

# Extreme vertical drafts in the polar summer mesosphere: A mesospheric super bore?

J. L. Chau<sup>1</sup>, R. Marino<sup>2</sup>, F. Feraco<sup>2,3</sup>, J. M. Urco<sup>1,4</sup>, G. Baumgarten<sup>1</sup>, F.-J. Lübken<sup>1</sup>, W. K. Hocking<sup>5</sup>, C. Schult<sup>1</sup>, T. Renkowitz<sup>1</sup>, R. Latteck<sup>1</sup>

<sup>1</sup>Leibniz-Institute of Atmospheric Physics at the University of Rostock, Kühlungsborn, Germany

<sup>2</sup>Laboratoire de Mécanique des Fluides et d'Acoustique, CNRS, École Centrale de Lyon, Université

Claude Bernard Lyon 1, INSA de Lyon, Écully, France

<sup>3</sup>Dipartimento di Fisica, Università della Calabria - Arcavacata di Rende (CS), Italy

<sup>4</sup>Department of Electrical and Computer Engineering and Coordinated Science Laboratory, University of

Illinois Urbana-Champaign, Urbana, IL, USA

<sup>5</sup>Department of Physics and Astronomy, University of Western Ontario, London, Ontario, Canada

## Key Points:

- First observations of extreme vertical velocities in the mesosphere. They might result from the interplay of gravity waves and turbulence.
- The observed spatio-temporal structures resemble a mesospheric bore, with large vertical extent and vertical velocities (a Super Bore).
- Such extreme events might have been missed or ignored in previous observations of vertical velocities or other mesospheric parameters.

---

Corresponding author: Jorge L. Chau, [chau@iap-kborn.de](mailto:chau@iap-kborn.de)

**Abstract**

The polar summer mesosphere is the Earth's coldest region, allowing the formation of mesospheric ice clouds. These clouds produce strong polar mesospheric summer echoes (PMSE) that are used as tracers of mesospheric dynamics. Here we report the first observations of extreme vertical drafts ( $\pm 50 \text{ ms}^{-1}$ ) in the mesosphere obtained from PMSE, characterized by velocities more than five standard deviations larger than the observed vertical wind variability. Using aperture synthesis radar imaging, the observed PMSE morphology resembles mesospheric bores, i.e., narrow along propagation (3–4 km) and elongated ( $> 10 \text{ km}$ ) transverse to propagation direction. Additionally, our event presents a large vertical extent ( $\pm 3\text{--}4 \text{ km}$ ), resembling a “super bore”. Powerful vertical drafts, intermittent in space and time, emerge also in direct numerical simulations of stratified flows, predicting non-Gaussian statistics of vertical velocities. This evidence suggests that our event, and perhaps previous bores, might result from the interplay of gravity waves and turbulent motions.

**Plain Language Summary**

Extreme events are ubiquitous of geophysical flows. Example of these events are tornadoes and Rogue waves in the lower atmosphere and oceans, respectively. In the mesosphere, the boundary of Earth's atmosphere and outer space, extreme events could also occur, although this region is poorly observed. Here we present the first observations of vertical velocities more than five times their expected standard deviation. These observations are possible by tracking and imaging strong mesospheric radar echoes that occur in the summer at polar latitudes, with a radar used in a radio camera mode. The morphology of our observations resembles previously observed instabilities called bores or wave walls, but with much larger vertical velocities and vertical extents. Direct numerical simulations of stratified flows predict the occurrence of these extreme vertical velocities.

## 1 Introduction

Extreme events are ubiquitous to geophysical flows, e.g., tornadoes or rogue waves (e.g., Tippett & Cohen, 2016; Adcock & Taylor, 2014). In the mesosphere (60–90 km), extreme events could also exist. This region is difficult to observe since it is too high for meteorological balloons, and too low for satellites to fly in and make in-situ measurements. Therefore, observations of extreme events and their respective impacts in this region are not easy to identify and study. Nonetheless, this atmospheric region hosts a number of interesting optical and radio phenomena like noctilucent clouds (NLC) and polar mesospheric summer echoes (PMSE) (e.g., Thomas & Olivero, 1986; Ecklund & Balsley, 1981; Hoppe et al., 1988).

During summer months at mid and high latitudes, the mesosphere is the coldest place on Earth with temperatures as low as 130 K due to dynamical processes that drive the atmosphere away from radiatively controlled state (e.g., Lübken et al., 1999). One of the most challenging, important, and intriguing mesospheric measurements are vertical winds. Vertical winds are usually smaller than horizontal winds, but they have significant effects on the atmospheric dynamics, composition, and electrodynamics (e.g., Larsen & Meriwether, 2012). Their mean synoptic-scale values are expected to be in the order of centimeters per second and are difficult to measure directly (e.g., Gudadze et al., 2019). On the other hand measurements made with ground-based radars, passive optics, lidars, as well as in-situ chemical traces, show high values varying by up to  $\pm 10 \text{ ms}^{-1}$  (e.g., Hoppe & Fritts, 1995; Gardner & Liu, 2007; Lehmacher et al., 2011). Similar and even higher values have been observed at higher altitudes in the thermosphere (e.g., Larsen & Meriwether, 2012). These high values can occur with the same sign for minutes to hours.

Although part of this variability is attributed to Kelvin-Helmholtz and other instabilities (e.g., Chau et al., 2020), the drivers for the majority of observations of large and/or persistent values are not obvious. Waves propagating through the region appear to be connected to the vertical wind variability; either they come from below or are generated locally via instabilities, nonlinear interaction with other waves or turbulence (e.g., Gardner et al., 1995; Fritts et al., 2004; Larsen & Meriwether, 2012). Moreover, high variability in vertical winds have been reproduced in direct numerical simulations (DNS) in flows similar to those in the mesosphere (Marino et al., 2015), including extreme values under some special flow conditions (Feraco et al., 2018). Understanding and character-

77 izing the vertical wind variability of the mesosphere and higher altitudes (thermosphere)  
 78 are important for explaining their effects on dynamics, composition, chemistry, and elec-  
 79 trodynamics of these regions (e.g., Larsen & Meriwether, 2012), and perhaps their pos-  
 80 sible connection to biological-size particles rising up from the troposphere into near space  
 81 (Berera & Brener, 2020).

82 In this work, we focus on extreme vertical drafts observed in the polar summer meso-  
 83 sphere. These observations have been made with the Middle Atmosphere Alomar Radar  
 84 System (MAARSY) located in northern Norway (69.30°N, 16.04°E). Observations of PMSE  
 85 have been routinely made with MAARSY since 2010 (Latteck et al., 2012). After more  
 86 than 20 years of active research, the physics behind PMSE is well understood. Their sig-  
 87 nal strength depends on electron density, turbulence, and charged-ice particles (e.g., Rapp  
 88 & Lübken, 2004) and they are good tracers of atmospheric winds (e.g., Sato et al., 2017).

89 Based on two summers of continuous observations and many years of experience,  
 90 the event we present is extreme since our measured vertical velocities reach high values  
 91 more than five times their standard deviation ( $\sigma_w$ ). We start describing the observing  
 92 modes followed by a description of the modeling employed in this work. Our radar and  
 93 DNS results are presented in Section 4, followed by a discussion and possible connections  
 94 to previously observed mesospheric bores.

## 95 **2 Radar observing modes**

96 MAARSY is an active phased array that consists of 433 three-element cross-polarized  
 97 Yagi antennas and operates at 53.5 MHz. Its main beam one-way half-power beam-width  
 98 is 4°. On reception, either all 433 elements, or up to 7 groups of 49 elements, or up to  
 99 15 out of 55 groups of 7 elements can be used (e.g., Latteck et al., 2012, for more details).

100 PMSE are routinely observed with MAARSY using two quasi-simultaneous main  
 101 modes: (a) multi-beam, and (b) radar imaging (e.g., Gudadze et al., 2019; Urco et al.,  
 102 2019). These modes have been used during the summers of 2016 and 2017, except for  
 103 a few days where other modes were used to support special requests. Both modes run  
 104 with 1 ms interpulse period. Since horizontal winds are expected to be within  $\pm 150 \text{ ms}^{-1}$ ,  
 105 the multi-beam mode has been configured to allow a Nyquist velocity of  $\pm 35 \text{ ms}^{-1}$ . On  
 106 the other hand the radar imaging mode allows a Nyquist velocity of  $\pm 175 \text{ ms}^{-1}$ , suit-  
 107 able to study other echoes, e.g., non-specular meteor echoes (Chau et al., 2014).

108           Given the velocity aliasing in the multi-beam mode, in this work we use only data  
 109 from the radar imaging mode, which observes for 30 s every 180 s. This mode uses only  
 110 one vertically pointing transmitting beam using all 433 elements, while 16 antenna groups  
 111 are used on reception, 15 of them for radar imaging. A spectral moment method has been  
 112 implemented to obtain: signal, mean radial velocity and spectral width. Radial veloc-  
 113 ities from slightly off-vertical locations could have contributions from horizontal veloc-  
 114 ities. However, unrealistic supersonic horizontal winds (more than  $1500 \text{ ms}^{-1}$ ) would be  
 115 required to generate the large ( $\sim 50 \text{ ms}^{-1}$ ) observed velocities.

116           Radar imaging has been obtained by applying the Maximum Entropy method on  
 117 the cross-spectra data from combinations of receiving antenna pairs (e.g., Hysell & Chau,  
 118 2006; Urco et al., 2019). Since the selected 15 receiving antennas do not have the same  
 119 beam width, the imaging inversion has been performed only within  $\pm 8^\circ$  zenith angles.  
 120 This angular coverage also allows for the observation of PMSE outside the main illumi-  
 121 nated area, if strong echoes are present there.

### 122   **3 Direct numerical simulations of extreme events**

123           In many cases the atmosphere can be considered as being nearly incompressible,  
 124 allowing for the investigation of its dynamics by means of DNS of the Navier-Stokes equa-  
 125 tions in the Boussinesq approximation. In this framework, the parameter governing the  
 126 stratification is the so-called Froude Number, defined as  $\text{Fr} = UL/N$  ( $N =$  Brunt-Väisälä  
 127 frequency, while  $L$  and  $U$  are the characteristic length and velocity, respectively), which  
 128 provides a measure of the relative strength of gravity waves against non-linearities. The  
 129 turbulence strength is provided by the classical Reynolds number,  $\text{Re} = UL/\nu$ , where  
 130  $\nu$  is the kinematic viscosity. Another important parameter is the Buoyancy Reynolds num-  
 131 ber  $\text{Rb} = \text{ReFr}^2$ , that helps to identify regimes where either waves dominate the dy-  
 132 namics or turbulence has to compete with gravity waves in transferring the energy across  
 133 the scales (e.g., Pouquet et al., 2018).

134           The DNS presented in this study was produced by integrating the Boussinesq equa-  
 135 tions in the case of stable stratification assuming incompressibility. The equations are  
 136 implemented in their non-dimensional form and temporal evolution is obtained with a  
 137 second-order Runge-Kutta scheme. The simulations were forced with a random isotropic  
 138 mechanical forcing  $\mathbf{F}$  (Marino et al., 2014). The runs have been initialized ( $t = 0$ ) with

139 a random velocity field and zero potential temperature gradients. The Geophysical High-  
 140 Order Suite for Turbulence code is used to integrate the equations numerically. The Boussi-  
 141 nesq equations are solved numerically without using any parametrization of the smaller  
 142 scales on an isotropic grids of  $512^3$  points for up to  $400\tau$  eddy turnover times, where  $\tau =$   
 143  $U/L$  (e.g., Feraco et al., 2018, for more details).

## 144 4 Results

### 145 4.1 Radar Observations

146 The extreme event of vertical drafts that occur on July 16, 2016 is shown in Fig-  
 147 ures 1a to 1c. Figure 1a shows the signal-to-noise ratio (SNR) as a function of altitude  
 148 and time. The mean vertical velocity and spectral width are shown in Figures 1b and  
 149 1c, respectively.

150 The event in question occurred between 04:25 and 05:00 universal time (UT) and  
 151 is characterized by: (a) episodes of large vertical updrafts and downdrafts lasting a few  
 152 minutes at around 86 km, (b) large spectral widths, and (c) echoes appearing to move  
 153 up and down according to the measured mean vertical velocities, and (d) their strength  
 154 increasing (decreasing) when going up (down). Outside this time interval, the PMSE spec-  
 155 tral moments behave within expected values, i.e., vertical velocities within  $\pm 5 \text{ ms}^{-1}$ , spec-  
 156 tral widths below  $5 \text{ ms}^{-1}$ , and echoes occurring in multiple layers.

157 In Figures 1d to 1t normalized spectrograms for selected times around the extreme  
 158 event are shown. Each spectrum is obtained from  $\sim 30$  s continuous observations. The  
 159 striking features in this figure are the large positive and negative vertical drafts well out-  
 160 side  $3\sigma_w$ , reaching high absolute values (e.g.,  $65 \text{ ms}^{-1}$  at 04:28:21 UT or  $-45 \text{ ms}^{-1}$  at 04:36:03  
 161 UT). Except for the spectra at 04:41:11 (1o) and 04:43:46 (1n) UT, the spectra are com-  
 162 posed of one or two velocity peaks at a given altitude. Given that the illuminated vol-  
 163 ume has a radius of about  $\sim 5$  km in the horizontal direction at these altitudes, the  
 164 multi peak features are a result of multiple regions of enhanced backscattering within  
 165 the illuminated volume. The presence of multiple peaks gives rise to large values of spec-  
 166 tral widths. The red dashed lines indicate the  $3\sigma_w$  based on two months of continuous  
 167 observations in 2016.

168 From radar imaging, we have obtained spatial information of features within the  
 169 illuminated volume. Figures 2a to 2f show selected 2D spatial planes of imaging around

170 04:30:54 UT. The large scale 30-min averaged horizontal winds obtained from a closely  
 171 located specular meteor radar are shown in arrows as a reference. Radar imaging results  
 172 clearly indicate that the extreme updrafts and downdrafts are localized in horizontal space,  
 173 with 3–4 km width along the  $x$  axis, and at least 8–12 km elongation along the  $y$  axis,  
 174 where  $x$ - and  $y$ -axis are rotated  $50^\circ$  East of North. An animation of similar frames from  
 175 04:00 to 05:30 UT every 150–170 s can be seen in Movie S1. The imaging results are also  
 176 used to verify that the inferred vertical velocities are mainly due to vertical wind and  
 177 not to a horizontal wind contamination, since areas of large vertical drafts are observed  
 178 at or close to overhead inside the vertical transmitting beam. For typical mesospheric  
 179 horizontal winds ( $\pm 150 \text{ ms}^{-1}$ ), their contamination in our vertical estimates would be  
 180 at most  $5\text{--}8 \text{ ms}^{-1}$ .

181 The temporal evolution of these spatial features is summarized in Figures 2g to 2n  
 182 as function of  $x$  (i.e., X-Time Doppler-Intensity, XTDI) (left) and  $y$  (YTDI) (right) for  
 183 selected altitudes. The extreme drafts are elongated along  $y$  at all altitudes, and drift  
 184 along  $x$ . At 89 km, the updraft is observed to cover at least 16–20 km in  $x$ , appearing  
 185 around 04:20 and disappearing around 04:45 UT. The irregularities causing these echoes  
 186 move up from around 86 km and stay at 89 km for at least 25 min. At 81.5 km, down-  
 187 drafts are also elongated along the  $y$  axis and drift generally along  $x$ . However, they are  
 188 only observed for 2–4 km along  $x$  and last less than 5 min. The latter suggests that the  
 189 irregularities came down from 86 km or so and disappear after a few minutes. Later the  
 190 echoes appear again around 04:55 due to irregularities coming from below and remain  
 191 present at least until 05:30 UT. Both regions of updrafts and downdrafts drift at  $\sim 11 \text{ ms}^{-1}$   
 192 along  $x$ , North-East, with respect to an observer on the ground. Note that regions of large  
 193 drafts are observed for a longer time in these plots than in the spectra plots in Figure 1,  
 194 since the spectra were obtained using all 433 elements on transmission and reception.  
 195 The duration, elongation and horizontal extent of the event should be taken as minimum  
 196 values, given the relatively small observing volume, when compared to other imaging ob-  
 197 servations (e.g., airglow imagers).

198 Mean horizontal winds representing an area of approximately 400 km diameter at  
 199 86 km obtained with a collocated specular meteor radar system show that a moderate  
 200 horizontal wind shear ( $24 \text{ ms}^{-1}\text{km}^{-1}$ ), occurs at the altitude where the extreme updrafts  
 201 and downdrafts begin, i.e., 86 km (see Figure S1).

202

**4.2 DNS results**

203

204

205

206

207

208

DNS results presented here are obtained with  $Fr \simeq 0.076$ ,  $Rb \simeq 22$  and with  $Re \sim 4000$ , the latter being smaller than but not too far from  $Re$  estimated in the MLT (e.g., Fritts et al., 2014; Chau et al., 2020). Based on Feraco et al. (2018), these parameters correspond to the peak of the resonant regime identified in terms of  $Fr$  (and  $Rb$ ) where extreme events in both the velocity and the temperature field have the chance to develop (see e.g., Feraco et al., 2018, Figure 5) .

209

210

211

212

213

The resulting PDF of  $w$ , computed over an extended time interval ( $46\tau < t < 406\tau$ , beyond the peak of the dissipation achieved by this DNS flow), is characterized by a kurtosis  $K_w = 6.6$  (see Figure S2). This value is much larger than the Gaussian reference ( $K_w = 3$ ). By integrating the tails of the PDF, the probability of observing grid values of  $w$  larger than  $5.5\sigma_w$  is  $\sim 0.1\%$  for the present study.

214

215

216

217

218

219

220

221

222

223

224

225

226

227

228

229

Figures 3a and 3b show two-dimensional renderings of  $w$  (in units of the standard deviation  $\hat{w} = w/\sigma_w$ ) at a selected time for  $yx$  and  $yz$  cuts, respectively. These renderings exhibit at times a morphology similar to that of the mesospheric bores on ducting regions. Indeed, in Figure 3a it is possible to appreciate finger-like structures or patches of the flow in a horizontal plane corresponding to strong updrafts and downdrafts, resembling our MLT observations. The coherence of these structures is lost in fractions of a turnover time of the simulation (see Movie S2). Figure 3b is instead a vertical  $yz$ -cut of the same DNS output (at  $t = 311.22\tau$ ), showing the alternation of updrafts and downdrafts which sometimes appear in pairs. Figure 3c shows the vertical profile of the horizontal wind shear  $|\mathbf{S}| = \langle dv_{\perp}/dz \rangle_h$  (where the average is performed over horizontal planes and  $v_{\perp}$  is the horizontal wind) together with the number of grid points by-plane where  $w > 5.5\sigma_w$ . The structures visible in Figures 3a and 3b, at a given time, are made of many grid points above the  $5.5\sigma_w$  threshold. This definition of “extreme event” in the numerical study is slightly different than in our observations, where we refer to “extreme event” as to the observation in the MLT of a localized structure with high vertical velocity, extensive in space and time.

230

231

232

233

The probability to observe the extreme events in  $w$  conditioned to the values of horizontal wind shear is shown in Figure 3d. The joint statistics shows clearly that the probability of observing extreme vertical drafts is very close to zero in planes where the horizontal wind shear is vanishing or very small ( $|\hat{\mathbf{S}}| < 0.35$ , where  $\hat{\mathbf{S}} = \mathbf{S}/\sigma_S$  and  $\sigma_S$  is

234 the standard deviation of  $|\mathbf{S}|$ ). Moreover, the cumulative probability of observing any  
 235 extreme values of  $w$  (indicated with the dashed line) is smaller than the 1% for  $\hat{\mathbf{S}} < 0.5$ ,  
 236 which means that moderate to strong horizontal wind shears are a necessary (though not  
 237 sufficient) condition in order to observe extreme vertical drafts. The joint PDF also shows  
 238 that the probability of observing the most powerful drafts ( $> 13\sigma_w$ ) saturates very quickly,  
 239 again at around  $\hat{\mathbf{S}} \sim 0.8$ , and remains constant. Since our observed PMSE event is as-  
 240 sociated with a moderate horizontal wind shear, we can therefore speculate that the wave-  
 241 turbulence resonant mechanism responsible for the outcome of the present numerical study  
 242 might indeed be involved in the production of the extreme MLT vertical velocities re-  
 243 ported here.

## 244 5 Discussion and Conclusions

### 245 5.1 Connection to mesospheric bores

246 A sketch based on the observations is shown in Figure 4. The SNR, vertical veloc-  
 247 ity, and spectral width from Figure 1 are combined into an altitude-time-Doppler inten-  
 248 sity plot (e.g., Chau et al., 2020), with superimposed maximum  $w$  values and expected  
 249 regions of horizontal wind convergence (C) and divergence (D). Based on Figure 1i, the  
 250 estimated local horizontal wind convergence is  $\sim 14 \text{ ms}^{-1}\text{km}^{-1}$  assuming an incompress-  
 251 ible flow, which is more than 100 times the measured mesoscale horizontal divergence  
 252 in this region (Chau et al., 2017). This sketch together with the spatial features shown  
 253 in Figure 2 resembles the undular mesospheric bore features of Bore 1 reported by Fritts  
 254 et al. (2020), where they combined 2D images of PMC and lidar vertical profiling. As  
 255 in the case of Fritts et al. (2020), we also expect that the observed vertical velocity di-  
 256 vergence (convergence) ahead of (behind) the extreme event is accompanied by horizon-  
 257 tal wind convergence (divergence). This horizontal wind behavior, unfortunately, could  
 258 not be directly measured in our case. Note that the large local horizontal wind conver-  
 259 gence/divergence is expected at the central altitude and not where the high vertical ve-  
 260 locities are observed.

261 The vertical dimensions of our event are more than two times larger than those re-  
 262 ported by Fritts et al. (2020), i.e.,  $2h_1 \sim 12$  and  $2h_0 \sim 4.5$  km, instead of 4.7 and 2.8 km,  
 263 respectively, where  $2h_1$  and  $2h_0$  are the vertical extensions during the peak of the per-  
 264 turbation and outside the perturbation. These dimensions imply a normalized bore am-

265 plitude  $\beta = (h_1 - h_0)/h_0 \sim 1.67$  which is much larger than previously measured or in-  
 266 ferred characteristics of mesospheric bores or wall waves (e.g., Taylor et al., 1995; Li et  
 267 al., 2007; Smith et al., 2017). Vertical velocities in previous mesospheric bores have been  
 268 expected or measured to be less than  $10 \text{ ms}^{-1}$  (e.g., Li et al., 2007).

269 Morphologically our extreme event resembles a mesospheric bore, but given its ver-  
 270 tical dimensions and observed vertical velocities, we call it a Super Bore! We are not able  
 271 to fully identify all details of the flow fields and causes of the observed extreme event.  
 272 Based on DNS results the observed super bore, and possibly other mesospheric bores,  
 273 might be due to a resonant interaction between gravity waves and turbulent motions in  
 274 stratified flows with  $\text{Fr} \sim 0.1-0.01$ . Not only the DNS results produce extreme  $w$  events,  
 275 but these events appear in finger-like patches in the horizontal plane localized in verti-  
 276 cal channels (ducts) with moderate horizontal wind shears, i.e., showing features of pre-  
 277 vious bore theories (e.g., Dewan & Picard, 2001; Laughman et al., 2009). Further ob-  
 278 servations as well as theory and modelling efforts are still needed to find and identify the  
 279 specific sources of mesospheric bores, including super bores.

## 280 **5.2 How often mesospheric extreme events occur?**

281 We have presented only one extreme event, however, the DNS results suggest that  
 282 such events should occur more often than expected from traditionally assumed Gaus-  
 283 sian distributed  $w$ , i.e., 1 in one thousand instead of 1 in 3 million for a given Froude num-  
 284 ber in the range  $\text{Fr} \sim 10^{-2} - 10^{-1}$ . Why have they not been observed before?

285 In the case of previous PMSE observations with MAARSY, the great majority were  
 286 done with Nyquist velocities less than  $30 \text{ ms}^{-1}$ . Therefore, extreme drafts have been fil-  
 287 tered out and cannot be recovered by their velocity values. In cases where larger Nyquist  
 288 velocity have been used, they were presumably treated as outliers given their large val-  
 289 ues and relative short duration (e.g. Gudadze et al., 2019, Figure 4). In the latter cases,  
 290 a careful reprocessing should be pursued to search for additional extreme drafts.

291 Such extreme drafts are not expected to be unique to the polar summer mesosphere.  
 292 Based on DNS the main ingredients are moderate-strong stratification ( $\text{Fr} \sim 10^{-2} -$   
 293  $10^{-1}$ ) and moderate horizontal wind shears. Thus, one should search for extreme ver-  
 294 tical velocities at other latitudes, seasons, altitudes, with a variety of instruments. For  
 295 example, mesospheric solitary waves (solitons) reported from foil chaff experiments in

296 the past, might have sampled a small spatial and temporal portion of an extreme event  
297 like the one reported here (Widdel, 1991).

298 Although our work is focused on vertical velocities, such extreme events should show  
299 up in other atmospheric variables, e.g., temperatures, airglow intensities, NLC bright-  
300 ness, etc. As far as we know, extreme events based on these parameters have not been  
301 reported so far, or they might have been ignored.

### 302 **5.3 Potential impacts**

303 In the particular case of the polar summer mesosphere, ice particles exist and they  
304 are the main reason for the presence of NLC and PMSE (e.g. Thomas & Olivero, 1986;  
305 Rapp & Lübken, 2004). Using expected temperature and pressure profiles from empir-  
306 ical models as well as the observed vertical drafts, we find that in our specific case the  
307 temperature increases significantly in the downdraft regions. This increase causes the  
308 reduction of ice particle radius in time scales of a few minutes (see Figure S3). In the  
309 case of PMSE, their volume reflectivity is mainly determined by the Schmidt number,  
310 which is proportional to the square of ice particle radius (e.g., Rapp & Lübken, 2004).  
311 Therefore a reduction of ice particle radius would mean a weaker PMSE. In Figure 1a,  
312 the strength of echoes decreases or echoes even disappear for the regions experiencing  
313 downdrafts. In the updraft regions, the strength of echoes increases but based on our  
314 calculations this increase is not related to the ice particle radius, instead it could be due  
315 to an increase of electron density. These simple calculations indicate that indeed ice par-  
316 ticle radius is affected by extreme vertical drafts, and so are clouds and echoes relying  
317 on it.

318 Like in the case of ice particles, other mesospheric species would also experience  
319 significant changes in altitude, and therefore their mixing ratios might change at a given  
320 altitude. The transport of photochemically inactive species across the turbopause by ver-  
321 tical winds enhances their concentration much more rapidly compared to turbulent mix-  
322 ing, which implies that extreme vertical updrafts are an effective mechanism to trans-  
323 port trace gases into the lower thermosphere. For example if Argon and molecular Ni-  
324 trogen are brought to higher altitudes, e.g., from 90 to 110 km, it could take up to 3 h  
325 to fully mix these components, i.e., much longer than if these species would have stayed  
326 at 90 km (e.g., Von Zahn et al., 1990).

327 Our current poor knowledge on these extreme drafts (formation, occurrence rate,  
328 duration, predictability) as well as limited observing capabilities in the mesosphere, should  
329 not impede the exploration of impacts on other fields where km-scale perturbations and  
330 instabilities and high vertical drafts might be important. For example, if they occur fre-  
331 quently a better understanding and characterization would contribute to the roles of such  
332 dynamics (including short-scale gravity waves and instability dynamics) in a number of  
333 parameters that require parameterization in large-scale general circulation weather and  
334 climate models (e.g., Fritts et al., 2014, 2020).

### 335 **Acknowledgments**

336 This work was partially supported by the Deutsche Forschungsgemeinschaft (German  
337 Research Foundation) under project LU1174/8-1 (PACOG) of the research unit FOR1898,  
338 and under SPP 1788 (CoSIP) project CH1482/3-1 (CS-PMSE-MIMO).

### 339 **Open Research Data Statement**

340 PMSE radar spectra and imaging data as well as meteor wind data, can be found  
341 here <https://www.radar-service.eu/radar/en/dataset/RD0yben0QktKPLsT?token=MIPFqNPRJY0xNGsasNXi>. DNS data can be requested to the authors.  
342

### 343 **References**

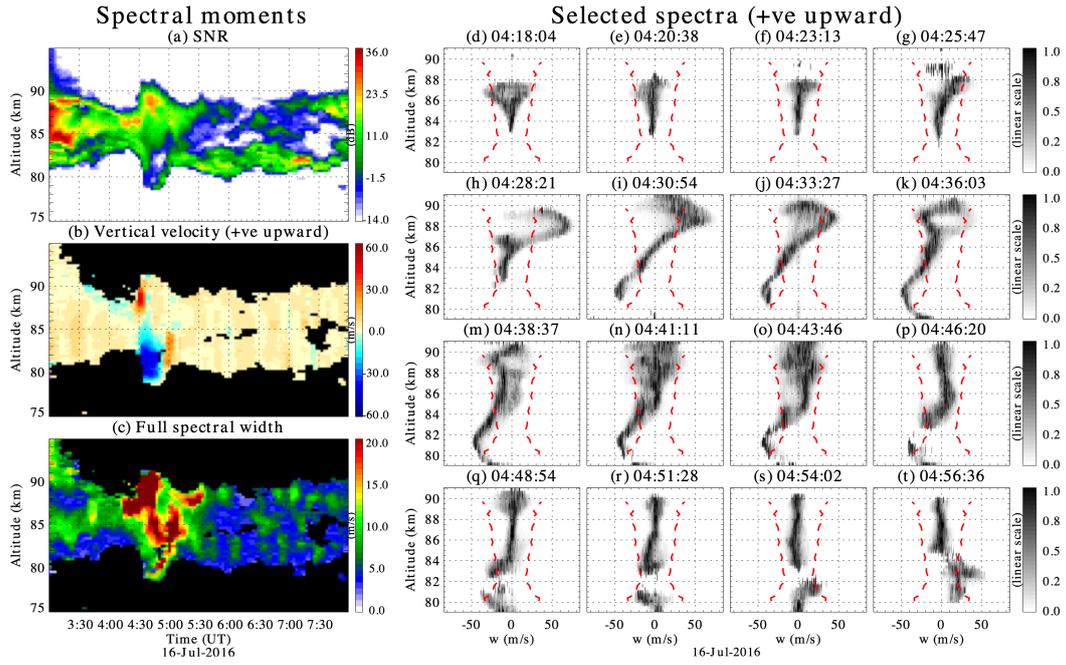
- 344 Adcock, T. A. A., & Taylor, P. H. (2014). The physics of anomalous ('rogue') ocean  
345 waves. *Reports on Progress in Physics*, *77*(10). doi: 10.1088/0034-4885/77/10/  
346 105901.
- 347 Berera, A., & Brener, D. J. (2020). On the force of vertical winds in the upper at-  
348 mosphere. *Royal Society Open Science*, *submitted*. Retrieved from [http://](http://arxiv.org/abs/2008.02884)  
349 [arxiv.org/abs/2008.02884](http://arxiv.org/abs/2008.02884).
- 350 Chau, J. L., Stober, G., Hall, C. M., Tsutsumi, M., Laskar, F. I., & Hoffmann, P.  
351 (2017). Polar mesospheric horizontal divergence and relative vorticity measure-  
352 ments using multiple specular meteor radars. *Radio Science*, *52*(7), 811–828.  
353 doi: 10.1002/2016RS006225.
- 354 Chau, J. L., Strelnikova, I., Schult, C., Oppenheim, M. M., Kelley, M. C., Stober,  
355 G., & Singer, W. (2014). Nonspecular meteor trails from non-field-aligned ir-  
356 regularities: Can they be explained by presence of charged meteor dust? *Geo-*  
357 *physical Research Letters*, *41*(10), 3336–3343. doi: 10.1002/2014GL059922.

- 358 Chau, J. L., Urco, J. M., Avsarkisov, V., Vierinen, J. P., Latteck, R., Hall, C. M., &  
 359 Tsutsumi, M. (2020). Four-Dimensional Quantification of Kelvin-Helmholtz In-  
 360 stabilities in the Polar Summer Mesosphere Using Volumetric Radar Imaging.  
 361 *Geophysical Research Letters*, *47*(1), D09S12. doi: 10.1029/2019GL086081.
- 362 Dewan, E. M., & Picard, R. H. (2001). On the origin of mesospheric bores. *Journal*  
 363 *of Geophysical Research Atmospheres*. doi: 10.1029/2000JD900697.
- 364 Ecklund, W. L., & Balsley, B. B. (1981). Long-term observations of the Arctic meso-  
 365 sphere with the MST radar at Poker Flat, Alaska. *J. Geophys. Res.*, *86*(7775-  
 366 7780). doi: 10.1029/JA086iA09p07775.
- 367 Feraco, F., Marino, R., Pumir, A., Primavera, L., Mininni, P. D., Pouquet, A.,  
 368 & Rosenberg, D. (2018). Vertical drafts and mixing in stratified turbu-  
 369 lence: Sharp transition with Froude number. *EPL*, *123*(4), 44002. doi:  
 370 10.1209/0295-5075/123/44002.
- 371 Fritts, D. C., Baumgarten, G., Wan, K., Werne, J., & Lund, T. (2014). Quantify-  
 372 ing Kelvin-Helmholtz instability dynamics observed in noctilucent clouds: 2.  
 373 Modeling and interpretation of observations. *Journal of Geophysical Research:*  
 374 *Atmospheres*, *119*(15), 9359–9375. doi: 10.1002/2014JD021833.
- 375 Fritts, D. C., Kaifler, N., Kaifler, B., Geach, C., Kjellstrand, C. B., Williams, B. P.,  
 376 ... Wang, L. (2020). Mesospheric Bore Evolution and Instability Dynamics  
 377 Observed in PMC Turbo Imaging and Rayleigh Lidar Profiling Over North-  
 378 eastern Canada on 13 July 2018. *Journal of Geophysical Research: Atmo-*  
 379 *spheres*. doi: 10.1029/2019JD032037.
- 380 Fritts, D. C., Williams, B. P., She, C. Y., Vance, J. D., Rapp, M., Lübken, F. J.,  
 381 ... Goldberg, R. A. (2004). Observations of extreme temperature and wind  
 382 gradients near the summer mesopause during the MaCWAVE/MIDAS rocket  
 383 campaign. *Geophysical Research Letters*. doi: 10.1029/2003GL019389.
- 384 Gardner, C. S., & Liu, A. Z. (2007). Seasonal variations of the vertical fluxes of  
 385 heat and horizontal momentum in the mesopause region at Starfire Optical  
 386 Range, New Mexico. *Journal of Geophysical Research Atmospheres*. doi:  
 387 10.1029/2005JD006179.
- 388 Gardner, C. S., Tao, X., & Papen, G. C. (1995). Simultaneous lidar observations  
 389 of vertical wind, temperature, and density profiles in the upper mesosphere:  
 390 Evidence for nonseparability of atmospheric perturbation spectra. *Geophysical*

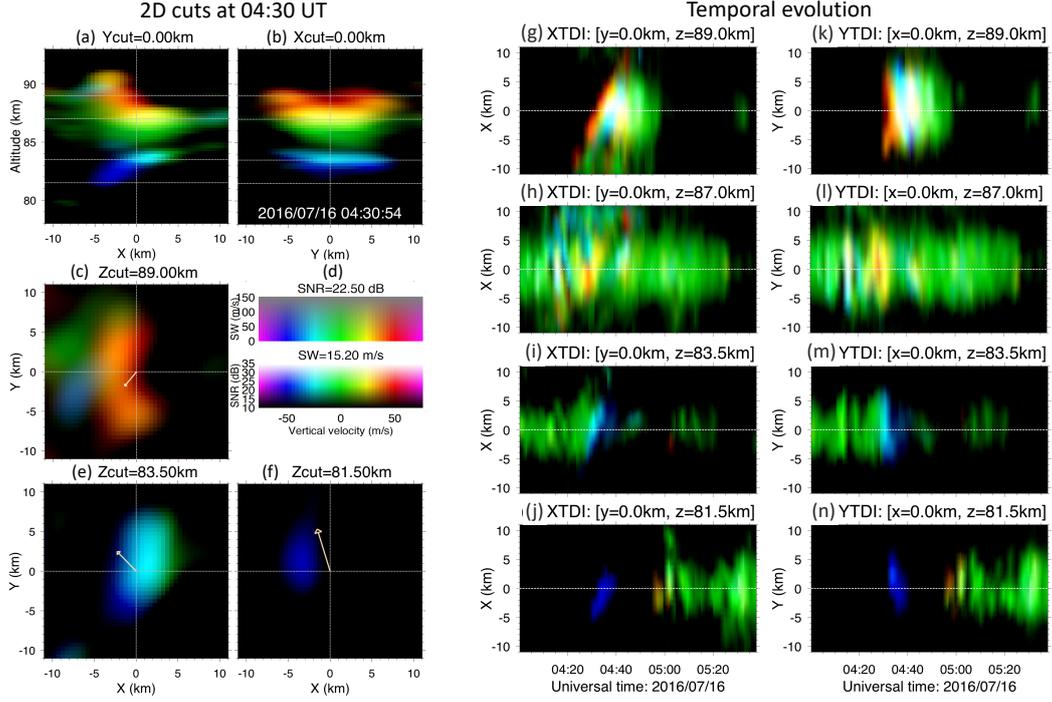
- 391 *Research Letters*. doi: 10.1029/95GL02783.
- 392 Gudadze, N., Stober, G., & Chau, J. L. (2019). Can VHF radars at polar latitudes  
393 measure mean vertical winds in the presence of PMSE?. *Atmospheric Chem-*  
394 *istry and Physics*, 19(7), 4485–4497. doi: 10.5194/acp-19-4485-2019.
- 395 Hoppe, U.-P., & Fritts, D. C. (1995). High-resolution measurements of vertical  
396 velocity with the European incoherent scatter VHF radar: 1. Motion field  
397 characteristics and measurement biases. *J. Geophys. Res.*, 100(D8), 16813–  
398 16825. doi: 10.1029/95JD01466.
- 399 Hoppe, U.-P., Hall, C., & Röttger, J. (1988). First observations of summer polar  
400 mesospheric backscatter with a 224 MHz radar. *Geophysical Research Letters*,  
401 15(1), 28–31. doi: 10.1029/GL015i001p00028.
- 402 Hysell, D. L., & Chau, J. L. (2006). Optimal aperture synthesis radar imaging. *Radio*  
403 *Sci.*, 41, RS2003. doi: 10.1029/2005RS003383.
- 404 Larsen, M. F., & Meriwether, J. W. (2012). Vertical winds in the thermosphere.  
405 *Journal of Geophysical Research: Space Physics*, 117(9), A09319. doi:  
406 10.1029/2012JA017843.
- 407 Latteck, R., Singer, W., Rapp, M., Vandeppeer, B., Renkowitz, T., Zecha, M., & Sto-  
408 ber, G. (2012). MAARSY: The new MST radar on Andøya – System descrip-  
409 tion and first results. *Radio Sci.*, 47(1), RS1006. doi: 10.1029/2011RS004775.
- 410 Laughman, B., Fritts, D. C., & Werne, J. (2009). Numerical simulation of bore gen-  
411 eration and morphology in thermal and Doppler ducts. *Annales Geophysicae*,  
412 27(2), 511–523. doi: 10.5194/angeo-27-511-2009.
- 413 Lehmacher, G. A., Scott, T. D., Larsen, M. F., Bilén, S. G., Croskey, C. L., Mitchell,  
414 J. D., . . . Collins, R. L. (2011). The Turbopause experiment: Atmospheric  
415 stability and turbulent structure spanning the turbopause altitude. *Annales*  
416 *Geophysicae*. doi: 10.5194/angeo-29-2327-2011.
- 417 Li, F., Swenson, G. R., Liu, A. Z., Taylor, M., & Zhao, Y. (2007). Investigation of a  
418 “wall” wave event. *Journal of Geophysical Research*, 112(D4), D04104. doi: 10  
419 .1029/2006JD007213.
- 420 Lübken, F.-J., Jarvis, M. J., & Jones, G. O. L. (1999). First in situ temperature  
421 measurements at the Antarctic summer mesopause. *Geophysical Research Let-*  
422 *ters*, 26(24), 3581–3584. doi: 10.1029/1999GL010719.
- 423 Marino, R., Mininni, P. D., Rosenberg, D. L., & Pouquet, A. (2014). Large-scale

- 424 anisotropy in stably stratified rotating flows. *Phys. Rev. E*, *90*(2), 23018. doi:  
425 10.1103/PhysRevE.90.023018.
- 426 Marino, R., Rosenberg, D., Herbert, C., & Pouquet, A. (2015). Interplay of waves  
427 and eddies in rotating stratified turbulence and the link with kinetic-potential  
428 energy partition. *Europhysics Letters*, *112*(4). doi: 10.1209/0295-5075/112/  
429 49001.
- 430 Pouquet, A., Rosenberg, D., Marino, R., & Herbert, C. (2018). Scaling laws for mix-  
431 ing and dissipation in unforced rotating stratified turbulence. *Journal of Fluid  
432 Mechanics*, *844*, 519–545. doi: 10.1017/jfm.2018.192.
- 433 Rapp, M., & Lübken, F.-J. (2004). Polar mesosphere summer echoes ({PMSE}):  
434 {Review} of observations and current understanding. *Atmospheric Chemistry  
435 and Physics*, *4*, 2601–2633. doi: 10.5194/acp-4-2601-2004.
- 436 Sato, K., Kohma, M., Tsutsumi, M., & Sato, T. (2017). Frequency spectra and ver-  
437 tical profiles of wind fluctuations in the summer Antarctic mesosphere revealed  
438 by MST radar observations. *Journal of Geophysical Research: Atmospheres*,  
439 *122*(1), 3–19. doi: 10.1002/2016JD025834.
- 440 Smith, S. M., Stober, G., Jacobi, C., Chau, J. L., Gerding, M., Mlynczak, M. G., ...  
441 Umbriaco, G. (2017). Characterization of a Double Mesospheric Bore Over  
442 Europe. *Journal of Geophysical Research: Space Physics*, *122*(9), 9738–9750.  
443 doi: 10.1002/2017JA024225.
- 444 Taylor, M. J., Turnbull, D. N., & Lowe, R. P. (1995). Spectrometric and imag-  
445 ing measurements of a spectacular gravity wave event observed during the  
446 ALOHA-93 Campaign. *Geophysical Research Letters*, *22*(20), 2849–2852. doi:  
447 10.1029/95GL02948.
- 448 Thomas, G. E., & Olivero, J. J. (1986). The heights of polar mesospheric  
449 clouds. *Geophysical Research Letters*, *13*(13), 1403–1406. doi: 10.1029/  
450 GL013i013p01403.
- 451 Tippet, M. K., & Cohen, J. E. (2016). Tornado outbreak variability follows Taylor’s  
452 power law of fluctuation scaling and increases dramatically with severity. *Na-  
453 ture Communications*, *7*, 10668. doi: 10.1038/ncomms10668.
- 454 Urco, J. M., Chau, J. L., Weber, T., & Latteck, R. (2019). Enhancing the spatio-  
455 temporal features of polar mesosphere summer echoes using coherent MIMO  
456 and radar imaging at MAARSY. *Atmospheric Measurement Techniques*, *12*,

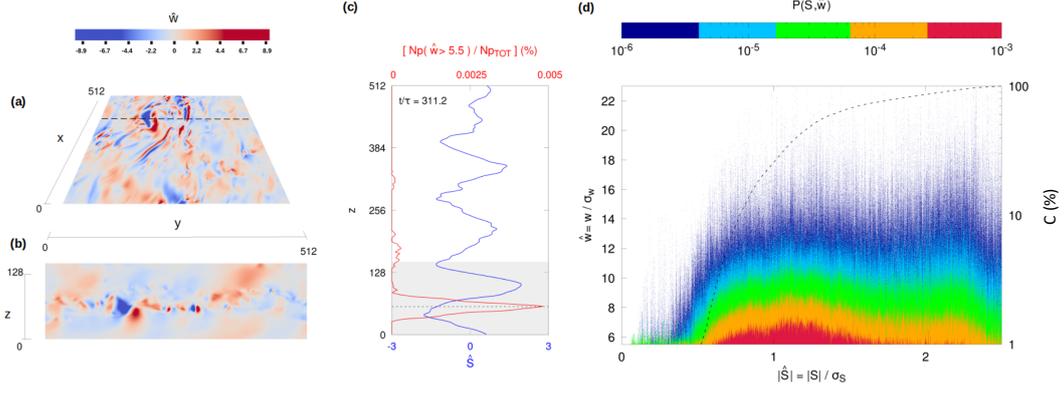
- 457 955–969. doi: 10.5194/amt-12-955-2019.
- 458 Von Zahn, U., Lübken, F. J., & Putz, C. (1990). BUGATTI experiments: mass spec-  
459 trometric studies of lower thermosphere eddy mixing and turbulence. *Journal*  
460 *of Geophysical Research*, *95*(D6), 7443–7465. doi: 10.1029/JD095iD06p07443.
- 461 Widdel, H.-U. (1991). Experimental evidence for solitary waves in the middle atmo-  
462 sphere. *Journal of Geophysical Research: Space Physics*, *96*(A9), 15931–15942.  
463 doi: 10.1029/91ja01396.



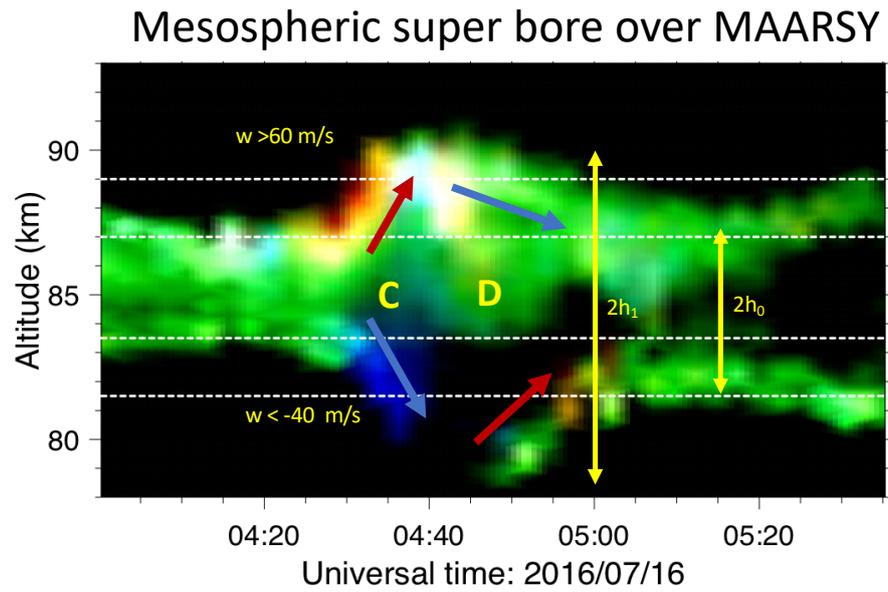
**Figure 1.** (Left) Range-time plots of: (a) signal-to-noise ratio (SNR), (b) vertical velocity (positive upward), and (c) total spectral width, observed with a vertical pointing beam on July 16, 2016. Note the relative large scales being shown for vertical velocities ( $\pm 60 \text{ ms}^{-1}$ ). (Right) Normalized spectra as a function of  $w$ , where  $w = -f\lambda/2$ ,  $f$  is Doppler frequency in Hz, and  $\lambda$  the radar wavelength in meters. The normalization is in power spectra amplitude for each altitude with respect to its maximum. Three-sigma levels ( $3\sigma_w$ ) based on June–July 2016 observations are plotted in dashed red lines.



**Figure 2.** (Left) Two dimensional spatial cuts of PMSE inside the illuminated volume on July 16, 2016 around 0430 UT.  $xz$  and  $yz$  cuts at  $x = 0$  and  $y = 0$  km in panels (a) and (b), respectively.  $xy$  cuts at altitudes 89.0, 83.5, and 81.5 km in panels (c), (e), and (f), respectively. The intensity indicates signal strength of the echoes, while the color shows vertical velocity. Red (blue) values represent upward (downward) velocities greater (smaller) than  $25$  ( $-25$ )  $\text{ms}^{-1}$ , while green values represent velocities in between (see panel d). The 30-min horizontal wind from the specular meteor radar is indicated with a yellow arrow in the center of each  $xy$  cut. (Right) Space-time cuts at altitudes 89.0, 87.0, 83.5, and 81.5 km, of  $xy$  cuts in the left panel: (g-j)  $x$  versus time for  $y = 0$ , and (k-n)  $y$  versus time for  $x = 0$ .



**Figure 3.** Two dimensional renderings of vertical velocity from the DNS: a)  $yx$  cut at fixed  $z$  and b)  $yz$  cut at fixed  $x$ , locations of cuts being indicated with dashed lines in panels a) and c). Panel c) shows the horizontal wind shear vertical profile  $\hat{S} = S/\sigma_S$ , together with the by-plane percentage of extreme events in the vertical velocity (defined as the grid points with  $w > 5.5\sigma_w$ ). Panel d) reports the probability  $P(\hat{w}, \hat{S})$  of observing extreme events in vertical velocity ( $\hat{w} = w/\sigma_w$ ) conditioned to the values of the vertical shear of the horizontal wind velocity  $\hat{S}$ . Values larger than  $\hat{S} = 2.5$  have been discarded as the statistics are not well resolved. The dashed line (panel d) represents the cumulative probability.



**Figure 4.** Closeup of the observations shown in Figure 1 to sketch the dynamics accompanying the bore. The color code is the same as the one in Figure 2d. The regions of strong updraft (downdraft) are indicated with red (blue) arrows. Letter C and D represent horizontal wind convergence and divergence, respectively. Yellow vertical arrows indicate relevant vertical scales (see text).