

# Conjugate photoelectron energy spectra derived from coincident FUV and radio measurements

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## Key Points:

- Nightglow emissions excited by photoelectrons originating in the magnetically conjugate hemisphere is observed by the ICON mission
- Conjugate photoelectron energy spectra is derived for the first time using global scale far-ultraviolet and radio-occultation observations
- Comparison of estimated photoelectron fluxes with a first principle model shows consistent characteristics

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**Abstract**

We present a method for estimating incident photoelectrons' energy spectra as a function of altitude by combining global scale far-ultraviolet (FUV) and radio-occultation (RO) measurements. This characterization provides timely insights important for accurate interpretation of ionospheric parameters inferred from the recently launched Ionospheric Connection Explorer (ICON) observations. Quantification of photoelectron impact is enabled by the fact that conjugate photoelectrons (CPEs) directly affect FUV airglow emissions but not RO measurements. We demonstrate the technique for the estimation of photoelectron fluxes and their spectra by combining coincident ICON-FUV and COSMIC2 measurements and show that a significant fraction of ICON-FUV measurements are affected by CPEs during the winter solstice. A comparison of estimated photoelectron fluxes with the SAMI2-PE model is used to gain further insights into the estimation method and reveals consistent values at low latitudes, while the results suggest that the model might be overestimating photoelectron fluxes by  $\sim 10\%$  at mid latitudes.

**Plain Language Summary**

The impact of solar radiation on the atmosphere produces highly energetic electrons, which travel freely along the magnetic Earth's field lines from one hemisphere to the other. When these electrons flow from the sunlit side into the nightside hemisphere, they interact with the neutral species and produce noticeable effects in the ionosphere such as an increase in electron temperature and enhancement of airglow emissions. This study presents a method to quantify the amount of precipitating electrons and their energy on a global scale using two recent satellite missions (ICON and COSMIC2). Our results demonstrate that coincident far-ultraviolet (ICON) and radio-occultation (COSMIC2) measurements from space are valuable resources to study precipitating electrons in the ionosphere and their impact on inferring ionospheric plasma parameters.

**1 Introduction**

Photoionization of the neutral atmospheric species by extreme-ultraviolet solar radiation and X-rays produces highly energetic electrons in the ionosphere, also known as photoelectrons (PEs). Conjugate photoelectrons (CPEs) refer to those PEs that travel along the magnetic field lines from one hemisphere to the other and lose their energy through inelastic collisions with neutral particles and the ambient electrons in the local region. Such interactions result in elevation of the ambient electron's temperature (Duboin et al., 1968), additional electron production (Swartz, 1972), and enhancement of airglow emissions (Hanson, 1963; Cole, 1965; Fontheim et al., 1968; Duboin et al., 1968).

Although CPEs' effects are present during day and night, their impacts are more significant when and where the local point is in darkness and its magnetically conjugate point is not. Evidence of an increase in electron temperature by more than 100% at pre-dawn caused by CPE impact has been reported using in-situ satellite measurements (Narasinga Rao & Maier, 1970), and incoherent scatter radar (ISR) measurements (Evans, 1967; Carlson, 1966, 1968). Moreover, pre-dawn airglow enhancements at visual wavelengths have been reported by various authors (Bennett, 1969; Carman et al., 1969; Cogger & Shepherd, 1969; Smith, 1969; Christensen, 1975). At far-ultraviolet (FUV) wavelengths, Meier (1971) reported the first observations of airglow enhancement at pre-dawn using OGO-4. More recently, Solomon et al. (2020) and Kil et al. (2020) have reported pre-dawn airglow enhancements observed by GOLD (Eastes et al., 2008, 2017) and SSUSI (Paxton et al., 2017, and references therein), respectively. In both cases, regions affected by CPEs show one order of magnitude brighter airglow emissions than those not affected.

66 First measurements of CPE fluxes date back to Peterson et al. (1977) using the At-  
67 mosphere Explorer C, and to Shepherd et al. (1978) and Mukai et al. (1979) using sound-  
68 ing rockets. They found that CPEs can travel along the magnetic field lines from one  
69 hemisphere to the other without significant degradation. Furthermore, they reported that  
70 more than 65% of the 630 nm airglow emissions were produced by direct impact of PEs  
71 with atomic oxygen. Another substantial amount of PE measurements from 1996 to 2009  
72 at  $\sim 3500$  km altitude is available from the FAST satellite (Pfaff et al., 2001). Using FAST  
73 data, Richards and Peterson (2008) found that about 60% of the precipitating energy  
74 flux is backscattered to the conjugate hemisphere. Despite the existing observations, the  
75 resulting data do not provide sufficient spatial and temporal coverage to specify PE fluxes  
76 for all geophysical, temporal, and altitudinal conditions. Consequently, parameterized  
77 models have been developed and are generally used to obtain PE fluxes.

78 Nagy and Banks (1970) performed initial PE generation, transport, and impact cal-  
79 culations. Since then, various methods have been developed to model PE transport in  
80 the ionosphere such as FLIP (Richards & Torr, 1990), SUPIM (Bailey & Balan, 1996),  
81 GLOW (Solomon, 2017), and SAMI2-PE (Varney et al., 2012). Among all these numer-  
82 ical first-principle calculations, the multi-stream PE transport model implemented in SAMI2-  
83 PE is one of the most comprehensive and can reproduce the electron temperature and  
84 density observed by Jicamarca closely at all local times with a discrepancy on the or-  
85 der of  $< 30\%$ . Nevertheless, the high fidelity achieved by SAMI2-PE comes with a con-  
86 siderable computational price, and it is sensitive to the pitch angle resolution selected.  
87 Other faster method such as the two-stream electron transport implemented in GLOW  
88 (Solomon et al., 2020) has demonstrated to be good at reproducing twilight airglow emis-  
89 sions observed by GOLD at latitudes lower than  $60^\circ$ , although some discrepancies ob-  
90 served at mid and high latitudes were associated with the reduced number of pitch an-  
91 gles used, and other neglected terms such as scattering losses or meridional transport.

92 Besides models, several techniques have been proposed to estimate PE fluxes from  
93 measurements. Early attempts to infer PE fluxes from simultaneous measurements of  
94 the OI lines and the N<sub>2</sub> band, and from ISR measurements using the continuity equa-  
95 tion were described by Rees and Luckey (1974) and Semeter and Kamalabadi (2005),  
96 respectively. Although the ISR-based problem has the advantage of possessing more de-  
97 grees of freedom and the capability to retrieve altitude information compared to that of  
98 optical measurements, it is still sensitive to the pitch angle distribution assumed, and  
99 its estimates are confined to a specific geographic location.

100 The study presented in this paper develops a method for estimating PE energy fluxes  
101 as a function of altitude on a global scale from combined FUV and radio-occultation (RO)  
102 measurements. Although this technique might be applicable to both day and nighttime  
103 data, we focus on nighttime observations since there have been numerous observations  
104 of twilight airglow enhancements at 135.6 nm due to PEs originating in the magnetically  
105 conjugate hemisphere (CPEs). In contrast to available global PE observations which do  
106 not provide altitude information (Pfaff et al., 2001), or to ISR methods which are con-  
107 fined to one geographic location Semeter and Kamalabadi (2005), our technique enables,  
108 for the first time, the quantification of PE fluxes globally as a function of altitude and  
109 energy. This new capability provides further insight into the energetics of the thermo-  
110 sphere/ionosphere, and can complement models to explain observations that cannot be  
111 reproduced precisely, e.g., airglow emissions at mid/high latitudes.

112 The main challenge in interpreting FUV observations independently is to separate  
113 volume emissions due to radiative recombination (RR) and mutual neutralization (MN)  
114 from that due to PE impact. We employ RO measurements to perform full RR and MN  
115 calculations and use these results to isolate the PE contribution from FUV measurements.  
116 Once the PE contribution is isolated, it is used to estimate PE fluxes. Experimental re-  
117 sults are presented using ICON-FUV measurements combined with ROs measurements

118 from COSMIC2. Initial validations are performed comparing the estimated PE fluxes  
 119 with SAMI2-PE outputs.

## 120 **2 FUV and RO measurements**

### 121 **2.1 ICON: FUV measurements**

122 The Ionospheric Connection Explorer (ICON) is a NASA mission launched in Oc-  
 123 tober 2019 into a  $27^\circ$  inclination, 598km altitude circular orbit and equipped with four  
 124 scientific instruments. ICON is dedicated to making continuous observations of the neu-  
 125 tral atmospheric drivers and the resulting ionospheric responses (Immel et al., 2018).

126 One of the ICON’s payloads is the FUV instrument, which was designed to pro-  
 127 vide disk and limb-scan images during day and nighttime (Mende et al., 2017). During  
 128 nighttime, the spectrographic FUV imager onboard ICON images the limb altitude pro-  
 129 file in the shortwave band at 135.6 nm. This band is sensitive to the OI 135.6 nm emis-  
 130 sion and also to LBH (2,0), (3,0), (4,0) and (5,2) bands in its passband (Mende et al.,  
 131 2017). In this work, we only consider the influence from OI 135.6 nm, the dominant source.

132 To infer O+ density profiles, which in the nighttime ionosphere is approximately  
 133 equal to the electron density (e.g. Kamalabadi et al., 1999, 2002, 2009; Qin et al., 2015;  
 134 Kamalabadi et al., 2018), the ICON’s field of view is divided into six vertical stripes, where  
 135 each stripe has a horizontal and vertical resolution of 128km x 4km at 155km altitude.  
 136 Each stripe is used to infer electron density profiles every 12s in its corresponding direc-  
 137 tion.

138 The operational forward model to infer electron density from limb viewing integrated  
 139 OI 135.6 nm emissions includes the two primary mechanisms, namely, RR and MN (Qin  
 140 et al., 2015; Kamalabadi et al., 2018). In this work, CPE impact as a source of OI 135.6  
 141 nm emissions is considered, which at some times and at particular locations is more im-  
 142 portant than the first two. Since the ICON’s algorithm (Kamalabadi et al., 2018) did  
 143 not consider this third source, here we use the volume emission rates estimated from the  
 144 FUV images and combine them with COSMIC2 occultations to isolate the contribution  
 145 of CPE impact.

### 146 **2.2 COSMIC2: RO measurements**

147 The Constellation Observing System for Meteorology, Ionosphere and Climate-2  
 148 (COSMIC2) is an international Taiwan-US mission consisting of six low-Earth orbiting  
 149 (LEO) satellites launched in 2019 into a  $24^\circ$  inclination,  $\sim 520$ km circular orbit (Anthes  
 150 & Schreiner, 2019; W. S. Schreiner et al., 2020). The primary payload of each LEO satel-  
 151 lite is a Tri-Global Navigation Satellite System (GNSS) RO receiver developed by NASA’s  
 152 Jet Propulsion Laboratory, which has GPS, GALILEO, and GLONASS tracking capa-  
 153 bility (Tien et al., 2012). GNSS satellites orbit Earth at  $\sim 20,000$ km and broadcast sig-  
 154 nals at two different L-band frequencies. The RO receiver measures the phase and am-  
 155 plitude of GNSS radio signals as GNSS satellites are occulted by the Earth’s ionosphere.  
 156 Under the assumption of straight-line propagation of GNSS signals, the total electron  
 157 content (TEC) along the LEO-GPS link can be calculated from the phase difference of  
 158 the two received L-band signals (e.g. W. S. Schreiner et al., 1999; Kuo et al., 2004; W. Schreiner  
 159 et al., 2007; Yue et al., 2013, and references therein).

160 Furthermore, by assuming that the electron density is spherically symmetrical, the  
 161 altitudinal electron density profile is derived through recursive inversion of slant TEC  
 162 (e.g. Lei et al., 2007; W. S. Schreiner et al., 1999). Particularly, COSMIC2 uses the so-  
 163 called onion peeling method that calculates the electron density of discrete layers below  
 164 the LEO altitude starting from the uppermost altitude, on both the ascending and de-  
 165 scending parts (Syndergaard et al., 2005). Since the LEO satellites move as they collect

166 the GNSS signals, the LEO-GNSS link's geographical location at the top and at the bot-  
 167 tom altitude might differ by several hundred kilometers (up to 1500km). Therefore, hor-  
 168 izontal ionospheric gradients may significantly affect the retrievals. Although the sym-  
 169 metry assumption is not always fulfilled, COSMIC2 satellites are expected to provide 5000  
 170 vertical density profiles per day with an F peak-density and peak-height within 15% and  
 171 20km accuracy, respectively (Yue et al., 2014; W. S. Schreiner et al., 2020).

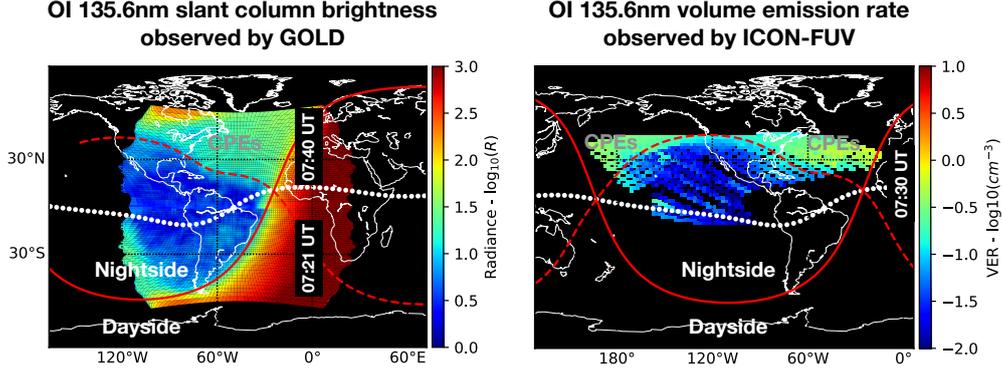
### 172 **3 Excitation of OI 135.6 nm nightglow emissions by conjugate pho-** 173 **toelectrons**

174 The two primary production mechanisms of OI 135.6 nm emissions in the night-  
 175 time ionosphere are RR of electrons with O+, and MN of O+ with O- (Meier, 1991, and  
 176 references therein). Moreover, there are some times and regions when and where the mag-  
 177 netically conjugate point is sunlit and the local point is not. In those cases, PEs produced  
 178 by photoionization in the sunlit hemisphere are transported along the magnetic field lines  
 179 to the other hemisphere and excite the neutral atoms in the nightside, producing addi-  
 180 tional airglow emissions.

181 The global morphology of twilight airglow emissions at 130.4nm and 135.6 nm pro-  
 182 duced by CPE impact have recently been reported by Kil et al. (2020) using the SSUSI  
 183 instrument onboard the Defense Meteorological Satellite Program-F16. The morphol-  
 184 ogy of both OI lines was in good agreement with the distribution of CPEs, suggesting  
 185 that these airglow emissions are signatures of CPEs. The distribution of CPEs is deter-  
 186 mined by the geomagnetic field configuration and solar zenith angle (SZA). The impor-  
 187 tance of using high-fidelity representations of the geomagnetic field model to accurately  
 188 reproduce the twilight airglow emissions was described by Waldrop et al. (2008). Sub-  
 189 sequently, Solomon et al. (2020) reproduced consistently the intensity and morphology  
 190 of twilight emissions observed by GOLD with the GLOW model, which includes CPE  
 191 transport and uses the International Geomagnetic Reference Field model.

192 Twilight features are primarily visible during the winter solstice, i.e., during the  
 193 December solstice in the northern hemisphere and June solstice in the southern hemi-  
 194 sphere. During other periods the terminator approximately aligns with the magnetic field  
 195 lines, and therefore, areas affected by CPEs are negligible. Figure 1(a) shows disk im-  
 196 ages at 135.6 nm obtained from GOLD on January 6, 2020 at 07:21 UTC (winter sol-  
 197 stice) similar to those shown by Solomon et al. (2020). The morning terminator's ap-  
 198 proximate location and its magnetically conjugate trace in the winter hemisphere are in-  
 199 dicated by the solid and dashed red lines, respectively. Note that airglow emissions in  
 200 the dayside are at least two orders of magnitude brighter than the nightside. Interest-  
 201 ingly, there is an area of faint airglow emissions in the nightside near the terminator whose  
 202 morphology matches perfectly with the conjugate trace. This indicates that these emis-  
 203 sions are most likely produced by CPE impact.

204 Volume emission rates (VERs) at the peak height ( $\sim 250$ km) estimated from ICON-  
 205 FUV limb scans are shown in Figure 1(b). Details of the FUV measurements, the for-  
 206 ward model, and the retrieval technique are described in (Qin et al., 2015; Kamalabadi  
 207 et al., 2018). Figure 1(b) shows all the ICON-FUV estimates for January 2020 at 07:30UTC  
 208  $\pm 30$ min, which are plotted in their corresponding latitude and longitude position. Al-  
 209 though the twilight airglow morphology changes slowly with the season, the main pat-  
 210 tern remains and it is similar to the one observed by GOLD. Besides the airglow emis-  
 211 sion at pre-dawn, there is a faint nightglow region near the evening terminator, which  
 212 also matches with its conjugate trace. Airglow emissions due to PE and RR are both present  
 213 at post-dusk and pre-dawn. Therefore, contributions to the OI 135.6 nm airglow from  
 214 RR, MN, and CPE impact need to be isolated to accurately interpret FUV observations  
 215 at those times.



**Figure 1.** Airglow emissions at 135.6 nm observed by GOLD (left) and by ICON-FUV (right). Two GOLD image sequences of the OI 135.6 nm emission on January 6, 2020 at 07:21UT and 07:40UT are shown. VERs by ICON are one-hour average values around 07:30UT during January 2020. The magnetic equator is shown by white dots. The nighttime terminator at 300km altitude and its conjugate trace are shown by solid and dashed red lines, respectively. On the nightside, faint airglow emissions (green-yellowish areas) evidence CPE excitation.

#### 4 Retrieving photoelectron energy spectra from FUV and RO measurements

To estimate the PE energy spectra, we first need to isolate emissions generated through PE impact from total airglow emissions. FUV instruments such as ICON-FUV (Mende et al., 2017) or GUVI (Christensen et al., 1994) that measure limb viewing integrated airglow emissions allow inferring the source VER of OI 135.6 nm as a function of altitude (Qin et al., 2015; Kamalabadi et al., 2018; Comberiate et al., 2007). When these emissions are affected by PEs, contributions due to RR and MN cannot be readily isolated from that due to PE impact.

The source VER  $\varepsilon_0$  of the 135.6 nm line can be calculated by

$$\varepsilon_0(h) = \varepsilon_{rr}(h) + \varepsilon_{mn}(h) + \varepsilon_{pe}(h), \quad (1)$$

where  $\varepsilon_{rr}$ ,  $\varepsilon_{mn}$ ,  $\varepsilon_{pe}$  are the isotropic volume emissivities due to RR, MN, and CPE impact at a given altitude  $h$ , respectively. The first term  $\varepsilon_{rr}$  is a function of the electron density  $N_e$  and the atomic oxygen ion density [O+] (Hanson, 1969). Likewise,  $\varepsilon_{mn}$  is a function of  $N_e$ , [O+], and the atomic oxygen density [O] (Knudsen, 1970; Hanson, 1970; Tinsley et al., 1973). Since in the F region ionosphere O+ is the dominant ion species, [O+] is approximately equal to  $N_e$ . Moreover, assuming that [O] is known (e.g., from the NRL-MSISE00 model (Picone et al., 2002)),  $\varepsilon_{rr}$  and  $\varepsilon_{mn}$  can be expressed as a function of one unknown only ( $N_e$ ) (Qin et al., 2015; Kamalabadi et al., 2018). This simplification allows to perform full RR and MN calculations from electron density measurements obtained by ionosondes, ISRs, or RO measurements.

When coincident FUV and RO measurements exist,  $\varepsilon_0$  can be measured from FUV instruments onboard satellites, and  $\varepsilon_{rr}$  and  $\varepsilon_{mn}$  can be inferred from RO measurements. Therefore, an estimate of the volume excitation rate  $\tilde{\varepsilon}_{pe}$  can be calculated using (1). The vast quantity of density profiles available from ICON and COSMIC2 permit the estimation of  $\tilde{\varepsilon}_{pe}$  on a global scale.

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#### 4.1 Forward model

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As discussed by Meier (1991), airglow emissions at 135.6 nm by PE impact occur primarily through excitation of ground-state O(<sup>5</sup>S) atoms. The rate of excitation  $\varepsilon_{pe}$  can be expressed as a function of the PE flux by

$$\varepsilon_{pe}(h) = [O](h) \int_{E_{min}}^{E_{max}} \phi(h, E) \sigma(E) dE, \quad (2)$$

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where  $\sigma(E)$  ( $cm^{-2}$ ) is the electron impact excitation cross section,  $\phi(h, E)$  ( $cm^{-2}s^{-1}eV^{-1}$ ) is the PE flux at altitude  $h$  and energy  $E$ , and  $E_{min}$  is the threshold energy for excitation. Typical values for  $E_{min}$  and  $E_{max}$  are 5eV and 100eV, respectively, since out of this range the excitation cross-sections for OI 135.6 nm are negligible. The model cross-sections are available from (Meier, 1991) and the atomic oxygen density  $[O]$  from the NRL-MSISE00 model (Picone et al., 2002). Thus,  $\varepsilon_{pe}$  can be expressed as a function of the PE flux only.

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One common feature in models is that the precipitating electron spectra is represented by a particular distribution. A Maxwellian distribution has been used by many authors to represent thermal electrons (Varney et al., 2012) and precipitating auroral electrons (e.g. Kaeppler et al., 2015, and references therein). Other authors found that a Gaussian distribution might be more appropriate for discrete aurora (Jursa, 1985; Banks et al., 1974; Strickland et al., 1989; Khazanov et al., 2014). For highly energetic electrons, Myers et al. (1975) modeled the spectra as a slowing-down distribution and obtained a good agreement compared to those obtained from rocket measurements (Hays & Sharp, 1973). Similarly, Kondo and Ogawa (1976) and Mukai et al. (1979) used the slowing-down approximation to calculate the PE flux at the top of the atmosphere.

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In the nighttime ionosphere, there is no local PE production and thus  $\phi(h, E)$  represents only CPE fluxes. CPEs are exposed to different collisions before reaching their final destination and consequently their spectra is a smooth function of energy (Varney et al., 2012). Based on this, we use the slowing-down approximation to represent the CPE spectra as done by Kondo and Ogawa (1976). This distribution has the advantage of being smooth and having only two degrees of freedom (amplitude and width) compared to, for example, the Gaussian distribution, which has three degrees of freedom (amplitude, mean, and width). The slowing-down distribution is given by

$$\phi(h, E) = Q_h \exp(-E/E_h), \quad (3)$$

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where  $\phi(h, E)$  is a function of the characteristic flux  $Q_h$  and the characteristic energy  $E_h$  at a given altitude  $h$ . Replacing (3) in (2) results in that  $\varepsilon_{pe}(h)$  is a non-linear function of  $Q_h$  and  $E_h$ .

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#### 4.2 Inversion method

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Rather than solving for the electron spectra  $\phi(h)$  using regularized inversion techniques (e.g., Semeter & Kamalabadi, 2005), we formulate the problem as a non-linear least squares problem between the forward model  $\varepsilon_{pe}(h)$  and the observed volume excitation rate  $\tilde{\varepsilon}_{pe}(h)$ , i.e.,

$$\chi_\mu^2 = \frac{1}{\mu} \sum_h \left( \frac{\tilde{\varepsilon}_{pe}(h) - \varepsilon_{pe}(h)}{\delta(h)} \right)^2, \quad (4)$$

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where  $\chi_\mu^2$  is the chi-square value,  $\delta$  is the standard deviation of each measurement, and  $\mu$  is the number of degrees of freedom in the fit, which is equal to the number of altitude measurements. The characteristic flux  $Q_h$  and characteristic energy  $E_h$  as a function of altitude are the parameters that are being fit.

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The problem in (4) is underdetermined since the number of unknowns is twice the number of measurements. Thus, there are infinite number of possible solutions. To make

284 the solution unique, additional regularization terms are required. The regularized prob-  
 285 lem may be written as an optimization problem as

$$\text{minimize: } \chi_{\mu}^2 \quad (5)$$

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$$\text{subject to: } r(\mathbf{Q}, \mathbf{E}) > 0 \quad (6)$$

287 where  $\mathbf{Q}$  and  $\mathbf{E}$  are the column vectors of  $Q_h$  and  $E_h$  at different altitudes, respectively.  
 288 The term  $r$  is the regularizer, which is selected based on the physical knowledge of the  
 289 CPE spectra.

290 Due to the inelastic collisions of CPEs with neutral particles and thermal electrons,  
 291 the characteristic energy and characteristic flux decreases with decreasing altitude, i.e.,  
 292 ( $E_h - E_{h-1} > 0$ ) and ( $Q_h - Q_{h-1} > 0$ ). This information could be added to the prob-  
 293 lem as a penalty on the gradient, i.e.,

$$r(\mathbf{Q}, \mathbf{E}) = \lambda_0 \|\mathbf{G}\mathbf{Q}\|_1 + \lambda_1 \|\mathbf{G}\mathbf{E}\|_1. \quad (7)$$

294 where the matrix  $\mathbf{G}$  measures the gradient of  $\mathbf{Q}$  and  $\mathbf{E}$  at consecutive altitudes,  $\|\cdot\|_1$  is  
 295 the  $l_1$ -norm, and  $\lambda_0$  and  $\lambda_1$  are the tuning parameters, which weight the energy gradi-  
 296 ent. For simplicity, we use  $\lambda = \lambda_0 = \lambda_1 = 1.0$ . The solution is not sensitive to  $\lambda$ . Ad-  
 297 ditional physical bounds are added to the problem to speed up the solution process and  
 298 to constrain the solution to realistic physical ranges, namely,  $E_h = [5, 50] \text{ eV}$  and  $Q_h =$   
 299  $[1e2, 1e12] \text{ cm}^{-2} \text{ s}^{-1} \text{ eV}^{-1}$ . hola pepe como estas ho lnoaf cjonds

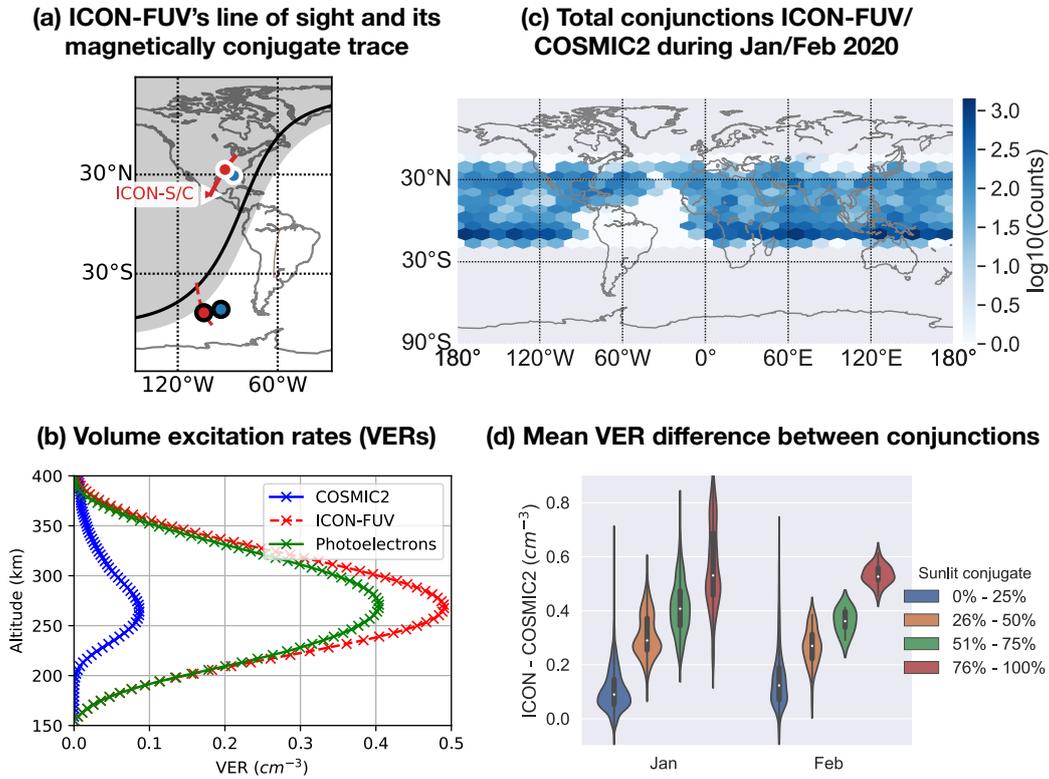
## 300 5 Experimental results

301 We now present preliminary results of CPE energy spectra inversion from coinci-  
 302 dent ICON-FUV and COSMIC2 observations in the nighttime ionosphere. Figure 2(a)  
 303 shows the ICON satellite's location, the ICON-FUV's line of sight, and the ionospheric  
 304 pierce point of ICON and COSMIC2 on 04/01/2020 at 11:09:19UTC (SZA=113°). The  
 305 spatial separation between pierce points is less than 4° in both latitude and longitude,  
 306 and less than 15 min in time (criteria for coincident observations). The ICON-FUV's line  
 307 of sight and its conjugate trace are included for determining what percent of the latter  
 308 is sunlit. When the conjugate trace is in the darkness, VERs estimated from ICON-FUV  
 309 and COSMIC2 are expected to be similar. However, when the conjugate trace is com-  
 310 pletely sunlit, the VER observed by ICON might be up to one order of magnitude higher  
 311 than that observed by COSMIC2.

312 Figure 2(b) shows the source VER ( $\varepsilon_0$ ) observed by ICON (red) and the VER due  
 313 to RR + MN ( $\varepsilon_{rr} + \varepsilon_{mn}$ ) calculated from COSMIC2 observations (blue) for the selected  
 314 example. The volume excitation rate due to PE impact  $\tilde{\varepsilon}_{pe}$  calculated using (1) is also  
 315 shown (green). CPEs dominate the production of FUV airglow in this case. During the  
 316 winter solstice (January 2020) in the nighttime, 35% of ICON-FUV data was affected  
 317 by CPEs.

318 Figure 2(c) shows the geographical distribution of coincident measurements by ICON  
 319 and COSMIC2 during Jan/Feb 2020, and 2(d) shows the VER difference calculated from  
 320 ICON-FUV and COSMIC2 as a function of the percent of the conjugate trace in sun-  
 321 lit conditions, hereafter the sunlit conjugate. Figure 2(d) shows an explicit dependency  
 322 between what ICON-FUV and COSMIC observe, and the sunlit conjugate. In average,  
 323 the amount of airglow due to PE impact exceeds that due to MN and RR by  $\sim 0.55 \text{ ph/cm}^3$   
 324 when the FUV's conjugate trace is completely illuminated.

325 Since VERs from ICON-FUV measurements are determined assuming a homoge-  
 326 neous ionosphere, estimates when the conjugate trace is partially illuminated might lead  
 327 to miscalculations. Therefore, we only use measurements when the conjugate trace is com-  
 328 pletely illuminated to invert the PE energy spectra.



**Figure 2.** Coincident measurements of volume excitation rates from ICON-FUV and COSMIC2. (a) The ICON and COSMIC2 satellite's location on 04/01/2020 at 11:09:19UTC are shown in red and blue, respectively. The FUV's line of sight at the peak height ( $\sim 270$ km) and its magnetically conjugate trace are shown by solid and dashed red lines, respectively. Besides the night/day side at the sea level, the terminator at 300km altitude is indicated by the solid black line (b) the VER measured by ICON-FUV (red), the VER calculation due to RR and MN (blue) inferred from COSMIC2, and the VER difference associated with PE impact (green) for the same time as (a). (c) Geographical distribution of total coincidences ICON/COSMIC2 during Jan/Feb 2020 (d) Mean VER difference between ICON/COSMIC2 coincident observations as a function of the percent of the ICON's conjugate trace in sun light.

329 Estimates of the PE energy spectra are shown in Figure 3(a) for the same exam-  
 330 ple shown in Figure 2. This spectra was estimated making use of the measured  $\tilde{\epsilon}_{pe}$  and  
 331 solving (6) using the numpy Sequential Least Squares Programming (SLSQP) algorithm  
 332 (Kraft, 1988). Other non-linear minimizers provided similar results, but the SLSQP al-  
 333 gorithm was selected because of its speed and versatility in managing any combination  
 334 of bounds, equality, and inequality constraints.

335 The estimated PE flux shown in 3(a) decreases slightly with decreasing altitude  
 336 until the peak height, where the PE flux begins to fall rapidly. At 200km, the PE flux  
 337 is almost one order of magnitude less than the one at 270km, which is consistent with  
 338 its corresponding volume excitation rate due to PE impact shown in Figure 2(b).

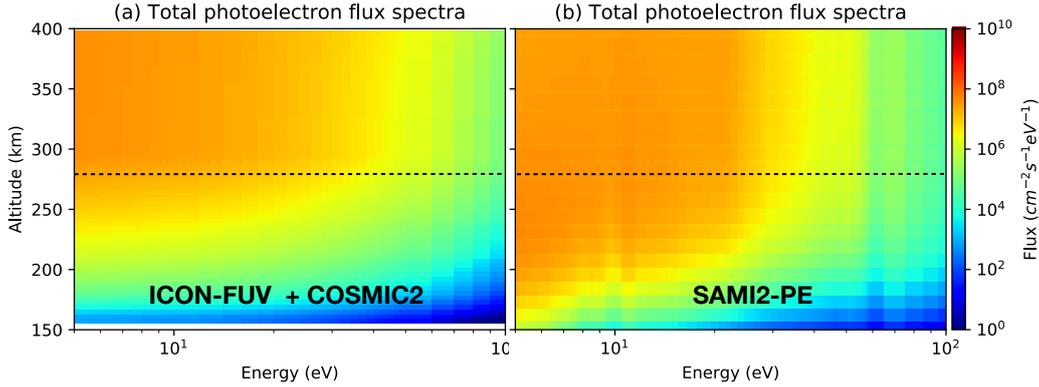
339 The difference in the PE flux above and below the peak height can be explained  
 340 by their dominant processes. Above the peak height, the amount of PEs barely varies  
 341 since there is no local production or losses due to interactions with neutrals or thermal  
 342 electrons. Whereas, below the peak height, the PEs are rapidly losing their energy due  
 343 to collisions with neutrals and thermal electrons.

## 344 6 Comparisons with SAMI2-PE outputs

345 SAMI2-PE is a 2D model (Varney et al., 2012) based on the SAMI2 model (Huba  
 346 et al., 2000). SAMI2 uses a photoelectron heating model developed by Swartz and Nis-  
 347 bet (1972) in which the electron heating is deposited locally below 300km with an ef-  
 348 ficiency factor proportional to the  $O^+$  photoionization rate, and an exponential decay  
 349 dependence above 300km along the magnetic field line. However, Varney et al. (2011)  
 350 noted the limitations of this model in comparing SAMI2 electron temperature results  
 351 to Jicamarca data. Subsequently, SAMI2-PE was developed to improve SAMI2 by in-  
 352 corporating a physics-based photoelectron transport model to compute electron heat-  
 353 ing rates and secondary photo production ionization rates. A multi-stream transport equa-  
 354 tion (Schunk & Nagy, 2009) is used to calculate the photoelectron flux including trans-  
 355 port, elastic and inelastic collisions, and energy transfer to the ambient electrons.

356 SAMI2 and SAMI2-PE make no calculations of the major neutral species, these  
 357 and neutral winds must be provided as inputs to the model. Neutral species and neu-  
 358 tral winds are specified using the empirical models NRL-MSISE00 (Picone et al., 2002)  
 359 and HWM93 (Hedin et al., 1991), respectively. Since there is evidence that NRL-MSISE00  
 360 overestimates the [O] density during solar minimum conditions by 20% (Meier et al., 2015;  
 361 Shepherd et al., 2016), the [O] density was scaled by a factor of 0.8. Other input spec-  
 362 ifications in SAMI2-PE are the number of altitudes, grid cells, energy bins, and pitch an-  
 363 gles. For this work, we used 90, 151, 103, and 8, respectively.

364 The PE flux from SAMI2-PE for the same time and location of the reference is shown  
 365 in Figure 3(b). Comparing both PE fluxes, their morphologies show a remarkable agree-  
 366 ment above the F peak, although the SAMI2-PE output is  $\sim 10\%$  higher. Similar com-  
 367 parisons at low latitudes show a lower difference ( $\sim 4\%$ ). Below the F peak there is a no-  
 368 table discrepancy between the estimated and modeled PE flux. While the estimated PE  
 369 flux is considerably reduced at 200km (one order of magnitude less), the modeled is not.  
 370 Comparisons of SAMI2-PE outputs with ISR measurements at Jicamarca have also shown  
 371 discrepancies below the F peak of electron temperatures and densities. According to Varney  
 372 et al. (2012), in this altitude regime, the cooling rates might be either too low, the re-  
 373 combination rates too high, or extra production terms might have been neglected. This  
 374 might explain the differences observed between the estimated and the modeled PE flux.  
 375 More comprehensive analyses would be the subject of future studies.



**Figure 3.** Total photoelectron flux as a function of energy and altitude at  $31^{\circ}\text{N}$ ,  $91^{\circ}\text{W}$  on 04/01/2020 at 11:09:19UTC. (a) Estimates using the proposed method and (b) SAMI2-PE outputs.

## 7 Uncertainties

In the previous section, we demonstrated our method’s ability to estimate PE energy spectra from FUV and RO measurements. We now discuss potential sources of uncertainties of our proposed method. They are: (1) the neutral atmosphere model, and (2) the observing geometry and time resolution of FUV and RO measurements.

While it is difficult to quantify precisely the error introduced by the neutral atmosphere model, there is evidence that the NRL-MSIS00 model does not accurately reproduce how low the [O] density become during solar minimum conditions (Meier et al., 2015; Shepherd et al., 2016). In this work, the [O] density was scaled by a factor of 0.8 as suggested by Meier et al. (2015) to obtain the SAMI2-PE outputs and to estimate the VER due to CPE excitation.

As shown in Figure 2(d), even when the conjugate trace is not sunlit, there is a small but consistent difference between VERs estimated from ICON-FUV and COSMIC2. This difference is primarily attributed to the observing volume since the spatial and temporal resolution of ICON and COSMIC2 are not identical. The spatial resolution of ICON-FUV is  $\sim 3^{\circ}$  in the horizontal direction and  $0.093^{\circ}$  in the vertical, compared to  $\sim 15^{\circ}$  and  $10^{\circ}$  of COSMIC2. The time resolution of FUV and COSMIC2 is 12s and 5-10min, respectively. Such differences are important when the ionosphere is not horizontally homogeneous, e.g., when observing the Equatorial Anomaly. From our data, we can calculate the maximum relative error introduced by these differences to the PE flux estimation comparing the VER difference between ICON and COSMIC2 when the sunlit conjugate is 0% and 100%, which results in a maximum error of  $\sim 12\%$ .

## 8 Summary and Conclusions

We presented a method for determining incoming PE fluxes as a function of energy and altitude by combining global scale FUV and RO measurements. By using ICON-FUV and COSMIC-2 measurements, we demonstrated the capability of the proposed technique and examined its validity to characterize CPE spectra. Such characterization provides important insight to the understanding of CPE, its temporal and geographical distribution which impacts the ICON observations.

405 Through an extensive analysis of ICON-COSMIC2 conjunctions during Jan-Jul 2020,  
 406 we found that a considerable amount ( $\sim 35\%$ ) of ICON-FUV nighttime measurements  
 407 were affected by CPEs during the December solstice in the Northern hemisphere. Dur-  
 408 ing other periods (Mar-Jul), the amount of nighttime data affected by CPEs was less than  
 409 5%, which is mainly explained by: (1) ICON mostly observes the northern hemisphere,  
 410 and (2) In the northern hemisphere, CPE airglow is not favored during the June solstice,  
 411 or close to it, due to the orientation of solar illumination respect to the magnetic field  
 412 lines. The geographical and temporal distribution of ICON-FUV measurements affected  
 413 by CPEs closely coincide with that reported by Kil et al. (2020) using the SUSSI FUV  
 414 instrument. Note that they did not quantify the CPE contribution.

415 Finally, we compared our estimates with a model based on first principles, SAMI2-  
 416 PE. Our comparisons show a remarkable agreement at low/mid latitudes ( $<10\%$ ) and  
 417 altitudes above the peak height. Below the peak height, some discrepancies are observed  
 418 which might be attributed to some missing production terms in the model.

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 423 COSMIC2, and GOLD data used in this work are available from <https://icon.ssl.berkeley.edu/>,  
 424 <https://www.cosmic.ucar.edu/>, and <https://gold.cs.ucf.edu/>, respectively. The SAMI2-  
 425 PE model outputs are available from <https://doi.org/10.13012/B2IDB-2215727-V1> (not  
 426 yet available, data included as supplement for review purposes).

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