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Pyrocumulonimbus Events over British Columbia in 2017: An ensemble model study of parameter sensitivities and climate impacts of wildfire smoke in the stratosphere

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25 **Key points:**

- 26       • An ensemble study of E3SM simulations determines the best combination of smoke  
27       parameters to match observations from the 2017 PyroCb events.
- 28       • The Random Forest technique is used to quantify the relative importance of parameter  
29       and finds the greatest sensitivity to injection height.
- 30       • The lifetime of stratospheric smoke from the 2017 PyroCb events is similar to the  
31       observations, about ~180 days.

32

## 33 Abstract

34 Pyrocumulonimbus (pyroCb) are fire-triggered or fire-augmented thunderstorms and can  
35 by transporting a large amount of smoke particles into the lower stratosphere. With satellite remote  
36 sensing measurements, the plumes from pyroCb events over British Columbia in 2017 were  
37 observed in the lower stratosphere for about 8-10 months after the smoke injections. Several  
38 previous studies used global climate models to investigate the physical parameters for the 2017  
39 pyroCb events, but the conclusions show strong model dependency. In this study, we use Energy  
40 Exascale Earth System Model (E3SM) atmosphere model version 1 (EAMv1) and complete an  
41 ensemble of runs exploring three injection parameters: smoke amount, the ratio of black carbon to  
42 smoke, and injection height. Additionally, we consider the heterogeneous reaction of ozone and  
43 primary organic matter. According to the satellite daily observed aerosol optical depth, we find  
44 that the best ensemble member is the simulation with 0.2 Tg of smoke, 2% of which is black  
45 carbon, a 13.5 km smoke injection height, and a  $10^{-6}$  probability factor of the heterogenous reaction  
46 of ozone and primary organic matter. We use the Random Forest machine learning technique to  
47 quantify the relative importance of each parameter in accurately simulating the 2017 pyroCb  
48 events and find that the injection height is the most critical feature. Due to the long lifetime and  
49 wide transport of stratospheric aerosols, the estimated e-folding time of smoke aerosols in the  
50 stratosphere is about 178 days, and the global averaged shortwave surface cooling is  $-0.334 \text{ W m}^{-2}$   
51 <sup>2</sup> for about 10 months.

52

53

## 54 Plain Language Summary

55 Pyrocumulonimbus (pyroCb) caused by extreme wildfires can transport a large amount of  
56 smoke particles into the lower stratosphere, which then affects the climate. Several previous  
57 studies used global climate models, along with satellite observations of smoke, to investigate the  
58 injection parameters for 2017 pyroCb events over British Columbia, but the conclusions show  
59 strong model dependency. This study uses the Energy Exascale Earth System Model (E3SM)  
60 atmosphere model version 1 (EAMv1) and completes an ensemble of simulations targeting three  
61 loosely constrained injection parameters: smoke amount, the ratio of black carbon to smoke, and  
62 injection height. Additionally, we consider the heterogeneous reaction of ozone and primary  
63 organic matter in the ensemble model. We use the Random Forest machine learning technique to  
64 quantify the importance of each parameter in accurately simulating the 2017 pyroCb event.  
65 Finally, a model simulation with the best combination of injection parameters shows the estimated  
66 smoke lifetime in the stratosphere is about 178 days, and a global-averaged shortwave surface  
67 cooling of  $-0.334 \text{ W m}^{-2}$  for about 10 months.

68

69 **Keywords:**

70 E3SM, wildfire, Pyrocumulonimbus, stratospheric aerosols, machine learning, aerosol radiative

71 effects

## 72 1 Introduction

73 In recent years, extreme wildfires have frequently occurred in the western United States  
74 and Canada. Pyrocumulonimbus (pyroCb) are fire-triggered or fire-augmented thunderstorms and  
75 can transport a large amount of smoke particles into the lower stratosphere (Fromm et al., 2005;  
76 Fromm et al., 2010). Aerosols in the lower stratosphere from volcanic eruptions and large wildfires  
77 have been detected and monitored with the modern satellite remote sensing observations in the  
78 past decades (Fromm et al., 2000; Peterson et al., 2018; Vernier et al., 2009).

79 PyroCb and volcanic eruptions are important geophysical extreme events because they can  
80 perturb the stratospheric aerosol loading for several months and have substantial climate impacts  
81 locally and globally. The smoke aerosols injected into the stratosphere from pyroCbs mainly  
82 contain black carbon (BC) and organic carbon (OC) aerosols (Andreae, 2019; Andreae & Merlet,  
83 2001; Park et al., 2003) and have different radiative effects from the sulfate aerosols released by  
84 volcanic eruptions. Volcanic sulfate aerosols have a strong cooling effect due to scattering. With  
85 carbonaceous aerosols, BC absorbs shortwave radiation, while particulate organic matter scatters  
86 it, which leads to atmosphere warming and surface cooling (Bergstrom et al., 2002; Malone et al.,  
87 1985). Additionally, through the absorption of solar radiation and subsequent heating, BC can  
88 self-loft, lengthening its atmospheric lifetime. In the 1980s, Malone et al. (1985) used a three-  
89 dimensional circulation model to simulate injected smoke in the atmosphere that might be  
90 introduced by a nuclear war. They concluded that the heating from BC shortwave absorption gives  
91 rise to vertical motions that carry smoke above the original injection height around the tropopause.  
92 Later, the changes of solar heating profile due to BC absorption were supported by the  
93 measurements of the field campaign, High-performance Instrumented Airborne Platform for  
94 Environmental Research Pole-to-Pole Observations (HIPPO), between early 2009 and mid-2011

95 (Schwarz et al., 2013). Thus, the radiative effects of the pyroCb injected smoke in the stratosphere  
96 can be very important in the global radiation budget.

97         Several previous studies used satellite observations and global climate models (GCMs) to  
98 investigate the injection parameters for 2017 pyroCb events over British Columbia, but the  
99 conclusions show strong model dependency (Christian et al., 2019; Das et al., 2021; Yu et al.,  
100 2019). The pyroCb events occurring in British Columbia, Canada in August 2017 were considered  
101 the most extensive known stratospheric intrusion from pyroCb activity at that time. Based on the  
102 combination of lidar and passive remote sensing observations, Peterson et al. (2018) estimated the  
103 injected aerosol amount of 0.1-0.3 Tg, while Torres et al. (2020) projected around 0.18-0.35 Tg,  
104 by using three different satellite remote sensing observations, comparable to a moderately sized  
105 volcanic eruption (Ge et al., 2016; Hofmann et al., 2009; J. Wang et al., 2013). In previous studies,  
106 smoke injection height varies from 10 km (Das et al., 2021) to 13 km (Christian et al., 2019; Yu  
107 et al., 2019), and the ratio of BC to smoke ranges from 2% - 6%. GCM simulations in Christian  
108 et al. (2019) show that the pyroCb smoke particles cause radiative forcing of  $0.02 \text{ W m}^{-2}$  at the top  
109 of the atmosphere (TOA), averaged globally in the 2 months following the events; however, Das  
110 et al. (2021) saw  $-0.03 \text{ W m}^{-2}$  global mean radiative forcing at TOA in the first month after the  
111 events. Both studies used the NASA Goddard Earth Observing System (GEOS) with a chemical  
112 transport model to conduct their simulations, but the model configuration were different.

113         Wagman et al. (2020) used the Department of Energy (DOE) Energy Exascale Earth  
114 System Model (E3SM) atmosphere model version 1 (EAMv1) to examine the climate impacts of  
115 massive urban fires in South Asia induced by a regional nuclear exchange scenario. The study  
116 found that uncertainties in the modeled aerosol radiative properties and smoke composition,  
117 particularly the percentage of BC and primary organic matter (POM) in the total aerosol mass,

118 propagate through to produce significant uncertainties in the climate response. In their study,  
119 overall climate impacts are comparable in initial magnitude (i.e., surface cooling), but have a much  
120 a shorter duration compared to previous assessments with the same smoke injection (Mills et al.,  
121 2014; Reisner et al., 2018).

122 In this study, we use EAMv1 with the CMIP6 (Coupled Model Intercomparison Project  
123 Phase 6) configuration, which is different from the CMIP5 used in Wagman et al. (2020), to assess  
124 the 2017 pyroCb event over British Columbia and perform an ensemble simulation targeting three  
125 important, yet uncertain fire smoke injection parameters: smoke amount, the ratio of black carbon  
126 to smoke, and injection height. Additionally, we consider the probability parameter ( $\gamma$ ) of  
127 the heterogeneous reaction of ozone and organic carbon suggested by Yu et al. (2019) in the  
128 ensemble experiments. The purpose of this study is to quantify the performance of the E3SM  
129 model in simulating the climate disturbance of a stratospheric wildfire smoke injection, when  
130 compared to observations. We also want to examine the lifetime of stratospheric smoke in EAMv1,  
131 and the magnitude and duration of the climate perturbation, to see if they agree with observations,  
132 as this would have important implications for studies of climate impacts due to a nuclear exchange,  
133 for example Wagman et al. (2020).

134 A brief description of EAMv1, simulation set-up, parameters for the ensemble study, and  
135 observational data are given in Section 2. Simulation results and discussion are shown in Section  
136 3 and Section 4, respectively, with a summary provided in Section 5.

## 137 2 Methodology

### 138 2.1 Model description and configuration

139 The DOE E3SM coupled model version 1 was released to the community in April 2018.  
140 A detailed description is documented in Golaz et al. (2019). The overview of the atmosphere

141 component of E3SMv1, E3SM Atmosphere Model (EAMv1) is provided by Rasch et al. (2019).  
142 EAMv1 uses a spectral element dynamical core at a 110-km resolution (at equator) on a cubed  
143 sphere geometry and a traditional hybridized sigma-pressure vertical coordinate. The transition  
144 between terrain-following and constant-pressure coordinates is made at ~200 hPa (~11km).  
145 EAMv1 has 72 vertical layers with a model top at approximately 60 km (10 Pa).

146 EAMv1 uses the two-moment Modal Aerosol Module (MAM4), with four internally mixed  
147 lognormal size modes, to represent the size distribution and mixing state of aerosols (X. Liu et al.,  
148 2016). EAMv1 has several enhanced features in MAM4 (H. Wang et al., 2020). One important  
149 modification to MAM4 is that based on the original MAM3, the addition of freshly emitted primary  
150 carbonaceous aerosols (e.g., BC and POM) as a primary-carbon mode to treat the aging process of  
151 BC/POM, when combined with the three commonly defined size aerosol modes (Aitken,  
152 accumulation, and coarse mode). The transfer or “aging” of carbonaceous aerosols from the  
153 primary-carbon mode to the accumulation mode occurs after particles condense eight monolayers  
154 of (hydrophilic) sulfate, particles condense enough secondary organic aerosol (SOA) to change the  
155 volume-weighted hygroscopicity by the same amount as eight layers of sulfate, or particles  
156 coagulate with hydrophilic Aitken-mode particles.

157 The stratospheric ozone is simulated by the linearized chemistry version 2 (Linoz v2) (Hsu  
158 & Prather, 2009), which calculates the first-order Taylor expansion terms for the stratospheric  
159 ozone production and loss based on local temperature, local ozone abundance, and the overhead  
160 ozone column.

161 In this study, we choose the compset F2010C5-CMIP6-LR, which configures an  
162 atmosphere-only simulation using the CMIP6 forcing and prescribed sea surface temperature and  
163 sea ice with observed climatology. The initial condition of the model configuration is from an

164 E3SMv1 Atmospheric Model Intercomparison Project (AMIP) simulation (Golaz et al., 2019) on  
165 January 1, 2010. Monthly climatological emissions from 2005 to 2014 are used to generate  
166 background aerosols in the atmosphere. The default EAMv1 CMIP6 setup uses climatological  
167 volcanic aerosols' optical properties to calculate aerosol radiative effects in the stratosphere  
168 instead of explicitly calculating existing aerosol radiative effects, which are function of aerosol  
169 particle size and concentration. To study the impacts of the 2017 cyroCb event, we removed the  
170 CMIP6 pre-calculated volcanic aerosol radiative effects and added wildfire smoke as an extra  
171 aerosol source in the stratosphere. Note that in this study, the instantaneous radiative effects of  
172 stratospheric aerosols are diagnosed by performing two sets of radiative transfer calculations (i.e.,  
173 double call), one with stratospheric aerosols only and the other without any aerosols in the model  
174 column, to calculate the flux differences.

## 175 2.2 Nudging for meteorological conditions

176 Nudging is a method of data assimilation to constrain the evolution of the prognostic  
177 variables to be similar to the evolution of those variables in a predefined reference simulation (e.g.,  
178 reanalysis data). In general, only a small number of model variables are nudged, and other fields  
179 are allowed to evolve in response to the physical and dynamical processes in the model.

180 In order to provide more realistic meteorological conditions to facilitate the time-specific  
181 evaluations of fire simulations against observations, we nudge towards ERA-Interim data, which  
182 is a global atmospheric reanalysis from the European Centre for Medium-Range Weather  
183 Forecasts (ECMWF) (*ERA-Interim Project*, 2009) and provides 6-hourly  $U$  and  $V$  wind  
184 components, and temperature ( $T$ ) for meteorology nudging. The simulation runs from January 1,  
185 2017, to August 11, 2017, with the horizontal wind components and temperature nudged towards  
186 the ERA-Interim reanalysis data, following the setup in Yu et al. (2019), and using linear function

187 nudging (Sun et al., 2019; Tang et al., 2019) with a 50-hourly time frequency (i.e., relaxation time  
188 scale). Starting from August 12, 2017, the day of the PyroCb event, the model runs freely without  
189 nudging to the end of May of 2018 to simulate smoke transport and aerosol-radiation interactions.  
190 The evaluation and discussion of the nudging time scale are in Section 3.1.

### 191 2.3 Numerical experiments for the ensemble study

192 Previous studies demonstrated that three injection parameters: total smoke amount, the  
193 ratio of black carbon to smoke, and injection height are critical for accurate simulation of the  
194 smoke transport (Christian et al., 2019; Das et al., 2021; Yu et al., 2019). Additionally, Yu et al.  
195 (2019) highlighted the importance of the effective reaction probability (i.e., gamma number) of  
196 heterogenous reaction between ozone and primary organic matter (POM) in simulating  
197 stratospheric fire smoke lifetime.

198 The heterogeneous reaction of ozone and POM is not included in the standard EAMv1  
199 release. In this study, the rate of the heterogeneous reaction ( $\text{molecules cm}^{-3} \text{ s}^{-1}$ ) is given by the  
200 following:

$$201 \quad R = \frac{1}{4} \gamma v_A A_p n_A, \quad (1)$$

202 where  $\gamma$  is the probability of the reaction, which is a perturbed parameter in the ensemble;  $v_A$  is the  
203 mean speed of ozone ( $\text{cm s}^{-1}$ );  $A_p$  is the total surface area of POM per unit volume of air ( $\text{cm}^2 \text{ cm}^{-3}$ );  
204  $n_A$  is the gas-phase concentration of ozone ( $\text{molecules cm}^{-3}$ ) (Seinfeld & Pandis, 2012). We  
205 assume that the ozone mixing ratio in the stratosphere will not be affected after the reaction, but  
206 the POM concentration will decrease based on the reaction rate (Yu et al., 2019).

207 Running an ensemble of simulations with different parameter values can help determine  
208 the parameter setting for minimizing differences between simulations and observations. Our  
209 approach is to use an ensemble with four varied, or perturbed, parameters to determine the

210 parameter values which, when used in an E3SM simulation, produce the best fit to observations  
211 and equally importantly to quantify the model sensitivities to these parameters. We additionally  
212 use our ensemble to examine uncertainty within the E3SM simulations (Anderson & Lucas, 2018;  
213 Murphy et al., 2004), and would like to highlight that such an ensemble perturbation experiment  
214 has not been done for the 2017 pyroCb study before.

215 In this study, we run 144 simulations with varied values listed in Table 1. We add smoke  
216 at a variable injection height near 52°N, 120°W, where the 2017 pyroCb event was observed from  
217 the satellite remote sensing. The perturbed smoke amount and BC ratio is injected on a continuous  
218 basis for 5 hours from 19 UTC to 23 UTC on August 12, 2017. The injected smoke aerosols only  
219 contain BC and POM, which are added to the primary-carbon mode in MAM4. In addition to  
220 injecting an aerosol mass of BC and POM, the number concentration is also calculated based on  
221 the volume mean diameter (0.134  $\mu\text{m}$ ) and the BC/POM density (1.8/1.4  $\text{g cm}^{-3}$ ) (Liu et al., 2012).

222 Each ensemble member is given a name based on the value of four parameters. For  
223 example, 0.2Tg\_BC2\_12km\_gamma6 means the simulation with 0.2 Tg smoke, of which 2% is  
224 BC, a 12 km smoke injection height, and a gamma number of  $10^{-6}$  in the heterogeneous reaction  
225 calculation. We also run a control simulation (CNTL) without a smoke injection or a  
226 heterogeneous reaction applied to the POM.

## 227 2.4 Observations and the “ensemble score”

228 The Stratospheric Aerosol and Gas Experiment III (SAGE-III) is mounted on the  
229 International Space Station (ISS). SAGE-III Version 5.1 data from the instrument are available  
230 from June 2017, and level 2 data are used in this study. SAGE-III uses solar and lunar occultation  
231 and limb scatter to infer profiles of trace gases like ozone and aerosol extinction coefficient at nine  
232 wavelengths between 384 and 1544 nm. We choose to use the aerosol extinction coefficient at

233 1024 nm for most analyses in this study, which also follows the analysis in Yu et al. (2019).  
234 SAGE-III provides a nearly direct extinction measurement in its occultation mode, but the  
235 occultation measurement provides generally poor spatial coverage. The measurements only occur  
236 during the orbital sunrise and sunset. Thus, SAGE-III acquires 30 sets of profiles per day in two  
237 latitudes bands that roughly span 60°N to 60°S over a month. Details of SAGE-III ISS  
238 measurements are documented in Cisewski et al. (2014).

239 We use the SAGE-III aerosol extinction coefficient to derive daily aerosol optical depth  
240 (AOD) intergraded from 16 km to 20 km as the reference. Then, we compute daily AOD for each  
241 ensemble member to calculate the correlation and the mean square error (MSE) between modeled  
242 AOD and satellite retrieved AOD. Based on the correlation and MSE among all ensemble  
243 members, we can get an “ensemble score” for each member, which is defined as follows:

$$244 \quad \textit{ensemble score} = -\textit{normalized}(MSE) + \textit{normalized}(correlation) \quad (2)$$

245 Normalized MSE and correlation will get a number range from 0 to 1. A perfect ensemble case  
246 gets 1 as the highest ensemble score, which means MSE is zero and correlation gets 1 between  
247 modeled AOD and satellite retrieved AOD. Based on the ensemble scores, we can evaluate the  
248 model performance of an individual simulation, each with a unique combination of ensemble  
249 parameters.

## 250 2.5 Machine learning technique – Random Forest

251 Machine learning is now being used to quantify the performance of multi-model climate  
252 ensemble members (Monteleoni et al., 2011), determine the sensitivity of climate models to  
253 parameter values and resolution (Anderson & Lucas, 2018), and detect features in large climate  
254 data sets (Y. Liu et al., 2016). In this study, we apply a supervised machine learning technique,  
255 known as random forest (Breiman, 2001) to a perturbed smoke injection parameter ensemble of

256 EAMv1 simulations. We use the *feature importance* function in the scikit-learn random forest  
257 package (Pedregosa et al., 2011) to measure the importance of each feature. The function takes an  
258 array of features and computes the normalized total reduction of the criterion brought by that  
259 feature. In other words, features with high scores strongly partition the data and lie near the parent  
260 node in a decision tree. By perturbing the values of features in a tree and monitoring the fits'  
261 accuracy, each feature's importance is estimated. The highest score is the most important feature  
262 in the forecasting machine.

263 A group of randomized decision trees on different bootstrap samples of the training data is  
264 important to add a further level of randomness to splitting the trees. The scikit-learn random forest  
265 package we use in the study provides 50 trees, an internal bootstrap option, including the  
266 calculation of validation scores, and default settings for other fitting parameters. Furthermore, we  
267 also test the use of 10 or 100 decision trees for the training data to increase the variation of random  
268 forests. The train/test split varies from 70%/30% to 90%/10% with a 5% interval.

## 269 3 Results and discussions

### 270 3.1 Model evaluation of meteorological nudging

271 The relaxation time scale of nudging applied in global climate models is 6 hours in many  
272 previous studies, basically focusing on the tropospheric studies (Kooperman et al., 2012; Sun et  
273 al., 2019; Telford et al., 2008), but we find out this setup can strongly disturb the background  
274 aerosols in the stratosphere. Thus, we want to see how different nudging time scales affect EAMv1  
275 results and, to the best of our knowledge, no EAMv1 papers have documented it. In a set of  
276 sensitivity tests of nudging U, V and T with different relaxation time scales, ranging from 6 to 50  
277 hours, we find that while a shorter relaxation time scale can better constrain the meteorological

278 fields toward the ERA-Interim reanalysis data, the differences within this range are minor (figures  
279 not shown). However, a more significant issue, seldom mentioned in most studies, is that the  
280 background aerosol in the stratosphere becomes unrealistically high and deviates significantly  
281 from the control simulation without nudging.

282 Figure 1a shows the time series of area-averaged smoke mass mixing ratio ( $\text{kg Tg-air}^{-1}$ ) in  
283 CNTL without meteorological nudging applied. The smoke aerosol mixing ratio above 15 km  
284 height is less than  $0.1 \text{ kg Tg-air}^{-1}$ , and the aerosol mixing ratio in the stratosphere should not be  
285 disturbed much by surface emissions, especially in the absence of extreme wildfire events or  
286 volcanic eruptions. However, once we apply the meteorological nudging with a 6-hour relaxation  
287 time scale, tropospheric aerosols are transported upward to the stratosphere, and accumulate with  
288 time due to the lack of wet scavenging at high altitudes (Figure 1b). Davis et al. (2022) suggested  
289 that a 12- to 24-hour nudging time scale is appropriate for minimizing errors, however, in our case,  
290 even with a relaxation time scale of 24 hours, the issue is not solved (Figure 1c). They also tried  
291 a 48-hour nudging time scale to eliminate the errors in the background and spatial patterns of  
292 constituents but at the cost of losing fidelity in the nudged variables. Because the purpose of  
293 nudging in this study is only to constrain synoptic variability, we use a 50-hour relaxation time  
294 scale to get stable stratospheric background aerosols (Figure 1d) without sacrificing too much  
295 accuracy of the nudged meteorological fields.

### 296 3.2 Ensemble runs and sensitivity to perturbed parameters

297 Examining the ensemble score (Eq. 2) for every ensemble member, we find the highest  
298 score is 0.96, achieved with the parameters set to 0.2 Tg of smoke, of which 2% is BC, with an  
299 injection height of 13.5 km, and a gamma value of  $10^{-6}$  (named 0.2Tg\_BC2\_13.5km\_gamma6).  
300 Time series of daily AOD integrated from 16 to 20 km in altitude is shown in Figure 2 from August

301 13, 2017, to May 31, 2018. This ensemble member captures the timing and value of AOD  
302 reasonably, as compared to the SAGE-III observations. The peak AOD from SAGE-III occurs in  
303 early September at about 0.002. The ensemble member 0.2Tg\_BC2\_13.5km\_gamma6 roughly  
304 captures the timing of the peak in the AOD, but with a slightly higher value for a longer period of  
305 time, which continues into late September. After September, the AOD value and decay rate in  
306 0.2Tg\_BC2\_13.5km\_gamma6 compare well with the satellite retrieved AOD from SAGE-III.

307 In order to illustrate how sensitive the model is to each perturbed parameter, Figure 3 shows  
308 the boxplot of daily AOD of the member with the highest ensemble score (i.e.,  
309 0.2Tg\_BC2\_13.5km\_gamma6) compared with the other ensemble members when each parameter  
310 is independently varied. Due to the overestimated modeled AOD in late September, the upper  
311 quartile and median of simulated AOD in 0.2Tg\_BC2\_13.5km\_gamma6 are higher than the  
312 satellite retrieved AOD and the AOD of the other ensemble members for that month. Because  
313 AOD is derived directly from the smoke amount, the distinguishable AOD differences among the  
314 ensemble members with various smoke amounts are clearly shown in Figure 3a. On the other  
315 hand, the AOD in the other three perturbed parameter comparisons are more challenging to  
316 differentiate (Figures 3b – 3d). Figure 3 shows a common approach for the model intercomparison,  
317 but it could mislead one to conclude the model is most sensitive to smoke amount.

318 Figure 4 shows the boxplot and the mean ensemble score for four perturbed parameters.  
319 The mean ensemble score, for example, increases with smoke amount from 0.28 in the group of  
320 0.2 Tg to 0.39 in the group of 0.4 Tg (Figure 4a). The mean ensemble score for simulations with  
321 varied BC ratio shows a similar trend, increasing with increased BC ratio, from 0.22 in the 1% BC  
322 group to 0.47 in the 5% BC group. Interestingly, when the injection height is varied, the highest  
323 mean ensemble score is 0.54 and occurs in the group with a 13 km injection height; while the

324 lowest mean score is 0.13 in the group with a 12 km injection height. This shows that the mean  
325 ensemble score changes significantly with different smoke injection heights. In other words, the  
326 model is more sensitive to the change of smoke injection height than to the other perturbed  
327 parameters. On the other hand, the mean ensemble score of the cases with a gamma number of  
328  $10^{-5}$ ,  $10^{-6}$ , and  $10^{-7}$  are 0.36, 0.35, and 0.31, respectively. The ensemble score is similar across  
329 variation in the gamma number, meaning that the model shows little sensitivity to the choice of  
330 gamma number.

331         The *feature importance* function in the scikit-learn random forest package also calculates  
332 that injection height is the most important feature in this study with a score of 0.33, followed by  
333 BC ratio (0.28), smoke amount (0.22), and gamma number (0.16) (Figure 5). This result is  
334 consistent with what we show in Figure 4 – the larger variance of the mean ensemble scores, the  
335 more important feature is in the model.

### 336 3.3 Smoke transport and altitude

337         The 2017 pyroCb event was observed by the Cloud-Aerosol Lidar and Infrared Pathfinder  
338 Satellite Observation (CALIPSO) satellite, and the plume height was about 13.5 km on August 14  
339 (Figure 5 in Das et al., 2021). At this altitude, the smoke is above the tropopause, and westerlies  
340 dominate the smoke transport. In our simulation, the smoke is transported to the East coast of the  
341 U.S. about 4 to 5 days after the fire smoke is injected (Figure 6b), and within 10 days, the smoke  
342 reaches most European countries (Figure 6d).

343         We notice that as the smoke aerosols approach Hudson Bay in eastern Canada, the smoke  
344 is transported southward due to the jet stream (Figure 6b). Once the smoke moves to the lower  
345 midlatitudes ( $\sim 45^\circ\text{N}$ ) and rises to a height of  $\sim 18$  km, the dominant winds change from westerlies  
346 to easterlies, so the smoke transport turns westward (Figure 6c-e). Therefore, this pyroCb event

347 has two transport paths in the stratosphere, and the smoke aerosols rapidly spread over the entire  
348 midlatitude area within three weeks after the smoke is injected (Figure 6e). In late September  
349 2017, the fire smoke aerosols cover almost the entire northern hemisphere (Figure 6f).

350 Our result shows that plume rise height is important to the plume transport and smoke  
351 aerosol concentration in the lower stratosphere. Based on the definition of maximum plume height  
352 in Thomason et al. (2018), where the aerosol extinction coefficient is greater than  $1.5 \times 10^{-4} \text{ km}^{-1}$ ,  
353 50% higher than the background value in the lower stratosphere, our simulated maximum plume  
354 rise height in 0.2Tg\_BC2\_13.5km\_gamma6 matches the plume rise height observed by SAGE-III  
355 well (Figure 7). The initial rise of the modeled plume occurs at a rate of  $0.25 \text{ km day}^{-1}$ , attaining  
356 heights of 20 km in late September. It is noted here that once the smoke aerosol is injected into  
357 the stratosphere, the plume rise does not rely only on vertical motions within the atmosphere, but  
358 also on heating of the black carbon through absorption of shortwave solar radiation (Yu et al.,  
359 2019). Heating of the black carbon is considered in EAMv1, but the internal mixing state of  
360 aerosols in MAM4 does not perfectly represent the core-shell structure of BC with other chemical  
361 species.

#### 362 3.4 Smoke lifetime and radiative effects

363 As discussed earlier, Wagman et al. (2020) used an older EAMv1 configuration to examine  
364 the climate impacts of mass urban fires in South Asia induced by a regional nuclear exchange  
365 scenario. Their study showed a shorter smoke lifetime in the stratosphere than in other similar  
366 studies, which is one motivation for the work here. In this work, our calculation for the 2017  
367 pyroCb smoke lifetime in the stratosphere reasonably matches the observations and previous  
368 studies. Figure 8a shows the decay rate of EAMv1 aerosol optical depth (blue dashed line), which  
369 is calculated starting from September 1, 2017. Based on this decay rate, the model gives an e-

370 folding time of 178 days, while on the same starting day, an e-folding time of 227 days is calculated  
371 from SAGE-III data. The estimated e-folding time only changes by one or two days in either  
372 EAMv1 or SAGE-III when the decay period starts from mid-September, which is the peak of  
373 AOD. This suggests little sensitivity to the start date.

374 Yu et al. (2019) reported that the lifetime of the stratospheric smoke was observed to be  
375 ~150 days for the 2017 pyroCb events. We use another quantity, the smoke column mass  
376 (BC+POM) concentration, to calculate an e-folding time of ~120 days, which is consistent with  
377 observations from SAGE-III depicted in Yu et al. (2019) (Figure 8b). Das et al. (2021), also  
378 reported an e-folding time of ~140-150 days from their results running the Goddard Earth  
379 Observing System (GEOS) atmospheric general circulation model (AGCM) and the also from  
380 OMPS-LP (Ozone Mapping Profiler Suite Limb Profiler) observations. Our study and Yu et al.  
381 (2019) considered the heterogeneous reaction between organics present in the smoke and ozone in  
382 the stratosphere, which plays a role in matching the observed decay. By contrast, in Das et al.  
383 (2021) and Christian et al. (2019), this heterogeneous reaction is not considered, and the pyroCb  
384 smoke lifetime is simply the dynamical lifetime of the smoke in the model, which includes the  
385 removal by large scale circulations, aerosol sedimentation, and aerosol radiative heating/cooling.

386 Due to the long lifetime and wide transport of stratospheric aerosols, the pyroCb injected  
387 stratospheric smoke can affect the global radiative budget. Figure 9a shows the spatial-temporal  
388 averaged radiative effects of simulated smoke aerosols over the northern hemisphere from August  
389 13, 2017, to May 31, 2018. The main impact of the stratospheric smoke aerosols is shortwave  
390 surface cooling, which has an averaged value of  $-0.334 \text{ W m}^{-2}$ . The absorption of solar radiation  
391 also causes warming in the atmosphere by  $0.257 \text{ W m}^{-2}$ . Since the longwave radiative effects of

392 stratospheric aerosols are minor, the net radiative effects of smoke aerosols are close to the  
393 shortwave values (Figure 9a).

394 The radiative effects of smoke aerosols are small in the long-term average. However, the  
395 pyroCb aerosols have strong atmospheric warming and surface cooling effects for about 2-3  
396 months after the smoke injections (Figure 9b and 9c). The atmospheric warming and surface  
397 cooling are most pronounced between 30 - 80°N, consistent with Das et al. (2021). The maximum  
398 values of the net radiative effect occurs within the first 7-10 days after the smoke injections,  
399 causing atmospheric warming up to 3.84 W m<sup>-2</sup> and a surface cooling of about -5.10 W m<sup>-2</sup>. Our  
400 calculated radiative effects in Figure 9b and 9c are similar to the results presented in Das et al.  
401 (2021), which found that the pyroCb smoke particles result in a maximum radiative warming of  
402 8.3 W m<sup>-2</sup> in the atmosphere and radiative cooling of -5.4 W m<sup>-2</sup> at the surface. It is noted that  
403 their results are all-sky radiative effects, but Figure 9 are clear-sky radiative effects.

#### 404 4 Discussion

405 Figure 2 shows that our simulated AOD from the best ensemble case,  
406 0.2Tg\_BC2\_13km\_gamma6, matches the derived AOD from SAGE-III well. However, we notice  
407 that the modeled extinctions are overestimated in the lower stratosphere, in comparison to the  
408 observations (Figure 10a). The modeled smoke extinctions are much higher than the extinctions  
409 from SAGE-III at the beginning of the injection. Once the modeled extinctions reach the peak at  
410 16 km height, the rate of the modeled smoke extinction declines slower than the observed rate.  
411 By contrast, the model underestimates the extinction coefficient at the altitude of 20 km (Figure  
412 10c). The modeled extinctions match the observed extinctions well in the first few weeks after the  
413 injection, even background stratospheric aerosol extinction at the beginning of the pyroCb events.

414 However, the descending rate of the modeled extinction is faster than the observed extinction,  
415 especially at a height of 20 km.

416 In Das et al. (2021), the modeled result also has a negative bias at higher altitudes ( $> 20$   
417 km) and a positive bias at lower altitudes, especially for the first 10-20 days after the smoke  
418 injection. Based on their explanation, there are two reasons - the modeled background  
419 stratospheric aerosol extinctions are lower than OMPS-LP retrievals at the higher levels (a negative  
420 bias at higher altitudes), and the modeled smoke plumes are lower than the observed plumes, which  
421 reached as high as 22 km (a positive bias at lower altitudes). In our simulation, the modeled  
422 background aerosol extinctions are comparable with SAGE-III. The main reason for the biases of  
423 modeled extinctions could be a combination of errors in the smoke self-lofting and coarse vertical  
424 resolution at these altitudes.

425 As we mentioned in Section 3.3, the self-lofting of smoke aerosols comes from heating of  
426 the black carbon, which is included in EAMv1, but the internal mixing state of aerosols in MAM4  
427 does not perfectly represent the core-shell structure of BC with other chemical species. The mixing  
428 state of aerosols affects aerosol growth and radiative forcing. Thus, the model is not sensitive to  
429 changes in the BC ratio and does not generate strong vertical motions from the heating of the black  
430 carbon. The other possible reason is the coarse vertical resolution. EAMv1 has a traditional  
431 hybridized sigma pressure vertical coordinate. The vertical resolution in the stratosphere in  
432 EAMv1 is about 450 – 800 m, which is much coarser than the resolution in the lower troposphere  
433 ( $dz = \sim 100$  m). Regardless of if the vertical motion of the smoke aerosols is caused by dynamics  
434 or thermal buoyancy, the coarse vertical resolution cannot represent the vertical transport properly.  
435 The coarse vertical resolution could be one reason why, although the smoke aerosols are injected

436 at the correct height, aerosols descend at different rates in the lower and higher stratosphere (Figure  
437 10).

## 438 5 Summary

439 We use EAMv1 to reexamine the 2017 pyroCb events over British Columbia and complete  
440 an ensemble of runs targeting three uncertain injection parameters: smoke amount, the ratio of  
441 black carbon to the smoke amount, and injection height. We also consider the probability  
442 parameter of the heterogeneous reaction of ozone and organic carbon suggested by Yu et al.  
443 (2019). According to the daily aerosol optical depth (AOD) integrated from 16 km to 20 km,  
444 derived from the SAGE-III aerosol extinction coefficient at 1024 nm wavelength, we define an  
445 “ensemble score” for each member and get a highest score of 0.96 achieved by the ensemble  
446 member 0.2Tg\_BC2\_13.5km\_gamma6, which has 0.2 Tg of smoke, 2% of which is BC, a 13.5  
447 km smoke injection height, and  $10^{-6}$  gamma number in the heterogeneous reaction.

448 The case of 0.2Tg\_BC2\_13.5km\_gamma6 captures the time of the peak in AOD and also  
449 the decay rate, as compared to the satellite retrieved AOD from SAGE-III. We examine model  
450 sensitivity to different values of each perturbed parameter (i.e., smoke amount, the ratio of black  
451 carbon to smoke, injection height, and gamma number), and the results show that the model is  
452 more sensitive to a change in smoke injection height and less sensitive to variation in the gamma  
453 number. This conclusion is supported by the *feature importance* function in the scikit-learn  
454 random forest package. The injection height is the most important feature in this study, with a  
455 score of 0.33, followed by BC ratio (0.28), smoke amount (0.22), and gamma number (0.16).

456 The pyroCb smoke aerosols simulated in this study have two main transport paths in the  
457 stratosphere. The smoke aerosols rapidly spread throughout the entire midlatitude area within  
458 three weeks after the smoke is injected and cover almost the entire northern hemisphere for about

459 50 days. Our calculation for the 2017 pyroCb smoke lifetime in the stratosphere reasonably  
460 matches the observations and previous studies. Based on the decay rate of daily AOD, the model  
461 gives an e-folding time of 178 days, while SAGE-III suggests an e-folding time of 227 days. We  
462 use another quantity, the smoke column mass (BC+POM) concentration, to calculate an e-folding  
463 time at different level heights, and our estimated e-folding time is consistent with observations  
464 from SAGE-III, about 120 days.

465         Due to the long lifetime and wide transport of stratospheric aerosols, the radiative effects  
466 of the pyroCb injected smoke in the stratosphere can be important in the global radiation budget.  
467 The pyroCb aerosols have strong atmospheric warming and surface cooling effects for about 2-3  
468 months after the smoke injection. The atmospheric warming and surface cooling are most  
469 pronounced between 30 - 80°N. Averaging the entire simulation period, the major impact of  
470 stratospheric aerosols is the shortwave surface cooling, which has a spatiotemporal averaged value  
471 of  $-0.334 \text{ W m}^{-2}$  over the north hemisphere from August 13, 2017, to May 31, 2018. The shortwave  
472 radiative effects of stratospheric aerosols also cause warming in the atmosphere by  $0.257 \text{ W m}^{-2}$ .

473         Our simulated AOD from the best ensemble member, 0.2Tg\_BC2\_13km\_gamma6,  
474 matches the derived AOD from SAGE-III well. However, the modeled aerosol extinctions have a  
475 negative bias at the higher altitudes ( $\sim 20 \text{ km}$ ) and a positive bias lower in the stratosphere ( $\sim 16$   
476  $\text{ km}$ ). The combination of the internal mixing state of aerosols in MAM4 and the coarse vertical  
477 resolution in the stratosphere could cause the model to lack fidelity in simulating smoke aerosol  
478 self-lofting and vertical motions. Thus, further studies are needed to get a realistic aerosol mixing  
479 structure in MAM4 and a higher vertical resolution in the stratosphere.

480

481 **Code and data availability:**

482 The model code used in this study is located at <https://doi.org/10.5281/zenodo.6383271>. The  
483 extinction coefficient simulated by EAMv1 can be found at  
484 <https://doi.org/10.5281/zenodo.6383299>. Other EAMv1 simulated outputs are available upon  
485 request from Hsiang-He Lee ([lee1061@llnl.gov](mailto:lee1061@llnl.gov)).

486

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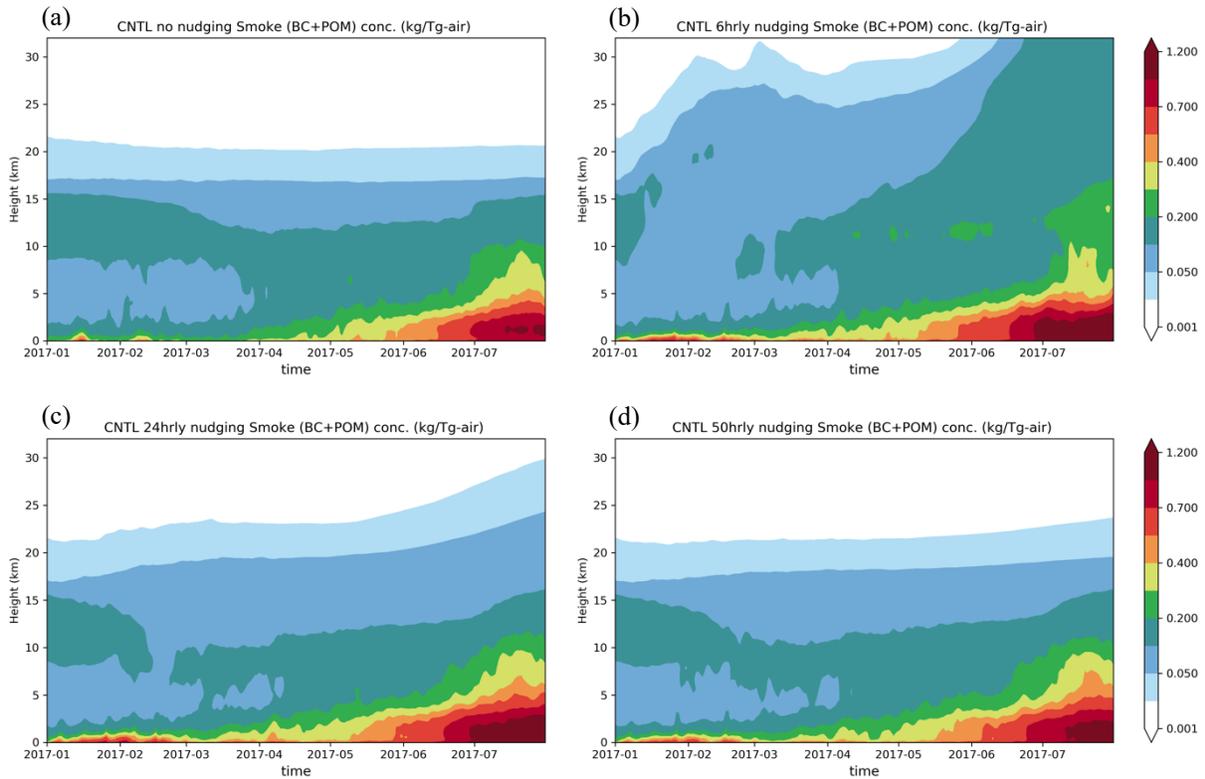
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642 Table 1. The range of parameters describing the smoke injection and the gamma number of the  
643 heterogenous reaction of ozone and particulate organic matter in the ensemble runs  
644

Parameter (unit)	Range
Smoke amount (Tg)	[0.2, 0.3, 0.4]
BC to smoke ratio (%)	[1, 2, 3, 5]
Injection height (km)	[12, 12.5, 13, 13.5]
Gamma number (unitless)	[ $10^{-7}$ , $10^{-6}$ , $10^{-5}$ ]

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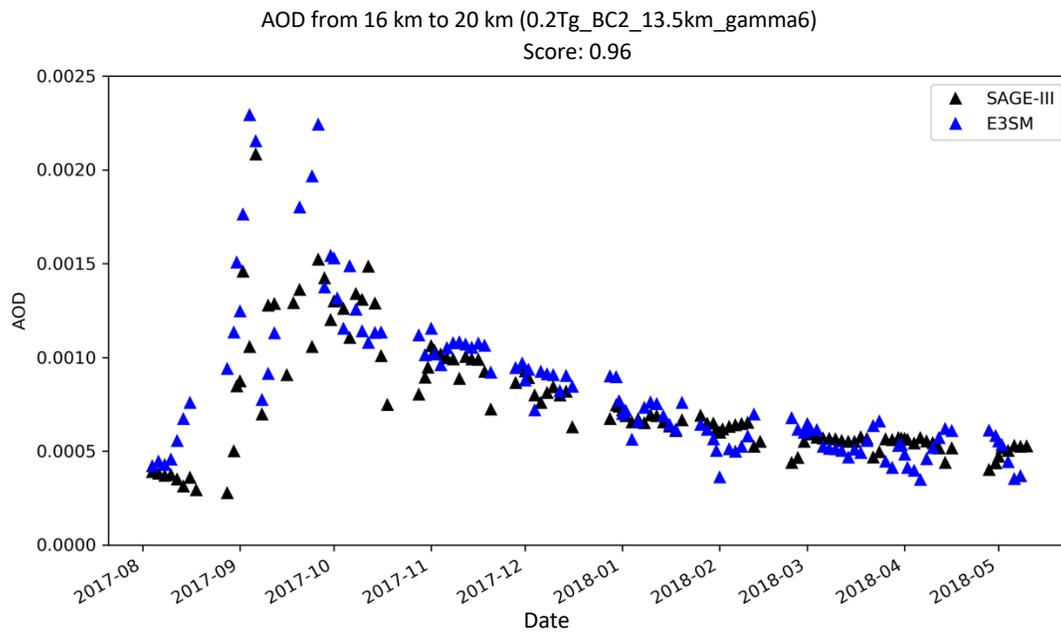
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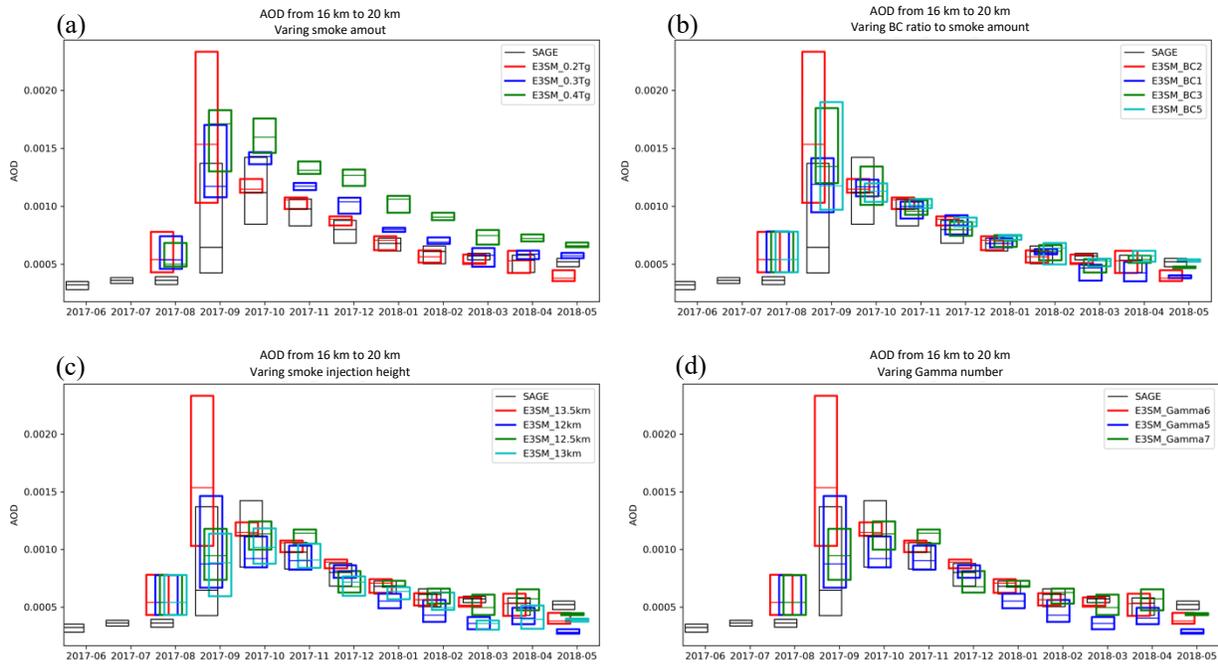
Figure 1. (a) Time series of area-averaged smoke (BC+POM) mass mixing ratio (kg Tg-air<sup>-1</sup>) in the control simulation (CNTL) without meteorological nudging applied. (b) – (d) The same as in (a), but with the horizontal wind components and temperature nudged toward the ERA-Interim reanalysis data with 6, 24, and 50-hour relaxation time scales, respectively. Area is averaged from a latitude of 40°N to 80°N.



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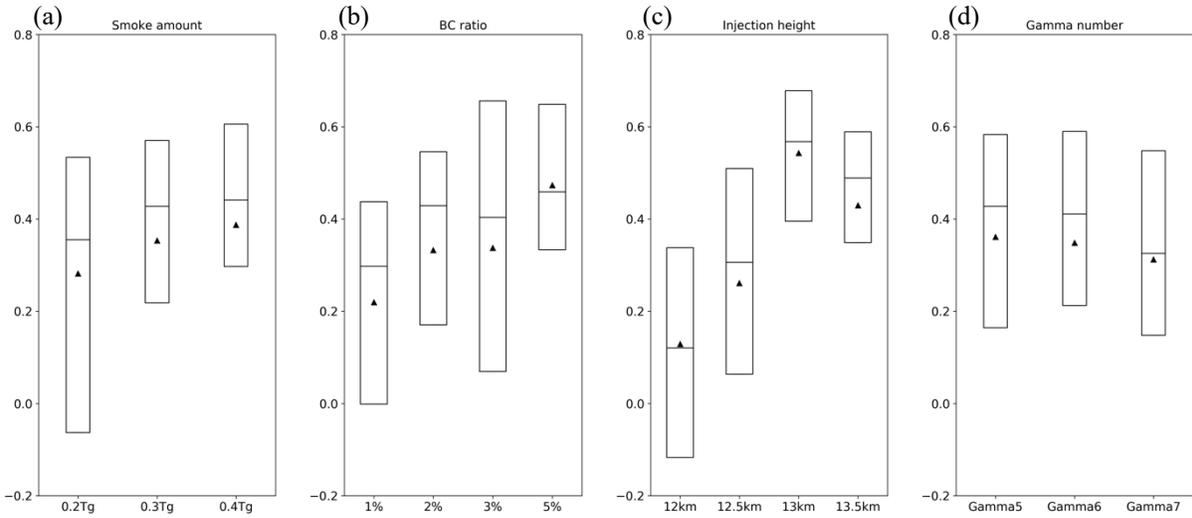
Figure 2. Time series of daily AOD (unitless) integrated from 16 km to 20 km in height. Black triangles are the derived AOD from SAGE-III, while blue triangles indicate the simulated AOD from the best performing ensemble member (0.2Tg\_BC2\_13.5km\_gamma6). The ensemble score is calculated from August 13, 2017 to May 31, 2018.

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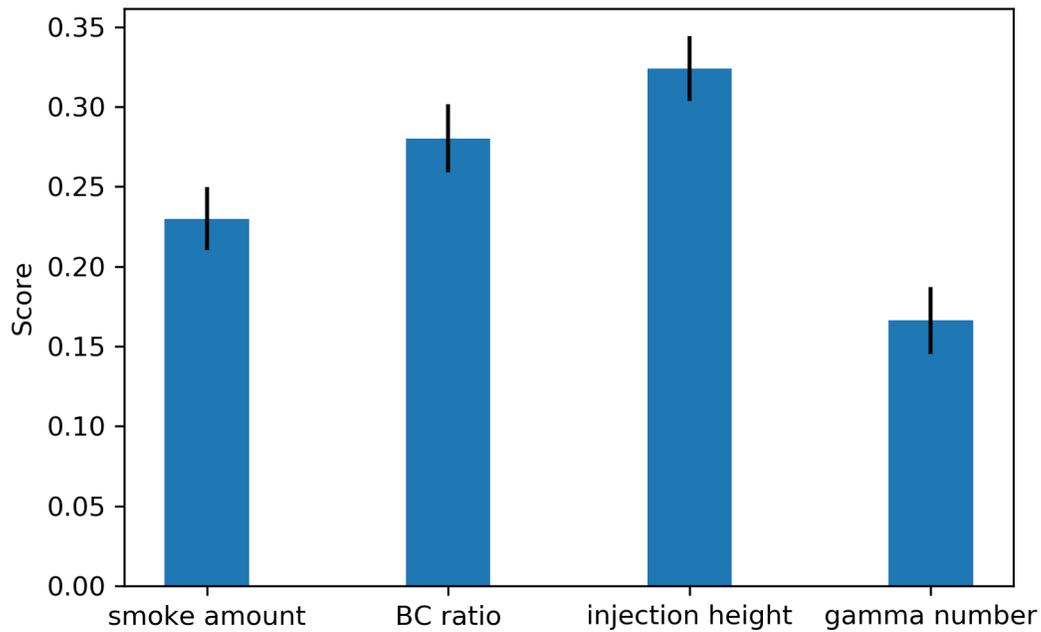
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Figure 3. (a) Boxplot of daily AOD for the best performing ensemble member (0.2Tg\_BC2\_13.5km\_gamma6; red boxes) along with other ensemble members with varied smoke amounts. (b) – (d) The same as (a) but varying BC ratio, injection height, and gamma number, respectively. The black boxes show the retrieved AOD from SAGE-III. The horizontal lines of the boxplot indicate the lower quartile, the median, and the upper quartile of daily AOD.



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 678 Figure 4. The boxplot and the mean of ensemble score for four perturbed parameters. The boxplot  
 679 displays the lower quartile, the median, and the upper quartile of ensemble score for each variable  
 680 number. The triangle is the mean of ensemble score for each variable number. Each ensemble  
 681 score is calculated from August 13, 2017 to May 31, 2018.

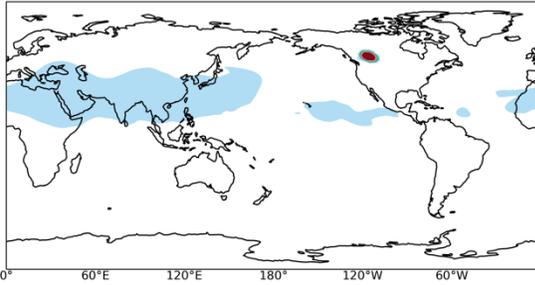
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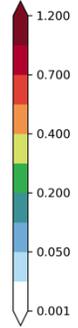
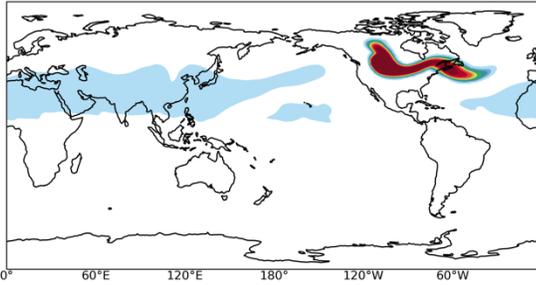
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686 Figure 5. Feature importance calculated by the scikit-learn random forest package. Desired outputs  
687 are high ensemble scores. The feature inputs are listed in Table 1. The error bar is given by the 15  
688 random forest runs with different splitting of trees and training samples. The detail of the model  
689 set up is presented in Section 2.5.  
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(a) 0.2Tg\_BC2\_13.5km\_gamma6 Smoke sum from 16km to 20km  
2017-08-14 00:00:00

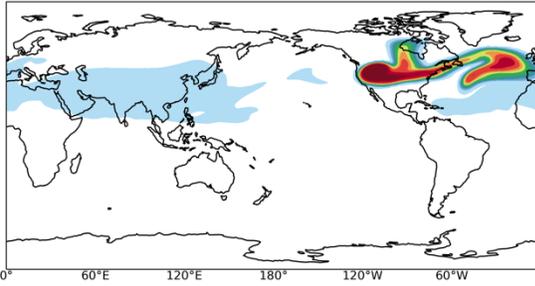


(b) 0.2Tg\_BC2\_13.5km\_gamma6 Smoke sum from 16km to 20km  
2017-08-18 00:00:00

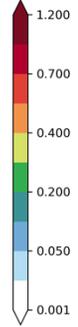
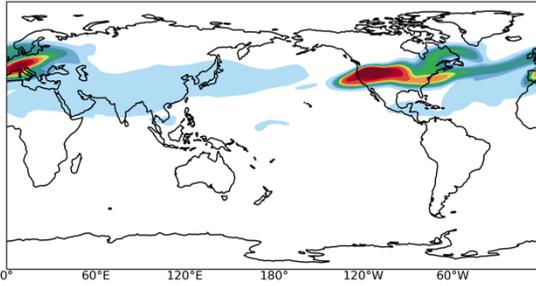


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(c) 0.2Tg\_BC2\_13.5km\_gamma6 Smoke sum from 16km to 20km  
2017-08-21 00:00:00

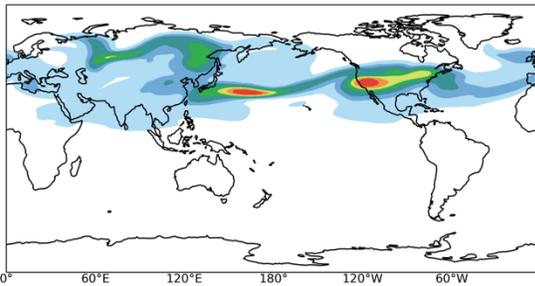


(d) 0.2Tg\_BC2\_13.5km\_gamma6 Smoke sum from 16km to 20km  
2017-08-24 00:00:00

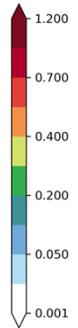
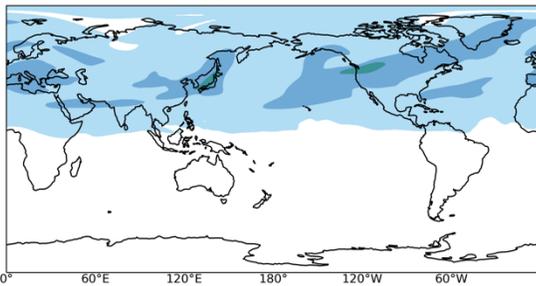


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(e) 0.2Tg\_BC2\_13.5km\_gamma6 Smoke sum from 16km to 20km  
2017-09-01 00:00:00



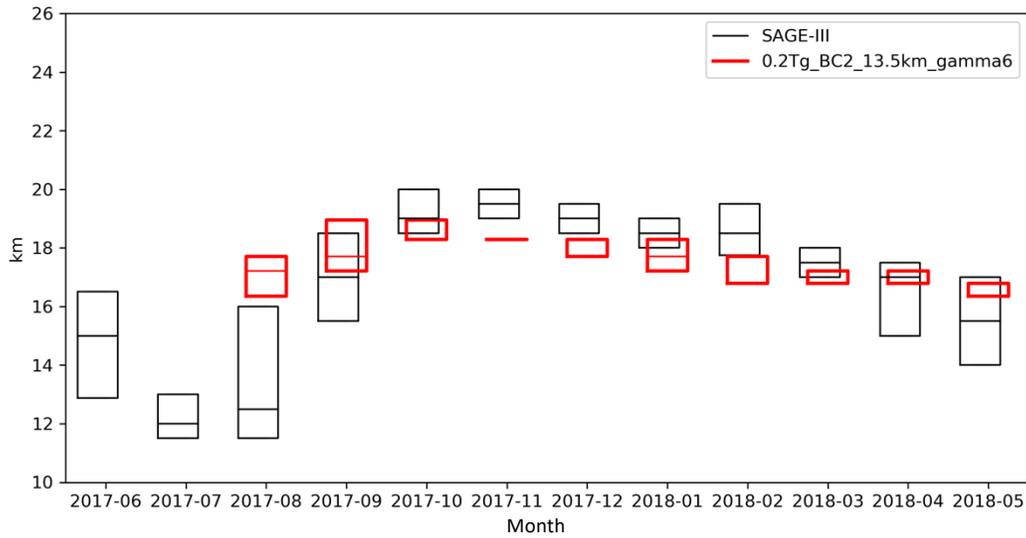
(f) 0.2Tg\_BC2\_13.5km\_gamma6 Smoke sum from 16km to 20km  
2017-09-30 00:00:00



694 Figure 6. Integrated smoke concentration ( $\times 10^{-7}$  kg m<sup>-2</sup>) from 16 km to 20 km in altitude for the  
695 case of 0.2Tg\_BC2\_13.5km\_gamma6. (a) – (f) are the smoke transport on August 14, August 18,  
696 August 21, August 28, September 1, and September 30, 2017, respectively.

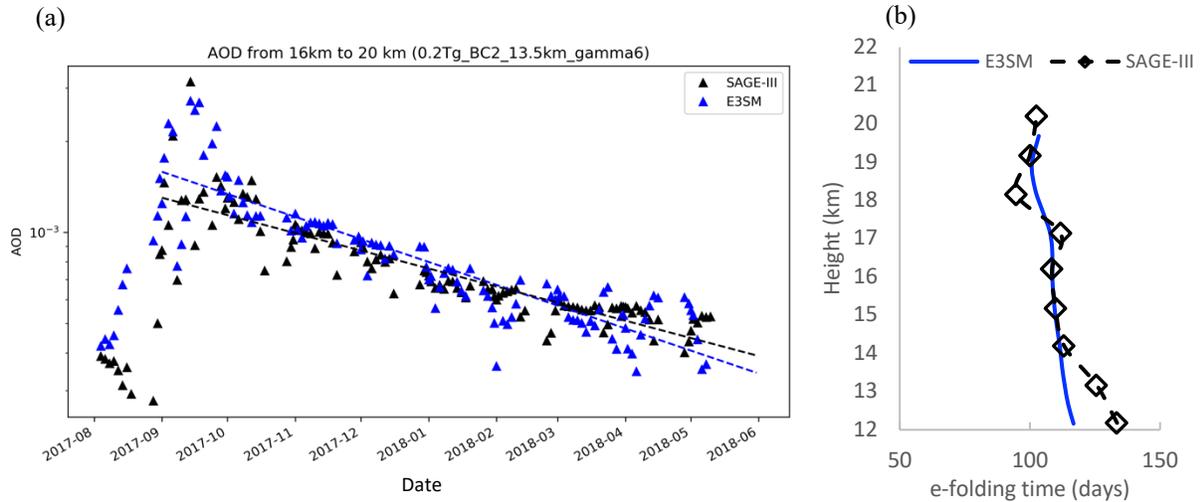
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Figure 7. A boxplot of daily maximum plume height in 0.2Tg\_BC2\_13.5km\_gamma6 (red boxes) compared with SAGE-III observations (black boxes). Plume height is defined as the height at which the aerosol extinction coefficient is greater than  $1.5 \times 10^{-4} \text{ km}^{-1}$ . The boxplot displays the lower quartile, median, and upper quartile of the daily maximum plume height.



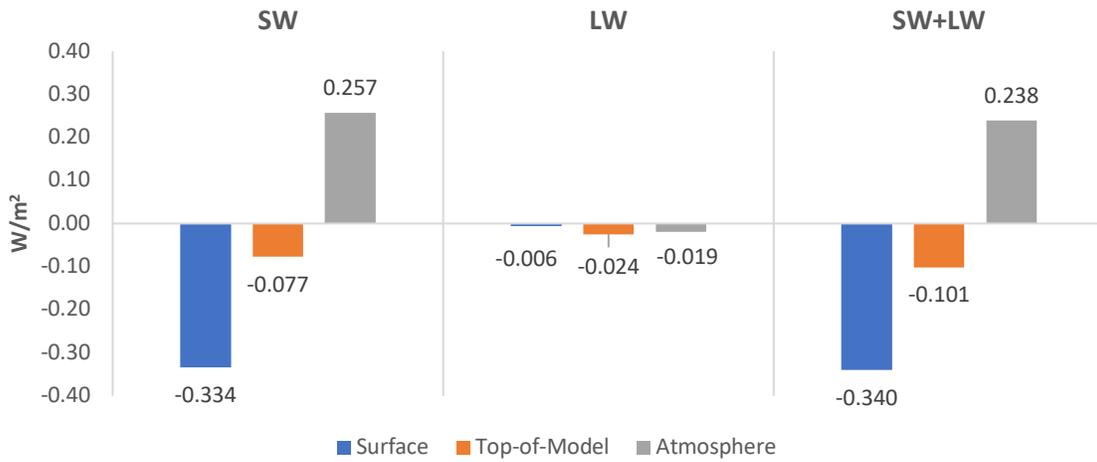
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709 Figure 8. (a) Daily AOD as in Figure 2, but a logarithmic scale is used for the y-axis. The decay  
 710 rate of E3SM AOD (blue dashed line) is calculated starting from September 1, 2017. (b)  
 711 Altitude-dependent lifetime for the smoke column mass (BC+POM) concentration above each  
 712 altitude in 0.2Tg\_BC2\_13.5km\_gamma6 (blue line). Calculated smoke lifetime from SAGE-III  
 713 data is the smoke column optical depth above each altitude shown by the dashed line with  
 714 diamond symbols (as depicted in Yu et al. (2019)).

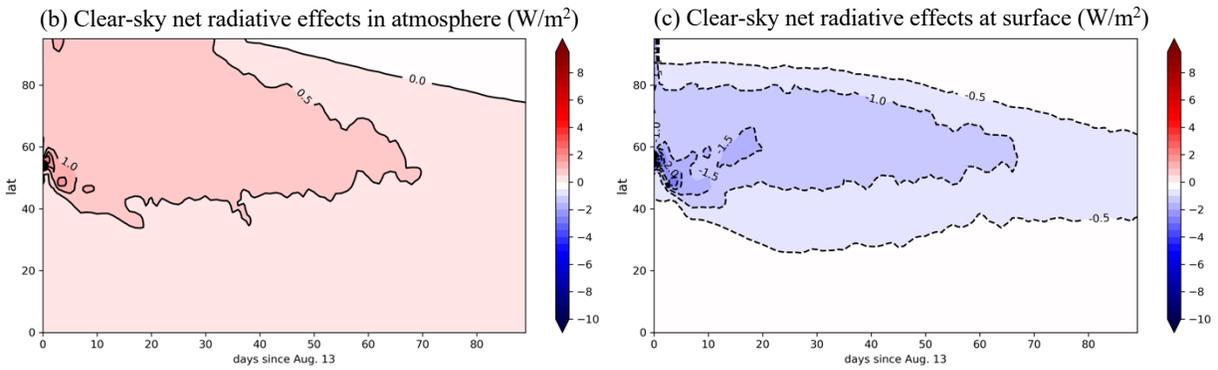
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(a) Stratospheric smoke direct effects



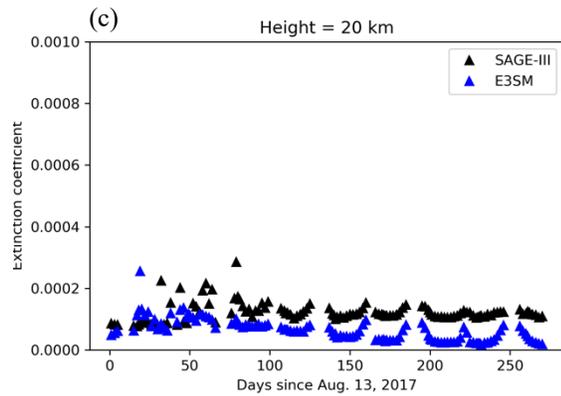
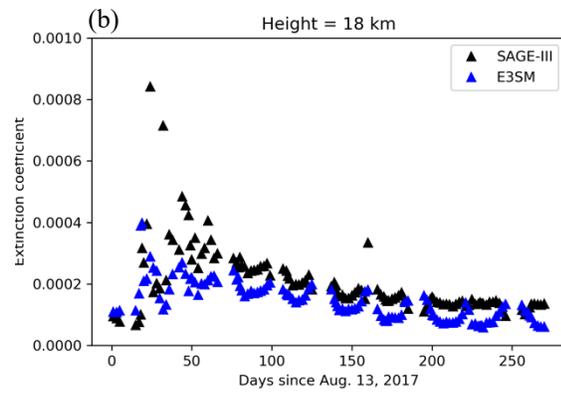
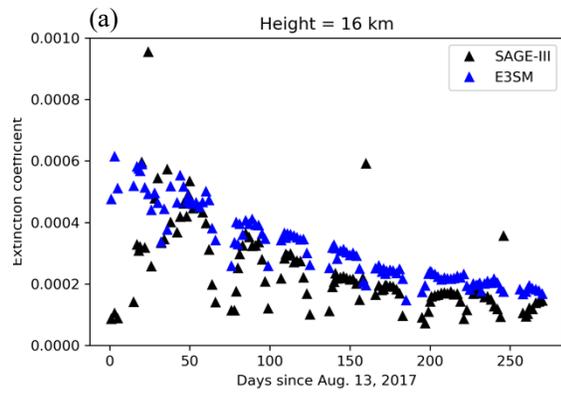
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720 Figure 9. (a) The spatial-temporal averaged radiative effects of simulated smoke aerosols over  
 721 the northern hemisphere from August 13, 2017 to May 31, 2018. (b) Time series of zonal mean  
 722 clear-sky net radiative effects of smoke aerosols in atmosphere. (c) The same as (b) but at the  
 723 surface. Results are from the case 0.2Tg\_BC2\_13.5km\_gamma6.

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729 Figure 10. Time series of daily extinction coefficient (1024 nm wavelength; units:  $\text{km}^{-1}$ ) at (a) 16  
 730 km, (b) 18 km, and (c) 20 km height. Black triangles the data from SAGE-III, while blue triangles  
 731 indicate the simulated extinction coefficient from 0.2Tg\_BC2\_13.5km\_gamma6.

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