

Validation of the DSCOVR Spacecraft Mission Space Weather Solar Wind Products

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Key Points:

- We validate the DSCOVR operational space weather data products
- Magnetic field data showed good statistical agreement with *Wind* and ACE data
- Solar wind velocity GSE v_x -component and density also showed good statistical agreement with *Wind* and ACE data

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Abstract

In this paper, we present a statistical validation of the DSCOVR solar wind data in the operational space weather archive. The DSCOVR observations of the interplanetary magnetic field (IMF), solar wind velocity, density, and temperature were hourly averaged and compared to measurements from NASA’s ACE and *Wind* spacecraft. Hourly averages, in general, show good correlations between the satellites for the IMF, solar wind velocity GSE v_x -component, and density. During the period covered by this study (spanning from late July 2016, when DSCOVR went operational, to the end of 2020), the DSCOVR products show no clear evidence of permanent degradation. However, for plasma parameters there were periods of disagreement with ACE and *Wind*. The correlation coefficients (Pearson’s r) calculated over the entire study period were similar or the same between DSCOVR versus *Wind* and DSCOVR versus ACE. For comparisons between DSCOVR and *Wind*, the IMF B_x and B_y GSE r were 0.94 and 0.96, respectively, while r for the IMF GSE B_z -component was 0.88. For solar wind velocity, r was found to be 0.96 for the GSE v_x -component, compared with 0.30 for v_y and 0.33 for v_z . For density, r was found to be 0.84. DSCOVR density observations tend to overestimate compared to *Wind* values when the solar wind densities are low (below ~ 5 /cc), while agreement between the two spacecraft on IMF measurements tend to increase with decreasing spatial separation.

Plain Language Summary

We present a statistical validation of space weather operational products derived from measurements onboard a National Oceanic and Atmospheric Administration (NOAA) spacecraft orbiting at about 1.5 million kilometers towards the Sun from Earth. Spacecraft observations of the solar wind magnetic field, velocity, density, and temperature were hourly averaged and compared to measurements from two other spacecraft in similar orbits. Hourly averages, in general, show good correlations between the spacecraft for solar wind magnetic field, the main component of velocity and density. However, for solar wind plasma parameters there were periods of disagreement with the other two spacecraft. The NOAA spacecraft density observations tend to overestimate when compared to one of the other spacecraft measurements when the solar wind densities are low, while agreement between these two spacecraft on magnetic field measurements tend to increase with decreasing spacecraft separation.

Keywords

DSCOVR, Space Weather, Solar Wind

1 Introduction

The NOAA Deep Space Climate Observatory (DSCOVR) mission was launched in February 2015 to the 1st Lagrange point (L1), which is located about 1.5 million kilometers from Earth, towards the sun, along the Sun-Earth line. The DSCOVR mission is a NOAA space weather operational mission that provides and sustains the United States’ real-time solar wind monitoring capabilities, which are critical to the accuracy and lead time of NOAA’s space weather alerts and forecasts. NOAA funded NASA to refurbish the DSCOVR spacecraft and solar wind instruments, develop the command and control portion of the ground segment, and manage the launch and activation of the satellite. The United States Air Force funded and managed the SpaceX Falcon 9 launch services for DSCOVR. On 7 June 2015, DSCOVR reached its final L1 destination, and in late October 2015, after checkout and post-launch testing, NOAA officially took command of the DSCOVR satellite. DSCOVR became the NOAA operational L1 solar wind mon-

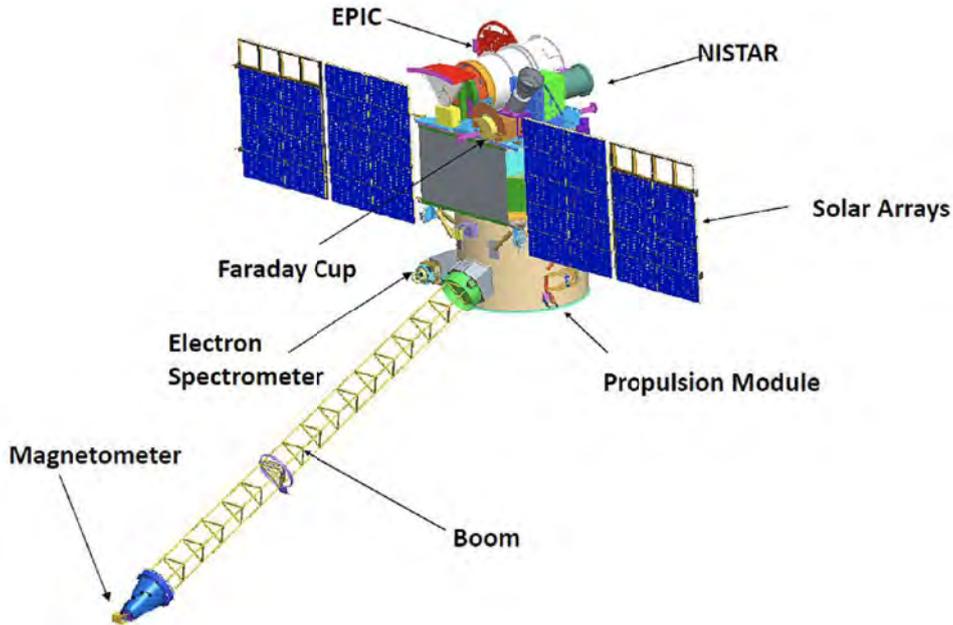


Figure 1. Diagram of the DSCOVR spacecraft with instruments indicated (Szabo, 2014).

67 itor on 27 July 2016 at 16:00 UTC when it began providing data for space weather fore-
 68 casting at the NOAA Space Weather Prediction Center (SWPC).

69 The main science payloads onboard DSCOVR are the PlasMag suite, which includes
 70 the solar wind monitoring plasma (Faraday Cup) and magnetometer instruments, the
 71 Earth-observing NIST Advanced Radiometer (NISTAR), and the Earth Polychromatic
 72 Imaging Camera (EPIC). Figure 1 shows the spacecraft with instrument payloads in-
 73 dicated. Of interest in this study is the PlasMag suite, which measures the solar wind
 74 particles and the interplanetary magnetic field (B_{IMF}) for NOAA space weather predic-
 75 tions. There is also an electrostatic analyzer (ESA) spectrometer on DSCOVR, which
 76 is not a requirement by NOAA for space weather operations.

77 NOAA operates DSCOVR from its NOAA Satellite Operations Facility (NSOF)
 78 in Suitland, Maryland and distributes the data to its users and partner agencies. NOAA
 79 processes the space weather data, providing products and forecasts through the NOAA-
 80 SWPC in Boulder, Colorado, and archives the data at the NOAA National Centers for
 81 Environmental Information (NCEI), also in Boulder, Colorado. NASA is responsible for
 82 processing the EPIC data.

83 The focus of this study is the validation of the NOAA-NCEI DSCOVR space weather
 84 or PlasMag instrument suite archive. The validation effort is restricted to the 1-minute
 85 or lower resolution data products and covers the years 2016-2020. We validate against
 86 data from NASA’s Advanced Composition Explorer (ACE) and *Wind* spacecraft mis-
 87 sions, which were both located at L1 during the validation interval.

88 When interpreting the results presented, it should be kept in mind that the NOAA-
 89 NCEI DSCOVR archive contains data collected during real-time NOAA operations, whereas
 90 the ACE and *Wind* datasets may have undergone further post-processing to improve sci-
 91 ence quality. Hence, we expect to observe more issues such as missing data in the DSCOVR
 92 archive. This is further emphasized by the fact that the DSCOVR spacecraft has expe-

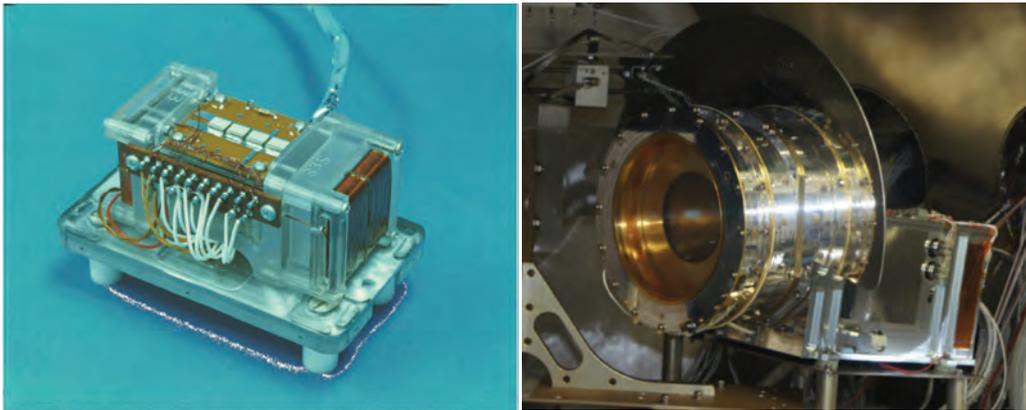


Figure 2. Left: A photo of the DSCOVR magnetometer instrument (Szabo, 2015). Right: a photo of the DSCOVR Faraday Cup instrument (Kasper et al., 2013).

Table 1. The MAG Observational Requirements

Parameter	Requirements	Performance
B_{IMF}	3-axis vector observation in-situ	N/A
Accuracy	1.0 nT/axis	0.2 nT/axis
Sample Rate	1 vectors/min/axis	50 vectors/sec/axis
Range	$\pm 0.1 - 100$ nT	0.004 – 65500 nT

93 rienced multiple issues since commissioning that affect both the quality and availabil-
 94 ity of the space weather data.

95 In the following paper, Section 2 describes the DSCOVR PlasMag instruments. Sec-
 96 tion 3 discusses the methodology for the validation and data availability, while Section
 97 4 shows the results. Finally, conclusions are discussed in Section 5.

98 **2 The DSCOVR Space Weather Instruments**

99 **2.1 The Magnetometer**

100 The DSCOVR tri-axial fluxgate magnetometer (MAG), which measures the inter-
 101 planetary vector magnetic field (B_{IMF}) and is shown on the left in Figure 2, is located
 102 at the tip of a 4.0 m boom to minimize the effect of spacecraft fields. The MAG was pro-
 103 vided by the NASA Goddard Space Flight Center (GSFC) in Greenbelt, Maryland and
 104 underwent pre-launch instrument- and spacecraft-level tests also at NASA-GSFC (Connerney,
 105 2013). These tests establish instrument pre-launch calibration parameters such as zero
 106 offsets, gains or scale factors, alignment, noise, and spacecraft magnetic signature at the
 107 sensor location.

108 Table 1 shows the requirements and performance of the MAG (Szabo & Koval, 2016).
 109 Currently, the NOAA-SWPC operational requirement is for the B_{IMF} product at 1-minute
 110 cadence. However, the MAG instrument on DSCOVR samples at 50 samples/sec. The
 111 instrument has multiple ranges, with the highest reaching 65500 nT for ground calibra-
 112 tion.

Table 2. Observational requirements of the DSCOVR Faraday Cup.

Parameter	Requirements	Initial Performance
Velocity Range	200 – 1250 km/s	168 – 1340 km/s
Velocity Accuracy	20%	2%
Density Range	1 – 100 cm ⁻³	0.22 – 219 cm ⁻³
Density Accuracy	20%	1%
Temperature Range	4x10 ⁴ -2x10 ⁶ K	3.9x10 ⁴ -7.3x10 ⁶ K
Temperature Accuracy	20%	<9%
Cadence	60 s	0.25 s

113 On-orbit, the DSCOVR spacecraft underwent a series of rolls in order to estimate
 114 MAG zero offsets. Independently, offsets were also determined using solar wind Alfvénic
 115 wave rotation methods (Davis & Smith, 1968; Belcher et al., 1969; Belcher, 1973) to en-
 116 sure consistent offset values (Szabo, 2015). In operations, the spacecraft continues to un-
 117 dergo maneuvers about every six weeks to redetermine offsets, and the Alfvénic method
 118 is also used to verify results and determine the roll axis offset. The calibration analy-
 119 sis is performed by NASA-GSFC and updated offsets are sent to SWPC for operational
 120 use.

121 The space weather products created from the MAG observations are the total mag-
 122 netic field, the vector magnetic field in GSE and GSM coordinates, and the magnetic field
 123 θ and ϕ angles. The NOAA archive MAG products are daily files at full resolution (50
 124 Hz), 1-second cadence, and 1-minute cadence. However, since the operational product
 125 is the 1-minute data, here we use the archived 1-minute vector magnetic field data.

126 2.2 The Faraday Cup

127 The DSCOVR Faraday Cup (FC) is a retarding potential particle detector that pro-
 128 vides high time resolution solar wind proton bulk properties (wind speed, density, and
 129 temperature) (Szabo, 2015). The FC measures the flux of positively charged solar wind
 130 particles as a function of their kinetic energy per charge. The instrument, which is shown
 131 on the right in Figure 2, consists primarily of a circular collector plate, divided into three
 132 independent 120° sectors, positioned behind a high-voltage grid (Stevens et al., 2014).
 133 Apart from the segmentation of the collector, the DSCOVR Faraday Cup is very sim-
 134 ilar to the *Wind* Faraday Cup described by Ogilvie et al. (1995).

135 The FC’s observational requirements and performance are shown in Table 2. Per-
 136 formance exceeds requirements for all parameters. However, on-orbit analysis showed
 137 that the FC data underperforms during certain low solar wind conditions. This is de-
 138 scribed in § 4.2.

139 3 Data and Methodology

140 3.1 Data Description

141 The solar wind parameters derived from DSCOVR data that are validated in this
 142 study against ACE and *Wind* data are those most important to current NOAA space
 143 weather operations, namely, the 1-minute resolution IMF magnetic field, speed, proton
 144 density, and temperature. These parameters are archived in the DSCOVR Level 2 1-minute
 145 averaged magnetometer and Faraday Cup instrument-derived netCDF data files; these
 146 files were obtained through the DSCOVR Space Weather Data Portal maintained by NOAA’s

147 National Centers for Environmental Information (NCEI) [[https://www.ngdc.noaa.gov/
148 dscover/](https://www.ngdc.noaa.gov/dscover/)]. A full list of the NOAA-NCEI archived DSCOVR space weather data prod-
149 ucts can be found on the portal website. The products have a code that uniquely iden-
150 tifies each product within the filenames; for this study they are `m1m` (1-minute averaged
151 magnetometer data) and `f1m` (1-minute Faraday Cup data). Users can also plot sum-
152 maries of the DSCOVR data on the portal. It should be noted that real-time, operational,
153 solar wind data can be obtained from NOAA’s Space Weather Prediction Center at [https://
154 www.swpc.noaa.gov/](https://www.swpc.noaa.gov/).

155 The ACE and *Wind* data used in this analysis were obtained from NASA’s Coor-
156 dinated Data Analysis Web (NASA-CDAWeb) [[https://cdaweb.gsfc.nasa.gov/index
157 .html/](https://cdaweb.gsfc.nasa.gov/index.html/)]. In order to compare with ACE and *Wind* data over the lifetime of the DSCOVR
158 mission, the DSCOVR data were averaged to hourly and monthly values. The NASA-
159 CDAWeb ACE data products used were the 1-hour magnetic field (`AC_H2_MFI`) and so-
160 lar wind parameters (`AC_H2_SWE`). For *Wind*, hourly averages were available for the mag-
161 netic field only (`WI_H0_MFI`), while the solar wind particle data were derived from the 92-
162 s resolution data products (`WI_K0_SWE`).

163 There are higher quality products on the NASA-CDAWeb. For example, the *Wind*
164 `WI_H1_SWE` product was produced with human in-the-loop. However, we use the `K0` data
165 because it is more similar in terms of processing steps to the real-time DSCOVR archive.

166 The time period considered for validation spans from 26 July 2016 (the earliest avail-
167 ability of NCEI DSCOVR Level 2 data) to 31 December 2020. For each satellite, we ex-
168 amine magnetic field strength (B_x , B_y , B_z), solar wind velocity (v_x , v_y , v_z), proton den-
169 sity, and proton temperature. Geocentric Solar Ecliptic (GSE) coordinates are used for
170 both vector quantities. In the case of *Wind*, an additional step is required to find tem-
171 perature values, since the parameter stored in the data repository is not temperature but
172 most probable thermal speed (i.e., $v_{th} = \sqrt{2kT/M}$, where k is Boltzmann’s constant
173 and M is the mass of a single proton). Hence the Kelvin temperature is given by $T =$
174 $[Mv_{th}^2/(2k)] \times 10^6$, with the 10^6 factor included because v_{th} is provided in km/s.

175 3.2 Data Availability

176 With the exception of the ACE Magnetic Field Investigation (MFI) data (which
177 at time of download were unavailable on CDAWeb past 24 November 2020) and the ACE
178 Solar Wind Electron Proton Alpha Monitor (SWEPAM) data (which at time of down-
179 load were unavailable on CDAWeb past 30 June 2019), the analyses described in this pa-
180 per were conducted over the full range of dates indicated above. Missing data or fill val-
181 ues are excluded from the study period. Additional manipulations were sometimes nec-
182 essary, such as regridding to a regular timestamp that matches across datasets.

183 Figure 3 displays the DSCOVR B_z (GSE) magnetic field component and solar wind
184 v_x (GSE) velocity component from 26 July 2016 to 31 December 2020, with periods of
185 data missing from the DSCOVR MAG and FC data archives indicated by the red re-
186 gions. These regions represent dates for which $<75\%$ of the available data are usable and
187 comprise 17.2% and 17.7% of all dates considered for the MAG and FC archives, respec-
188 tively.

189 The bulk of the DSCOVR data issues occur in the second half of 2019 and early
190 2020. This was due to problems with DSCOVR’s Miniature Inertial Measurement Unit
191 (MIMU) that caused mission operations to place the spacecraft in an extended safe hold
192 mode. The MIMU issues were not resolved until early March 2020, when DSCOVR re-
193 turned to nominal operations. In addition to the MIMU issues, soon after commission-
194 ing in June 2015 DSCOVR experienced spurious reboots, which reset the spacecraft and
195 placed it into safe hold mode. The resets occurred infrequently and was fixed in mid-

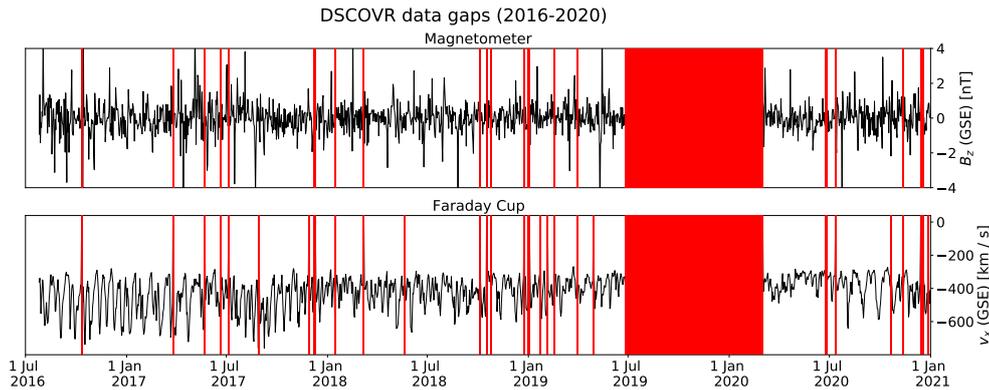


Figure 3. Visualization of DSCOVR MAG B_z component (top) and Faraday Cup solar wind v_x component (bottom) data, showing date ranges for which DSCOVR data were available for this study. The red regions represent dates for which $<75\%$ of the available data are usable.

196 2019. Other technical issues have occurred from time-to-time that have resulted in short
 197 periods of data loss.

198 3.3 Statistical Methodology

199 We compute hourly resolution time series using DSCOVR, ACE and *Wind* data.
 200 (Note, the year 2020 was excluded from the ACE/SWEPAM time series due to the lack
 201 of available data from NASA CDAWeb.) Using hourly-averaged data, we perform lin-
 202 ear regressions on the eight parameters of interest across each pair of satellites (DSCOVR-
 203 ACE, DSCOVR-*Wind*, and *Wind*-ACE). Since there is measurement error in each dataset,
 204 we use orthogonal-distance regression (which accounts for error in both dependent and
 205 independent variables) rather than simple ordinary-least-squares regression, which as-
 206 sumes a predictor variable that is free from error. Unlike ordinary-least-squares regres-
 207 sion, which determines the equation of a linear regression line by minimizing the verti-
 208 cal distance from each data point to the line, orthogonal regression seeks to minimize
 209 the orthogonal distance from each data point to the line (Boggs et al., 1988). The re-
 210 gression analysis returns the line of best fit $y = ax + b$. We also compute the Pearson
 211 correlation coefficient r to assess the strength of the relationship, i.e., the degree to which
 212 changes in one variable correspond to changes in the other.

213 In addition to determining correlations over the nearly five years of available data,
 214 we also estimate r -values for each month of data to visualize the evolution of the cor-
 215 relation strength for a given parameter over a multi-year period. This can provide in-
 216 formation on instrument degradation or other instrument issues in one or both satellites.
 217 It can also be an indicator of the effects of spacecraft separation on correlation strength.
 218 We investigate variations in correlation strength in the context of (1) relative position,
 219 (2) solar wind speed, and (3) proton density. Using the hourly averages generated pre-
 220 viously, we determine monthly averages for spacecraft separation (i.e., the physical dis-
 221 tance between satellites in three-dimensional space) as well as the ambient solar wind
 222 parameters as measured by *Wind*. This produces a month-by-month time series of the
 223 same length as the series of parameter r -values, which can then be compared, using a
 224 second Pearson’s r calculation, as an initial assessment of the degree to which fluctua-
 225 tions in correlation strength between spacecraft measurements correspond to fluctua-
 226 tions in the parameter of interest.

227 We also investigate whether DSCOVR over- or under-estimates parameter values
 228 as compared to *Wind*, and under what physical conditions this tends to occur. For each
 229 of the solar wind plasma parameters, as well as the z -component of the IMF, we com-
 230 pute ratios of hourly averages as measured by DSCOVR and *Wind* (i.e., one DSCOVR/*Wind*
 231 data point for each hour from 26 July 2016 00:00 to 31 December 2020 23:00). These
 232 are sorted into bins based on solar wind speed (i.e., $|v| = \sqrt{v_x^2 + v_y^2 + v_z^2}$) and proton
 233 density, both as measured by *Wind*, and means and standard deviations are determined
 234 for each bin.

235 4 Validation Results

236 4.1 Comprehensive Regressions

237 Figure 4 shows scatterplots of hourly averaged magnetic field component data across
 238 different pairs of satellites over the full date range for which DSCOVR data were avail-
 239 able (2016-2020). Orthogonal-distance regression was used to estimate shown r values
 240 and lines of best fit. The r values are consistently high (above 0.8) in all cases, indicat-
 241 ing that in general the DSCOVR magnetometer measurements, at least hourly averaged,
 242 are in good agreement with ACE and *Wind* observations. In addition, the slope of the
 243 line-of-best fit is ~ 1.0 for all comparisons, showing that there is no significant offset be-
 244 tween DSCOVR, ACE and *Wind* magnetic field observations.

245 Corresponding scatterplots and regressions for solar wind velocity components are
 246 shown in Figure 5. Agreement among the three satellites is very strong (≥ 0.96) for the
 247 v_x -component. As with the magnetic field components, the slope of each v_x trendline
 248 is nearly 1.0 and the y -intercepts are nearly zero. However, there are periods of poor agree-
 249 ment in the v_x -component comparisons as shown between -400 and -200 km/s in the
 250 top panels; these will be examined in § 4.2. The r -values for the v_y and v_z component
 251 comparisons are lower across all satellite pairs, although for *Wind*-ACE the r -value is
 252 higher than either for DSCOVR-ACE or DSCOVR-*Wind*. The slopes of the v_y and v_z
 253 trendlines all deviate significantly from unity, but show some consistency between v_y and
 254 v_z .

255 In Figure 6, we display regression results for proton density and temperature. *Wind*
 256 versus ACE exhibit the strongest correlations ($r = 0.95$ for density and 0.93 for tem-
 257 perature). The trendline slopes for all three density comparisons are close to unity; this
 258 is also the case for the *Wind*-ACE temperature comparison, but the slopes for the tem-
 259 perature comparisons involving DSCOVR are both above 3.

260 4.2 Monthwise Correlations

261 Figure 7 displays time series of DSCOVR-*Wind* r -values for B_z , v_x , proton den-
 262 sity, and temperature, calculated for each month between July 2016 and December 2020.
 263 Despite some fluctuation from month to month, the monthwise correlations are typically
 264 strong for B_z , v_x , and density (following generally accepted convention, we define a strong
 265 correlation as $|r| > 0.7$, a moderate correlation as $0.5 < |r| < 0.7$, and a weak cor-
 266 relation as $|r| < 0.5$.) The vertical lines mark the occurrence of several software and
 267 ground processing patches designed to improve the performance of the Faraday Cup (J.
 268 Johnson, private communication).

269 For B_z , the correlation strength reaches its minimum ($r = 0.65$) in January 2019,
 270 which is the only month in which it falls below 0.7. For v_x , the only month in which r
 271 falls below 0.7 is August 2017, although additional local minima appear in April 2018
 272 ($r = 0.78$) and December 2020 ($r = 0.79$). For density, 89% of all monthwise r -values
 273 are above 0.7, with the lowest ($r = 0.56$) appearing in November 2020. The fluctuations
 274 in correlation strength are more pronounced for temperature, with r -values ranging from

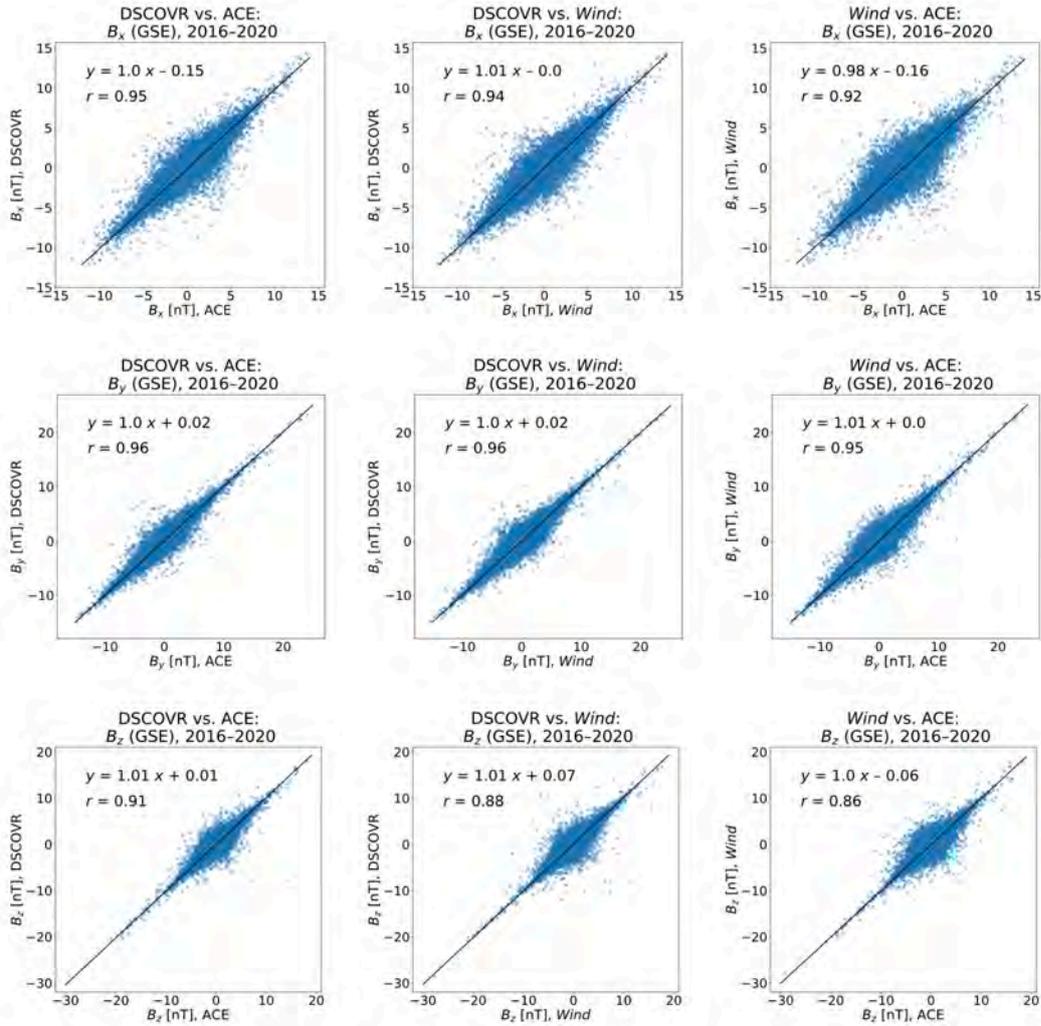


Figure 4. Scatterplots and best-fit lines for hourly average B_x (top), B_y (middle), and B_z (bottom) values across each satellite pair. The DSCOVR-ACE scatterplots contain 31,416 data points from 2016 to 2020; the DSCOVR-*Wind* scatterplots contain 32,129 data points from 2016 to 2020; and the *Wind*-ACE scatterplots contain 37,747 data points from 2016 to 2020. Trendline equations and correlation coefficients (Pearson's r) are indicated on each panel.

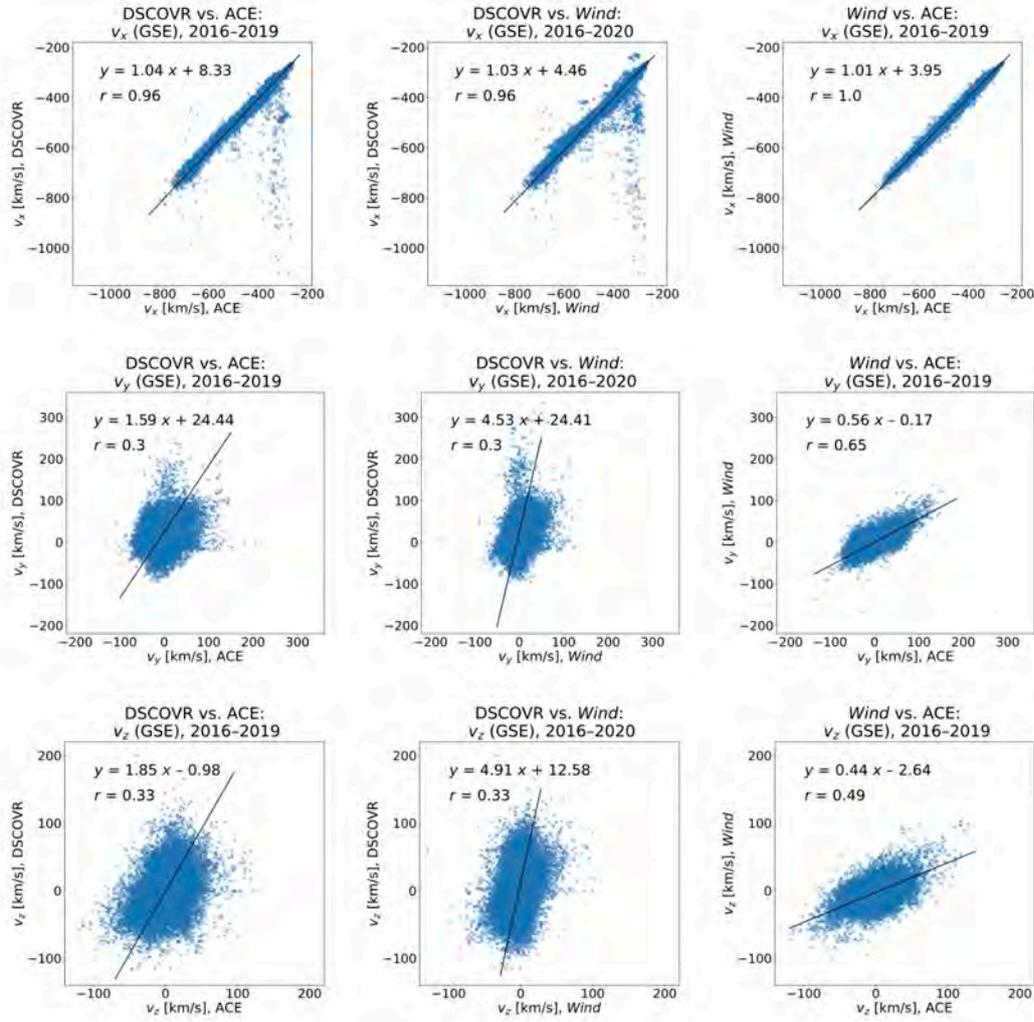


Figure 5. Scatterplots and best-fit lines for hourly average v_x (top), v_y (middle), and v_z (bottom) values across each satellite pair. The DSCOVR-ACE scatterplots contain 24,529 data points from 2016 to 2019; the DSCOVR-*Wind* scatterplots contain 31,430 data points from 2016 to 2020; and the *Wind*-ACE scatterplots contain 25,189 data points from 2016 to 2019. Trendline equations and correlation coefficients (Pearson’s r) are indicated on each panel.

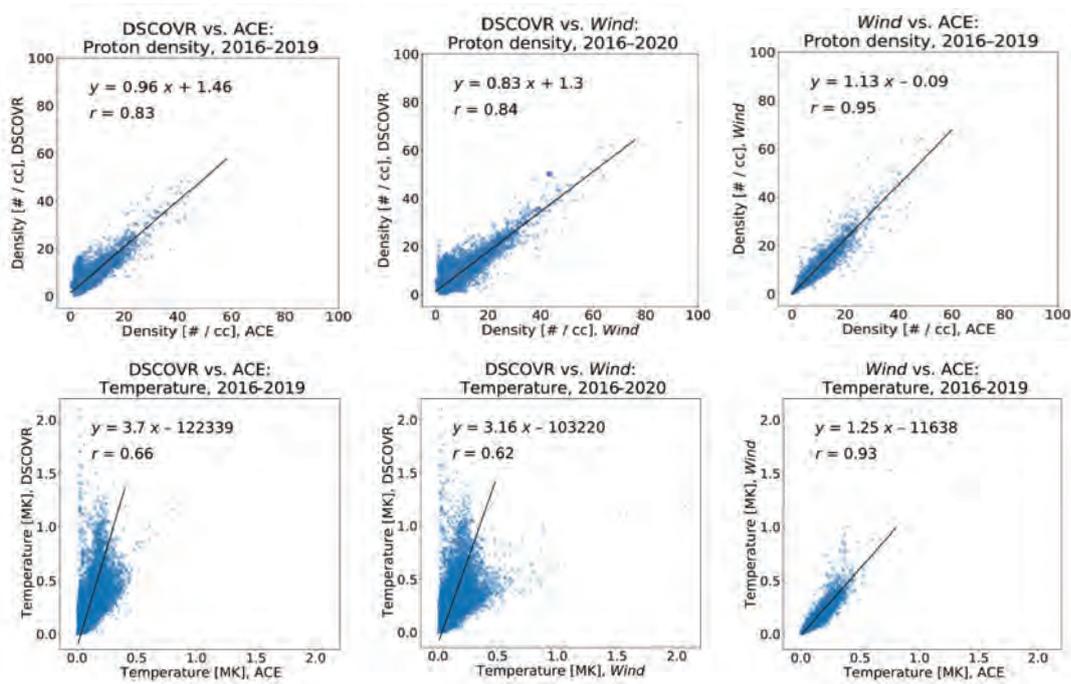


Figure 6. Upper panels: Scatterplots and best-fit lines for hourly average proton density values across each satellite pair. Lower panels: Same as (a) but for hourly average temperature values. Lines of best fit and correlation coefficients (Pearson’s r) are also shown. For upper panels - the DSCOVR-ACE, DSCOVR-*Wind* and *Wind*-ACE plots contain 13571, 31430, and 13867 data points, respectively. For lower panels - The DSCOVR-ACE, DSCOVR-*Wind* and *Wind*-ACE plots contain 24080, 31430 and 24,738 data points, respectively. A small number of extreme outliers are excluded from the plots shown.

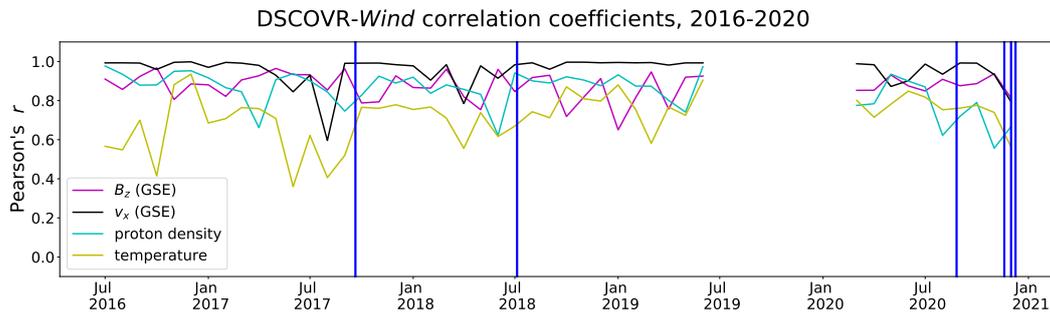


Figure 7. Time series of DSCOVR-*Wind* r values for B_z , v_x , proton density, and temperature, overlaid with blue lines indicating dates of Faraday Cup patches. Between July 2019 and February 2020, usable DSCOVR data were unavailable, leading to the gap visible here. A total of 46 data points for each parameter are represented in this plot.

275 0.36 to 0.94. Although correlations for all variables shown in the figure tend to decrease
 276 at the end of the period studied, as noted above, there were other periods where corre-
 277 lation values dipped.

278 Biesecker and Johnson (2018) gave a summary of the status of the DSCOVR data
 279 and stated that the FC data did not meet requirements during periods of low solar wind
 280 density. The 2017 and 2018 patches were expected to have some success in correcting
 281 this problem. However, faulty grounding in the FC required changes to its operating mode,
 282 and those changes have caused gradual degradation over the years in the quality of the
 283 FC data at low solar wind speeds. This is confounded by less accurate background sub-
 284 tractions when the solar wind signal is low. Since these issues mainly occur during low
 285 solar wind speed periods, this probably explains the lack of conclusive evidence in fig-
 286 ure 7 of overall science data degradation over the mission. Analyzing data through 2021
 287 and beyond would help determine if the decreased correlations observed at the end of
 288 the study period are indicative of more long-term degradation.

289 Quantifying the casual relationship between periods of decreased correlation in fig-
 290 ure 7 and all FC issues is beyond the scope of this study. However, the major cause of
 291 occasional dips in v_x correlation, observed on August 2017, April 2018, and December
 292 2020, is well understood. For each month, significant discrepancies between DSCOVR
 293 and *Wind* v_x spanned only a few days (August 26–29, 2017; April 15–25, 2018; and De-
 294 cember 1–8, 2020), with good agreement throughout the rest of the month. Figure 8 shows
 295 DSCOVR and *Wind* v_x values, overlaid with concurrent density measurements, for a few
 296 days in August 2017 and December 2020. When the solar wind is slow, warm or sparse
 297 the FC can fail to resolve the peak amplitude of the solar wind signal, which leads to larger
 298 errors in v_x determination (M. Stevens, private communication). Difficulties calculat-
 299 ing accurate background subtractions during low solar wind conditions further compound
 300 the errors. The top panels of Figure 5 also shows this effect with large spreads in DSCOVR
 301 v_x during low ACE and *Wind* $|v_x|$ values.

302 We did attempt to establish a correlation between low densities and dips in v_x cor-
 303 relation for each of the three time periods. From December 1–8, 2020, the difference be-
 304 tween the v_x measurements tends to be higher during periods of low density; we find a
 305 moderate-to-strong negative correlation ($r = -0.68$) between ambient density and v_x dif-
 306 ference (i.e., $|v_{x,\text{DSCOVR}} - v_{x,\text{Wind}}|$). This effect is not clearly observed for the other two
 307 periods of poor agreement; for August 26–29, 2017 and April 15–25, 2018, we find $r =$
 308 -0.20 and $r = -0.09$, respectively.

309 In Table 3, we present the results of an additional correlation analysis, which probes
 310 for covariance between monthwise DSCOVR-*Wind* r -values and corresponding monthly
 311 averages of spacecraft separation, solar wind speed (as measured by *Wind*), and proton
 312 density (as measured by *Wind*). By “speed” here we mean the magnitude of the veloc-
 313 ity, i.e., $v = \sqrt{v_x^2 + v_y^2 + v_z^2}$. In most cases, these relationships are very weak ($|r| < 0.3$)
 314 or nonexistent ($|r| \approx 0$), although a moderate negative correlation ($r = -0.66$) exists
 315 between correlation strength for B_z and spacecraft separation. This indicates that agree-
 316 ment between the DSCOVR and *Wind* B_z measurements tends to decrease when the
 317 satellites are farther apart. We also find a moderate positive correlation ($r = 0.51$) be-
 318 tween the DSCOVR-*Wind* density correlation strength, which means the DSCOVR-*Wind*
 319 density comparisons tend to agree more when *Wind* density increases.

320 4.3 Differences Based on Ratios

321 In Figure 9 (top), we display plots of DSCOVR-*Wind* proton density ratios (i.e.,
 322 $N_{\text{DSCOVR}}/N_{\text{Wind}}$) that have been classified into three bins based on solar wind speed
 323 (i.e., $v = \sqrt{v_x^2 + v_y^2 + v_z^2}$) as measured by *Wind*. Adopting the thresholds used by King
 324 and Papitashvili (2005), we distinguish between slow (< 350 km/s), moderate (350 –

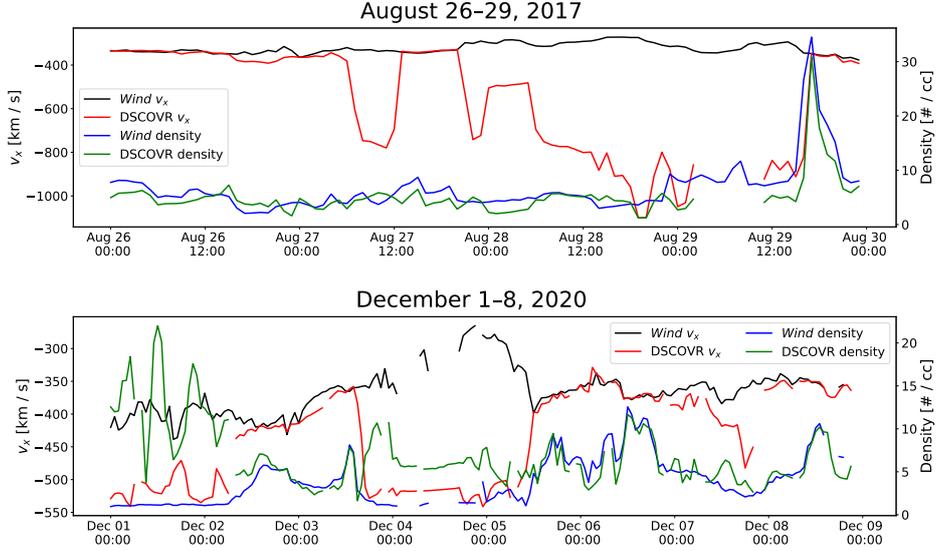


Figure 8. *Top:* DSCOVR (red) and *Wind* (black) v_x values during a period in August 2017 that showed strong disagreement for v_x . *Wind* and DSCOVR density values are shown in blue and green, respectively. There is no significant correlation between *Wind* density and the absolute difference between DSCOVR and *Wind* v_x values during this four-day period. *Bottom:* DSCOVR (red) and *Wind* (black) v_x values during a period of particularly poor alignment in December 2020. *Wind* and DSCOVR density values are shown in blue and green, respectively. There is a moderate negative correlation ($r = -0.68$) between *Wind* density and the absolute difference between DSCOVR and *Wind* v_x values during this eight-day period.

Table 3. Comparison of DSCOVR-*Wind* correlation strength for the four parameters in Figure 7 (one data point for each month from July 2016 to December 2020) against monthly averages of spacecraft separation, solar wind speed (as measured by *Wind*), and proton density (as measured by *Wind*). The values in the table are the correlation coefficients (Pearson’s r) for each time series combination.

	r (B_z)	r (v_x)	r (density)	r (temperature)
Average separation	-0.66	-0.09	0.10	0.20
Average speed (<i>Wind</i>)	0.14	0.06	0.00	-0.32
Average density (<i>Wind</i>)	-0.08	0.09	0.51	0.29

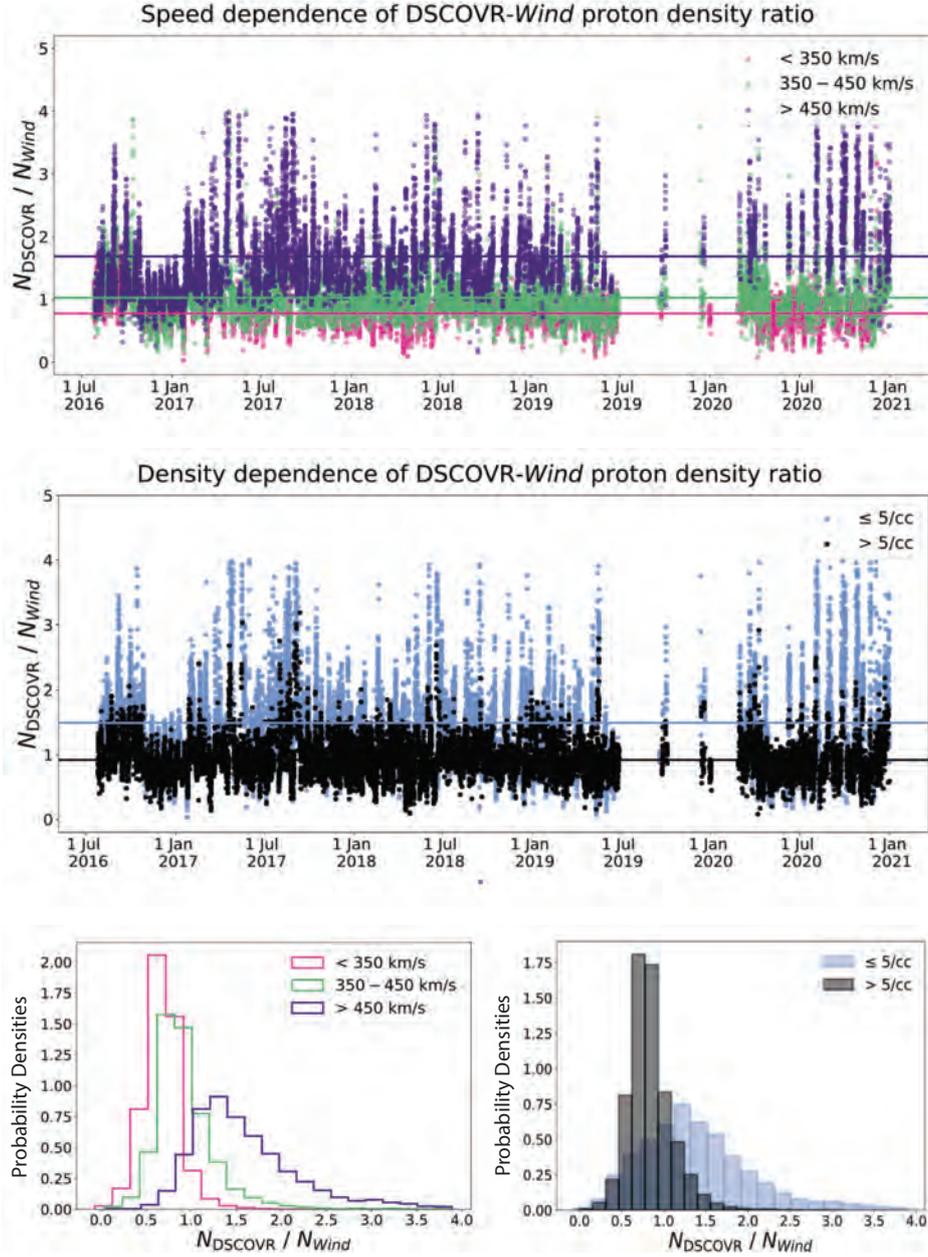


Figure 9. *Top:* Time series of DSCOVR-*Wind* proton density ratios categorized by solar wind speed as measured by *Wind*. Ratios are computed from hourly averages spanning the full range of this analysis (2016–2020). Mean values are indicated by horizontal lines. *Bottom:* Normalized probability density functions (PDFs) for each category ($n_{\text{bins}} = 20$ in each case). The “slow” PDF (<350 km/s) represents 8,998 data points; the “moderate” PDF (350–450 km/s) represents 13,012 data points; and the “fast” PDF (>450 km/s) represents 9,116 data points. In each of the plots above, we limit our consideration to ratios of less than 4.0.

325 450 km/s), and fast (> 450 km/s) solar wind. For $v < 350$ km/s, we find the mean
 326 value of $N_{\text{DSCOVR}}/N_{\text{Wind}}$ to be 0.78 ± 0.27 . At moderate speeds, it rises to 1.07 ± 0.73 ,
 327 and for $v > 450$ km/s, it becomes 1.8 ± 1.06 . If we restrict our focus to ratios of less
 328 than 4.0, we find means of 0.78 ± 0.21 for $v < 350$ km/s, 1.03 ± 0.35 for v between 350
 329 and 450 km/s, and 1.69 ± 0.59 for $v > 450$ km/s. This narrower scope, selected to ex-
 330 clude extreme outliers, comprises 99.9% of the “slow” category, 99.5% of the “moder-
 331 ate” category, 97.5% of the “fast” category, and 99.0% of DSCOVR-*Wind* density rati-
 332 os as a whole. Our results suggest that DSCOVR tends to underestimate the proton
 333 density when the solar wind speed is low and overestimate it when the solar wind speed
 334 is high, while DSCOVR and *Wind* provide comparable density measurements when the
 335 solar wind speed is moderate. Normalized Probability density functions for each speed
 336 bin are displayed in Figure 9 (bottom).

337 A parallel (though weaker) trend is observed among DSCOVR-*Wind* temperature
 338 ratios (plot not shown here). For ratios less than 4.0, we find for low solar wind speeds
 339 a mean $T_{\text{DSCOVR}}/T_{\text{Wind}}$ value of 0.86 ± 0.44 ; for moderate speeds 1.51 ± 0.87 ; and for
 340 high speeds 2.02 ± 0.86 . In this case, this accounts for 92.9% of the “slow” bin, 90.9%
 341 of the “moderate” bin, 87.6% of the “fast” bin, and 90.5% of $T_{\text{DSCOVR}}/T_{\text{Wind}}$ values
 342 overall. Results obtained using the full set can be found in Table 4.

343 When we sort the DSCOVR-*Wind* v_x ratios by *Wind* speed, we find means close
 344 to unity with minimal spread for each bin. However, no dependencies were found for v_y
 345 and v_z ratios. Likewise, we found no clear speed dependence among B_z ratios. We note
 346 that the spreads in $B_z, \text{DSCOVR}/B_z, \text{Wind}$ are fairly large, and so the averages we report
 347 should not be taken as conclusive evidence of a tendency for DSCOVR to underestimate
 348 B_z measurements. See Table 4 for details.

349 We repeat this analysis for proton density, sorting DSCOVR-*Wind* ratios into low-
 350 and high-density bins ($\leq 5/\text{cc}$ and $> 5/\text{cc}$, respectively) based on the *Wind* measure-
 351 ments. At low densities, $N_{\text{DSCOVR}}/N_{\text{Wind}} = 1.62 \pm 1.19$ on average, compared with 0.92
 352 ± 0.27 at high densities (see Figure 9). If we implement the 4.0 ratio threshold, the mean
 353 $N_{\text{DSCOVR}}/N_{\text{Wind}}$ value falls to 1.49 ± 0.66 for low density; the high-density value is un-
 354 changed. Density ratios of less than 4.0 comprise 97.6% of the “low-density” bin and 100%
 355 of the “high-density” bin. Shifting our focus to temperature and limiting $T_{\text{DSCOVR}}/T_{\text{Wind}}$
 356 to less than 4.0, we find means of 1.97 ± 0.98 for $N_{\text{Wind}} \leq 5/\text{cc}$ (representing 83.2% of
 357 the “low-density” bin), compared to 1.17 ± 0.65 for $N_{\text{Wind}} > 5/\text{cc}$ (representing 95.4%
 358 of the “high-density” bin). This suggests that DSCOVR tends to overestimate both den-
 359 sity and temperature when the ambient proton density is low, while its measurements
 360 of these parameters are more likely to agree with *Wind* at higher densities.

361 We observe, as we would again expect, that DSCOVR and *Wind* v_x values are com-
 362 parable across density bins. Moreover, there is no discernible density dependence in ei-
 363 ther v_y , v_z , or B_z . As before, the large spreads in $B_z, \text{DSCOVR}/B_z, \text{Wind}$ values limit the
 364 utility of this particular finding. Results are summarized in Table 5. Below we discuss
 365 these results and provide concluding statements.

366 5 Discussions and Conclusions

367 In this study we validated DSCOVR MAG and FC data against equivalent *Wind*
 368 and ACE science data. DSCOVR magnetic field observations show good statistical agree-
 369 ment with *Wind* and ACE measurements over the period studied. IMF- B_z showed the
 370 lowest correlation for all three satellite comparisons (see bottom panel of Figure 4). Signs
 371 of significant degradation over time using monthly values were inconclusive between DSCOVR-
 372 *Wind* B_z , although this monthwise analysis was not repeated for *Wind*-ACE.

373 The results in Table 3 indicating that agreement between DSCOVR and *Wind* B_z
 374 measurements improves when the satellites are closer is interesting, as it suggests that

Table 4. Means and standard deviations of DSCOVR-*Wind* ratios for proton density, temperature, solar wind v_x (GSE), and B_z (GSE), classified into three bins based on solar wind speed (as measured by *Wind*). Ratios are computed from hourly averages spanning the full range of this analysis (2016–2020). Italicized values are the results when we limit our focus to ratios of magnitude less than 4.0.

	$N_{\text{DSCOVR}}/$ N_{Wind}	$T_{\text{DSCOVR}}/$ T_{Wind}	$v_{x,\text{DSCOVR}}/$ $v_{x,\text{Wind}}$	$B_{z,\text{DSCOVR}}/$ $B_{z,\text{Wind}}$
< 350 km/s	0.78 ± 0.27 <i>0.78 ± 0.21</i>	1.69 ± 4.54 <i>0.86 ± 0.44</i>	1.02 ± 0.16 <i>1.02 ± 0.16</i>	0.91 ± 19.61 <i>0.79 ± 0.99</i>
350 – 450 km/s	1.07 ± 0.73 <i>1.03 ± 0.35</i>	1.99 ± 2.1 <i>1.51 ± 0.87</i>	1.02 ± 0.04 <i>1.02 ± 0.04</i>	0.63 ± 40.15 <i>0.79 ± 1.02</i>
> 450 km/s	1.8 ± 1.06 <i>1.69 ± 0.59</i>	2.48 ± 1.66 <i>2.02 ± 0.86</i>	1.01 ± 0.03 <i>1.01 ± 0.03</i>	0.7 ± 80.7 <i>0.74 ± 1.08</i>

Table 5. Means and standard deviations of DSCOVR-*Wind* ratios for proton density, temperature, solar wind v_x (GSE), and B_z (GSE), classified into two bins based on proton density (as measured by *Wind*). Ratios are computed from hourly averages spanning the full range of this analysis (2016–2020). Italicized values are the results when we limit our focus to ratios of magnitude less than 4.0.

	$N_{\text{DSCOVR}}/$ N_{Wind}	$T_{\text{DSCOVR}}/$ T_{Wind}	$v_{x,\text{DSCOVR}}/$ $v_{x,\text{Wind}}$	$B_{z,\text{DSCOVR}}/$ $B_{z,\text{Wind}}$
$\leq 5/\text{cc}$	1.62 ± 1.19 <i>1.49 ± 0.66</i>	2.79 ± 3.36 <i>1.97 ± 0.98</i>	1.02 ± 0.11 <i>1.02 ± 0.1</i>	1.03 ± 60.29 <i>0.73 ± 1.09</i>
$> 5/\text{cc}$	0.92 ± 0.27 <i>0.92 ± 0.27</i>	1.55 ± 2.5 <i>1.17 ± 0.65</i>	1.01 ± 0.08 <i>1.01 ± 0.08</i>	0.53 ± 45.68 <i>0.8 ± 0.98</i>

375 IMF- B_z observations are somewhat sensitive to spacecraft orbit parameters at L1. This
 376 was also found by King and Papitashvili (2005), who presented a statistical comparison
 377 of ACE and Wind solar wind data from NASA-CDAWeb. King and Papitashvili included
 378 the effect of spacecraft separation on their cross-satellite comparisons by implementing
 379 an impact parameter (IP), defined therein *as the distance by which a downstream space-*
 380 *craft misses seeing a plasma element previously seen by an upstream spacecraft.* IP is a
 381 function of spacecraft position vector (x_i, y_i, z_i) , where i is the spacecraft 1 or 2) and can
 382 be calculated from $\sqrt{[(y_1 - y_2) + (x_1 - x_2)/13]^2 + (z_1 - z_2)^2}$, assuming a radial solar wind
 383 speed of 390 km/s. They also utilized weighted regressions, in which the slope and in-
 384 tercept of the linear trend line are determined by minimizing a chi-square function. We
 385 incorporated King and Papitashvili's IP threshold and weighting protocol in our anal-
 386 ysis (results are not presented here) but no appreciable improvement in either trend line
 387 equations or Pearson's r values was found.

388 Previous studies show that IMF parameters are better correlated over spatial scales
 389 during solar active periods compared to quiet solar times (Collier et al., 1998; King &
 390 Papitashvili, 2005). Since the data period for analysis was taking from the declining phase
 391 of Solar cycle 24 as the cycle moved towards minimum, this may explain the negative
 392 correction between DSCOVR and *Wind* B_z measurements with satellite separation. Fur-
 393 ther analysis based on spatial scales is beyond the scope of this study. However, given
 394 the importance of solar wind parameters (particularly the IMF) in space weather pre-
 395 diction and forecasting, we recommend more studies be undertaken to better inform of
 396 the dependency of L1 observations on spacecraft separation and spatial scales.

397 The results for the DSCOVR FC solar wind particle comparisons to *Wind* and ACE
 398 are more mixed. The v_y and v_z components of the solar wind can influence space weather,
 399 for example, the orientation of the geomagnetic tail and consequently the regions of space,
 400 and tail processes, that surround satellites in that region. However, the solar wind bulk
 401 speed is dominated by the v_x -component, as seen in Figure 5. In other words, the so-
 402 lar wind is mainly radial. Hence, the resulting lower correlation for v_y and v_z is less con-
 403 sequential on space weather forecasting capabilities.

404 For individual days, there are times where DSCOVR v_x measurements deviated
 405 significantly from *Wind* and ACE (see Figure 8). This tends to happen when *Wind* and
 406 ACE v_x measurements are low, as shown in the top panels of Figure 5. The root cause
 407 is electrical grounding issues with the FC, which results in difficulty resolving solar wind
 408 peak amplitudes and inaccuracies in background subtractions during low solar wind con-
 409 ditions.

410 Our analysis of density ratios also indicates that statistically there is a dependency
 411 of solar wind speed (slow, medium or fast) on whether DSCOVR density estimates are
 412 below *Wind*, about equal to *Wind*, or higher than *Wind* density measurements. The medium
 413 solar wind speed (350-450 km/s) seems to be a sweet spot where DSCOVR and *Wind*
 414 density estimates are about equal, while DSCOVR density observations tend to overes-
 415 timate compared to *Wind* when solar wind densities are low (below ~ 5 cc).

416 Overall, the DSCOVR density calculations showed good agreement with *Wind* and
 417 ACE and also better correlations than for temperature. The temperature being a second-
 418 order moment statistically amplifies errors associated with lower-order estimates such
 419 as density. Therefore it is not surprising that correlations were lowest for temperature.
 420 Faraday Cups are tuned to velocity distributions, and with v_x dominating the solar wind
 421 speed, we also expected that v_x would show the best correlation. However, the moments
 422 estimates assumes the proton velocity distribution function (VDF) is isotropic because
 423 the algorithm uses a 1-D VDF. There are often anisotropic conditions which make this
 424 assumption less valid.

425 The DSCOVR data used in this study is the NCEI archive of real-time NOAA DSCOVR
 426 space weather operational data. This dataset has not been reprocessed, like ACE and
 427 *Wind* data, to improve data quality and science quality. For operations, a simple robust
 428 moments method (Stevens et al., 2014) was employed for DSCOVR solar wind param-
 429 eter estimations. However, reprocessed 1-minute resolution FC dataset using a nonlin-
 430 ear fitting method and covering time periods in 2016-2019 is available on the NASA-CDAWeb.
 431 The DSCOVR space weather data, particularly with ACE aging well beyond its oper-
 432 ational mission lifetime, provides an important contribution to both NOAA’s space weather
 433 operations and space weather research in the science community.

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 438 and Atmospheric Administration, National Aeronautics and Space Administration, or
 439 other U.S. Government position, policy, or decision.

440 Open Research

441 Data Availability Statement

442 Space weather data from NOAA’s DSCOVR spacecraft, archived with identifiers
 443 at the NOAA-NCEI (NOAA, 2016), were used in the creation of this manuscript. Also
 444 used in this creation of this manuscript were magnetic field and particle data from the
 445 *Wind* spacecraft, which are archived and have identifiers as described by Koval et al. (2021)
 446 and Lazarus et al. (2021), respectively. Access to the ACE magnetic field and particle
 447 data used in the creation of this manuscript are described at [https://hpde.io/NASA/
 448 NumericalData/ACE/MAG/L2/PT1H](https://hpde.io/NASA/NumericalData/ACE/MAG/L2/PT1H) and [https://hpde.io/NASA/NumericalData/ACE/
 449 SWEPAM/L2/PT1H](https://hpde.io/NASA/NumericalData/ACE/SWEPAM/L2/PT1H), respectively. Data analysis were accomplished using the Python pro-
 450 gramming language [<https://www.python.org>] and figures were also created using Python.
 451 All Python releases are Open Source (see <https://opensource.org/> for the Open Source
 452 Definition).

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