

1 **Dynamical coupling between the low-latitude lower**
2 **thermosphere and ionosphere via the non-migrating**
3 **diurnal tide as revealed by concurrent satellite**
4 **observations and numerical modeling**

5 **Federico Gasperini¹, Irfan Azeem¹, Geoff Crowley¹, Michael Perdue²,**
6 **Matthew Depew², Thomas Immel³, Erik Stromberg¹, Chad Fish¹, Crystal**
7 **Frazier¹, Adam Reynolds¹, Anthony Swenson¹, Ted Tash¹, Russell Gleason¹,**
8 **Ryan Blay¹, Jordan Maxwell¹, Keith Underwood¹, Christian Frazier¹, Scott**
9 **Jensen¹**

10 ¹Atmospheric and Space Technology Research Associates, 282 Century Pl #1000, Louisville, CO, USA

11 ²William B. Hanson Center for Space Sciences, Physics Department, University of Texas at Dallas,
12 Richardson, TX, USA

13 ³Space Sciences Laboratory, University of California, Berkeley, CA, USA

14 **Key Points:**

- 15 • A large-amplitude zonal wavenumber 4 (WN4) structure in the low-latitude ionosphere-
- 16 thermosphere (IT) is seen in multiple satellite observations
- 17 • Numerical simulations demonstrate the non-migrating diurnal tide DE3 to be re-
- 18 sponsible for this strong IT WN4 coupling
- 19 • SORTIE and ICON IVM observations provide useful insights into the influence
- 20 of tropical tropospheric deep convection on IT variability

Abstract

The diurnal-eastward propagating tide with zonal wavenumber 3 (DE3) has gained significant attention due to its ability to preferentially propagate to the ionosphere and thermosphere (IT) from the tropical troposphere, thus effectively coupling these atmospheric regions. In this work, we demonstrate the existence of a pronounced zonal wavenumber 4 (WN4) structure in the low-latitude ionosphere during May 27 - June 5, 2020 using concurrent in-situ total ion number density measurements from the Scintillation Observations and Response of The Ionosphere to Electrodynamics (SORTIE) and the Ionospheric Connection Explorer (ICON) satellites. Temperature observations from the Thermosphere Ionosphere Mesosphere Energetics Dynamics Sounding of the Atmosphere using Broadband Emission Radiometry (TIMED/SABER) instrument near 105 km and output from the Specified Dynamics Whole Atmosphere Community Climate Model with thermosphere and ionosphere eXtension (SD/WACCM-X) demonstrate that this global-scale ionospheric WN4 structure is due to DE3 propagating through the lower thermosphere.

Plain Language Summary

The extent to which terrestrial weather (below ~ 30 km) can influence the ionosphere and thermosphere (IT) is a fascinating discovery of the last two decades or so. The IT is known to vary significantly from day to day, and this daytoday weather is largely driven by processes originating in the lower atmosphere, especially during periods of quiet solar activity. Accurate forecasting of the IT variability thus depends on the ability to forecast the component that originates in the lower atmosphere. Ionospheric variability translates to uncertainty in navigation and communications systems, while thermospheric variability translates to uncertainty in orbital and reentry predictions. In this work, we present evidence of a large amplitude structure with four longitudinally-distributed peaks in the lower thermosphere and ionosphere associated with the well-known diurnal-eastward propagating tide with zonal wavenumber 3 (DE3) originating in the tropical troposphere. This is accomplished by using concurrent SORTIE, ICON, and TIMED satellite observations during May 27 - June 5, 2020 and a whole atmosphere model. SORTIE and ICON prove to be excellent observational platforms for studying the influence of terrestrial weather on IT variability.

1 Introduction

The lower atmosphere drives variability in the ionosphere-thermosphere (IT) system through the vertical propagation of waves, including tides, planetary waves, and Kelvin waves. These waves are periodic in time and longitude due to the rotation of the Earth, and interact with the lower IT region to modulate electric fields that map to higher altitudes and redistribute plasma in the 200-1000 km region. Due to the geometry of magnetic field lines near the equator, much of this variability occurs at low latitudes and is driven by waves that are excited by deep convective processes in the tropical troposphere and that propagate upwards into the IT system. Tropical troposphere variability is essentially mapped to the IT system through a variety of neutral-plasma coupling processes, and over a range of spatial and temporal scales. One important class of global-scale atmospheric waves characterized by periods that are harmonics of a solar day are thermal tides. These atmospheric tides can be generated in different altitudinal regions due to tropospheric latent heating, absorption of tropospheric infrared radiation by water vapor, absorption of solar ultraviolet radiation by stratospheric ozone, thermosphere molecular oxygen absorption of extreme ultraviolet radiation, and wave-wave interactions (e.g., Chapman and Lindzen, 1970; Hagan and Forbes, 2002; Hagan et al., 2007; Liu, 2016). The main pathways responsible for the modulation of the ionosphere by tides are direct propagation of atmospheric tides into the ionosphere and thermosphere (e.g., Hagan et al., 2007; Oberheide et al., 2009) and indirect coupling via the ionosphere E-region dynamo (e.g., Jin et al., 2008; Ren et al., 2010; Wan et al., 2008, 2010, 2012).

Several studies investigated the importance of IT variability driven by lower-atmosphere wave sources. Initial work (e.g., Hagan et al., 2007; Jin et al., 2008; Fang et al., 2009; Wan et al., 2010) focused on verifying Sagawa et al.s (2005) and Immel et al.s (2006) suggestion that the wavenumber-4 (hereafter, WN4) structure seen in satellite-borne Sun-synchronous F-region ionospheric data was due to the modulation of dynamo electric fields by non-migrating tides propagating from below, and in particular due to the diurnal eastward-propagating tide with zonal wavenumber 3 ($s = -3$, i.e., DE3). DE3 originates in the tropical troposphere by latent heat release in deep convective clouds (e.g., Hagan, 1996; Hagan and Forbes, 2002; Lieberman et al., 2007), and its first equatorially-symmetric Hough mode is the largest component in the lower thermosphere (Oberheide et al., 2009; Truskowski et al., 2014), capable of propagating well into the middle thermosphere (Oberheide et al., 2011; Gasperini et al., 2015, 2017a, 2018) due to its longer vertical wavelength. When

85 viewed at quasi-fixed local time (LT) from slowly precessing satellites, this feature man-
86 ifests as a 4peak longitude structure. Subsequent studies examined the LT and seasonal
87 variations of related WN4 and WN3 structures (e.g., Lin et al., 2007; Liu and Watan-
88 abe, 2008; Ren et al., 2009), and investigated the underlying mechanisms in further depth
89 (e.g., Oberheide et al., 2011; He et al., 2011; Mukhtarov and Pancheva, 2011; Maute et
90 al., 2012; Chang et al., 2013; Lei et al., 2014; Cho et al., 2015; Onohara et al., 2018).

91 In this work, we present observational evidence of prominent WN4 coupling between
92 the lower thermosphere near 105 km and the ionospheric F-region at heights near 420
93 km and 590 km during May 27 - June 5, 2020. Our results take advantage of total ion
94 number density (hereafter, ion density) measurements from the Ion Velocity Meter (IVM)
95 instruments onboard the Scintillation Observations and Response of The Ionosphere to
96 Electrodynamics (SORTIE) and Ionospheric Connection Explorer (ICON) spacecrafts
97 and kinetic temperature observations from the Sounding of the Atmosphere using Broad-
98 band Emission Radiometry (SABER) instrument onboard the Thermosphere Ionosphere
99 Mesosphere Energetics Dynamics (TIMED) satellite. We further connect this WN4 lon-
100 gitude variability to DE3 and investigate its latitude-height amplitude and phase struc-
101 ture using a Whole Atmosphere Community Climate Model with thermosphere and iono-
102 sphere eXtension (SD/WACCM-X) simulation with realistic wave forcing imposed by
103 nudging Modern-Era Retrospective analysis for Research and Applications version-2 (MERRA-
104 2) reanalysis data in the troposphere and stratosphere. After a brief description of the
105 data, models, and methods (Section 2), we present in detail the observational and mod-
106 eling results regarding the WN4 variability and its connection to the DE3 tide (Section
107 3), and conclude with a brief summary (Section 4).

108 **2 Data, Models, and Methods**

109 **2.1 SORTIE/IVM**

110 SORTIE is a NASA Heliophysics System Observatory (HSO) 6U CubeSat mission
111 to investigate the underlying causes behind the appearance of plasma structures in the
112 F-region ionosphere, leading to equatorial plasma bubbles, and the evolution of these struc-
113 tures after their formation (Crowley et al., 2016). SORTIE was launched onboard Dragon
114 CRS-19 to the International Space Station (ISS), from where it was deployed on Febru-
115 ary 19, 2020 in a nearly circular orbit near 420 km with $\sim 51.6^\circ$ inclination. It carries

116 two science instruments, a miniature IVM (MIVM), and a micro-Planar Langmuir Probe
117 (Crowley et al., 2016). This study employs SORTIE’s IVM Level 2 ion density data prod-
118 uct.

119 **2.2 ICON/IVM**

120 ICON is a NASA HSO mission designed to study the fundamental connections be-
121 tween the dynamics of the neutral atmosphere at altitudes between 100 km and 300 km
122 and the charged particle motions at low and middle latitudes from a nearly circular or-
123 bit at an altitude near 590 km with $\sim 27^\circ$ inclination (Immel et al., 2018). The ICON
124 payload includes an IVM instrument that provides in situ measurements of the ion drift
125 motions, density, temperature and major ion composition (Heelis et al., 2017). The IVM
126 is comprised of two instruments, the Retarding Potential Analyzer (RPA) and the Drift
127 Meter (DM). This study employs ICON’s IVM-A Data Product 2.7 v4 determined from
128 RPA measurements. Preliminary validation work by the ICON IVM team reports ac-
129 curacy below $\pm 10^3 \text{ cm}^{-3}$ for this data product (see the acknowledgments for further in-
130 formation).

131 **2.3 TIMED/SABER**

132 The SABER instrument was launched onboard the TIMED satellite (also a NASA
133 HSO mission) on December 7, 2001. SABER provides measurements of kinetic temper-
134 ature from ~ 20 km to ~ 120 km altitude (Mertens et al., 2001). SABER views the at-
135 mospheric limb from an orbit of ~ 625 km altitude and $\sim 73^\circ$ inclination, so that the lat-
136 itude coverage on a given day extends from about 53° in one hemisphere to about 83°
137 in the other. This viewing geometry alternates once every ~ 60 days. Errors in the re-
138 trieved temperatures in the 80-105 km region are estimated to be ± 1.5 -5 K (Garcia-Comas
139 et al., 2008).

140 **2.4 WACCM-X**

141 WACCM-X (Liu et al., 2018) is a configuration of the National Center for Atmo-
142 spheric Research (NCAR) Community Earth System Model (CESM) (Hurrell et al., 2013)
143 that extends the atmospheric component into the thermosphere, with a model top bound-
144 ary between 500 and 700 km. The standard spatial resolution of WACCM-X is 1.9° by

145 2.5° in latitude and longitude, respectively, and 1/4 scale height vertically above the up-
 146 per stratosphere. To simulate the lower and middle atmosphere, WACCM-X can be run
 147 with specified dynamics (SD) that constrains the troposphere and stratosphere dynam-
 148 ics using MERRA-2 reanalysis data (Gelaro et al., 2017). This study employs output from
 149 an extended SD/WACCM-X version v2.1 simulation that covers the May 27 - June 5,
 150 2020 period. The empirical ion convection patterns are specified using the Heelis et al.
 151 (1982) empirical model. The MERRA-2 forcing provides a realistic representation of the
 152 wave forcing in the lower and middle atmosphere making this simulation an ideal physics-
 153 based framework for interpreting atmosphere-ionosphere coupling by tides.

154 2.5 Analysis of Satellite Data

155 When combined, the ascending and descending nodes of SORTIE and ICON cover
 156 24-hour of LT in ~ 30 days (i.e., each node samples ~ 4 hours of LT in about 10 days).
 157 Figure 1 shows the LT coverage of ICON (blue plus signs) and SORTIE (red squares)
 158 IVM measurements at 25°N (panel *b*), at the equator (panel *c*), and at 25°S (panel *d*)
 159 during May 27 - June 5, 2020. At the equator, SORTIE's (ICON's) orbit precesses from
 160 ~ 2 LT/ ~ 14 LT (~ 7 LT/ ~ 19 LT) on May 27 to ~ 22 LT/ ~ 10 LT (~ 2 LT/ ~ 14 LT) on
 161 June 5 (Figure 1*c*). As shown in Figure 1*a*, this 10-day period is characterized by low
 162 solar activity (average F10.7 ~ 70) with two minor geomagnetic disturbances (ap ~ 25 on
 163 May 30, 2020 and ap ~ 15 on June 1-2, 2020). For this study, ICON and SORTIE IVM
 164 ion density are analyzed by both combining and separating ascending and descending
 165 node measurements. Wave analysis is performed by least-squares fitting SORTIE IVM
 166 ion density in 30-day sliding windows and 3-hourly SD/WACCM-X ion density and tem-
 167 perature during May 27 - June 5, 2020. Note that no least squares fitting of ICON data
 168 is performed here as this analysis is left for future work.

169 3 Results

170 The global distribution of ion density observed by SORTIE (ICON) IVM near 420
 171 km (590 km) altitude during May 27 - June 5, 2020 is presented in Figure 2. SORTIE
 172 daytime (~ 10 -14 LT) and nighttime (~ 22 -2 LT) 10-day averages are shown in panels *a*
 173 and *b*, respectively. Figures 2*a'* and 2*b'* show the corresponding ICON daytime (~ 14 -18
 174 LT) and nighttime (~ 2 -6 LT) 10-day averages, respectively. Excellent low-latitude cov-
 175 erage is achieved by both SORTIE (about $\pm 51.6^\circ$ latitude) and ICON (about $\pm 27.1^\circ$ lat-

176 itude) during this 10-day period, as evidenced by the distribution of the black dots in
 177 panels *a-a'* and *b-b'* showing the measurement locations. Ion density values up to $\sim 4.4 \times 10^5$
 178 cm^{-3} ($\sim 2.2 \times 10^5 \text{ cm}^{-3}$) are observed during daytime and up to $\sim 1.7 \times 10^5 \text{ cm}^{-3}$ ($\sim 0.8 \times 10^5$
 179 cm^{-3}) during nighttime by SORTIE (ICON) IVM. Note that a scaling factor is applied
 180 multiplying the ICON ion density by a factor of 2 given ICON's higher mean altitude
 181 (i.e., $\sim 590 \text{ km}$ versus $\sim 420 \text{ km}$ for SORTIE). Figures *2a''-2b''* display ascending and de-
 182 scending node differences for SORTIE and ICON IVM ion density, respectively, each scaled
 183 (multiplied) by 0.5. Both SORTIE and ICON show enhanced ion density at low latitudes
 184 around 30°S - 30°N with a prominent WN4 structure and peaks near 180°E , 100°E , 45°E ,
 185 and 100°W . This type of feature in the low-latitude ionosphere was reported in previ-
 186 ous satellite-based measurements (e.g., Immel et al., 2006; Lin et al., 2007; Liu and Watan-
 187 abe, 2008, Liu et al., 2009), as discussed in Section 1. This notable WN4 structure ob-
 188 served by SORTIE and ICON points to a possible modulation of the background ion den-
 189 sity by the non-migrating DE3 tide as observed from their slowly precessing orbits. Note
 190 that the equatorial ionization anomaly (EIA) is not evident in Figure 2 likely due SOR-
 191 TIE's and ICON's mean altitude ($\sim 420 \text{ km}$ and $\sim 590 \text{ km}$, respectively) being signifi-
 192 cantly higher than the F-layer peak height (~ 200 - 350 km), in agreement with Figure 2
 193 of Mukhtarov and Pancheva (2011).

194 It is important to realize that while the variability due to the semidiurnal eastward-
 195 propagating tide with $s = -2$ (SE2) and stationary planetary wave 4 (SPW4) would largely
 196 be eliminated by the ascending/descending node differences shown in Figures *2a''-2b''*,
 197 aliasing from the diurnal westward-propagating tide with $s = 5$ (DW5) and from the ter-
 198 diurnal eastward-propagating tide with $s = -1$ (TE1) may also contribute to a WN4 lon-
 199 gitude structure seen at a constant LT (see Lieberman, 1991; Oberheide et al., 2000; Gasperini
 200 et al., 2015, 2017b, 2018, 2020). Thus, to best investigate the origin of the observed WN4
 201 structure we (1) combine 30 days of SORTIE data collected during May 27 - June 25,
 202 2020 to acquire full 24-hour LT coverage, and (2) use output from a 3-hourly SD/WACCM-
 203 X simulation. Figure 3 shows the period versus zonal wavenumber amplitude spectra of
 204 SORTIE IVM ion density obtained by combining ascending and descending node mea-
 205 surements during May 27 - June 25, 2020 (panels *a-a''*) and SD/WACCM-X ion density
 206 during May 27 - June 5, 2020 derived using the full model output (panels *b-b''*). Around
 207 10°S - 10°N magnetic latitude (MLAT) both SORTIE (panels *a'*) and WACCM-X (panel
 208 *b'*) ion density spectra reveal the existence of a pronounced DE3 component with am-

plitudes of $\sim 2 \times 10^4 \text{ cm}^{-3}$. DE3 is found to be nearly absent in both SORTIE and WACCM-X ion density at 40°N MLAT (panels *a* and *b*) and 40°S MLAT (panels *a''* and *b''*), in agreement with the well-known Kelvin wave behavior of the first-symmetric equatorially-trapped Hough mode of DE3 that efficiently propagates to the IT. Also present in the spectra is the migrating DW1 tide, as shown in previous ionospheric observations (e.g., Chang et al., 2013). SW2, DW5 and TE1 amplitudes are found to be negligible (TE1 results not shown here), providing confidence to our assertion that the WN4 structure observed by SORTIE and ICON is in fact due to the non-migrating DE3 tide.

To examine the latitudinal structure associated with DE3 (and other diurnal tides), Figure 4 shows the MLAT-wavenumber diurnal amplitude spectra of SORTIE IVM (panels *a* and *a'*) and SD/WACCM-X (panels *b* and *b'*) ion densities with and without filtering the migrating DW1 tide. Similar to the results presented in Figure 3, SORTIE and WACCM-X show general agreement with large DW1 ($\sim 7 \times 10^4 \text{ cm}^{-3}$) and DE3 ($\sim 3 \times 10^4 \text{ cm}^{-3}$) amplitude variations primarily confined to low latitudes. Along with the pronounced DW1 and DE3, SORTIE spectra also exhibit D0 and DW2 variations (and some DE2), while WACCM-X spectra show DE1 and DW2 variations. Larger DE3 amplitudes (up to $3.06 \times 10^4 \text{ cm}^{-3}$) are found around 25°N - 10°S MLAT in the SORTIE spectra (panel *4a'*) consistent with the results shown in Figure 2*a''*, while WACCM-X maxima are found near 10°N - 20°S MLAT. Some differences between the SORTIE and WACCM-X spectra are not unexpected and are likely due to (1) the different averaging window used (30 days for SORTIE and 10 day for WACCM-X; note that a 10-day window for WACCM-X is adopted for consistency with the SORTIE and ICON results shown in Figure 2), (2) differences in the longitude-local time sampling between model and observations, and (3) inherent tidal variability observed by SORTIE that is not reproduced by the model. Even with the amplitude suppression associated with the monthly averaging, panel *4a'* shows SORTIE IVM ion density DE3 amplitudes exceeding $\sim 3 \times 10^4 \text{ cm}^{-3}$, i.e., over 15% of the observed zonal mean.

Additional analysis of the DE3 tide in the ionosphere is performed using a 30-day sliding window of low-MLAT ($\pm 20^\circ$) SORTIE IVM ion density during the 4-month interval from May 27, 2020 through September 15, 2020 to investigate its temporal variability. Figure 5 show daily DE3 amplitudes (panel *a*) and phases (panel *a'*) derived using a least squares method and the combined ascending/descending node data (i.e., covering ~ 24 -hr LT). DE3 amplitudes are found to be larger ($\sim 1.9 \times 10^4 \text{ cm}^{-3}$) around May

242 27 - June 25, quickly decreasing to minima ($10^3-10^4 \text{ cm}^{-3}$) near June - July, with largest
 243 amplitudes ($\sim 2.1 \times 10^4 \text{ cm}^{-3}$) observed during mid-August through early September 2020.
 244 The latitude structure of DE3 presented in Figure 4 and its temporal variation shown
 245 in Figure 5a, with largest amplitudes occurring around August-September and a second
 246 period of enhanced activity around April-May, is consistent with previous modeling work
 247 and observations (e.g., Mukhtarov and Pancheva, 2011; Truskowski et al., 2014; Gasperini
 248 et al., 2015). To investigate the altitude-latitude structure of the DE3 tide in the IT re-
 249 gion, Figure 5b shows the SD/WACCM-X height ($\sim 100-450 \text{ km}$) - MLAT ($60^\circ\text{S}-60^\circ\text{N}$)
 250 structure of ion density (panels *b* and *b'*) and temperature (panels *c* and *c'*) amplitudes
 251 and phases during May 27 - June 5, 2020. Ionospheric DE3 amplitudes are found to be
 252 largest around the F-layer peak near 200-350 km, with a defined 2-peak structure and
 253 maxima of $\sim 8 \times 10^4 \text{ cm}^{-3}$ around 15°N and 15°S associated with the EIA (Appleton, 1946;
 254 Balan and Bailey, 1995). Previous studies (e.g., Sagawa et al., 2005; Immel et al., 2006;
 255 Wan et al., 2008) showed the EIA to exhibit a WN4 longitudinal variation and it is now
 256 well accepted that this structure in the ionospheric F-region can form as a result of the
 257 combined effect of the E-region dynamo modulation by the lower thermospheric DE3 and
 258 from the direct propagation of DE3 into the F-region. It would be beyond the scope of
 259 this study to investigate the relative contribution of these two effects to the ionospheric
 260 DE3 observed by SORTIE and ICON and this effort is left for follow-on work. The lower
 261 thermospheric temperature DE3 exhibits largest amplitudes of $\sim 12 \text{ K}$ around 110-120
 262 km, in accord with previous modeling (e.g., Gasperini et al., 2015, 2017a) and observa-
 263 tional (e.g., Truskowski et al., 2014) results. The vertical wavelength of the modeled tem-
 264 perature DE3 inferred from its vertical phase progression (panel *c'*) is $\sim 49.8 \text{ km}$, in line
 265 with a predominant first symmetric Hough mode. Latitudinal asymmetries and broad-
 266 ening of the latitude structure with height in the thermospheric DE3 are likely due to
 267 the combined effect of mean winds and dissipation (e.g., Forbes, 2000; Gasperini et al.,
 268 2015, 2017a).

269 Figure 6 displays maps of TIMED/SABER temperatures near 105 km observed con-
 270 currently (i.e., May 27 - June 5, 2020) with the SORTIE and ICON IVM ion density maps
 271 presented in Figure 2. Panels *a* and *b* show results for the descending ($\sim 3-5 \text{ LT}$) and as-
 272 cending ($\sim 15-17 \text{ LT}$) nodes, respectively; while panel *c* contains results for half ascend-
 273 ing/descending node differences. A prominent WN4 structure is found to dominate the
 274 low-latitude ($\pm 30^\circ$) lower thermosphere with observed amplitude maxima of $\sim 30 \text{ K}$ peak-

275 ing near 135°E, 75°E, 45°W, 135°W. Pearson correlation coefficients between the equa-
276 torial WN4 structure in TIMED/SABER temperature and SORTIE (ICON) IVM ion
277 density observations are calculated to be $r=0.71$ ($r=0.66$). This level of correlation, along
278 with the modeling work discussed in Figure 5, provides strong evidence that the iono-
279 spheric WN4 structure observed by SORTIE and ICON is in fact associated with the
280 lower thermospheric DE3. As noted earlier, a more comprehensive follow-on work (be-
281 yond the purview of the current investigation) will address the extent to which this DE3
282 signature observed in the ionospheric F-region is a result of the effect of the E-region dy-
283 namo modulation by the lower thermospheric DE3 versus the direct propagation of DE3
284 into the F-region.

285 **4 Summary and Conclusions**

286 Results presented above provide a clear picture of a marked longitudinal WN4 vari-
287 ation observed during May 27 - June 5, 2020 by SORTIE and ICON IVM in the low-
288 latitude ionosphere near 420 km and 590 km, respectively. Taking advantage of output
289 from an SD/WACCM-X simulation nudged with MERRA-2 reanalysis data in the tro-
290 posphere and stratosphere and monthly-averaged SORTIE IVM data, we demonstrated
291 that this prominent WN4 structure in the F-region ion density is due to the well-known
292 DE3 tide. This non-migrating tide has gained significant attention due to its ability to
293 preferentially propagate to the IT from the tropical troposphere, thus effectively coupling
294 these atmospheric regions. SORTIE IVM observations and SD/WACCM-X output showed
295 ion density DE3 amplitudes upward of $3 \times 10^4 \text{ cm}^{-3}$ (i.e., over 15% of the zonal mean)
296 at low-latitudes ($\pm 20^\circ$ MLAT), with general agreement between model and observations.
297 Least-squares fitting of monthly-averaged SORTIE IVM ion density during May 27 - Septem-
298 ber 15, 2020 showed larger ($\sim 1.9 \times 10^4 \text{ cm}^{-3}$) DE3 amplitudes around May 27 - June 25,
299 rapidly decreasing to smaller values ($10^3 - 10^4 \text{ cm}^{-3}$) around June - July, and becoming
300 largest ($\sim 2.1 \times 10^4 \text{ cm}^{-3}$) around mid-August through early September 2020, in agree-
301 ment with the well-known seasonal variation of DE3 amplitudes found in previous mod-
302 eling and observational studies (e.g., Mukhtarov and Pancheva, 2011; Truskowski et al.,
303 2014; Gasperini et al., 2015, 2017a). Concurrent TIMED/SABER temperature obser-
304 vations near 105 km also showed a pronounced ($\pm 30 \text{ K}$) WN4 structure in the low-latitude
305 lower thermosphere associated with DE3 that was found to be highly correlated with the
306 ionospheric WN4 ($r=0.71$ for SABER/SORTIE and $r=0.66$ for SABER/ICON).

307 The latitude-height structure of this prominent DE3 was further investigated us-
308 ing SD/WACCM-X. DE3 amplitudes were found to be largest around the F-layer peak
309 near 200-350 km, with two well-defined enhancements near 15°N and 15°S MLAT as-
310 sociated with the EIA and maxima of $\sim 8 \times 10^4 \text{ cm}^{-3}$. The modeled DE3 temperature am-
311 plitudes were found to exhibit largest amplitudes around 110-120 km, in accord with pre-
312 vious modeling and observational results (e.g., Truskowski et al., 2014; Gasperini et al.,
313 2015, 2017a). Departure from a purely equatorially symmetric latitude structure and broad-
314 ening of latitude structures with height of the thermospheric DE3 was explained in terms
315 of the combined effect of mean winds and dissipation. The vertical wavelength of the mod-
316 eled temperature DE3 was found to be ~ 49.8 km indicative of a predominant first sym-
317 metric Hough mode.

318 This study presented first results of the DE3 tide as observed by the NASA-funded
319 SORTIE CubeSat. The results herein provide evidence for the extent to which global-
320 scale tidal activity related to the tropical troposphere can influence the IT system. This
321 study also demonstrates SORTIE and ICON to be excellent observational platforms for
322 studying the influence of terrestrial weather on IT variability. Complementary and con-
323 current measurements from SORTIE's and ICON's near identical IVM instruments at
324 different altitudes are shown to be particularly valuable.

325 **Acknowledgments**

326 The SORTIE mission is supported from NASA HQ by Grant 80NSSC18K0094 to At-
327 mospheric & Space Technology Research Associates (ASTRA) LLC. ICON is supported
328 by NASA's Explorers Program through contracts NNG12FA45C and NNG12FA42I. AS-
329 TRA is grateful for support from U.C. Berkeley and the ICON mission via Subcontract
330 No: 00008210. ICON IVM-A data products (Level 2.7, Version 4) are publicly available
331 at *ftp://icon-science.ssl.berkeley.edu/pub*. Post-processed SORTIE IVM Level 2
332 ion density data can be accessed on Zenodo at doi:10.5281/zenodo.4589362. TIMED/SABER
333 temperatures (version v2.0) can be freely accessed at *http://saber.gats-inc.com/*.
334 WACCM-X history files can be accessed at the NCAR/CDG (<https://doi.org/10.26024/5b58-nc53>)
335 and are archived on the NCAR/HAO Campaign Space. Further information on
336 the ICON IVM data used in this study can be found at: *ftp://icon-science.ssl.berkeley.edu/*.

337

References

- 338
- 339 Appleton, E. V. (1946), Two anomalies in the ionosphere, *Nature*, 157, 691.
- 340 Balan, N., and G. J. Bailey (1995), Equatorial plasma fountain and its effects:
 341 Possibility of an additional layer, *J. Geophys. Res.*, 100, 21421-21432,
 342 doi:10.1029/95JA01555.
- 343 Chang, L. C., Lin, C.H., Liu, J.Y., Balan, N., Yue, J., and Lin, J.T. (2013), Seasonal
 344 and local time variation of ionospheric migrating tides in 2007-2011 FOR-
 345 MOSAT3/COSMIC and TIEGCM total electron content, *J. Geophys. Res.*
 346 *Space Physics*, 118, 2545-2564, doi:10.1002/jgra.50268.
- 347 Chapman, S., and R. S. Lindzen (1970), *Atmospheric Tides*, Springer, New York.
- 348 Cho, Y.-M., and G. Shepherd (2015), Resolving daily wave 4 nonmigrating tidal
 349 winds at equatorial and midlatitudes with WINDII: DE3 and SE2, *J. Geophys.*
 350 *Res. Space Physics*, 120, 10,05310,068, doi:10.1002/2015JA021903.
- 351 Crowley, G., C. Fish, M. Pilinski, E. Stromberg et al. (2016), Scintillation Observa-
 352 tions and Response of The Ionosphere to Electrodynamics (SORTIE), Proceed-
 353 ings of the 30th Annual AIAA/USU SmallSat Conference, paper: SSC16-VI-3.
- 354 Fang, T.-W., H. Kil, G. Millward, A. D. Richmond, J.-Y. Liu, and S.-J. Oh
 355 (2009), Causal link of the wave-4 structures in plasma density and vertical
 356 plasma drift in the low-latitude ionosphere, *J. Geophys. Res.*, 114, A10315,
 357 doi:10.1029/2009JA014460.
- 358 Forbes, J. M. (2000), Wave coupling between the lower and upper atmosphere: Case
 359 study of an ultra-fast Kelvin wave, *J. Atmos. Terr. Phys*, 62, 1603-1621.
- 360 Garcia-Comas, M., et al. (2008), Errors in SABER kinetic temperature
 361 caused by non-LTE model parameters, *J. Geophys. Res.*, 113, D24, doi:
 362 10.1029/2008JD010105.
- 363 Gasperini, F., J. M. Forbes, E. N. Doornbos, and S. L. Bruinsma (2015), Wave cou-
 364 pling between the lower and middle thermosphere as viewed from TIMED and
 365 GOCE, *J. Geophys. Res.*, 120, 5788-5804, doi:10.1002/2015JA021300.
- 366 Gasperini, F., Forbes, J. M., and Hagan, M. E. (2017a), Wave coupling from the
 367 lower to the middle thermosphere: Effects of mean winds and dissipation, *J.*
 368 *Geophys. Res.*, 122, 7781-7797, doi:10.1002/2017JA024317.
- 369 Gasperini, F, M. E. Hagan, and Y. Zhao (2017b), Evidence of tropo-
 370 spheric 90-day oscillations in the thermosphere, *Geophys. Res. Lett.*,

- 371 doi:10.1002/2017GL075445.
- 372 Gasperini, F., Forbes, J. M., Doornbos, E. N., and Bruinsma, S. L. (2018),
 373 Kelvin wave coupling from TIMED and GOCE: Inter/intra-annual vari-
 374 ability and solar activity effects, *J. Atmos. Sol.-Terr. Phys.*, 171, 176-187,
 375 doi:10.1016/j.jastp.2017.08.034.
- 376 Gasperini, F., Liu, H., and McInerney, J. (2020), Preliminary evidence of Madden-
 377 Julian Oscillation effects on ultrafast tropical waves in the thermosphere, *J.*
 378 *Geophys. Res.*, 125, e2019JA027649, doi:10.1029/2019JA027649.
- 379 Gelaro, R., McCarty, W., Suarez, M. J., Todling, R., Molod, A., Takacs, L., et al.
 380 (2017), The modern-era retrospective analysis for research and applications,
 381 version 2 (MERRA-2), *J. Climate*, 30, 5419-5454, doi:10.1175/JCLI-D-16-
 382 0758.1.
- 383 Hagan, M. E. and J. M. Forbes (2002), Migrating and nonmigrating diurnal tides in
 384 the middle and upper atmosphere excited by tropospheric latent heat release,
 385 *J. Geophys. Res.*, 107(D24), 4754, doi: 10.1029/2001JD001236.
- 386 Hagan, M.E., A. Maute, R.G. Roble, A.D. Richmond, T.J. Immel, and S.L. England
 387 (2007), Connections between deep tropical clouds and the Earths ionosphere,
 388 *Geophys. Res. Lett.*, 34, L20109, doi:10.1029/2007GL030142.
- 389 Hagan, M. E. (1996), Comparative effects of migrating solar sources on tidal signa-
 390 tures in the middle and upper atmosphere, *J. Geophys. Res.*, 101, 21213-21222.
- 391 He, M., L. Liu, W. Wan, and Y. Wei (2011), Strong evidence for couplings between
 392 the ionospheric wave4 structure and atmospheric tides, *Geophys. Res. Lett.*,
 393 38, L14101, doi:10.1029/2011GL047855.
- 394 Heelis, R. A., Lowell, J. K., and Spiro, R. W. (1982), A model of the high-latitude
 395 ionospheric convection pattern, *J. Res. Lett.*, 87, 63390-6345.
- 396 Heelis, R.A., Stoneback, R.A., Perdue, M.D., Depew, M.D., Morgan, W.A., Mankey,
 397 M.W., Lippincott, C.R., Harmon, L.L., and Holt, B.J., (2017), Ion Velocity
 398 Measurements for the Ionospheric Connections Explorer, *Sp. Sci. Rev.*, 212(1-
 399 2), 615-629, doi:10.1007/s11214-017-0383-3.
- 400 Hurrell, J. W., Holland, M. M., Gent, P. R., Ghan, S., Kay, J. E., Kushner, P. J., et
 401 al. (2013), The community earth system model: A framework for collaborative
 402 research, *Bull. of the Am. Met. Soc.*, 94(9), 1339-1360, doi:10.1175/BAMS-D-
 403 12-00121.1.

- 404 Immel, T. J., E. Sagawa, S. L. England, S. B. Henderson, M. E. Hagan, S. B.
 405 Mende, H. U. Frey, C. M. Swenson, and L. J. Paxton (2006), The control of
 406 equatorial ionospheric morphology by atmospheric tides, *Geophys. Res. Lett.*,
 407 *33* (15), doi:10.1029/2006GL026161.
- 408 Immel, T.J., England, S.L., Mende, S.B. et al, (2018), The Ionospheric Connec-
 409 tion Explorer Mission: Mission Goals and Design, *Sp. Sci. Rev.* *214*, 13,
 410 doi:10.1007/s11214-017-0449-2.
- 411 Jin, H., Y. Miyoshi, H. Fujiwara, and H. Shinagawa (2008), Electrodynamics of the
 412 formation of ionospheric wave number 4 longitudinal structure, *J. Geophys.*
 413 *Res.*, *113*, A09307, doi:10.1029/2008JA013301.
- 414 Lei, J., J.P. Thayer, W. Wang, J. Yue, and X. Dou (2014), Nonmigrating tidal mod-
 415 ulation of the equatorial thermosphere and ionosphere anomaly, *J. Geophys.*
 416 *Res.*, *119*, 30363043, doi:10.1002/2013JA019749.
- 417 Lieberman, R. S., Riggin, D. M., Ortland, D. A., Nesbitt, S. W., and Vin-
 418 cent, R. A. (2007), Variability of mesospheric diurnal tides and tropo-
 419 spheric diurnal heating during 1997-1998, *J. Geophys. Res.*, *112*, D20110,
 420 doi:10.1029/2007JD008578.
- 421 Lieberman, R. S. (1991), Nonmigrating diurnal tides in the equatorial middle atmo-
 422 sphere, *J. Atmos. Sci.*, *48*, 1112 - 1123.
- 423 Lin, C.H., C.C. Hsiao, J.Y. Liu, and C.H. Liu (2007), Longitudinal structure of the
 424 equatorial ionosphere: Time evolution of the four-peaked EIA structure, *J.*
 425 *Geophys. Res.*, *112*, A12305, doi:10.1029/2007JA012455.
- 426 Liu, H., and S. Watanabe (2008), Seasonal variation of the longitudinal structure
 427 of the equatorial ionosphere: Does it reflect tidal influences from below?, *J.*
 428 *Geophys. Res.*, *113*, A08315, doi:10.1029/2008JA013027.
- 429 Liu, H., M. Yamamoto, and H. Luhr (2009), Wave-4 pattern of the equatorial mass
 430 density anomaly: A thermosphere signature of tropical deep convection, *Geo-*
 431 *phys. Res. Lett.*, *36*, L18104, doi:10.1029/2009GL039865.
- 432 Liu, H. -L. (2016), Variability and predictability of the space environment
 433 as related to lower atmosphere forcing, *Space Weather*, *14*, 634-658,
 434 doi:10.1002/2016SW001450.
- 435 Liu, J., Liu, H., Wang, W., Burns, A. G., Wu, Q., Gan, Q., et al. (2018),
 436 First results from the ionospheric extension of WACCM-X during the

- 437 deep solar minimum year of 2008, *J. Geophys. Res.*, 123, 1534-1553,
438 doi:10.1002/2017JA025010.
- 439 Maute, A., A. D. Richmond, and R. G. Roble, Sources of low-latitude ionospheric
440 E x B drifts and their variability (2012), *J. Geophys. Res.*, 117, A06312,
441 doi:10.1029/2011JA017502.
- 442 Mertens Christopher J., M Martin G. Mlynczak, Manuel Lpez-Puertas, Peter P.
443 Wintersteiner, R. H. Picard, Jeremy R. Winick, Larry L. Gordley, and James
444 M. Russell III (2001), Retrieval of mesospheric and lower thermospheric kinetic
445 temperature from measurements of CO₂ 15 μ m Earth limb emission under
446 non-LTE conditions, *Geophys. Res. Lett.*, 28, 1391-1394.
- 447 Mukhtarov, P., and D. Pancheva (2011), Global ionospheric response to nonmigrat-
448 ing DE3 and DE2 tides forced from below, *J. Geophys. Res.*, 116, A05323,
449 doi:10.1029/2010JA016099.
- 450 Oberheide, J., J. M. Forbes, X. Zhang, and S. L. Bruinsma (2011), Wavedriven
451 variability in the ionosphere thermospheremesosphere system from TIMED
452 observations: What contributes to the wave 4?, *J. Geophys. Res.*, 116, A01306,
453 doi:10.1029/2010JA015911.
- 454 Oberheide, J., J. Forbes, K. Hausler, Q. Wu, and S. L. Bruinsma (2009), Tropo-
455 spheric tides from 80400 km: Propagation, inter-annual variabil- ity and solar
456 cycle effects, *J. Geophys. Res.*, doi:10.1029/2009JD012388.
- 457 Oberheide, J., Hagan, M. E., Ward, W. E., Riese, M., and Offermann, D. (2000),
458 Modeling the diurnal tide for the Cryogenic Infrared Spectrometers and Tele-
459 scopes for the Atmosphere (CRISTA) 1 time period, *J. Geophys. Res.*, 105(
460 A11), 24917-24929, doi:10.1029/2000JA000047.
- 461 Onohara, A. N., Batista, I. S., and Batista, P. P. (2018), Wavenumber4 structures
462 observed in the lowlatitude ionosphere during low and high solar activity peri-
463 ods using FORMOSAT/COSMIC observations, *Ann. Geophy.*, 36(2), 459-471,
464 doi:10.5194/angeo-36-459-2018.
- 465 Ren, Z., W. Wan, L. Liu, and J. Xiong (2009), Intra-annual variation of wave num-
466 ber 4 structure of vertical E B drifts in the equatorial ionosphere seen from
467 ROCSAT-1, *J. Geophys. Res.*, 114, A05308, doi:10.1029/2009JA014060.
- 468 Sagawa, E., T. J. Immel, H. U. Frey, and S. B. Mende (2005), Longitudinal struc-
469 ture of the equatorial anomaly in the nighttime ionosphere observed by IM-

- 470 AGE/FUV, *J. Geophys. Res.*, 110, A11302, doi:10.1029/2004JA010848.
- 471 Truskowski, A.O., Forbes, J.M., Zhang, X., and S.E. Palo (2014), New perspec-
472 tives on thermosphere tides - 1. Lower thermosphere spectra and seasonal-
473 latitudinal structures, *Earth, Planets and Space*, 66-136, doi:10.1186/s40623-
474 014-0136-4.
- 475 Wan, W., L. Liu, X. Pi, M.-L. Zhang, B. Ning, J. Xiong, and F. Ding (2008),
476 Wavenumber-4 patterns of the total electron content over the low latitude
477 ionosphere, *Geophys. Res. Lett.*, 35, L12104, doi:10.1029/2008GL033755.
- 478 Wan, W., J. Xiong, Z. Ren, L. Liu, M.L. Zhang, F. Ding, B. Ning, B. Zhao,
479 and X. Yue (2010), Correlation between the ionospheric WN4 signature
480 and the upper atmospheric DE3 tide, *J. Geophys. Res.*, 115, A11303,
481 doi:10.1029/2010JA015527.
- 482 Wan, W., Z. Ren, F. Ding, J. Xiong, L. Liu, B. Ning, B. Zhao, G. Li, M.-L. Zhang
483 (2012), A simulation study for the couplings between DE3 tide and longi-
484 tudinal WN4 structure in the thermosphere and ionosphere, *J. of Atm. and*
485 *Sol.-Terr. Phy.*,, 90-91, 52-60, doi:10.1016/j.jastp.2012.04.011.

Figure 1. (a) Time series of the daily F10.7 solar flux (red curve) and 3-hourly ap (blue curve) during May 27 - June 5, 2020. (b)-(d) Time series of ICON (blue plus signs) and SORTIE (red squares) local solar time at 25°N (panel *b*), equator (panel *c*), and 25°S (panel *d*).

Figure 2. Global latitude (60°S - 60°N) versus longitude (180°W - 180°E) maps of SORTIE (upper panels) and ICON (lower panels) Ion Velocity Meter (IVM) ion density measured during May 27 - June 5, 2020. SORTIE (ICON) daytime, i.e., ~ 10 - 14 LT (~ 14 - 18 LT), averages are shown in panel *a* (panel *b*); while SORTIE (ICON) nighttime, i.e., ~ 22 - 2 LT (~ 2 - 6 LT) averages are shown in panel *a'* (*b'*). Panels *a''* and *b''* show maps of half ascending and descending node differences (i.e., daytime-nighttime differences). The black dots in panels *a-a'* and *b-b'* show the measurement locations. ICON ion density is scaled (multiplied) by a factor of 2 given ICON's higher mean altitude (~ 575 - 610 km) compared to SORTIE (~ 418 - 427 km). This scaling is performed to use the same color bar for both ICON and SORTIE.

Figure 3. Period versus zonal wavenumber amplitude spectra of SORTIE IVM ion density at MLAT 40°N (panel *a*), 10°S-10°N (panel *a'*), 40°S (panel *a''*) during May 27 - June 25, 2020, and of SD/WACCM-X ion density at MLAT 40°N (panel *b*), 10°S-10°N (panel *b'*), 40°S (panel *b''*) during May 27 - June 5, 2020. Note that this 10-day window for WACCM-X is adopted for consistency with the SORTIE and ICON results shown in Figure 2. Panels *a'* and *b'* show the same results as in panels *a* and *b*, but with the migrating tide set to zero. Eastward propagating waves are shown with negative values. The diurnal s=-3 DE3 is clearly seen in both the SORTIE and SD/WACCM-X low-latitude ionosphere spectra, along with a large DW1.

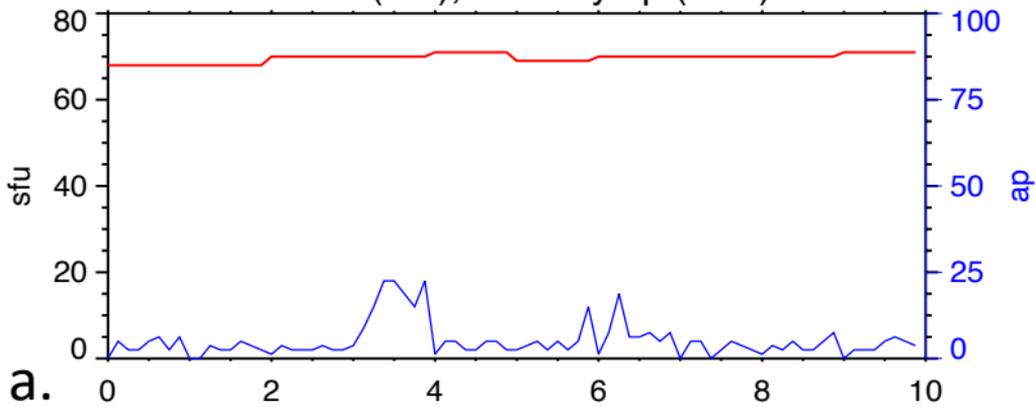
Figure 4. (a) MLAT versus zonal wavenumber diurnal amplitude spectra of SORTIE IVM ion density during May 27 - June 25, 2020. (b) Same as (a) but using SD/WACCM-X output during May 27 - June 5, 2020. The prominent ionospheric s=-3 DE3 exhibits amplitudes upward of $3 \times 10^4 \text{ cm}^{-3}$ in both observations (panel *a'*) and the model (panel *b'*).

Figure 5. Time series of SORTIE IVM ion density DE3 amplitudes (panel *a*) and phases (panel *a'*) derived using least squares fitting within 30-day sliding windows during May 27 - September 15, 2020. The blue vertical boxes identify 1- σ uncertainly estimates in the amplitudes and phases output by the fitting procedure. Panels *b-b'* show the SD/WACCM-X height (\sim 100-450 km) versus MLAT (60° S- 60° N) ion density DE3 amplitudes and phases, respectively. Panels *c-c'* show the same results as in panels *b-b'*, but for neutral temperature DE3. The vertical wavelength of the modeled temperature DE3 inferred from its vertical phase progression (panel *c'*) is \sim 49.8 km, consistent with a predominant first symmetric Hough mode.

Figure 6. Latitude (60°S - 60°N) versus longitude (180°W - 180°E) maps of TIMED/SABER kinetic temperatures near 105 km during May 27 - June 5, 2020 at the descending node (panel *a*) near 3-5 LT, ascending node (panel *b*) near 15-17 LT, and their half difference (panel *c*). A prominent longitudinal WN4 structure is evident in the differences (panel *c*) consistent with the concurrent ionospheric DE3 signature observed by SORTIE (ICON) IVM near 420 km (590 km).

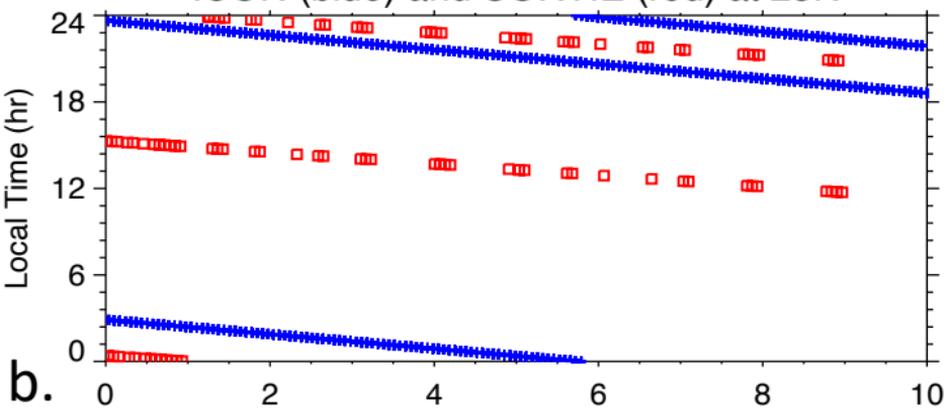
Figure 1.

F10.7 (red), 3-hourly ap (blue)



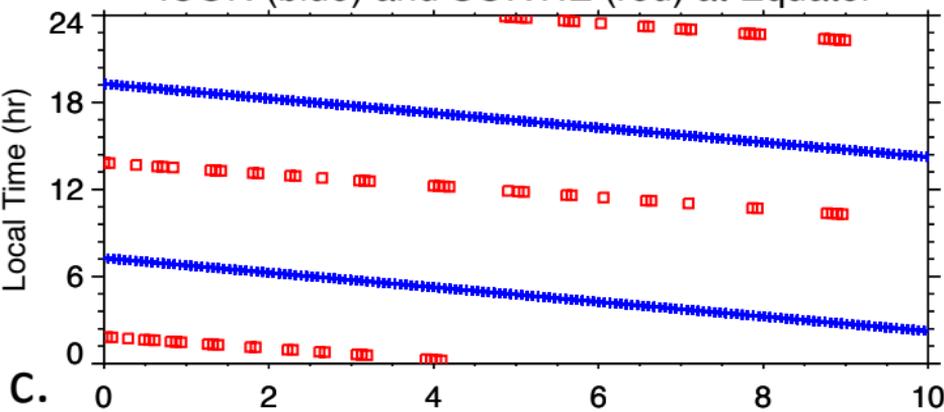
a.

ICON (blue) and SORTIE (red) at 25N



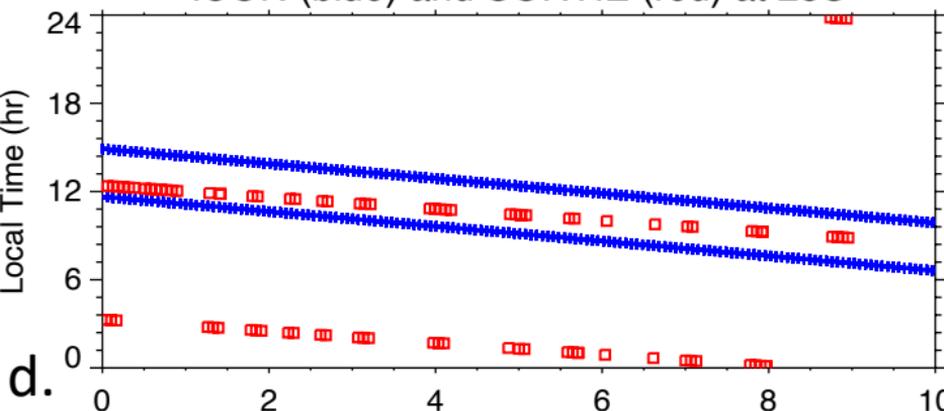
b.

ICON (blue) and SORTIE (red) at Equator



c.

ICON (blue) and SORTIE (red) at 25S



d.

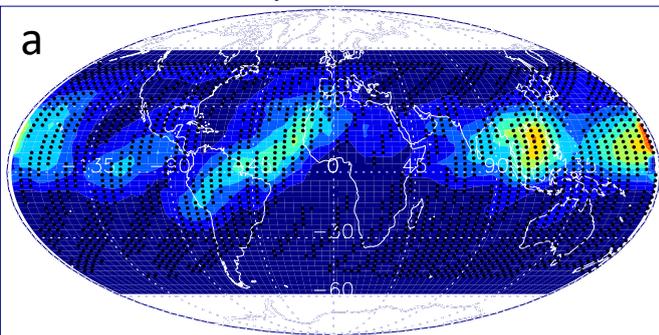
Time (days since 05/27/2020)

Figure 2.

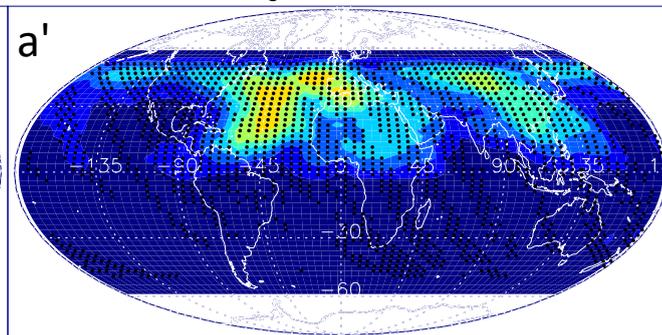
May 27 - June 5, 2020

Height:
~418-427 km

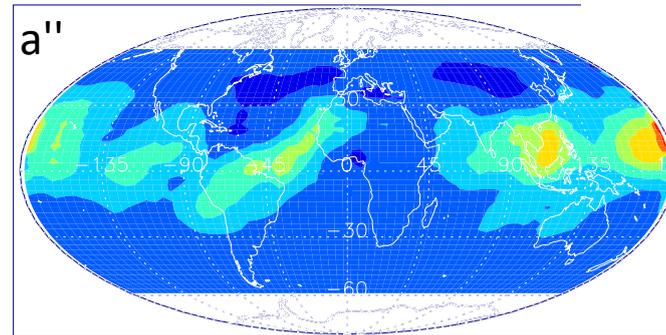
SORTIE Daytime - MIVM L2 Prelim



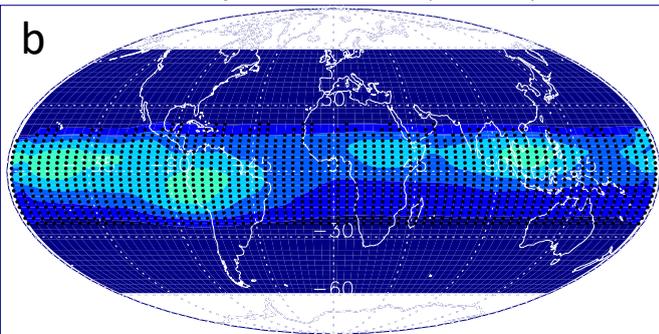
SORTIE Nighttime - MIVM L2 Prelim



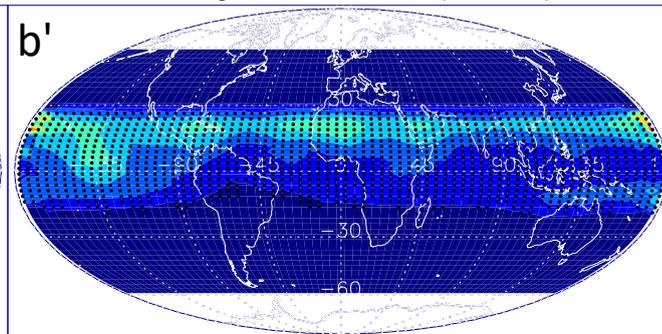
SORTIE (A-D)/2 - MIVM L2 Prelim



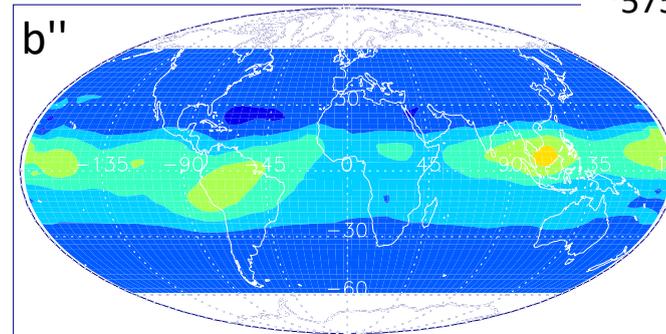
ICON Daytime - IVM-A L2-7 (scaled x2)



ICON Nighttime - IVM-A L2-7 (scaled x2)

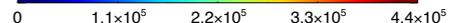


ICON (A-D)/2 - IVM-A L2-7 (scaled x2)

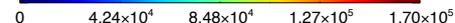


Height:
~575-610 km

Ion Density [cm⁻³]



Ion Density [cm⁻³]



Ion Density [cm⁻³]

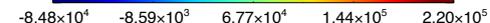
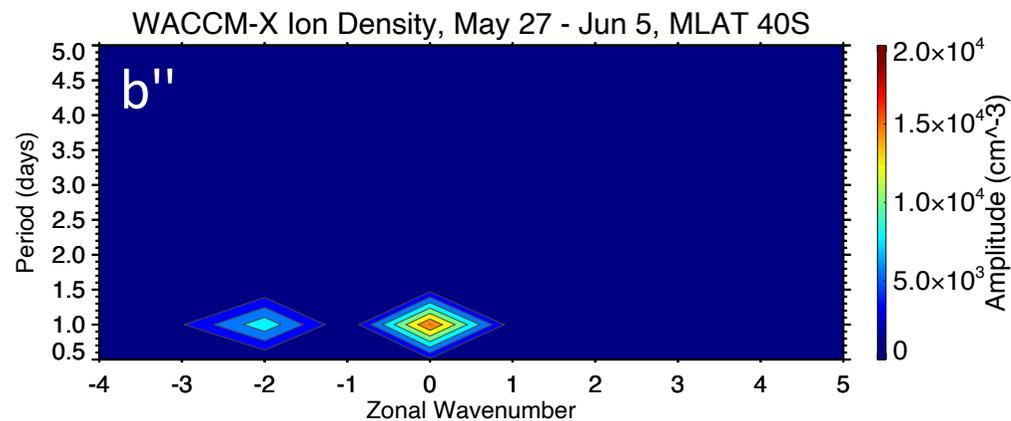
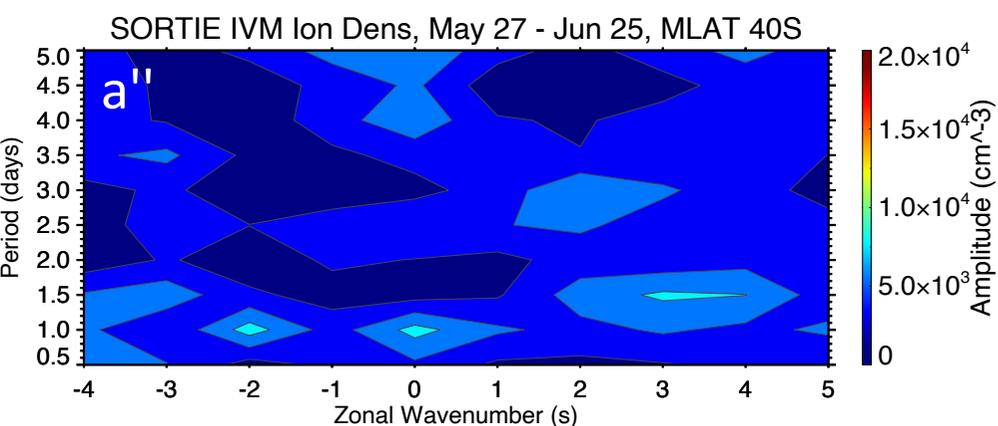
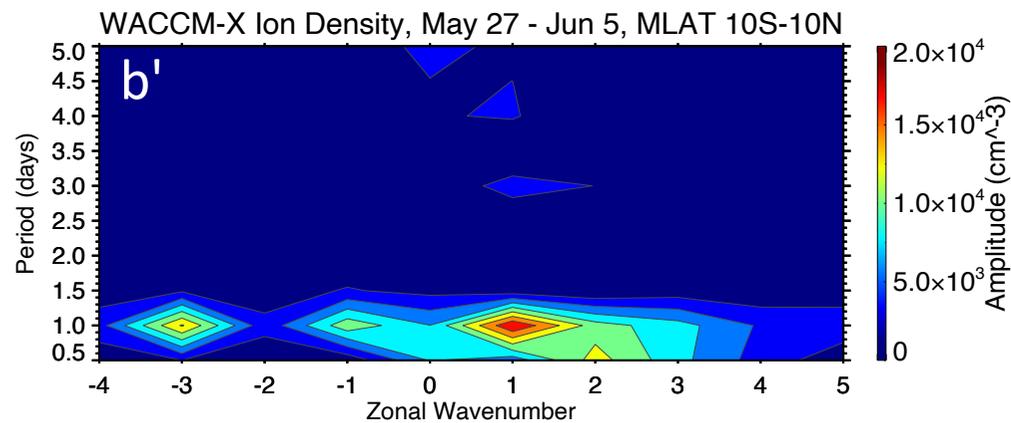
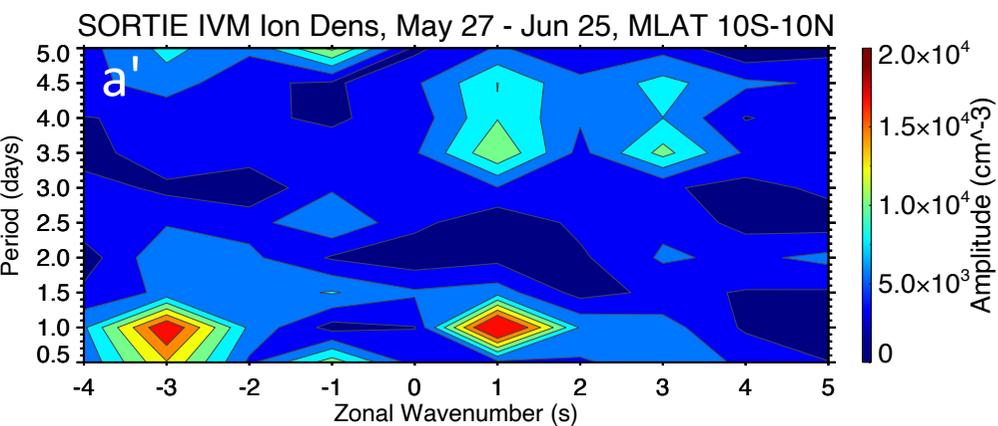
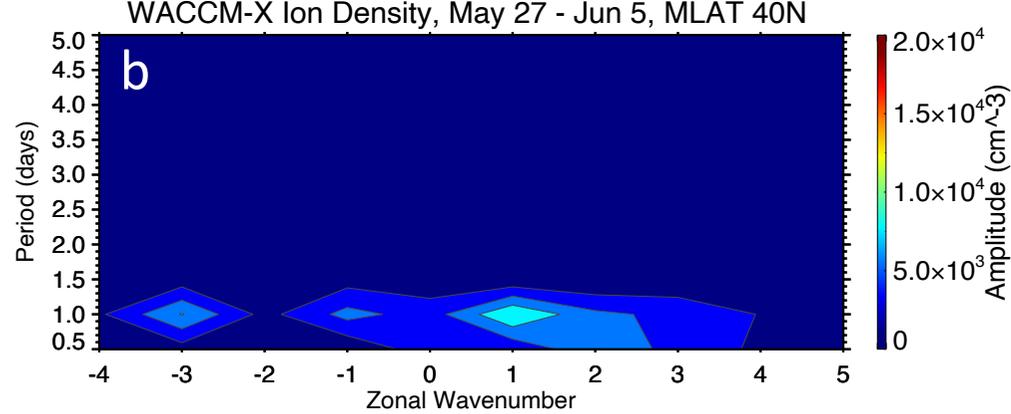
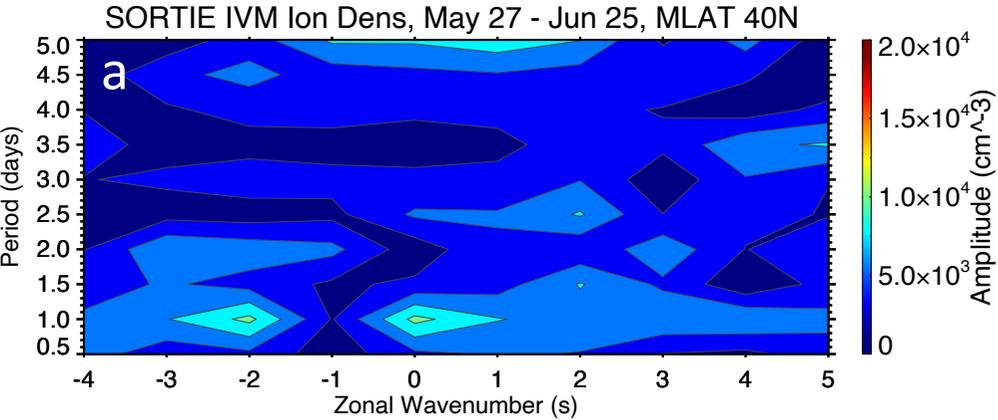


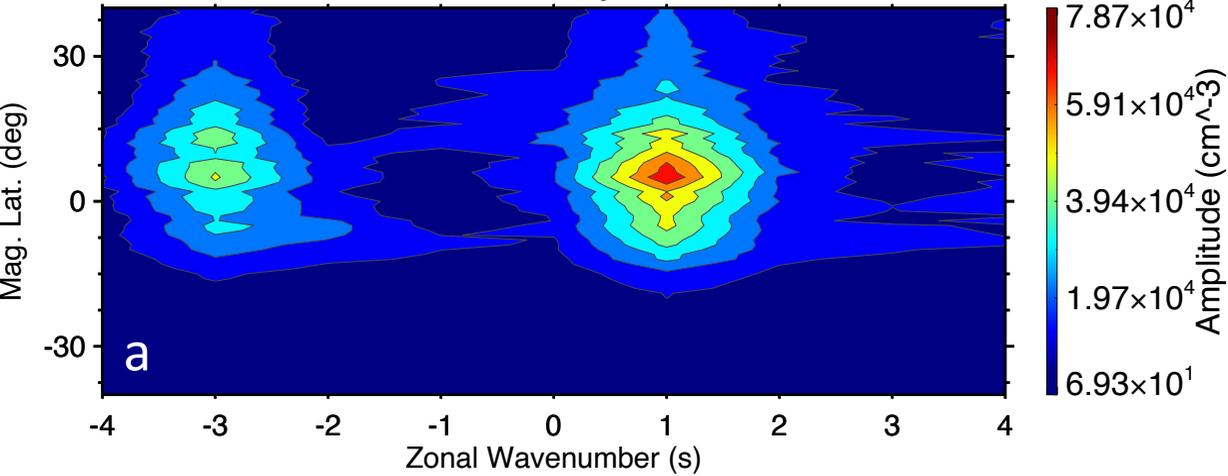
Figure 3.



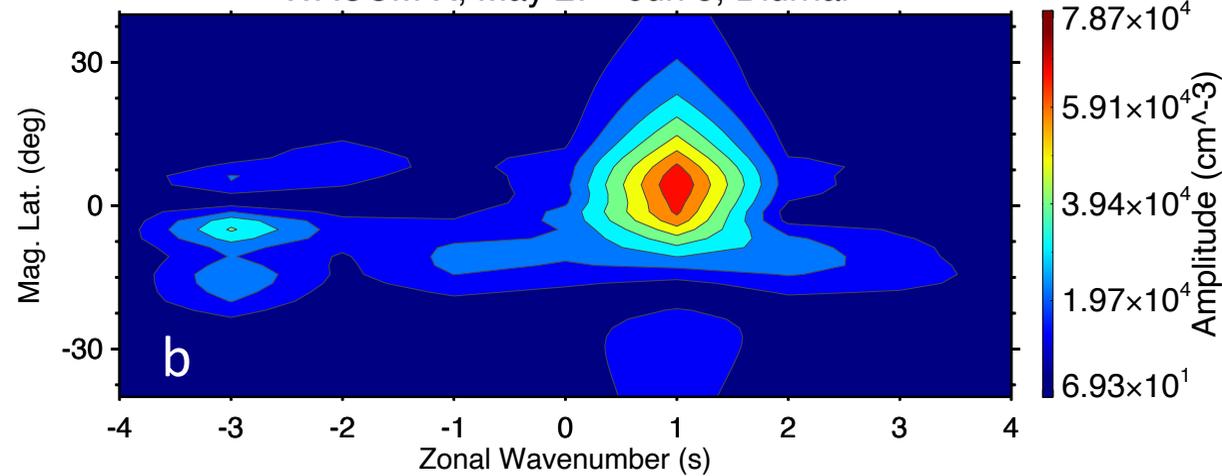
Negative for eastward propagation

Figure 4.

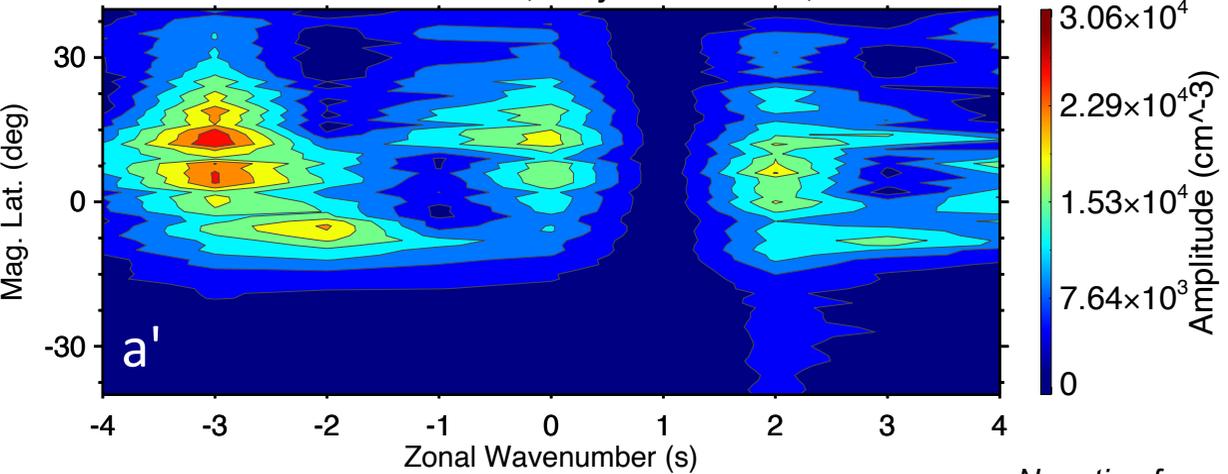
SORTIE IVM Ion Dens, May 27 - Jun 25, Diurnal



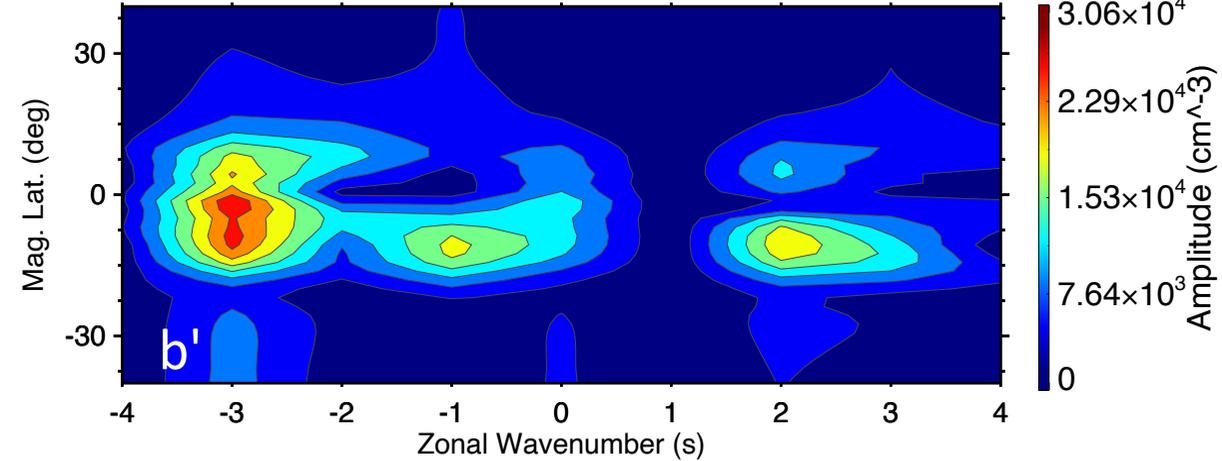
WACCM-X, May 27 - Jun 5, Diurnal



SORTIE IVM Ion Dens, May 27 - Jun 25, Diurnal



WACCM-X, May 27 - Jun 5, Diurnal



Negative for eastward propagation

Figure 5.

SORTIE IVM DE3 Ion Density Amplitude, MLAT 20S-20N, 30-day moving window

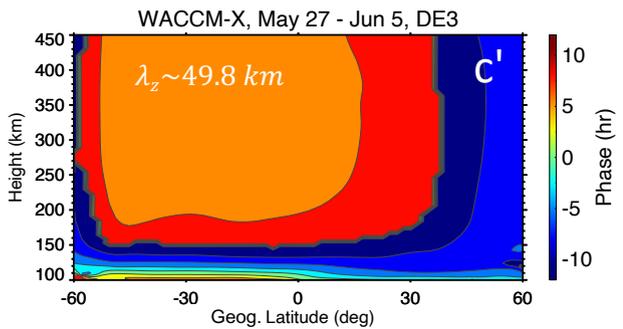
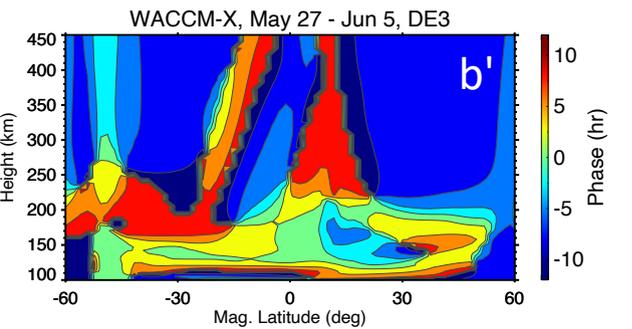
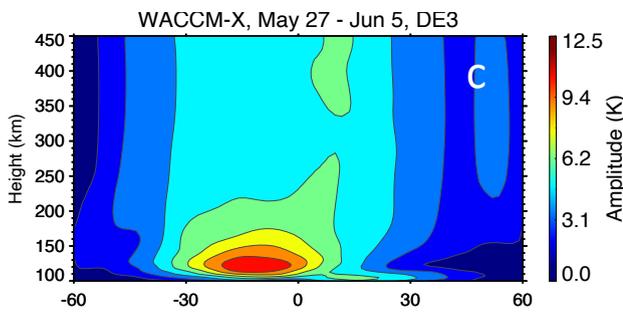
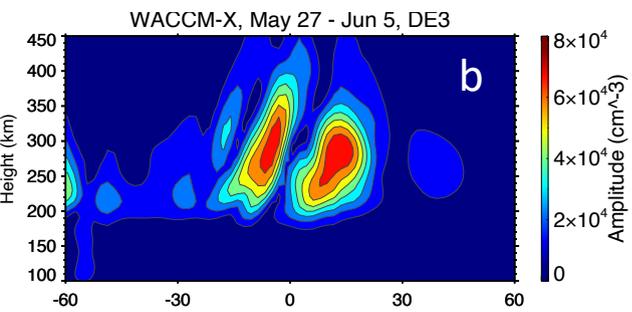
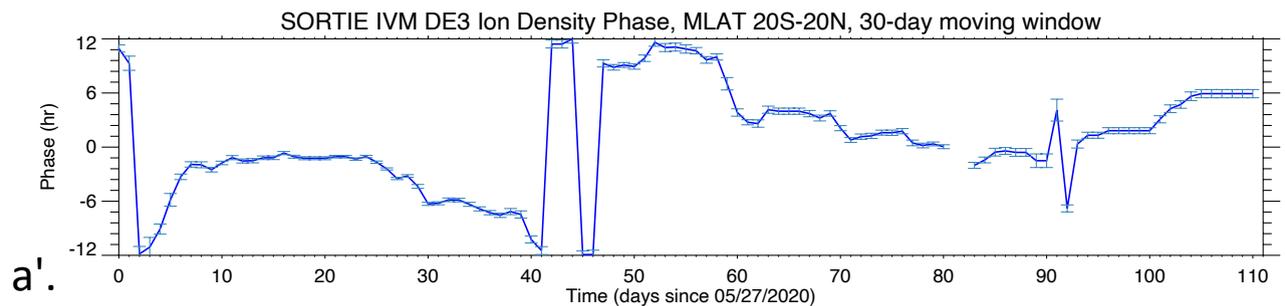
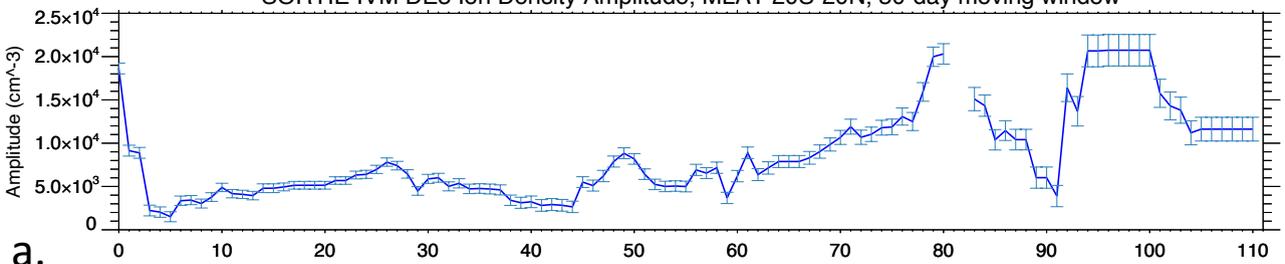


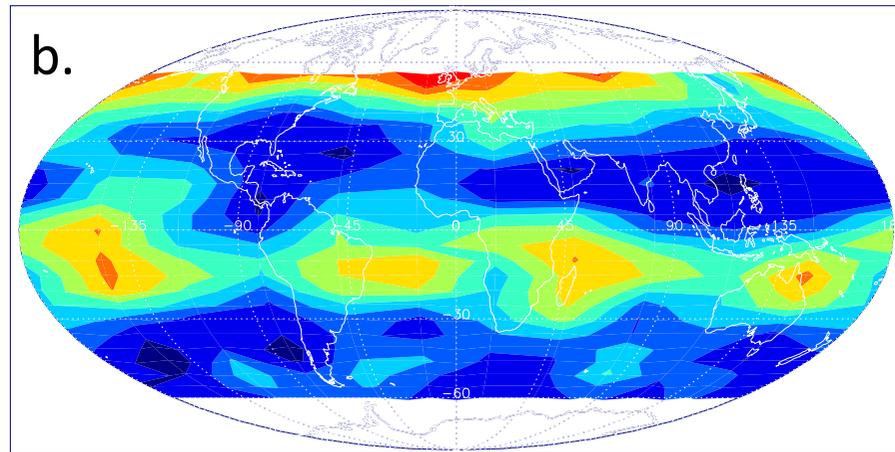
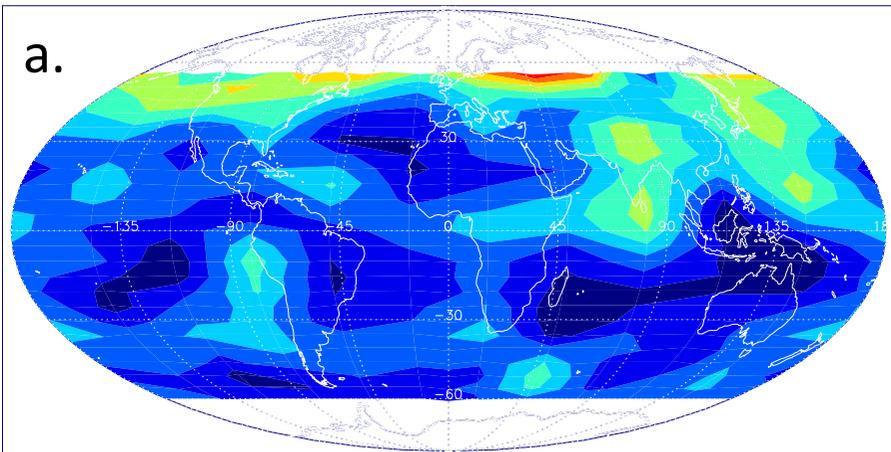
Figure 6.

TIMED/SABER Temperature V2.0, May 27 – June 5, 2020

Height: 105 km

Descending Node (D)

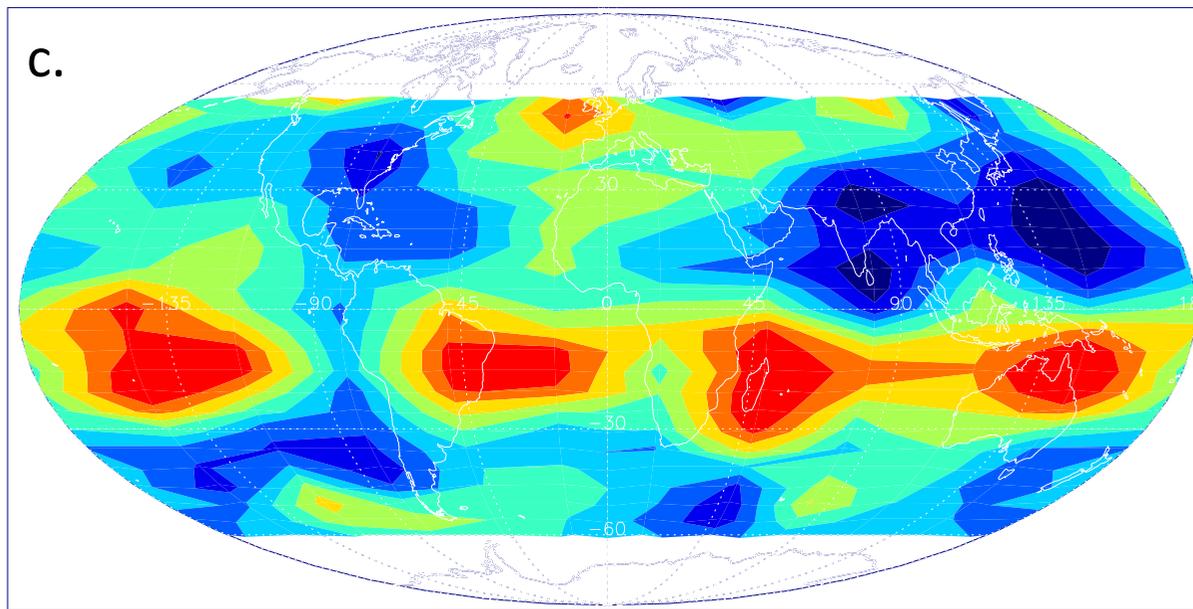
Ascending Node (A)



155 182 208 234 260
Kinetic Temperature [K]

$(A-D)/2$

154 179 203 228 252
Kinetic Temperature [K]



-24.6 -10.9 2.9 16.7 30.4
Kinetic Temperature [K]