. Gulf of St. Lawrence. Thirteen weather stations operated by Environment and Climate Change Canada (ECCC) are shown as black dots. NCEP2 grid points are shown as open circles. The area delimiting the Northwest Gulf is outlined. Isobaths for 100 m and 200 m are shown.

67 such as the Barents Sea (Arthun et al., 2021), Greenland Sea, and the Sea of Okhotsk
 68 (Takahashi et al., 2011).

69 In the Gulf of St. Lawrence, the interest in seasonal forecasting lies in aid to main-
 70 taining the St. Lawrence seaway open for shipping but also to help plan tourism oper-
 71 ations such as the observation of harp seal pups on the sea ice. These observations re-
 72 quire sea ice floes of sufficiently large size and thickness to safely land a helicopter with
 73 tourists. One major such tourism operator reports cancelling five seasons between 2010
 74 and 2023 because of weak sea ice conditions. Climate predictions are of interest to know
 75 when the Gulf will become completely ice-free. The topic of inter-annual variability of
 76 sea ice in the Gulf of St. Lawrence and its relationship to atmospheric forcing and initial
 77 ocean state was examined three decades ago using statistical analysis (Déry, 1992),
 78 numerical modelling (DeTracey, 1993), and a combination of both (Li, 2000) in studies
 79 that pre-dated the three recent nearly ice-free winters. Li (2000) found that observations
 80 of inter-annual variability in December to April surface air temperature accounted for
 81 40% of the variance in sea ice area, but did not provide the linear relationship. Based
 82 on the coupled ice-ocean numerical model of Saucier et al. (2003), Senneville and Saucier
 83 (2007) found that an increase in winter air temperature of 2°C resulted in a forecasted
 84 reduction of 28% in area and 55% in volume of sea ice relative to the period 1996-2003.
 85 More recent numerical modelling has also been used to predict sea ice cover under var-
 86 ious climate change scenarios. Brickman et al. (2016) and Lavoie et al. (2020) show maps
 87 of projected average conditions, but don't delve into the details of the relationship to at-
 88 mospheric forcing; Brickman et al. (2016) model a nearly ice free Gulf by 2075 under RCP8.5
 89 forcing, while Lavoie et al. (2020) reaches the same conditions by 2061-2080 under RCP8.5
 90 forcing for two of three Earth System Models used for atmospheric downscaling. Long
 91 et al. (2016) obtained a crossing of the interannual mean sea ice conditions through zero
 92 by 2069 with the increase in winter air temperature of 2 to 3°C. Operational models are
 93 also used for sea ice forecasting in the Gulf on the time scale of days (Pellerin et al., 2004;
 94 Smith et al., 2013).

95 Sea ice production is related to ocean mixed layer dynamics and to winter atmo-
96 spheric conditions (air temperature, winds). The more dominant factor regionally de-
97 pends on the heat content within the mixed layer, and therefore also its depth, versus
98 the strength of the ocean-atmosphere heat flux. The summertime water column in the
99 Gulf of St. Lawrence consists of three distinct layers: the surface layer, the cold inter-
100 mediate layer (CIL), and the deeper water layer. Surface temperatures typically reach
101 maximum values in early to mid-August (Galbraith et al., 2012). Gradual cooling oc-
102 curs thereafter, and wind-forced mixing during the fall leads to a progressively deeper
103 and colder mixed layer, eventually encompassing the CIL. During winter, the surface layer
104 thickens partly due to buoyancy losses (cooling and reduced runoff) and brine rejection
105 associated with sea ice formation, but mostly due to wind-driven mixing prior to ice for-
106 mation (Galbraith, 2006). The surface winter layer extends to an average depth of 75 m,
107 but may reach >150 m in places such as the Mécatina Trough where near-freezing wa-
108 ters from the Labrador Shelf entering through the Strait of Belle Isle may extend from
109 the surface to the bottom, in depths >200 m (Galbraith, 2006; Shaw & Galbraith, 2023).
110 This winter mixed layer depth is greater than values in most of the Arctic, comparable
111 to the Eurasian Basin, but less than half that of the Barents Sea (Peralta-Ferriz & Woodgate,
112 2015) or the Labrador Shelf as judged from the summer Cold Intermediate layer thick-
113 ness (Cyr et al., 2022). This mixed layer must entirely reach near-freezing temperatures
114 for sea ice to be produced, favoring sea ice formation first in shallow areas where the mixed
115 layer reaches the bottom, or in the Estuary where the stratification limits the mixed layer
116 to shallow depths, and suggesting that ocean heat content should play a large role in sea-
117 sonal sea ice variability because of the large mixed layer depth and warm fall conditions
118 compared to the Arctic. Restratification occurs in spring with sea ice melt waters and
119 continental runoff lowering surface salinity, combined with surface warming. Underneath
120 this surface layer, cold waters from the previous winter become partly insulated from the
121 atmosphere and form the summer CIL. This layer persists until the next winter, grad-
122 ually warming up and deepening during summer (Gilbert & Pettigrew, 1997; Cyr et al.,
123 2011), and more rapidly during the fall as vertical mixing intensifies. The deep layer un-
124 derneath is mostly unaffected by seasonal exchanges with the atmosphere and is not con-
125 sidered here since it is not involved in sea ice production.

126 This work addresses whether the interannual sea ice variability in the Gulf of St.
127 Lawrence is driven by the atmosphere or by pre-existing conditions of the ocean. It first
128 analyses sea ice data to provide climatologies of sea ice phenology and time series of sea
129 ice season duration and maximum volume and area. It analyses winter hydrographic data
130 to show the mixed layer conditions present during extreme cases of sea ice cover, and
131 fall hydrographic data to determine if early-fall mixed layer conditions explain the vari-
132 ability. The Gulf of St. Lawrence is a rare case study for which the water column un-
133 der an ice-covered sea is sampled at the end of winter, permitting the evaluation of the
134 mixed layer thickness that had to be cooled to near-freezing before sea ice could be pro-
135 duced. The work briefly considers how far ahead in time upcoming seasonal ice condi-
136 tions can be forecasted. It identifies relationships between winter atmospheric forcing
137 and observed seasonal extreme sea ice conditions, and seeks to determine if these rela-
138 tionships can be used to infer changes expected from future climate scenarios.

139 2 Materials and Methods

140 Ice cover area, duration, and volume are estimated from ice cover products obtained
141 from the Canadian Ice Service (CIS), and further processed onto a regular grid used in
142 analyses. These are weekly Geographic Information System (GIS) charts covering the
143 period 1969-2023. All charts are gridded on a 0.01° latitude by 0.015° longitude grid (ap-
144 proximately 1 km resolution). Thickness (and therefore volume) is estimated from stages
145 of ice growth whether it is new ice (5 cm), nilas (5 cm), grey ice (12.5 cm), grey-white
146 ice (22.5 cm), thin first-year ice (50 cm), medium first-year ice (95 cm) and thick first-

147 year ice (160 cm). Prior to 1983, the CIS reported ice categories into fewer classifications
 148 using a single category of first-year ice (≥ 30 cm) with a suggested average thickness of
 149 65 cm. This value was found to underestimate the seasonal maximum thickness and vol-
 150 ume based on high inter-annual correlations obtained between the estimated volume and
 151 area of the weekly seasonal maxima. The comparison of areas and volumes pre- and post-
 152 1983 provided an estimate of 85 cm, which is used instead of 65 cm.

153 The surface layexor temperature conditions of the Gulf are monitored by comple-
 154 mentary methods. First, three high-resolution satellite-based products are blended to
 155 produce weekly composites that cover the area from 1982 to 2023 (Galbraith et al., 2021,
 156 2023). Second, to extend coverage during the sea ice season, a shipboard thermosalino-
 157 graph consisting of temperature-salinity sensors (SBE-21; Sea-Bird Electronics Inc., Belle-
 158 vue, WA) has been installed on various commercial ships of Oceanex Inc. since late 1999
 159 (Galbraith et al., 2002). These ships transit between Montréal (Qc) and St. John’s (NL)
 160 with weekly return trips, crossing the Gulf each time.

161 Heat flux data and daily surface air temperature were extracted from the NCEP
 162 Reanalysis 2 open dataset, provided by the NOAA/OAR/ESRL Physical Sciences Lab-
 163 oratory (Boulder, Colorado, USA) for 1990 to May 2023.

164 Air temperatures come from the 2023 version of the second generation of homog-
 165 enized surface air temperature dataset, which is part of the Adjusted and Homogenized
 166 Canadian Climate Data (AHCCD), and accounts for shifts due to the relocation of sta-
 167 tions, changes in observing practices, and automation (Vincent et al., 2012). Thirteen
 168 coastal stations located around the Gulf are used (Figure 1). Monthly temperature cli-
 169 matologies are computed at each station for the period 1991-2020, and then averaged
 170 to obtain a spatial average seasonal cycle for the Gulf. Gulf average monthly air tem-
 171 perature anomalies are computed as the average anomalies at all available stations, and
 172 seasonal (DJF or DJFM) anomalies are computed as the average of these Gulf average
 173 monthly anomalies.

174 Fall water column temperature and salinity conditions were obtained from Fish-
 175 eries and Oceans Canada Atlantic Zone Monitoring Program (AZMP) fall surveys (Therriault
 176 et al., 1998; Galbraith et al., 2023) using a Sea-Bird Electronics SBE-9/11 CTD probe.
 177 The survey dates have shifted from early December to mid-October/mid-November start-
 178 ing in 2002.

179 Winter mixed layer conditions have been sampled at the end of winter, in the first
 180 half of March, since 1996 using helicopter-based oceanographic surveys that is now also
 181 part of the AZMP. During these operations, a Sea-Bird Electronics SBE 19plus is low-
 182 ered from the air to 200 m depth (or the sea floor in shallower areas) while the helicopter
 183 maintains a stationary flight, or through an augered ice hole once the aircraft lands on
 184 the ice. The first nine years of data collected from these surveys are described in Galbraith
 185 (2006), and 28 years of data (1996-2023) are used here.

186 **3 Sea ice metrics climatology and interannual variability**

187 Weekly ice charts were used to grid the day-of-year of the first and last ice occur-
 188 rences for each season, as well as the duration defined by the number of weeks that sea
 189 ice is observed at a grid point. Figure 2 shows the WMO standard 30-year climatology
 190 for these metrics, from 1991 to 2020 (WMO, 2017). Ice typically forms first in Decem-
 191 ber in the upper Estuary, on the banks of the St. Lawrence Estuary, and in shallow wa-
 192 ters along New Brunswick, Prince Edward Island, and the lower north shores. It melts
 193 last in the northeast Gulf where the ice season duration tends to be longest, apart from
 194 shallow bays elsewhere. Offshore sea ice is typically produced in the northern parts of
 195 the Gulf and drifts towards the Magdalen Islands and Cabot Strait during the ice sea-
 196 son (Saucier et al., 2003; Urrego-Blanco & Sheng, 2014).

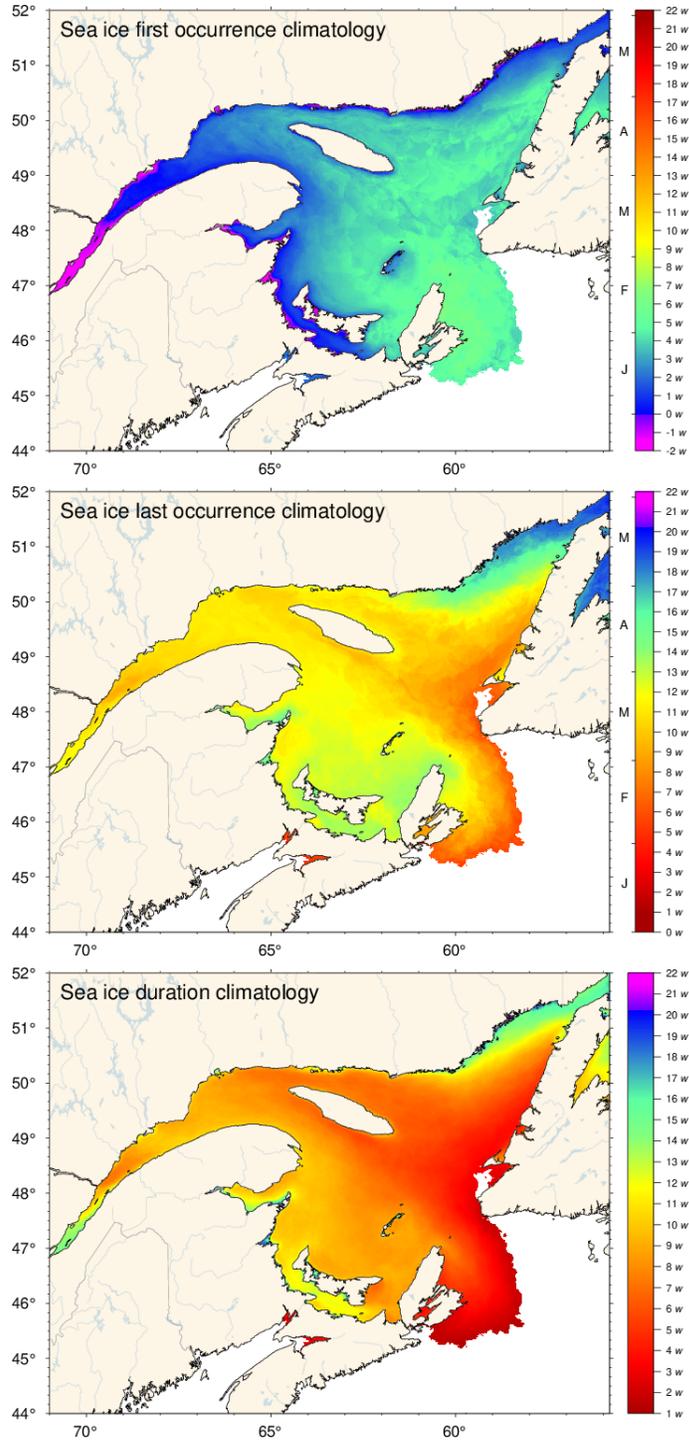


Figure 2. Sea ice phenology climatologies (1991-2020) for the first and last ice occurrence, and ice season duration based on weekly data. The first and last occurrences are defined here as the first and last weekly chart in which any amount of ice is recorded for each pixel, and are shown as day-of-year (DOY). Ice duration sums the number of weeks with ice cover for each pixel. Climatology is shown for pixels with at least 15 of the 30 years with sea ice, and therefore also displays the area where there is 50% probability of having sea ice for at least one week in a given year.

197 Figure 3 shows the 1991-2020 climatology of ice thickness distribution during the
 198 week of maximum seasonal volume, as well as the maximum weekly cover observed in
 199 2003 and 2010, respectively the years of maximum and minimum volume over the 1969-
 200 2023 period, and 2016, which is the threshold year discussed later. It also shows the winter
 201 surface mixed layer temperature and depth for the same years obtained from the March
 202 oceanographic surveys (discussed later). Figure 4 presents the time series of seasonal max-
 203 imum ice volume, area (excluding thin new ice), and ice season duration in relation to
 204 the December to March air temperature anomaly. Ice season duration is calculated from
 205 the average of grid points shown in Figure 2, with zeros included when there is no ice
 206 in a given year but some are found in the 30-year climatology.

207 As demonstrated by these two figures, sea ice cover can vary from an almost com-
 208 plete cover shore-to-shore to almost no cover at all, and is tied to mixed layer conditions
 209 as surface waters have to reach freezing temperatures throughout the entire mixed layer
 210 depth to permit sea ice formation. The total area of the Gulf represents 220 000 km² and
 211 this area of sea ice is reached during the most severe winters (Note that the area in Fig. 4
 212 includes drift onto the Scotian Shelf). For the purpose of this work, we define a “nearly
 213 ice free” Gulf as a maximum seasonal area of less than 1/4 of this value, although the
 214 estimated volumes will be less than a quarter than in severe winters because the ice will
 215 also be thinner during mild winters. This criterion picks out 1969, 2010, 2011 and 2021
 216 in our dataset as nearly ice free.

217 In 2010, the mixed layer failed to reach near-freezing by early March everywhere
 218 except close to the Strait of Belle Isle, preventing widespread sea ice occurrence. This
 219 contrasts with 2003 when the mixed layer was near-freezing over the entire Gulf area in
 220 early March. The climatology shows an area near the Eastern end of Cabot Strait where
 221 waters are often warmer than freezing; this is an area often devoid of sea ice, such as in
 222 2016. The size of this warm area is highly variable from year-to-year, extending north
 223 past the tip of Anticosti Island in 2016. The nearshore zone of the Eastern end of Cabot
 224 Strait remains ice-free in the climatology (top left panel), indicating open water condi-
 225 tions during at least 50% of winters.

226 4 Relation to fall ocean heat content

227 Since the fall mixed layer temperature must reach the freezing point before sea ice
 228 can form, the fall heat content was hypothesized to be decisive for the date of the first
 229 occurrence of sea ice in the Gulf of St. Lawrence. The fall oceanographic survey carried
 230 out by Fisheries and Oceans Canada was historically called the *ice forecast cruise*, when
 231 it was usually carried out in December. In the 1990s, collected temperature-salinity pro-
 232 files were sent to the Canadian Ice Service for comparison to prior years of observations
 233 to serve as input for the seasonal sea ice outlook. This nickname has remained even though
 234 the survey has usually been conducted in October for the past 20 years or so. With the
 235 benefit of hindsight, this hypothesis can and is hereby tested.

236 To test whether the fall heat content obtained from surveys conducted in Decem-
 237 ber (before 2002) and October (after and including 2002) has any predictive ability for
 238 the first appearance of sea ice, the mixed layer depth measured at each station for each
 239 March sampling was first interpolated over the area of the Gulf (e.g. Figure 3). The mixed
 240 layer depth is defined here as the depth where salinity is 0.3 greater than the deepest
 241 water with $T < -1^{\circ}\text{C}$, or of the coldest water if no waters with $T < -1^{\circ}\text{C}$ are present
 242 (which occurs throughout the Gulf in 2010). The addition of 0.3 only serves to extend
 243 the mixed layer to the sharp halocline usually present beneath the mixed layer and the
 244 ad hoc value of 0.3 is not consequential to the results. The mixed layer depth was then
 245 integrated horizontally, providing the mixed layer volume whose heat content was esti-
 246 mated referenced to the freezing point, as a function of the interpolated value of surface
 247 salinity. In the following, the heat content will be displayed in ExaJoules (EJ or $\times 10^{18}$ J)

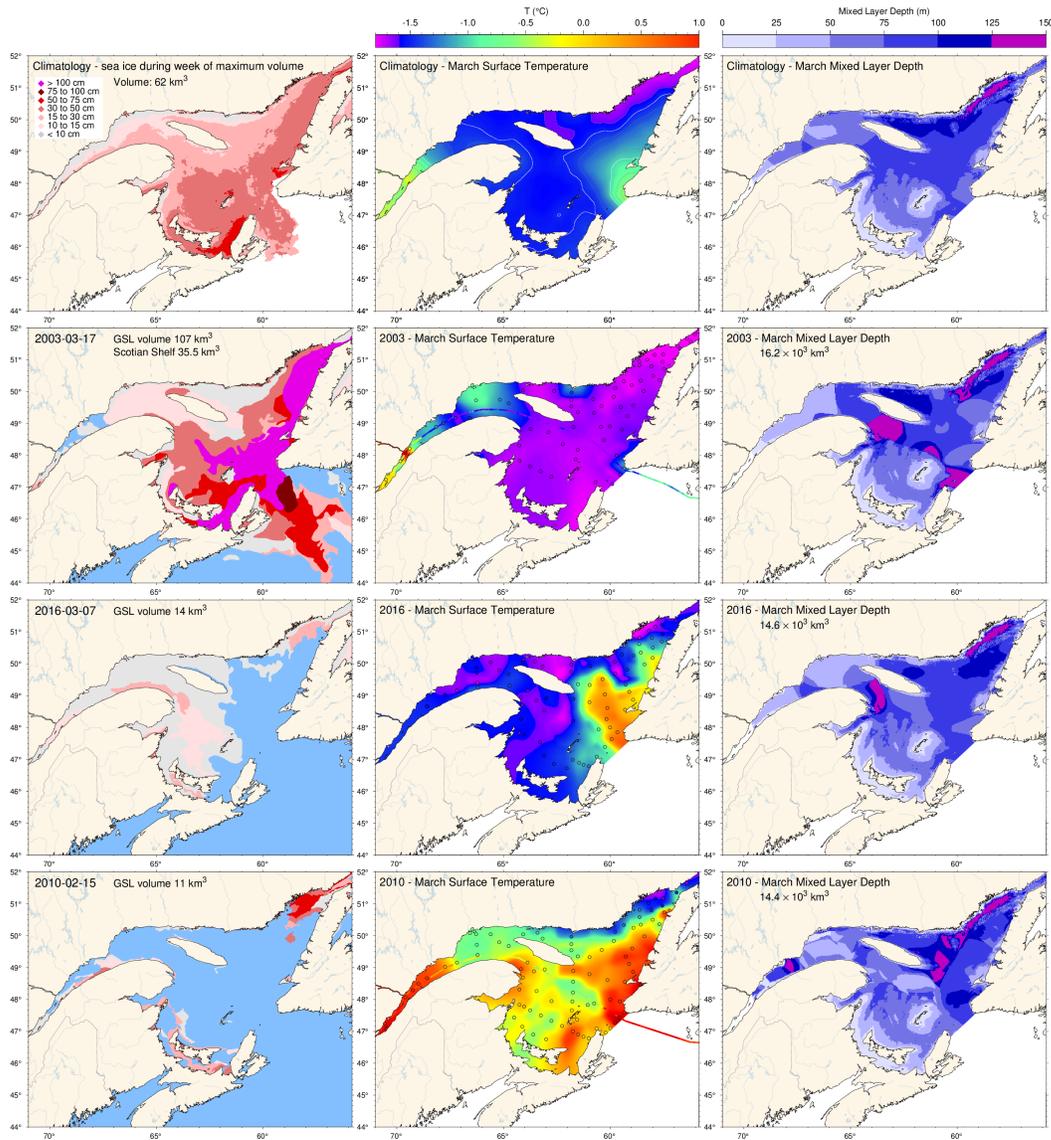


Figure 3. Sea ice thickness distribution during week of maximum seasonal volume, sea surface temperature and mixed layer depth during the early March survey. On the left panels, sea ice thickness for the 1991-2020 climatology, and years 2003 (year of maximum volume), 2016 (threshold year), and 2010 (year of minimum volume). On the middle panels, sea surface temperature interpolated from March survey stations for the 1996-2020 climatology and years 2003, 2016, and 2010, with station locations indicated by circles on the annual maps. Circles are color-coded according to observed temperature and a shipboard thermosalinograph transect is superimposed on the 2003 and 2010 maps. On the right panels, mixed layer depth interpolated from March survey stations for the 1996-2020 climatology and years 2003, 2016, and 2010. Integrated volumes are indicated.

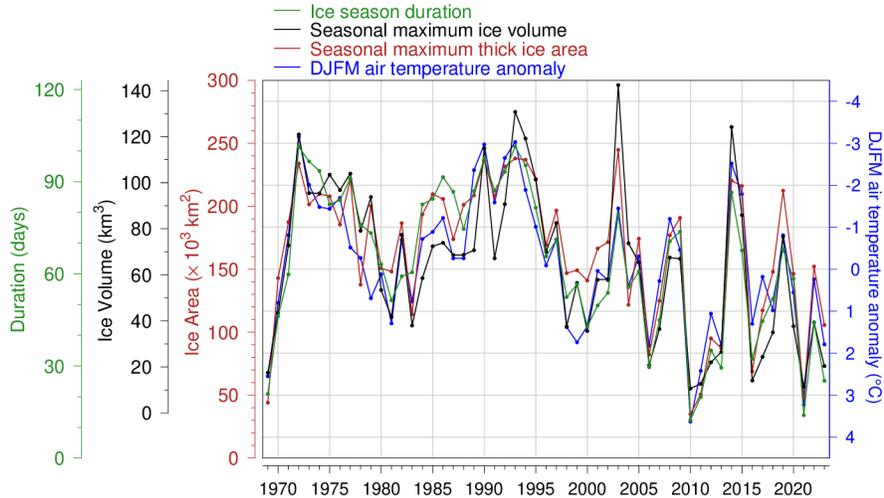


Figure 4. Seasonal maximum ice volume and area including the portion on the Scotian Shelf (area excludes ice less than 15 cm thick), ice season duration, and December to March air temperature anomaly. Mean duration obtained as the spatial average of Fig. 2, excluding the Scotian Shelf, with zeros counted if no ice is present but the 1990-2020 climatology has some. Linear relations indicate losses of 18 km³, 31000 km² and 14 days of the sea ice season for each 1°C increase in winter air temperature (R^2 of 0.75, 0.81, and 0.83 respectively).

248 and in units of km³ °C for simplicity, omitting negligible variations of density and specific
 249 heat capacity of water. Finally, the March mixed layer depth grid was transposed
 250 to the preceding fall observations and the fall heat content was calculated over the same
 251 volume.

252 The average day-of-year of the first ice occurrence cannot be calculated with a simple
 253 average of seasonal grids such as in Figure 2. This would lead to biased estimates
 254 due to spatial disparity in the date of first occurrence and interannual variability in sea
 255 ice extent; e.g., the sea ice cover extends further out to Eastern Cabot Strait in winters
 256 of high sea ice, where the first occurrence is later. Instead, the spatial anomaly grid
 257 was calculated for each ice season, relative to the 1991-2020 climatology of the first oc-
 258 currence, and the spatial average anomaly obtained from this grid is used. Figure 5 (top
 259 panel) shows the time series for the average anomaly of first ice occurrence for 1996-2023
 260 and the heat content of the previous fall, i.e. 1995-2022. No statistical relationship is found
 261 between these two series, either using the full sequences or excluding 1996-2002 when
 262 the preceding fall survey was done in December (R^2 of 0.03 and 0.02 respectively), or
 263 even using the short series of 1996-2002. The fall heat content has a statistically signifi-
 264 cant warming trend but the day-of-year of first occurrence does not. Furthermore, the
 265 two years with the latest first sea ice occurrence (2021 and 2011) did not follow a high
 266 fall heat content (although the 3rd, 2023, did) nor did the three post-2002 years with
 267 the earliest first occurrence (2014, 2019 and 2009) coincide with winters following low
 268 fall heat content (2006, 2018 and 2003).

269 Next, the predictive ability of the fall heat content for the winter mixed layer vol-
 270 ume and heat content, or for the maximum seasonal ice volume was tested (Figure 5,
 271 bottom panel). Again, the fall heat content is not statistically related to any of these three
 272 parameters. Winters 2010 and 2021, which show low sea ice cover and high remaining
 273 heat content in March, were both preceded by near-average fall heat content. Winters
 274 2003 and 2014, which are characterized by more sea ice and lower winter mixed layer heat

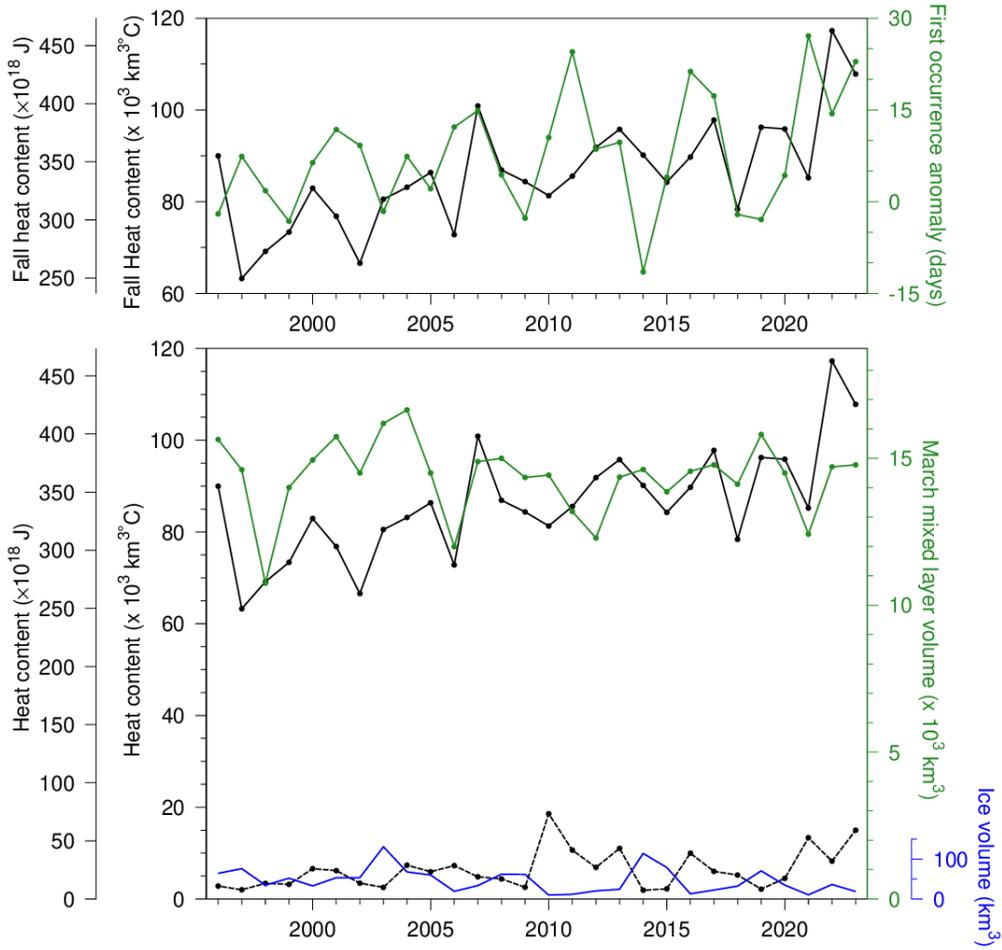


Figure 5. Heat content in the Gulf of St. Lawrence. (Top) Heat content observed during the fall oceanographic survey in the volume occupied by the following March surface mixed layer (black line) and the spatial average of the day of the first occurrence of sea ice anomaly grid (green line). Years are referenced to March (i.e. Fall 2020 is shown at 2021). (Bottom) Same fall heat content as above (black line) compared to March mixed layer volume ($T < 0^\circ\text{C}$; green line), March surface mixed layer heat content (black dashed line), and the heat removed for the formation of the maximum observed weekly volume of sea ice (blue line). Ice volume is scaled according to the latent heat of fusion required for its formation.

275 content than average, were also preceded by near-average fall heat content. The excep-
 276 tionally high fall heat content that preceded winter 2022 did not coincide with high March
 277 heat content or record low sea ice volume. Figure 5 also shows that the interannual vari-
 278 ability in the heat content of the March surface mixed layer is not driven by differences
 279 in its volume, the two being weakly correlated.

280 5 Sea surface temperature coupling to air temperature

281 Galbraith et al. (2012) showed that the May-to-November monthly climatology of
 282 sea surface temperature averaged over the Gulf of St. Lawrence was nearly identical to
 283 that of air temperature lagged by half a month. This is shown in Figure 6 using updated
 284 datasets and climatology, which here covers the period 1991-2020. From spring to the
 285 seasonal maximum sea surface temperature in August, the atmosphere remains warmer
 286 than the surface waters and warms them. The opposite occurs once the seasonal max-
 287 imum is reached when the atmosphere becomes cooler and cools them. This tight inter-
 288 relation, at least in a climatological sense, informs on the role played by the sensible heat
 289 flux, which is a first-order mechanism affecting surface conditions. The sensible heat flux
 290 can be estimated by the bulk aerodynamic formulae $Q_s = \rho_a C_{pa} C_H |U| (SST - T_a)$, where
 291 ρ_a is the air density, C_{pa} is the specific heat of air, C_H is the sensible heat transfer co-
 292 efficient (e.g. MacIntyre et al., 2002) and U is the wind velocity. Since it is proportional
 293 to air and water temperature difference, it increases as the air gets warmer than surface
 294 waters in spring, and, at some temperature difference given average wind speeds, may
 295 become large enough to warm the surface waters at the same rate as the air tempera-
 296 ture and to maintain a nearly constant near-surface temperature difference. This is in
 297 spite of sensible heat flux being a smaller contribution to the overall heat budget than
 298 solar radiation during the summer season. The reverse same situation occurs in the fall
 299 except that the mixed layer thickens as the season progresses, increasing the required
 300 heat flux to reach equilibrium and therefore the temperature difference between air and
 301 water. Galbraith et al. (2012) have shown that this strong coupling holds interannually,
 302 with the April-November Gulf average air temperature correlated with the May-November
 303 sea surface temperature (1982-2010). An analysis using the updated time series over the
 304 years 1982 to 2022 finds that the two are correlated with $R^2 = 0.73$.

305 This strong coupling is likely responsible for the low correlation between fall wa-
 306 ter column heat content and either the timing of the onset of sea ice, the maximum vol-
 307 ume of sea ice formed, or the remaining water column heat content in March. Whatever
 308 the initial fall heat content within the water column might be, the ocean surface tem-
 309 perature generally follows the air temperature with a half-month lag: it remains well above
 310 freezing if the air temperature stays anomalously warm, or cools rapidly if air tempera-
 311 ture cools rapidly. When air temperatures fall below freezing, with water temperature
 312 bound by its freezing point, the temperature difference and sensible heat flux both in-
 313 crease to reach values much greater than observed in other seasons. Therefore the tim-
 314 ing of the first sea ice occurrence (and subsequent sea ice and winter mixed layer con-
 315 ditions) is only expected to be influenced by the date at which the air temperature falls
 316 below the freezing point of seawater, triggering sea ice production, rather than by the
 317 warmer air temperature experienced the previous fall. To partly demonstrate this, the
 318 relation between air temperature and the DOY when SST reaches a threshold of 0°C in
 319 the Northwest Gulf, and with the DOY of the first sea ice occurrence, are explored.

320 Figure 7 A shows the comparison between the DOY of the first ice occurrence in
 321 the Northwest Gulf and the DOY when SST of 0°C is reached on average within the re-
 322 gion, based on twice weekly shipborne thermosalinograph transects (see the delimita-
 323 tion of the Northwest Gulf area in Figure 1). Results show that the timing of SST dip-
 324 ping below 0°C explains 73% of the variance of the very first occurrence of sea ice, with
 325 a lag of about 20 days when the threshold is crossed early in December, diminishing to
 326 15 days by January 1st. The very first occurrence of sea ice is defined here as the vol-

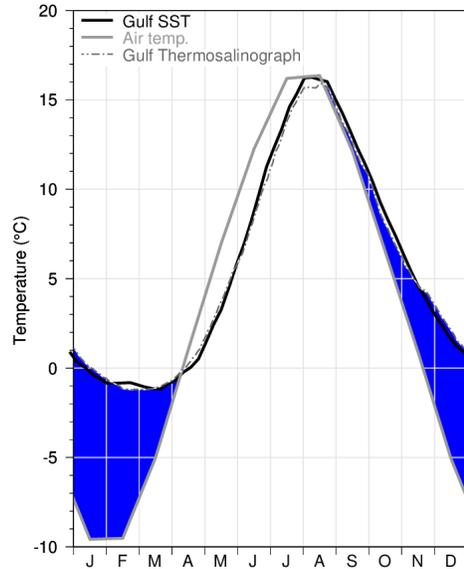


Figure 6. Sea surface and air temperature climatological seasonal cycle in the Gulf of St. Lawrence. AVHRR temperature weekly averages for 1991-2020 are shown (thick black line) as well as thermosalinograph averages (2000-2020; gray dashed line) and monthly air temperature averaged over 13 stations in the Gulf of St. Lawrence for 1991-2020 (thick gray line). The area in blue highlights water and air temperature differences when air is colder than water. See Figure 1 for weather station locations.

327 ume within the region crossing the threshold of 5% of the largest volume ever recorded
 328 in the region. When the analysis is done using the spatially averaged DOY of first occur-
 329 currence, i.e. the spatial average of the DOY of the first ice occurrence at all grid points
 330 in the region in Fig. 2, the variance explained increases slightly to 78% (Figure 7 B). The
 331 first occurrence of sea ice is thus well predicted with a few weeks of lead time by the tim-
 332 ing of SST reaching 0°C.

333 The next step is the link to air temperature. The same DOY time series for SST
 334 dipping below 0°C is compared first in Figure 7 C to the DOY for air temperature at
 335 2 m dipping below -1.8°C at the NCEP grid point closest to the Northwest Gulf area.
 336 The NCEP air temperature time series was first filtered with a 15-day moving average.
 337 The relation explains 54% of the variance with a lag of about 3 weeks. Next, in Figure 7 D,
 338 the SST DOY time series is compared to the average November-December temperature
 339 at the Sept-Îles station. The variance explained increases to 63%, but at the cost of de-
 340 creased forecast time since the metric isn't available before the end of December. Com-
 341 bining the steps of linking first occurrence of ice directly to these air temperature met-
 342 rics (Figure 7 E and F) decreases the explaining variance somewhat, but not by very much.
 343 The DOY of air temperature falling below the freezing point of salt water (-1.8°C) ex-
 344 plains 47% of the variance with a lag of about 40 days. If the sea hasn't occurred by Jan-
 345 uary 1st, the November-December air temperature at Sept-Îles can be used with increased
 346 predictability (60%).

347 **6 Sea ice conditions and their relation to winter air temperature**

348 Sea ice production is related to winter atmospheric conditions (air temperature,
 349 winds) and ocean mixed layer dynamics. As stated earlier, the striking similarity between

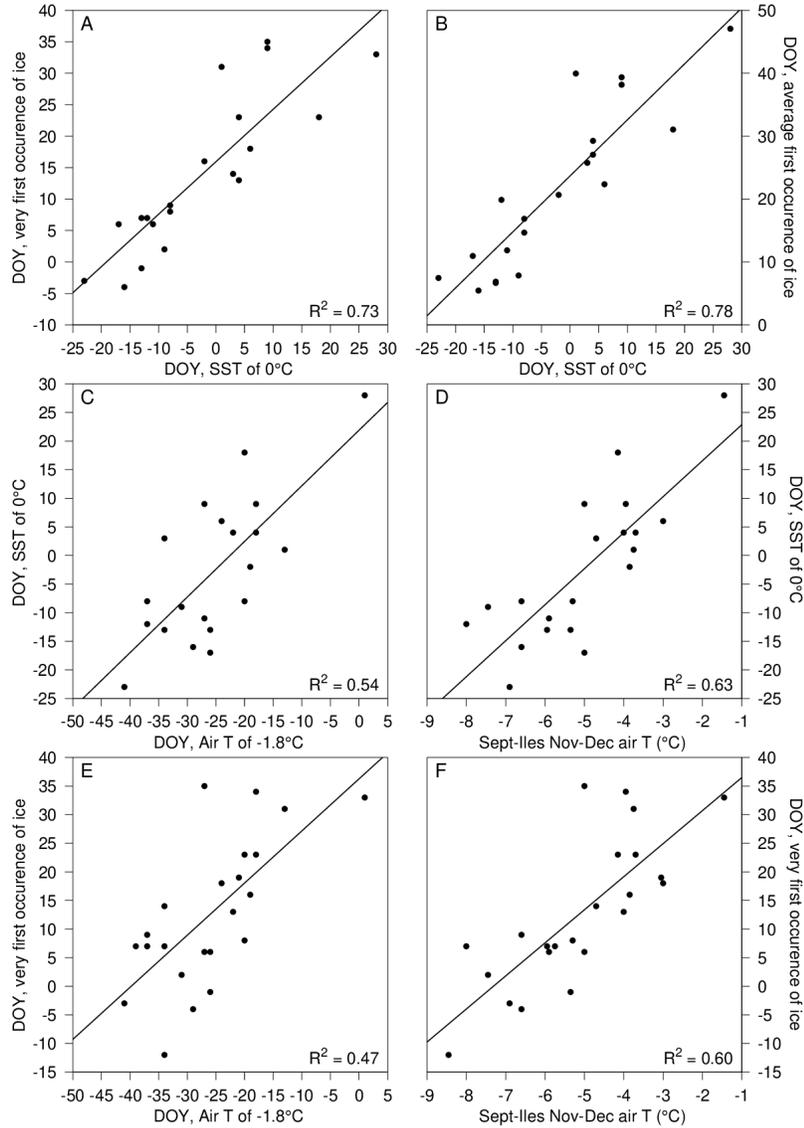


Figure 7. Analysis of first occurrence of sea ice in the Northwestern Gulf. (A and B) Comparison between the date of first occurrence of ice in the Northwestern Gulf and the date when SST of 0°C is reached on average within the region, based on twice weekly shipborne thermosalinograph transects (2001-2023, excl. 2010, 2014, and 2015 for which there are no SST data within the time range). In panel A, the very first occurrence of ice is shown, defined as the DOY when the volume reaches 5% of the largest volume ever recorded in the region; this is from Figure 27 of Galbraith et al. (2023) updated to include up to 2023. In panel B, the spatial average of first occurrence of ice is shown, defined as the average anomaly at every grid point of maps such as in Fig. 2 plus the climatological mean in the area delimited as in Figure 1. (C and D) Comparison of SST date from A and B with (C) DOY of air temperature falling below -1.8°C at the closest NCEP grid point, with a 15-day moving average applied to the air temperature time series, and (D) with the average November-December air temperature at the Sept-Îles station. (E and F) Comparison of DOY of very first occurrence of ice with the same air temperatures parameters as in C and D.

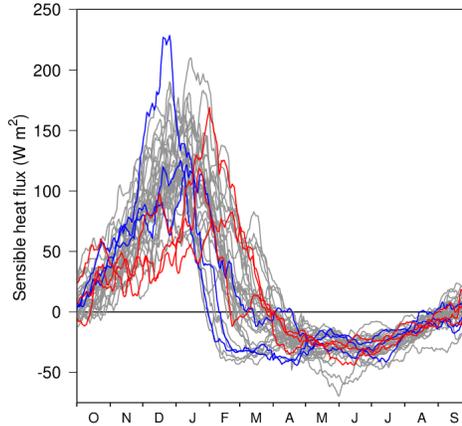


Figure 8. Sensible heat net flux from the NCEP/DOE AMIP-II Reanalysis (Reanalysis-2) with daily averages of 5 grid points in the Gulf of St. Lawrence, filtered using a 31-day running mean (1990-2023). Years are shown from October to October to highlight the winter mixed layer formation period. Years with the highest maximum seasonal ice volume (2003, 1993, and 2014) are shown in blue, years with the lowest maximum seasonal ice volume (2010, 2021, and 2011) are shown in red, and all others are in gray.

350 May-November surface air temperature and SST suggests a tight coupling through sen-
 351 sible heat flux. This coupling must intensify when air temperatures drop below the freez-
 352 ing point of sea water, increasing the air-sea temperature difference and sensible heat
 353 flux. Fig 8 displays the net sensible heat flux extracted from the NCEP dataset and av-
 354 eraged over the five grid points covering the Gulf of St. Lawrence. On average, sensible
 355 heat flux accounts for about half of the heat budget in January (100 W versus 200 W),
 356 with latent heat flux accounting for most of the remainder. Sensible heat flux decreases
 357 quickly in February, more so in high sea ice years (shown in blue) than in low ice years
 358 (shown in red) because of the limited area of open water. Surprisingly, this data set shows
 359 sensible heat flux becoming negative as early as the end of January in 1993 (blue line
 360 with the earliest crossing to negative values) in spite of air temperatures in February 1993
 361 being 5.3°C below the 1991-2020 climatology (and the third coldest month of February
 362 on record since 1873, with the coldest being 1923 and 1914 with 6.3 and 5.9 °C below
 363 the 1991-2020 climatology, respectively).

364 In order to find the simplest descriptor of the integrated heat flux variability that
 365 can affect the formation of the winter mixed layer and sea ice cover, the blue shaded area
 366 in Fig. 6 was investigated, i.e. when the air temperature is colder than water temper-
 367 ature in the climatology. Because SST is close to 0°C between December and March, when
 368 the air-water temperature difference is largest, the area in blue representing the air-water
 369 temperature difference intrinsic to the sensible heat flux is well approximated by the av-
 370 erage winter air temperature (DJFM). This metric is shown in Fig. 4 (blue line) and is
 371 highly correlated with all sea ice metrics, with R^2 values ranging from 0.75 to 0.83.

372 7 Discussion and summary

373 The spring-to-summer sea surface temperature averaged over the Gulf of St. Lawrence
 374 is similar to that of air temperature lagged by a half-month, suggesting strong coupling
 375 between the two through sensible heat flux. Perhaps counter-intuitively, because of this
 376 strong coupling, the early fall heat content in the volume that will eventually become

R^2 Table	Ice max volume (1969-2023)	Ice Duration (1969-2023)	DJFM air temp (1969-2023)	DJF air temp (1969-2023)	DJ air temp (1969-2023)
Ice max area (1969-2023)	0.80	0.84	0.81	0.84	0.64
Ice max volume (1969-2023)		0.78	0.75	0.73	0.50
Ice Duration (1969-2023)			0.83	0.84	0.74
DJFM air temp (1969-2023)				0.92	0.67
DJF air temp (1969-2023)					0.80

Figure 9. Correlation coefficients (R^2) for regressions between sea ice and air temperature time series for the Gulf of St. Lawrence. All are statistically significant ($p < 0.05$; auto-correlation of the time series is not considered to reduce the number of degrees of freedom).

377 occupied by the winter mixed layer is not an important driver of the date of the first oc-
 378 currence of sea ice in the Gulf of St. Lawrence. Only the time period when air and wa-
 379 ter temperature get close to freezing is decisive. The first occurrence of sea ice was shown
 380 to occur about 15 to 20 days after SST reaches an average of 0°C in the Northwest Gulf,
 381 with the lag decreasing later in the season. The first occurrence of sea ice was shown to
 382 occur about 40 days after air temperature dips below -1.8°C , with less variance explained
 383 in the forecast (from 73-78% using SST to 47% using air temperature).

384 Figure 9 shows the correlation coefficient (R^2) matrix between various sea ice sea-
 385 seasonal maximum and duration metrics against air temperature in the Gulf of St. Lawrence.
 386 Once the surface water is limited by the freezing point, which is close to 0°C , the sen-
 387 sible heat flux reaches its maximum values. The average air temperature then acts as
 388 a proxy for the difference between the sea surface and air temperatures, and sea ice met-
 389 rics become strongly correlated with the mean air temperature for the December to March
 390 (DJFM) period. This relationship most likely operates through the sensible heat flux.
 391 Correlations are also high (and in some cases higher) against the average air tempera-
 392 ture observed between December and February (DJF). In years of very light sea ice cover,
 393 the maximum often occurs early, in February, making the March temperatures moot (al-
 394 though very cold March temperatures might have delayed the maximum). In years of
 395 very high sea ice cover, the Gulf is mostly ice-covered by March, which reduces the area
 396 over which sea-air heat flux can occur, making the March temperatures a less useful pre-
 397 dictor of average sensible heat flux. It is therefore not surprising that the DJF average
 398 air temperature proved to be a slightly better predictor than the DJFM average air tem-
 399 perature for two of three sea ice parameters. In spite of reduced sensible heat fluxes of-
 400 ten observed in February (Fig. 8), removing this month from the averaging period (leav-
 401 ing only December and January) leads to still statistically significant but much reduced
 402 correlations, between 0.50 and 0.74 down from between 0.73 and 0.84.

403 The average winter air temperature is a very good predictor of sea ice conditions
 404 metrics, with linear relations indicating losses of 18 km^3 , $31\,000\text{ km}^2$ and 14 days of the
 405 sea ice season for each 1°C increase in DJFM air temperature (R^2 of 0.75, 0.81 and 0.83
 406 respectively) and of 17 km^3 , $30\,000\text{ km}^2$ and 13 days of sea ice season for each 1°C in-
 407 crease in DJF air temperature (R^2 of 0.73, 0.84 and 0.84 respectively). While climate
 408 change projections usually need to extrapolate outside of the observed variability to fore-
 409 cast near-zero conditions, the observations since 1969 include the near absence of sea ice
 410 and are thus within the bounds of interpolation.

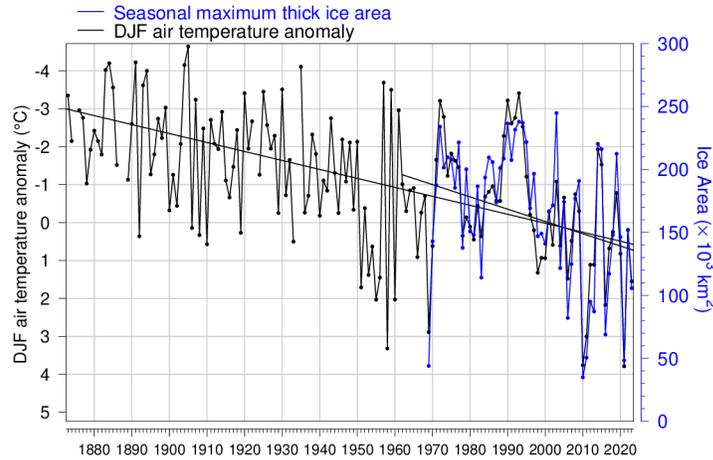


Figure 10. Seasonal maximum ice area and December-to-February (DJF) air temperature anomaly relative to 1991-2020 climatology, averaged over meteorological stations around the Gulf of St. Lawrence. Black lines show linear regressions for two periods. The 1873-2023 trend in air temperature is 2.3°C per 100 years and 1962-2023 is 3.2°C per 100 years but is not statistically different at 95% confidence intervals.

411 Figure 10 shows the long-term average DJF temperature anomaly from all avail-
 412 able meteorological stations back to 1873, when only 3 stations were available. A warm-
 413 ing trend of 2.3°C per 100 years is observed superimposed on a high interannual vari-
 414 ability. Only five winters had DJF temperature anomalies greater than 2.9°C in that pe-
 415 riod, and DJFM temperature anomalies greater than 2.4°C , and they all coincide with
 416 the only winters that were nearly ice-free in the Gulf of St. Lawrence. These are recorded
 417 in our sea ice dataset as 1969, 2010, 2011 and 2021. To those four years is added 1958
 418 for which sea ice was reported to extend eastward to only just East of Prince Edward
 419 Island and the Western end of Anticosti Island (Black, 1958), resembling conditions of
 420 2021. Prior to 1958, no winters were likely to have been sufficiently warm to lead to nearly
 421 ice-free conditions in the Gulf. However, the Gulf of St. Lawrence has since entered a
 422 period with occasional nearly ice-free winters, a condition that is expected to become
 423 more common in the near future. Note that the term used here is “nearly ice-free” con-
 424 dition because the coastal areas and shallow bays that freeze up early (Figure 2) will likely
 425 remain at least occasionally ice-covered in spite of warming for far longer. This temper-
 426 ature anomaly threshold is similar to that of 2 to 4°C found for various regions of the
 427 Sea of Okhotsk by Takahashi et al. (2011), also using the correlation between winter air
 428 temperature and sea ice extent.

429 The more recent warming trend (1962-2023) in winter air temperatures of 3.2°C
 430 per 100 years (Figure 10) hints at being steeper than the entire series trend but is not
 431 statistically different at 95% confidence intervals. If a 2 to 3°C per 100 years trend is main-
 432 tained, winter air temperatures will reach an average of 2.4°C warmer than the 1991-
 433 2020 climatology after about 100 years; earlier if the warming rate increases as the trend
 434 over the more recent period suggests and as climate models project (see below). But even
 435 then, interannual variability in air temperature associated with weather patterns, e.g.
 436 the polar vortex, will continue to drive interannual variability in sea ice. In the early 1990s,
 437 DJF average air temperatures were about 3°C colder than the long-term trend. If colder
 438 than normal temperatures of this magnitude still occur on occasion, it might take an-
 439 other 100 years for the Gulf to become permanently ice-free except for coastal ice.

440 However, this discussion hinges on the temperature anomaly threshold that dis-
 441 tinguishes the years with nearly no ice cover, while these years were also characterized
 442 by a winter mixed layer that was significantly warmer than required to prevent ice for-
 443 mation. In March 2010, for example, the mixed layer was 1°C above freezing over the
 444 Magdalen Shallows and over 2°C above freezing on the Southeastern area between the
 445 coast of Newfoundland and Anticosti Island (Figure 3). Perhaps a year such as 2016 is
 446 more relevant, when the mixed layer was overall 1°C cooler and near-freezing over the
 447 Magdalen Shallows, with sea ice that had just started to form (Figure 3). The DJF win-
 448 ter air temperature anomaly was then of +2.2°C (Figure 10), the next warmest after the
 449 five nearly ice-free winters, a threshold that could be reached two to three decades sooner
 450 in the long-term trend.

451 These projections are consistent with some aspects of recent numerical modelling
 452 results. Perrie et al. (2015) investigated the effects of IPCC SRES scenario A1B that as-
 453 sumes increasing carbon dioxide emissions until around 2050 and decreasing thereafter,
 454 which is a moderate emission scenario similar to RCP6.0. Using downscaling projections
 455 over the Gulf, they found winter air temperatures increases of 2.5 to 3.5°C by 2040-2069
 456 and of 4 to 5°C by 2070-2099 relative to 1970-1999. We must reduce these increases by
 457 0.8°C to account for our already warmer 1991-2020 climatology (based on our 13-station
 458 average time series). This is slightly faster warming than the current rate. Long et al.
 459 (2016) modelled sea ice changes in the Gulf using the same IPCC scenario and obtained
 460 a crossing of the interannual mean sea ice conditions through zero by 2069, leaving in-
 461 terannual variability to create some ice in colder than normal years. This is also slightly
 462 faster than the current rate but is consistent with the increase in winter air temperature
 463 of 2 to 3° averaged over the Gulf. Note that their modelled sea ice volume time series
 464 exhibits much less interannual variability than our observations and does not show the
 465 near absence of sea ice that has already occurred before the 2050s. We speculate that
 466 the relatively thin winter mixed layer in the model leads to easier production of sea ice.
 467 Indeed some numerical models obtain a sea ice cover under current climate conditions
 468 that are similar to observations, but with a much thinner winter mixed layer thickness,
 469 casting doubt on their ability to predict future climates given the assumed influence of
 470 the oceanic surface mixed layer on sea ice conditions. The winter depth of the 0°C isotherm
 471 modelled by Long et al. (2016) reaches only about 40 m in the center of the Gulf instead
 472 of the much deeper observations (see their Fig. 7). Saucier et al. (2003) also successfully
 473 simulated the sea ice cover to within error bars of observations, while simultaneously un-
 474 derestimating the volume of the surface mixed layer ($T < 0^\circ\text{C}$) to only 7000 km³, or
 475 less than half the volume typically observed (Fig. 5).

476 While numerical modelling can be a very useful tool to forecast sea ice conditions
 477 in the Gulf of St. Lawrence that will be associated with climate change, they currently
 478 have limitations in correctly modelling the present winter mixed layer. Therefore stud-
 479 ies such as the present one based on understanding observations, quantifying variabil-
 480 ity, and identifying simple relations between forcings and observed conditions are com-
 481 plementary and valuable, allowing the use of past variability to forecast conditions as-
 482 sociated with anticipated changes in climate.

483 The Gulf of St. Lawrence has a winter mixed layer that is comparably thick (average
 484 of 75 m; Galbraith, 2006) with respect to typical values in the Arctic basins (Peralta-
 485 Ferriz & Woodgate, 2015). It may thus be somewhat surprising to reach the conclusion
 486 that interannual sea ice metrics are driven by atmospheric temperature variability, sim-
 487 ilarly to the Sea of Okhotsk (Takahashi et al., 2011), rather than by ocean heat content.
 488 In the Arctic Ocean, the prediction of the minimum conditions in September face what
 489 has been termed a June 1st “spring barrier” (Bonan et al., 2019) whereby the variabil-
 490 ity of onset of melt limits forecasts before that date. In the Gulf of St. Lawrence, the
 491 first occurrence of sea ice and the overall seasonal ice severity cannot be predicted by
 492 the heat content in early fall as it is lost to the atmosphere quickly enough in any case

493 when air temperatures fall below freezing. The November-December air temperature in
 494 the Northwest Gulf predicts first occurrence of sea ice in that area, and the overall Gulf
 495 sea ice cover severity for the season (as determined by duration and by maximum area
 496 and volume) are highly correlated with January-February or January-March air temper-
 497 atures, yielding relations that are useful for assessments of climate change impacts (e.g.
 498 losses of 18 km³, 31 000 km² and 14 days of the sea ice season for each 1°C increase in
 499 DJFM air temperature). Five nearly ice-free winters correspond to the warmest Decem-
 500 ber to February (or December to March) average air temperatures over the Gulf, from
 501 which we infer a warming of 2.2 to 2.4°C above the 1991-2020 climatology leads to nearly
 502 ice-free conditions in the Gulf of St. Lawrence.

503 Open Research Section

504 Sea ice charts were downloaded from the Canadian Ice Service web site on its Archive
 505 section. Heat flux data and daily surface air temperature were extracted from the NCEP
 506 Reanalysis 2 open dataset, provided by the NOAA/OAR/ESRL Physical Sciences Lab-
 507 oratory (Boulder, Colorado, USA). Monthly air temperature averages from Environment
 508 and Climate Change Canada (ECCC) and were downloaded from the Adjusted and Ho-
 509 mogenized Canadian Climate Data (AHCCD) web site. The Ship thermograph data and
 510 the Fall and March water column temperature and salinity profiles are from Fisheries
 511 and Oceans Canada’s Atlantic Zone Monitoring Program (AZMP) and are available by
 512 request to Fisheries and Oceans Canada’s Marine Environmental Data at [https://www.meds-](https://www.medsdmm.dfo-mpo.gc.ca/isdm-gdsi/request-commande/form-eng.asp)
 513 [sdmm.dfo-mpo.gc.ca/isdm-gdsi/request-commande/form-eng.asp](https://www.medsdmm.dfo-mpo.gc.ca/isdm-gdsi/request-commande/form-eng.asp) These data are in the
 514 process of being made available through the Canadian Integrated Ocean Observing Sys-
 515 tem (CIOOS) web portal.

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