

1                   **Surface ozone-meteorology relationships: Spatial**  
2                   **variations and the role of the jet stream**

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10                   **Key Points:**

- 11                   • The relationships among summertime O<sub>3</sub>, temperature, and humidity vary over  
12                   the Northern Hemisphere
- 13                   • Daily variations in meteorology drive the O<sub>3</sub>-meteorology covariance
- 14                   • The jet impacts meridional flow, which acts on the latitudinal O<sub>3</sub> gradient and  
15                   leads to variations in the O<sub>3</sub>-meteorology relationships

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**Abstract**

We investigate the relationships among summertime ozone ( $O_3$ ), temperature, and humidity on daily timescales across the Northern Hemisphere using observations and model simulations. Temperature and humidity are significantly positively correlated with  $O_3$  across continental regions in the mid-latitudes ( $\sim 35\text{--}60^\circ\text{N}$ ). Over the oceans, the relationships are consistently negative. For continental regions outside the mid-latitudes, the  $O_3$ -meteorology correlations are mixed in strength and sign but generally weak. Over some high latitude, low latitude, and marine regions, temperature and humidity are significantly anticorrelated with  $O_3$ . Daily variations in transport patterns linked to the position and meridional movement of the jet stream drive the relationships among  $O_3$ , temperature, and humidity. Within the latitudinal range of the jet, there is an increase (decrease) in  $O_3$ , temperature, and humidity over land with poleward (equatorward) movement of the jet, while over the oceans poleward movement of the jet results in decreases of these fields and vice versa. Beyond the latitudes where the jet traverses, the meridional movement of the jet stream has variable or negligible effects on surface-level  $O_3$ , temperature, and humidity. The  $O_3$ -meteorology relationships are largely the product of the jet-induced changes in the surface-level meridional flow acting on the background meridional  $O_3$  gradient. Our results underscore the importance of considering the role of the jet stream and surface-level flow for the  $O_3$ -meteorology relationships, especially in light of expected changes to these features under climate change.

**Plain Language Summary**

The relationship of ozone ( $O_3$ ) with meteorological variables such as temperature and humidity at the earth's surface varies in strength and sign. Some regions, such as over land in the mid-latitudes, experience increases in  $O_3$  as the temperature or humidity rises. However, this is not the case over the entire Northern Hemisphere. We use detailed computer simulations of atmospheric chemistry to show that these relationships are primarily the result of changes in meteorology, not changes in emissions or chemistry. The relationship between  $O_3$  and meteorological variables is related to the north-south movement of the jet stream, powerful eastward-flowing air currents located near the tropopause that can encircle the hemisphere. Specifically, we find that the jet stream influences the  $O_3$ -meteorology relationships due to its effect on the north- and southward advection of  $O_3$ , temperature, and humidity and not due to cyclones and the associated frontal activity, as has been previously suggested. Our results are relevant for understanding the present-day  $O_3$ -meteorology relationships and how climate change may impact  $O_3$  pollution.

**1 Introduction**

Ambient surface-level ozone ( $O_3$ ) plays a prominent role in atmospheric chemistry (Fiore et al., 2015; Pusede et al., 2015), while posing significant threats to human health (Landrigan et al., 2018) and ecosystem productivity (Tai & Martin, 2017). Long-term trends in observed  $O_3$  in the Northern Hemisphere mid-latitudes reveal sustained, year-round increases in baseline  $O_3$  concentrations (Parrish et al., 2012), underpinning the need for a better understanding of the drivers of  $O_3$  variability. Meteorology strongly affects  $O_3$  concentrations and chemistry through both variations in prevailing weather conditions on daily, seasonal, or interannual timescales as well as long-term trends associated with climate change (e.g., Jacob & Winner, 2009; Fiore et al., 2015; Otero et al., 2016; Lefohn et al., 2018). The meteorological, or transport-related, phenomena that affect  $O_3$  are not cause-and-effect relationships in the same sense as emissions or chemical kinetics and energetics (i.e., temperature-dependent reaction or emissions rates). Rather, the link between  $O_3$  and meteorology reflects a joint association (e.g., high temperatures are often associated with slow-moving anticyclones).

66 Previous studies have focused on characterizing the relationship between O<sub>3</sub> and  
67 temperature or humidity in historical data. Generally these studies found a positive O<sub>3</sub>-  
68 temperature relationship (e.g., Rasmussen et al., 2012, 2013; Pusede et al., 2015) and  
69 a variable O<sub>3</sub>-humidity relationship with substantial latitudinal variability (e.g., Camalier  
70 et al., 2007; Tawfik & Steiner, 2013; Kavassalis & Murphy, 2017). However, the major-  
71 ity of past studies on the O<sub>3</sub>-meteorology relationships focused on populated, industri-  
72 alized portions of the Northern Hemisphere mid-latitudes, potentially overlooking im-  
73 portant variations of these relationships elsewhere. These studies have been conducted  
74 for different and often non-overlapping time periods during which changes of O<sub>3</sub> precu-  
75 rors could affect chemical background conditions (Kim et al., 2006; Derwent et al., 2010;  
76 Cooper et al., 2012; Simon et al., 2015; Lin et al., 2017). Finally, past studies have used  
77 different methodologies (e.g., O<sub>3</sub>-relationships derived from hourly, daily, or seasonal data;  
78 see Brown-Steiner et al. (2015) for additional information). All these factors complicate  
79 direct comparisons from study to study; thus, it is difficult to piece together a compre-  
80 hensive sense of how the O<sub>3</sub>-meteorology relationships vary across the globe and what  
81 processes drive these relationships. Recent work by Kerr et al. (2019) and Porter and  
82 Heald (2019) suggests that greater than 50% of the covariance of O<sub>3</sub> and temperature  
83 in the United States (U.S.) and Europe on daily timescales stems from meteorological  
84 phenomena, not chemistry or emissions. It is an open question whether this also holds  
85 for the O<sub>3</sub>-humidity relationship.

86 There have been several meteorological mechanisms proposed to link O<sub>3</sub> with tem-  
87 perature and humidity. However, little consensus exists as to which mechanism is the  
88 most important and the regions or timescales over which it operates. Baroclinic cyclones  
89 can disperse built-up concentrations of pollution by entraining polluted air from the plan-  
90 etary boundary layer (PBL) into the free troposphere (e.g., Mickley, 2004; Leibensperger  
91 et al., 2008; Knowland et al., 2015, 2017). Quasi-stationary anticyclones such as the Bermuda  
92 High can influence regional climate and O<sub>3</sub> (e.g., Zhu & Liang, 2013). Properties of the  
93 PBL, such as its height, or temperature inversions and mixing within the PBL, have also  
94 been suggested as transport-related mechanisms that affect surface-level O<sub>3</sub> (e.g., Daw-  
95 son et al., 2007; He et al., 2013; ?, ?; Barrett et al., 2019). Winds near the earth’s sur-  
96 face or aloft can ventilate pollution away from its source region (e.g., Camalier et al., 2007;  
97 Hegarty et al., 2007; Tai et al., 2010; Sun et al., 2017). Interactions among the atmo-  
98 sphere, land surface, and biosphere have been proposed to explain the O<sub>3</sub>-humidity re-  
99 lationship in North America (Tawfik & Steiner, 2013; Kavassalis & Murphy, 2017). The  
100 jet stream is a pronounced feature of the general circulation of atmosphere in both the  
101 Northern and Southern Hemisphere mid-latitudes and is characterized by a region of strong  
102 eastward wind aloft. Its existence arises from momentum and heat fluxes forced by tran-  
103 sient eddies, and the jet extends throughout the depth of the troposphere (Woollings et  
104 al., 2010). The variability of surface-level summertime O<sub>3</sub> as well as its relationship with  
105 temperature have been linked to the latitude of the jet stream over eastern North Amer-  
106 ica (Barnes & Fiore, 2013; Shen et al., 2015). Similar connections between the jet po-  
107 sition, persistence of the jet in a given position, and wintertime particulate matter with  
108 a diameter < 2.5 μm (PM<sub>2.5</sub>) have also been demonstrated in Europe (Ordóñez et al.,  
109 2019).

110 The aim of this paper is to document the relationships of surface-level tempera-  
111 ture and specific humidity (henceforth “humidity”) with O<sub>3</sub> in the Northern Hemisphere  
112 during boreal summer and explore the processes responsible for spatial variations of these  
113 relationships. Through our model simulations, we demonstrate that variations in transport-  
114 related processes drive the covariance of O<sub>3</sub> with temperature and humidity on daily timescales.  
115 We build off of the previous regionally-focused work of Barnes and Fiore (2013), Shen  
116 et al. (2015), and Ordóñez et al. (2019) to show the connections between the position  
117 of the jet stream and surface-level temperature, humidity, and O<sub>3</sub> variability hold across  
118 the Northern Hemisphere. Finally, we develop and test hypotheses that tie the jet stream  
119 to the surface-level relationships among O<sub>3</sub>, temperature, and humidity.

## 2 Data and Methodology

### 2.1 Model Simulations

The majority of our analysis of the O<sub>3</sub>-meteorology relationships is performed using simulations of NASA’s Global Modeling Initiative chemical transport model (GMI CTM; Duncan et al., 2007; Strahan et al., 2007, 2013). The GMI CTM is driven by meteorological fields from the Modern-Era Retrospective analysis for Research and Applications, version 2 (MERRA-2; Gelaro et al., 2017). GMI CTM simulations used in this study have 1° latitude x 1.25° longitude horizontal resolution (~ 100 km) with 72 vertical levels, extending from the surface to 0.01 hPa.

The chemical mechanism of the CTM includes tropospheric and stratospheric chemistry with approximately 120 species and over 400 reactions. In addition to the spectrum of chemical processes dependent upon the model meteorology, several aspects of O<sub>3</sub> production and destruction also depend on the meteorology: biogenic emissions (temperature, photosynthetically active radiation), soil emissions of NO<sub>x</sub> (temperature, precipitation), lightning emissions of NO<sub>x</sub> (convective mass flux), wet deposition (wind, clouds, precipitation), and dry deposition (wind, clouds, temperature, pressure). Additional information about the natural and anthropogenic emission inventories and model parameterizations (e.g., biogenic emissions, lightning NO<sub>x</sub>, etc.) is provided in Kerr et al. (2019) and Strode et al. (2015).

The GMI CTM is a proven model to understand surface-level O<sub>3</sub> variability and its drivers (e.g., Duncan et al., 2008; Strode et al., 2015; Kerr et al., 2019). Kerr et al. (2019) evaluated the CTM with observations from an *in-situ* network in the U.S. and showed that the model skillfully simulated the observed daily variability of O<sub>3</sub> during the summer despite a high model bias in the eastern U.S. and low model bias in the western U.S; these biases are common among CTMs (e.g., Brown-Steiner et al., 2015; Guo et al., 2018; Phalitnonkiat et al., 2018).

In this study we focus on the O<sub>3</sub>-meteorology relationships in the Northern Hemisphere for a three-year period (2008–2010) during boreal summer (1 June–31 August). We use O<sub>3</sub> from the model’s surface level, which has a nominal thickness of ~ 130 m. CTM output from the early afternoon (mean 1300–1400 local time), coinciding with the overpass time of the Afternoon Constellation (“A-Train”) of Earth observing satellites, was archived as gridded fields, whereas hourly output was archived only at select sites. We consequently use modeled O<sub>3</sub> from this early afternoon period, noting that this time of day typically represents a time in which the PBL is well-mixed (e.g., Cooper et al., 2012) and daily O<sub>3</sub> concentrations reach their maximum (e.g., Schnell et al., 2014). Considering O<sub>3</sub> during this early afternoon period versus longer averaging periods leads to similar results (Kerr et al., 2019).

Two simulations are analyzed in this study. The first is a control simulation with daily (or sub-daily) variations in meteorology, chemistry, and natural emissions. Anthropogenic emissions in this simulation vary from month to month. Unless otherwise indicated, all subsequent figures and analysis use this control simulation. In a second simulation refer back to as “transport-only,” we isolate the role of transport. Meteorological fields related to transport such as pressure, wind, convection, PBL height, and precipitation (as it affects the vertical transport of O<sub>3</sub> via wet deposition) all vary on daily and sub-daily timescales in this transport-only simulation. The daily variations of other meteorological fields that affect chemistry and emissions (e.g., temperature, clouds and albedo-related variables, surface roughness, specific humidity, soil moisture, and ground wetness) are removed by using a single, monthly mean diurnal curve for each of these fields at each grid cell. Therefore, any process that relies on these variables (e.g., photolytic and kinetic reaction rates, biogenic emissions, dry deposition) is identical for a given time of the diurnal cycle for all days in a particular month. Other non-biogenic

emissions are fixed to a single monthly mean value with no diurnal variations. This transport-only simulation is similar to the “Transport” simulation discussed in Kerr et al. (2019) with the exception that specific humidity is also averaged to a monthly mean diurnal cycle.

## 2.2 Observations

We use *in-situ* observations of  $O_3$  across North America, Europe, and China to examine the observed variations of the  $O_3$ -meteorology relationships and assess the accuracy of the GMI CTM. We choose these regions because their *in-situ* networks, described below, measure and archive  $O_3$  hourly. Since the model outputs  $O_3$  averaged over 1300–1400 hours (local time), comparing this output with hourly  $O_3$  observations averaged over the same time of the day represents the most direct comparison. The lack of *in-situ* networks with observations at a high temporal frequency in many other parts of the world hinders our ability to examine model performance over other regions.

Observations of  $O_3$  from 233 Canadian sites are part of the National Air Pollution Surveillance Network (NAPS), collected and analyzed by Environment and Climate Change Canada (ECCC, 2017). In the U.S. we use observations from the Air Quality System (AQS), which contains  $O_3$  observations collected by the U.S. Environmental Protection Agency and state, local, and tribal air pollution control agencies at 1483 sites (EPA, 2019). The European Monitoring and Evaluation Programme (EMEP) provides  $O_3$  observations at 142 sites in the European Union (Hjellbrekke & Solberg, 2019).

For China we use observations from the Chinese Ministry of Ecology and Environment (MEE) for summers 2016–2017 (Li et al., 2019). Observations are primarily from urban centers, and if a particular Chinese city has  $> 1$  monitor, a city-wide average was computed following Z. Zhao and Wang (2017), resulting in data from 360 Chinese cities. The choice of this 2016 – 2017 time period is because this Chinese observational network did not come online until the mid-2010s. Accordingly, when we assess the performance of the GMI CTM and discuss the observed  $O_3$ -meteorology relationships in China, we use model simulations (Section 2.1) and reanalysis data (Section 2.3) for 2016–2017 rather than the 2008 – 2010 period used elsewhere in this study.

## 2.3 Meteorological Reanalysis

In addition to providing meteorological input to drive the GMI CTM, MERRA-2 is also used to determine the relationships between  $O_3$  and meteorology. Several of the observational networks detailed in Section 2.2 lack co-located meteorological observations, and Varotsos et al. (2013) commented that lack of co-located  $O_3$  and temperature (or other meteorological) observations necessitates the use of gridded products to examine the relationships between  $O_3$  and meteorology.

MERRA-2 meteorological fields are not available at the satellite overpass times sampled by the GMI CTM simulations (Section 2.1). We calculate daily averages from the following MERRA-2 fields: hourly surface-level (10-m) zonal ( $U_{10}$ ) and meridional ( $V_{10}$ ) wind, three-hourly 2-m specific humidity ( $q$ ), three-hourly 500 hPa zonal wind ( $U_{500}$ ), and hourly PBL height ( $PBLH$ ). Daily 2-m maximum temperature ( $T$ ) is computed as the maximum of hourly values. Our use of daily maximum temperature follows Zhang and Wang (2016) and Meehl et al. (2018).

There are uncertainties associated with an assimilated product like MERRA-2, but Bosilovich et al. (2015) presented evidence that MERRA-2 provides a very good quality reanalysis data set. As the MERRA-2 data have higher horizontal resolution than the GMI CTM ( $0.5^\circ$  latitude  $\times$   $0.625^\circ$  longitude for MERRA-2 versus  $1^\circ$  latitude  $\times$   $1.25^\circ$  longitude for the CTM), we degrade the MERRA-2 data to the resolution of the CTM using xESMF, a universal regridding tool for geospatial data (Zhuang, 2018).

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## 2.4 Methodology

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### 2.4.1 Statistical analysis

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We use the Pearson product-moment correlation coefficient and the slope of the ordinary least squares (OLS) regression (denoted  $r(x, y)$  and  $dy/dx$  for variables  $x$  and  $y$ , respectively) to (1) quantify the O<sub>3</sub>-meteorology relationships on daily timescales and (2) evaluate the ability of the GMI CTM to accurately simulate observed O<sub>3</sub> from the *in-situ* networks detailed in Section 2.2. The correlation coefficient is a parametric test that measures the degree of linear correlation between  $x$  and  $y$ , and the OLS regression describes the linear relationship between  $x$  (explanatory variable) and  $y$  (dependent variable).

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The serial dependence (persistence) in our meteorological and chemical data black-  
uces the effective sample size by an amount not known *a priori* and inhibits the use of  
traditional hypothesis testing methods such as *t*-tests to evaluate significance (Zwiers  
& von Storch, 1995; Wilks, 1997; Mudelsee, 2003). Therefore, we use moving block boot-  
strapping to quantify the significance of the correlation coefficient. While traditional boot-  
strapping resamples individual, independent values of the time series, moving block boot-  
strapping resamples continuous subsets of the time series with blocklength  $L$  and does  
not destroy the ordering responsible for the persistence (Wilks, 2011). At each grid cell  
we synthetically construct a null distribution of 10000 bootstrapped realizations of the  
correlation coefficient (Mudelsee, 2014) and use  $L = 10$  days. As a rule of thumb, block-  
lengths should generally exceed the decorrelation time. More rigorous methods for op-  
timizing  $L$  exist, but we find that  $L = 10$  is adequate for our application and our re-  
sults are not sensitive to the exact value of  $L$ . To evaluate the significance, we estimate  
the 95% confidence interval using the percentile method of the bootstrapped values (i.e.,  
the 95% confidence interval of our 10000 realizations is given by the 250th and 9750th  
sorted values). If this confidence interval does not contain zero, we declare the correla-  
tion coefficient significant.

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### 2.4.2 Jet stream position

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We define the latitude of the jet ( $\phi_{jet}$ ) as the latitude of maximum zonal winds at  
500 hPa ( $U_{500}$ ) on each day. This approach to determine  $\phi_{jet}$  follows Barnes and Fiore  
(2013) but differs in two ways: (1) Barnes and Fiore (2013) determined  $\phi_{jet}$  using  $U_{500}$   
averaged over the eastern North America zonal sector. We determine  $\phi_{jet}$  locally (at each  
longitudinal grid cell) and between 20–70°N; (2) After finding the maximum  $U_{500}$  for  
each longitude, we employ a simple moving average that is essentially a convolution of  
daily  $\phi_{jet}$  of a general rectangular pulse with width  $\sim 10^\circ$ . This approach removes large  
changes (abrupt latitudinal shifts) in  $\phi_{jet}$  with longitude. Using smoothed versus un-  
smoothed data or different pulse widths yields similar overall findings in this study.

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### 2.4.3 Cyclone detection and tracking

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To assess the impact of extratropical cyclones on surface-level O<sub>3</sub>, we use the MAP  
Climatology of Mid-latitude Storminess (MCMS) database to locate cyclones (Bauer &  
Genio, 2006; Bauer et al., 2016). Within MCMS, cyclones are detected as minima in the  
ERA-Interim sea level pressure (SLP) dataset (Dee et al., 2011) and are subject to ad-  
ditional filters to screen for spurious detections. Once detected, MCMS tracks cyclones  
with criteria that require gradual changes in SLP, no sudden changes in direction, and  
cyclones travel distances less than 720 km over single six-hourly time steps. Additional  
details can be found in Bauer and Genio (2006) and Bauer et al. (2016).

### 3 Global O<sub>3</sub> distribution and evaluation

We begin with an analysis of the distribution and variability of modeled surface-level O<sub>3</sub> during summer (Figure 1a). Concentrations of O<sub>3</sub> are highest ( $\sim 30\text{--}60$  ppbv) in a broad mid-latitude band over continental regions extending from  $20\text{--}50^\circ\text{N}$ . The GMI CTM suggests that O<sub>3</sub> is not zonally-symmetric within this mid-latitude band and that the highest mean concentrations ( $> 50$  ppbv) are in the Middle East and central and eastern Asia. Outside of the mid-latitudes, the CTM simulates lower O<sub>3</sub> concentrations ( $< 30$  ppbv), and the lowest concentrations in the hemisphere ( $< 15$  ppbv) are found in the remote tropical marine atmosphere. We characterize the daily variability of O<sub>3</sub> by the standard deviation, and two levels (8 and 10 ppbv) are highlighted with the thin dashed and thick contours in Figure 1a. The hemispheric distribution of mean summertime surface O<sub>3</sub> and its variability in Figure 1a is consistent with simulations from other models in a recent model intercomparison (Turnock et al., 2020).

To illustrate the possible influence of anthropogenic emissions on the spatial variability of mean O<sub>3</sub> concentrations, we show mean annual anthropogenic NO<sub>x</sub> emission data from the Emissions Database for Global Atmospheric Research (EDGAR; Crippa et al., 2018) at their native resolution ( $0.1^\circ$  latitude  $\times$   $0.1^\circ$  longitude) in Figure 1b. EDGAR is used in the GMI CTM, but is overwritten by regional inventories, if available. To first order, regions with the highest O<sub>3</sub> concentrations and largest O<sub>3</sub> variability generally coincide with industrialized regions that have high precursor emissions (Figure 1).

We evaluate whether the modeled O<sub>3</sub> distribution shown in Figure 1a is realistic using the correlation coefficient, calculated for CTM grid cells containing *in-situ* monitors (Section 2.2). The temporal correlation between modeled and observed O<sub>3</sub>  $> 0.5$  in the vast majority of grid cells (Figure 2). The strength of the correlation is slightly weaker in central China than other parts of China or Europe and North America (compare Figures 2c and 2a-b), but there are no other readily-detectable spatial patterns regarding the strength of the correlation.

The primary goal of our study is to document the O<sub>3</sub>-meteorology relationships in terms of the strength of the temporal correlation of O<sub>3</sub> with temperature and humidity. Thus, the model's ability to accurately reproduce this covariance (Figure 2) is the relevant litmus test for model performance. Recent studies by Strode et al. (2015) and Kerr et al. (2019) have shown that the GMI CTM can reproduce the meteorological- and emissions-driven variability of summertime O<sub>3</sub> as well as the O<sub>3</sub>-temperature relationship over the U.S. On account of these studies and our analysis in Figure 2, the GMI CTM is a suitable tool to address our research questions. The agreement between the observed and modeled O<sub>3</sub>-meteorology correlations will be explored in the following section (Section 4), and this analysis will also support our use of the GMI CTM to simulate the covariance of O<sub>3</sub> with temperature or humidity.

### 4 O<sub>3</sub>-meteorology relationships

In this section we describe the relationships among O<sub>3</sub>, temperature, and humidity on daily timescales in the Northern Hemisphere during summer. We primarily use the GMI CTM but also compare the modeled relationships to observed values. As discussed in the Introduction (Section 1), other studies have focused mainly on subsets of the Northern Hemisphere mid-latitudes, but our examination of the relationships across the entire hemisphere allows us to have a more holistic sense of the synoptic-scale variations of these relationships.

In the mid-latitudes ( $\sim 30\text{--}60^\circ\text{N}$ ), statistically-significant positive values of  $r(T, O_3)$  are simulated by the CTM throughout North America and Eurasia (Figure 3a), but over virtually all the oceans  $r(T, O_3)$  is negative. Poleward of the mid-latitudes, the strength of  $r(T, O_3)$  decreases nearly monotonically over land, reaching either weak values or sig-

316 nificantly negative correlations (Figure 3a). The  $O_3$ -temperature relationship is varied  
 317 equatorward of the mid-latitudes; but, in the zonal mean,  $r(T, O_3)$  decreases to nega-  
 318 tive values south of  $30^\circ N$ . Previous work by Rasmussen et al. (2012) and Brown-Steiner  
 319 et al. (2015) in the U.S. and Han et al. (2020) and Lu, Zhang, Chen, et al. (2019) in China  
 320 showed a similar latitudinal gradient of  $r(T, O_3)$ . Despite the general tendency of a positive-  
 321 to-negative relationship between  $O_3$  and temperature with decreasing latitude, there are  
 322 regions at low latitudes with significant positive correlations between  $O_3$  and temper-  
 323 ature (Central America, Sahel, the south coast of the Arabian Peninsula, Indo-Gangetic  
 324 Plain; Figure 3a).

325 The sign of  $r(q, O_3)$  generally transitions from significantly positive in the conti-  
 326 nental mid-latitudes to significantly negative over continental regions at higher and lower  
 327 latitudes and over the oceans (Figure 3b). Unlike  $r(T, O_3)$ , the sign of  $r(q, O_3)$  outside  
 328 of the mid-latitudes is more spatially uniform. The only exceptions to the widespread  
 329 negative correlations occur over small parts of the Mediterranean Sea and Caribbean and  
 330 Indian Oceans (Figure 3b). These results are supported by modeling and observational  
 331 studies in the U.S. and China, which indicate  $r(q, O_3) > 0$  in the northern U.S. and China  
 332 and  $r(q, O_3) < 0$  in southern U.S. and China (e.g., Tawfik & Steiner, 2013; Kavassalis  
 333 & Murphy, 2017; Li et al., 2019).

334 In continental regions of the mid-latitudes, temperature is a better predictor of  
 335  $O_3$  than specific humidity, as  $r(T, O_3) > r(q, O_3)$ . Other studies support temperature  
 336 as a leading covariate in the mid-latitudes (e.g., Camalier et al., 2007; Porter et al., 2015;  
 337 Otero et al., 2016; Sun et al., 2017; Kerr & Waugh, 2018).

338 Many other studies report  $dO_3/dT$  (Rasmussen et al., 2012; S. Zhao et al., 2013;  
 339 Brown-Steiner et al., 2015; Kerr et al., 2019; Porter & Heald, 2019), and we also present  
 340  $dO_3/dT$  and  $dO_3/dq$  in Figure S1a-b for comparisons with these other studies. The spa-  
 341 tial variations of the slopes shown in Figure S1a-b are qualitatively similar to  $r(T, O_3)$   
 342 and  $r(q, O_3)$  shown in Figure 3, as is expected by construction. We also note that the  
 343 large-scale patterns in Figure 3 are preserved whether  $r(T, O_3)$  and  $r(q, O_3)$  or  $dO_3/dT$   
 344 and  $dO_3/dq$  are calculated with daily data aggregated over summers 2008–2010 or with  
 345 daily data from individual summers.

346 To test whether the modeled  $O_3$ -meteorology relationships are realistic, we calcu-  
 347 late  $r(T, O_3)$  and  $r(q, O_3)$  from the *in-situ* networks described in Section 2.2. The strength  
 348 of the zonally-averaged values of observed and modeled  $r(T, O_3)$  and  $r(q, O_3)$  generally  
 349 reaches a maximum around  $50^\circ N$  across four distinct regions (Figure 4). In Europe and  
 350 the eastern U.S., the CTM slightly overestimates the strength of  $r(T, O_3)$  and  $r(q, O_3)$   
 351 by  $\sim 0.1$ – $0.3$ , similar to other studies (e.g., Brown-Steiner et al., 2015; Kerr et al., 2019).  
 352 Observations are sparse outside of the mid-latitudes. A small number of AQS monitors  
 353 in Alaska and NAPS monitors in northern Canada supports the transition of  $r(T, O_3)$   
 354 and  $r(q, O_3)$  from positive to negative at high latitudes that is suggested by the model  
 355 (Figure 4).

356 In summary, the observation- and model-based analysis of the relationships among  
 357 surface-level  $O_3$ , temperature, and humidity reveals substantial variability across the North-  
 358 ern Hemisphere during summer. The terrestrial mid-latitudes ( $\sim 30$ – $60^\circ N$ ) stand out  
 359 as the largest, most spatially-coherent region with significant positive relationships of  
 360  $O_3$  with temperature and humidity (Figures 3-4). The  $O_3$ -meteorology relationships are  
 361 negative over nearly all marine regions, while they are mixed in sign and often not sig-  
 362 nificant at high and low latitude continental regions (Figures 3-4).

## 363 5 Factors causing the $O_3$ -meteorology relationships

364 The  $O_3$ -meteorology relationships in Figure 3 are far from uniform, and their spa-  
 365 tial structure begs the question: what factors drive these relationships? In Section 1, we

discussed several direct and indirect drivers that have been linked to  $O_3$  variability, such as emissions, chemistry, and transport. Recent work has shown that transport-related processes are key contributors to the  $O_3$ -temperature relationship in the U.S. and Europe (Kerr et al., 2019; Porter & Heald, 2019), and we expand on these previous findings and examine the covariance of  $O_3$  with temperature and humidity over the Northern Hemisphere. We do this using the transport-only GMI CTM simulation in which the daily variability of chemistry and emissions are fixed (Section 2.1).

The transport-only simulation achieves similar mean  $O_3$  concentrations as the control simulation (compare Figures 1a and S2a). Percentage differences in mean  $O_3$  between simulations are generally less than  $\pm 5\%$ , suggesting that the non-linearities underpinning  $O_3$  chemistry do not drastically change mean  $O_3$  concentrations when day-to-day variations in chemistry- and emissions-related processes are removed. Regions in Figure 1a with high  $NO_x$  (and presumably other precursor) emissions such as the eastern U.S., Europe, and China experience the largest decrease in mean  $O_3$  concentrations as the daily variability of chemistry- and emissions-related processes are removed (Figure S2).

The  $O_3$ -meteorology relationships calculated with  $O_3$  from the transport-only simulation are remarkably similar to the same quantities from the control simulation (e.g., compare Figures S1a-b and S1c-d), emphasizing the dominance of transport on these relationships. Over most of the oceans and a majority of the continental regions in the Northern Hemisphere, the strength of the  $O_3$ -meteorology relationships slightly increases in the transport-only simulation (negative values in Figures 5, S1e-f). The hatching in Figures 5 and S1e-f indicates that the significance of the  $O_3$ -meteorology relationships is largely retained when only daily variations in transport-related processes are considered.

There are a few regions such as the eastern U.S. and southeast Asia where the daily variability of chemistry and emissions appears important for the  $O_3$ -meteorology relationships (Figures 5, S1e-f). In these regions the strength of the correlation and the magnitude of the slopes decreases up to  $\sim 50\%$  in the transport-only simulation and the correlation coefficient switches from significant to not significant. We note that these regions have high levels of anthropogenic emissions (e.g.,  $NO_x$ ; Figure 1b) and biogenic emissions (e.g., isoprene; Guenther et al., 2012). Further work is warranted to understand how emissions (and the chemical processes linking emissions to  $O_3$  production) contribute to the  $O_3$ -meteorology relationships in these regions.

Although *daily* variations in chemistry- and emissions-related processes do not drive the  $O_3$ -meteorology relationships across the Northern Hemisphere, the importance of chemistry and emissions in setting the background state should not be ignored. As an example, we note that many regions where  $r(q, O_3) < 0$  such as the tropical and subtropical oceans (Figure 3b) are generally characterized by persistent high humidity (not shown) and low  $NO_x$  (Figure 1b). The negative  $O_3$ -humidity relationship in these regions could partially arise from the  $O_3 + HO_x$  sink (e.g., Johnson et al., 1999) or other relevant chemistry- and emissions-related processes since these processes are included in our transport-only simulation, just without day-to-day variations.

These results answer our original question whether daily variations in transport, chemistry, or emissions are primarily responsible for the  $O_3$ -meteorology relationships, but they also raise the question of which aspect(s) of transport links temperature and humidity to  $O_3$ . In the next section we investigate the role of the jet stream on surface-level temperature, humidity, and  $O_3$ , and we also develop and test hypotheses to link synoptic-scale flow aloft to meteorology and composition at the surface.

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## 5.1 The role of the jet stream

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Barnes and Fiore (2013) determined that the largest  $O_3$  variability and peak strength of  $r(T, O_3)$  are located near  $\phi_{jet}$  in the eastern U.S. These results were further explored by Shen et al. (2015) who found that  $O_3$  responded to seasonal variations in the position of the jet stream and that a poleward shift of the jet increased  $O_3$  concentrations south of the jet. In this section we expand upon this previous work and document the response of surface-level  $O_3$ , temperature, and humidity to daily changes in  $\phi_{jet}$  across the Northern Hemisphere.

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The time-averaged latitude of the jet stream ( $\overline{\phi_{jet}}$ ) is shown by the scatter points in Figure 1, and  $\overline{\phi_{jet}}$  averaged over the entire hemisphere is  $50.1^\circ N$ . The variability of the jet, cast in terms of the standard deviation, averaged over the Northern Hemisphere is  $10.5^\circ$ , but its variability is not constant throughout the hemisphere (vertical bars in Figure 1). Rather, we note the largest variability over continental regions, particularly Eurasia ( $\sim 20^\circ$ ), and smaller variability over maritime regions, coinciding with the Atlantic and Pacific storm tracks. The position of the jet is only one metric to describe the jet stream, and other jet-related measures exist (e.g., strength of the jet, waviness). Our focus on  $\phi_{jet}$  rather than other metrics is based on Ordóñez et al. (2019) who found that  $\phi_{jet}$  exerts a stronger influence than the strength of the jet on surface-level pollution extremes.

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The maximum variability of  $O_3$  (Figure 1a) and the strength of the  $O_3$ -meteorology correlations (Figures 3-5) peak at or slightly south of  $\phi_{jet}$ , and  $\phi_{jet}$  also separates regions with elevated  $O_3$  concentrations to its south from regions with low ( $< 30$  ppbv) concentrations to its north (Figure 1a). These results are consistent with Barnes and Fiore (2013); however, it is worth pointing out a couple of exceptions: (1) In Asia,  $O_3$  variability peaks over a broader latitudinal range, extending from  $\phi_{jet}$  to  $\sim 20^\circ N$  (Figure 1). (2) There are regions with significant positive values of  $r(T, O_3)$  such as the Sahel and India that do not coincide with  $\phi_{jet}$  (Figure 3a). Our current work also reveals the weak-to-negative correlation between  $O_3$  and humidity or temperature for marine environments and some high and low latitudes.

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To further examine the role of the jet stream on the  $O_3$ -meteorology relationships, we segregate summer days into two subsets: days when the jet stream is in poleward (PW) and equatorward (EW) position. Days classified as PW (EW) are days in which  $\phi_{jet}$  exceeds (is less than) the 70th (30th) percentile of all daily  $\phi_{jet}$  at each longitudinal grid cell. We construct composites of  $O_3$ , temperature, and humidity by identifying the average value of these fields on days with a PW or EW jet stream and thereafter calculate the difference of these PW and EW composites.

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The difference in the PW and EW composites (PW - EW) of  $O_3$ , temperature, and humidity are positive in the mid-latitudes over land (Figure 6), which indicates that these fields increase when the jet is in a more northerly position. The positive values are generally significant (hatching in Figure 6), coincide with the latitudinal band over which the jet stream migrates, and persist  $10 - 15^\circ$  north and south of  $\overline{\phi_{jet}}$  over land. Outside the continental mid-latitudes, the association between the position of the jet and  $O_3$ , temperature, or humidity is weak and not statistically significant (Figure 6).

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In contrast, there is a difference in the response of  $O_3$  to the jet stream versus temperature and humidity over the mid-latitude ocean basins. In the case of  $O_3$ , a poleward movement of the jet decreases  $O_3$  over the oceans (Figure 6a), which could reflect land-ocean asymmetries  $O_3$  and its precursors. This will be further explored in Section 5.3. On the other hand, temperature and humidity increase over the oceans as the jet shifts poleward, akin to the behavior of these variables over land (Figure 6b-c). The impact of the jet stream on  $O_3$ , temperature, and humidity outside of the mid-latitudes is largely not significant (Figure 6).

465 For completeness, maps of the correlation of jet distance with the variables in Fig-  
 466 ure 6 are shown in Figure S3. We note that the strength of the correlation between  $\phi_{jet}$   
 467 and  $O_3$  and meteorology is weaker than  $r(T, O_3)$  and  $r(q, O_3)$ , and the spatial extent of  
 468 areas with significant correlations is smaller (compare Figures 3 and S3).

469 While the response of  $O_3$  and meteorological fields to the meridional movement of  
 470 the jet stream is consistent in its sign in the mid-latitudes over land, there are some re-  
 471 gions outside of the continental mid-latitudes where jet movement leads to increases of  
 472 one variable and decreases of another. China is an example of this. As the jet migrates  
 473 poleward,  $O_3$  significantly increases, as it does throughout the mid-latitudes; however,  
 474 temperature remains more or less constant, and humidity slightly decreases (Figures 6,  
 475 S3). This discrepancy and others evident in Figures 6 and S3, particularly those at lower  
 476 latitudes and over the oceans, are beyond the scope of this study, but future studies should  
 477 further examine and address regions where  $O_3$ , temperature, and humidity are decou-  
 478 pled from the jet in this manner.

479 Having uncovered the dominant role of transport and the connections with the  
 480 jet, we next explore transport-related processes that might be responsible for the rela-  
 481 tionships among surface-level  $O_3$ , the jet stream, and meteorology. As cyclones are commonly-  
 482 invoked to explain  $O_3$  variability, we begin by showing the impact of the jet stream on  
 483 cyclone frequency and, in turn, the effect of cyclones on  $O_3$ . We then explore and dis-  
 484 cuss how the jet stream affects the surface-level meridional flow and commensurate changes  
 485 in  $O_3$ , temperature, and humidity.

## 486 5.2 Cyclones

487 Mid-latitude baroclinic cyclones follow a storm track dictated by the jet stream,  
 488 and changes in  $\phi_{jet}$  affect the location of this storm track (e.g., Shen et al., 2015). To  
 489 assess the dependence of cyclone frequency on  $\phi_{jet}$ , we show the spatial distribution of  
 490 the climatological frequency of cyclones detected by MCMS (Section 2.4.3) in Figure 7a.  
 491 The highest frequency of mid-latitude cyclone detections largely follows  $\phi_{jet}$  and is off-  
 492 set north of the jet by  $\sim 10^\circ$  over North America. In other regions such as eastern Asia  
 493 the peak cyclone frequency occurs in a broader latitudinal band, extending north and  
 494 south of  $\phi_{jet}$  by  $\sim 15^\circ$  (Figure 7a).

495 We identify the subset of days with a poleward-shifted or equatorward-shifted jet  
 496 using the 70th and 30th percentiles of the daily latitudes of the jet stream, as previously  
 497 described, to determine the dependence of cyclones on  $\phi_{jet}$ . We thereafter determine the  
 498 frequency of cyclones on these subsets of days and show the difference (Figure 7b). The  
 499 meridional movement of the jet affects cyclones in two different ways. First, the total  
 500 number of cyclones on days when the jet is in a poleward position is 15% less than on  
 501 days when the jet is equatorward. Second, the storm track shifts alongside the jet, and  
 502 cyclones are more highly concentrated about  $\phi_{jet}$  when the jet is equatorward compared  
 503 with when it is poleward (Figure 7b).

504 The decrease and latitudinal shift in cyclone frequency with meridional movements  
 505 of the jet stream could be the transport-related mechanism responsible for the above  $O_3$ -  
 506 meteorology relationships. The cold fronts associated with mid-latitude cyclones have  
 507 been suggested as a mechanism for the ventilation of the eastern U.S. (Mickley, 2004),  
 508 and Knowland et al. (2015) and Jaeglé et al. (2017) demonstrated how cyclones dis-  
 509 tribute  $O_3$ , its precursors, and other pollutants vertically and horizontally in the at-  
 510 mosphere. We assess the impact of cyclones on surface-level  $O_3$  by further filtering the  
 511 cyclones from the MCMS dataset (Section 2.4.3), requiring that a particular cyclone (1)  
 512 occurs over land and (2) is detected for  $\geq 2$  six-hourly time steps to allow us to calcu-  
 513 late the direction of propagation. We then rotate cyclones following Knowland et al. (2015)  
 514 and Knowland et al. (2017) such that they propagate to the right of Figure 8 to account  
 515 for the impact of different ascending and descending airstreams within the cyclones. Ap-

516 plying these filters to cyclones in summers 2008 – 2010 yields  $\sim 730$  cyclones with an  
 517 average lifetime of  $\sim 54$  hours. The mean direction of cyclone propagation is east-southeast  
 518 ( $\sim 120^\circ$ , where  $0^\circ$  is north). Though we have only considered black cyclones occurring over  
 519 land in this analysis, compositing all land- and ocean-based cyclones produces  $O_3$  anom-  
 520 alies of similar magnitude.

521 The largest negative  $O_3$  anomaly occurs in the “cold sector” of the cyclone, and  
 522 the largest positive anomaly occurs in the “warm sector.” However, these positive and  
 523 negative anomalies cancel each other when averaged over the footprint of the cyclones,  
 524 leading to a net  $\sim 0$  ppbv change in  $O_3$  (Figure 8). Comparing our results with con-  
 525 ceptual models and case studies of baroclinic cyclones (e.g., Cooper et al., 2004; Polvani  
 526 & Esler, 2007) hints that the positive anomalies in Figure 8 occur near the warm con-  
 527 veyor belt (WCB), where there is likely polluted air entrained from the PBL and lower  
 528 troposphere. On the other hand, the largest negative anomalies are found in the vicini-  
 529 ty of the dry intrusion (DI) and could be influenced by cleaner air entrained from the  
 530 upper troposphere or lower stratosphere. The roles of the WCB in ventilating pollution  
 531 from the PBL and the cleaner air brought to the PBL by the DI could cancel each other  
 532 out and be one reason for the small increases and decreases in surface-level  $O_3$ .

533 If cyclones were the mechanism that linked  $\phi_{jet}$  to surface-level  $O_3$ , we might ex-  
 534 pect that the cyclones-driven impact on  $O_3$  would be  $> 6$  ppbv in the mid-latitudes, sim-  
 535 ilar to the impact that  $\phi_{jet}$  has on  $O_3$  (Figure 6a). However, our analysis in Figure 8 in-  
 536 dicates that, on average, cyclones have a much weaker effect on surface-level  $O_3$ , despite  
 537 the connections between cyclones and the jet stream (Figure 7b). There is, though, sub-  
 538 stantial variability among individual cyclones (the standard deviation of the  $O_3$  anomaly  
 539 is a factor of  $\sim 6$  greater than the largest anomaly; Figure 8). As such, some cyclones  
 540 might be effective at blackening surface-level  $O_3$ , but this is far from the case for all cy-  
 541 clones.

542 Other studies support the small role of cyclones on surface-level  $O_3$ . Knowland et  
 543 al. (2015) showed that the surface-level  $O_3$  anomaly associated with springtime cyclones  
 544 in the North Atlantic and Pacific is small (i.e.,  $-5 < \delta O_3 < 5$  ppbv); however, they  
 545 found a larger impact when examining the mid- to upper-level  $O_3$  anomalies. Moreover,  
 546 Leibensperger et al. (2008) found a negative correlation between the number of  $O_3$  pol-  
 547 lution events and the number of mid-latitude cyclones passing through the southern cli-  
 548 matological storm track ( $\sim 40-50^\circ N$ ) over eastern North America on interannual timescales,  
 549 but Turner et al. (2012) demonstrated that the cyclone- $O_3$  correlation is weak, and cy-  
 550 clone frequency explains less than 10% of the variability of  $O_3$  pollution events in the  
 551 region.

552 In summary, while the storm track dictating the preferred location of baroclinic  
 553 cyclones shifts with the jet (Figure 7b), cyclones are likely not the key mechanism con-  
 554 trolling  $O_3$  variability in the Northern Hemisphere mid-latitudes as they only explain  
 555 a small fraction of the changes of  $O_3$  associated with daily migrations of the jet (Fig-  
 556 ure 8).

### 557 5.3 Meridional transport

558 The ventilation and dilution of the PBL, the surface-level zonal flow ( $U_{10}$ ), or the  
 559 total wind ( $\overline{U_{10}}$ ) could link the position of the jet stream to surface-level  $O_3$ . However,  
 560 an analysis of  $PBLH$ ,  $U_{10}$ , and  $\overline{U_{10}}$  rules out these variables as drivers of the  $O_3$ -jet re-  
 561 lationship (Text S1-S2, Figures S4-5). To summarize:  $\phi_{jet}$  is not significantly correlated  
 562 with variations in  $PBLH$  and  $\overline{U_{10}}$  throughout the majority of the Northern Hemisphere.  
 563 Similar to our analysis of cyclones in Figures 7-8,  $U_{10}$  is significantly correlated with  $\phi_{jet}$   
 564 throughout parts of the mid-latitudes but not correlated with  $O_3$  independently of the  
 565 jet.

566 However, the surface-level meridional flow ( $V_{10}$ ) is significantly correlated with the  
 567 position of the jet in the mid-latitudes (Figure 9a). When the jet is in a poleward po-  
 568 sition,  $V_{10}$  increases by more than 2 m/s throughout the mid-latitudes with the largest  
 569 increases centred over the oceans (Figure 9a). In the mid-latitudes, time-averaged  $V_{10}$   
 570 is varied in sign but generally weak ( $-0.5 < V_{10} < 0.5$ ), so the large values of  $V_{10}$  ac-  
 571 companying a poleward jet represent a large increase in the southerly flow.

572 In addition to its connections with  $\phi_{jet}$ ,  $V_{10}$  is significantly positively correlated  
 573 with  $O_3$  in the continental mid-latitudes (Figure 9b). Here, the strength of  $r(V_{10}, O_3)$   
 574 rivals that of  $r(T, O_3)$  and  $r(q, O_3)$  (compare Figures 9b and 3), suggesting that the surface-  
 575 level meridional flow is also a key covariate of  $O_3$  variability on daily timescales. Equa-  
 576 torward of the mid-latitudes (particularly for  $\sim 10 - 30^\circ\text{N}$ ),  $V_{10}$  is significantly nega-  
 577 tively correlated with  $O_3$ , while  $r(V_{10}, O_3)$  is not significant poleward of the mid-latitudes.  
 578 Thus far we have shown significant positive relationships among  $\phi_{jet}$ ,  $V_{10}$ ,  $O_3$ , and the  
 579 meteorological variables in the mid-latitudes (Figures 6a, 9). When the jet is poleward,  
 580 surface-level meridional flow becomes strongly southerly, and there is significant pole-  
 581 ward advection of  $O_3$ , temperature, and humidity.

582 We posit that the relationships of  $O_3$  with  $\phi_{jet}$  and the meteorological variables  
 583 are largely the product of surface-level meridional flow acting on the latitudinal back-  
 584 ground gradients. Ozone generally peaks south of  $\phi_{jet}$  (Figure 1a), so there are nega-  
 585 tive gradients in the vicinity of the jet (Figure 9b). These negative gradients are well-  
 586 aligned with the regions where there is increased southerly flow at the surface when the  
 587 jet is poleward (Figure 9a). This configuration serves to advect higher concentrations  
 588 of  $O_3$  into the mid-latitudes when the jet is poleward. Although not shown here, the lat-  
 589 itudinal gradients of temperature and humidity are broadly similar to  $dO_3/d\phi$  inasmuch  
 590 as they are positive south of the mid-latitudes. When surface-level southerly flow increases,  
 591 these gradients favor increases of temperature and humidity in the mid-latitudes, as is  
 592 evident in Figure 6b-c.

593 The importance of the background gradient can also partially explain the negative  
 594  $O_3$ -jet relationships over the oceans. Latitudes where  $dO_3/d\phi > 0$  often extend farther  
 595 poleward over the oceans than over land. For example, over the Pacific storm track  $dO_3/d\phi >$   
 596  $0$ , while  $dO_3/d\phi < 0$  between  $\sim 20$  and  $40^\circ\text{N}$  in the Pacific (Figure 9b). Under these  
 597 conditions, increased southerly flow associated with the poleward movement of the jet  
 598 would decrease  $O_3$  (i.e., a negative  $O_3$ -jet relationship). Other factors also may be im-  
 599 portant in marine environments. For example, strong surface-level zonal winds in the  
 600 vicinity of the Atlantic and Pacific storm tracks may lead to zonal gradients that are as  
 601 important as the meridional background gradients investigated in this section.

602 The importance of both meridional flow and the latitudinal background gradient  
 603 has been the subject of recent studies for  $O_3$  and other trace gases. Keppel-Aleks et al.  
 604 (2012) showed that the daily variability of total column carbon dioxide was dominated  
 605 by non-local effects and primarily reflects the synoptic scale latitudinal carbon dioxide  
 606 gradient. Changes in the mean meridional circulation (specifically the extratropical stratospheric-  
 607 to-tropospheric transport associated with the Southern Hemisphere Hadley Cell) have  
 608 been suggested to explain recent trends in Southern Hemisphere tropospheric  $O_3$  (Lu,  
 609 Zhang, Zhao, et al., 2019). On smaller spatial scales, transport-related features favor-  
 610 ing southerly flow (e.g., the nocturnal low-level jet in the U.S.) are important for explain-  
 611 ing  $O_3$  in the PBL (Taubman et al., 2004). Our future work will further elucidate the  
 612 main physical features that link the jet stream, surface-level meridional flow, and back-  
 613 ground tracer gradients.

614 In the mid-latitudes, the meridional vacillation of the jet stream impacts the surface-  
 615 level meridional flow (Figure 9a). The meridional flow, in turn, plays a profound role in  
 616 surface-level  $O_3$  variability (Figure 9b). Temperature, humidity, and  $O_3$  are generally  
 617 higher south of  $\phi_{jet}$ , and the meridional flow acts on their background gradients and leads

618 to the coupling between the jet stream and  $O_3$  and the meteorological variables shown  
619 in Figure 6.

## 620 **6 Conclusions**

621 The primary intent of this study was to document the relationships among surface-  
622 level  $O_3$ , temperature, and humidity and explore the cause(s) of these relationships. Both  
623 observations and the GMI CTM support substantial spatial variations in  $r(T, O_3)$  and  
624  $r(q, O_3)$ . In continental regions of the mid-latitudes ( $\sim 30\text{--}60^\circ\text{N}$ ), the  $O_3$ -meteorology  
625 relationships are significantly positive (Figures 3-4). The  $O_3$ -meteorology relationships  
626 are significantly negative over the oceans (Figure 3). For other continental regions out-  
627 side the mid-latitudes,  $r(T, O_3)$  and  $r(q, O_3)$  are generally weak and often not statisti-  
628 cally significant, but we have shown regions at low latitudes (e.g., Central America, Sa-  
629 hel) that are exceptions to this rule-of-thumb (Figure 3).

630 Our transport-only GMI CTM simulation indicates that the  $O_3$ -temperature and  
631  $O_3$ -humidity relationships are largely driven by transport-related phenomena on daily  
632 timescales (Figure 5). We stress that these findings do not trivialize the importance of  
633 chemistry and emissions. Chemistry- and emissions-related processes are essential for  
634 setting the background state for the production of a secondary pollutant such as  $O_3$ ; how-  
635 ever, daily variations in these processes are not the dominant drivers of  $O_3$  variability  
636 or its covariance with temperature and humidity. Our results showcasing the dominant  
637 role of transport are in line with previous work by Kerr et al. (2019) and Porter and Heald  
638 (2019), which showed that a majority of the  $O_3$ -temperature relationship in the U.S. and  
639 Europe derive from meteorological phenomena.

640 The variability of surface-level  $O_3$ , temperature, and humidity are linked to the merid-  
641 ional movement of the jet stream in the Northern Hemisphere mid-latitudes. This re-  
642 sult extends previous work focusing on the eastern U.S. (e.g., Barnes & Fiore, 2013; Shen  
643 et al., 2015) to the entire Northern Hemisphere. Over land in the mid-latitudes, a pole-  
644 ward (equatorward) shift of the jet is associated with increased (decreased) surface-level  
645  $O_3$ , temperature, and humidity (Figures 6, S3). Over the oceans, temperature and hu-  
646 midity respond to this meridional vacillation of the jet in the same fashion as over land,  
647 but the poleward (equatorward) movement of the jet decreases (increases)  $O_3$ .

648 We ultimately found that the jet influences these surface-level fields by means of  
649 changes in the surface-level meridional flow. On days when the jet is in a poleward po-  
650 sition, the pronounced southerly flow in the mid-latitudes together with the latitudinal  
651 gradients of  $O_3$ , temperature, and humidity generally lead to increases of  $O_3$ , temper-  
652 ature, and humidity in the mid-latitudes (Figures 6, 9). We have shown clear land-ocean  
653 differences in the relationships among  $O_3$ , temperature or specific humidity, and the jet  
654 stream (Figures 3, 6, S3). We partially attribute these the land-ocean contrasts to dif-  
655 ferences in the latitudinal gradient of  $O_3$  over land versus over the ocean (Figure 9b).

656 Establishing the spatial variations of the  $O_3$ -meteorology relationships is a prereq-  
657 uisite to understand which regions could experience an “ $O_3$ -climate penalty” (Wu et al.,  
658 2008) under future climatic changes. As the  $O_3$ -meteorology relationships in the present-  
659 day climate are far from uniform in both magnitude and sign, it is unlikely that future  
660 changes in the climate will affect  $O_3$  uniformly. Furthermore, as the relationships among  
661  $O_3$ , temperature, and humidity are driven by an indirect association with transport, cau-  
662 tion should be used when applying any measures of the current sensitivity of  $O_3$  to me-  
663 teorological variables (e.g.,  $dO_3/dT$  or  $dO_3/dq$  from Figure S1) to future climatic changes.

664 Overall, our results demonstrate the importance of the position of the jet stream  
665 and surface-level meridional flow on  $O_3$  variability in the Northern Hemisphere, both of  
666 which will be affected by the future climate (e.g., Barnes & Polvani, 2013; Shaw & Voigt,  
667 2015; Grise et al., 2019). A robust poleward displacement of the jet stream is expected

668 in the twenty-first century, while changes to other properties of the jet (i.e., variations  
 669 in speed; north-south movement) will exhibit spatial heterogeneity (Barnes & Polvani,  
 670 2013). The effect of these changes on surface-level O<sub>3</sub> needs to be exploblack.

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 675 tained at [gmao.gsfc.nasa.gov/reanalysis/MERRA-2/](http://gmao.gsfc.nasa.gov/reanalysis/MERRA-2/). NASA GMI CTM output is pub-  
 676 licly available on the data portal for the NASA Center for Climate Simulation ([portal](http://portal.nccs.nasa.gov/datashare/dirac)  
 677 [.nccs.nasa.gov/datashare/dirac](http://portal.nccs.nasa.gov/datashare/dirac)). The control simulation can be found at the fol-  
 678 lowing path: [/gmidata2/users/mrdamon/Hindcast-Family/HindcastMR2/](http://gmidata2/users/mrdamon/Hindcast-Family/HindcastMR2/). Hourly ob-  
 679 servations of O<sub>3</sub> are available for (1) China at [beijingair.sinaapp.com](http://beijingair.sinaapp.com), (2) the Eu-  
 680 ropean Union at [projects.nilu.no//ccc/emepdata.html](http://projects.nilu.no//ccc/emepdata.html), (3) Canada at [maps-cartes](http://maps-cartes.ec.gc.ca/rnspa-naps/data.aspx)  
 681 [.ec.gc.ca/rnspa-naps/data.aspx](http://maps-cartes.ec.gc.ca/rnspa-naps/data.aspx), and (4) the U.S. at [aq5.epa.gov/aq5web/airdata/](http://aq5.epa.gov/aq5web/airdata/download_files.html)  
 682 [download\\_files.html](http://aq5.epa.gov/aq5web/airdata/download_files.html). MCMS development is supported by NASA's Earth Science Pro-  
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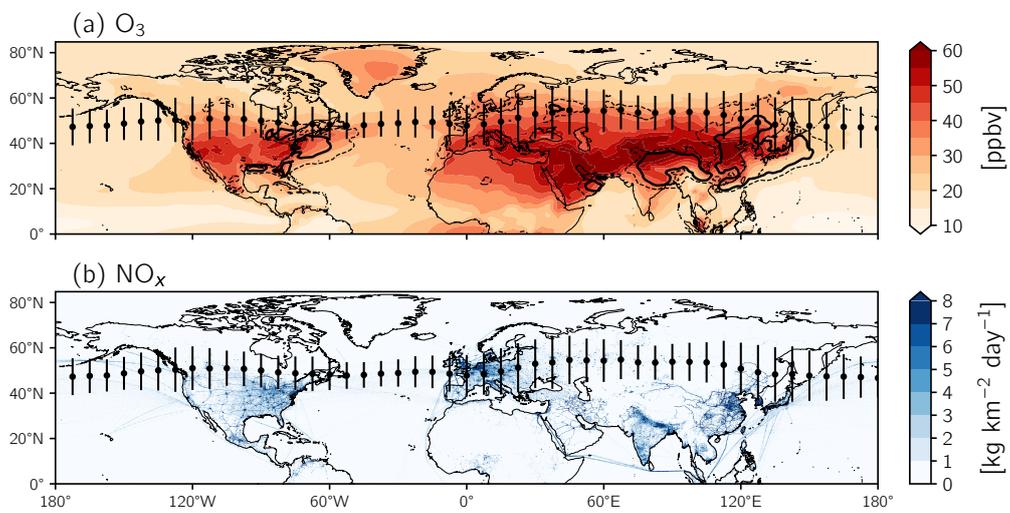
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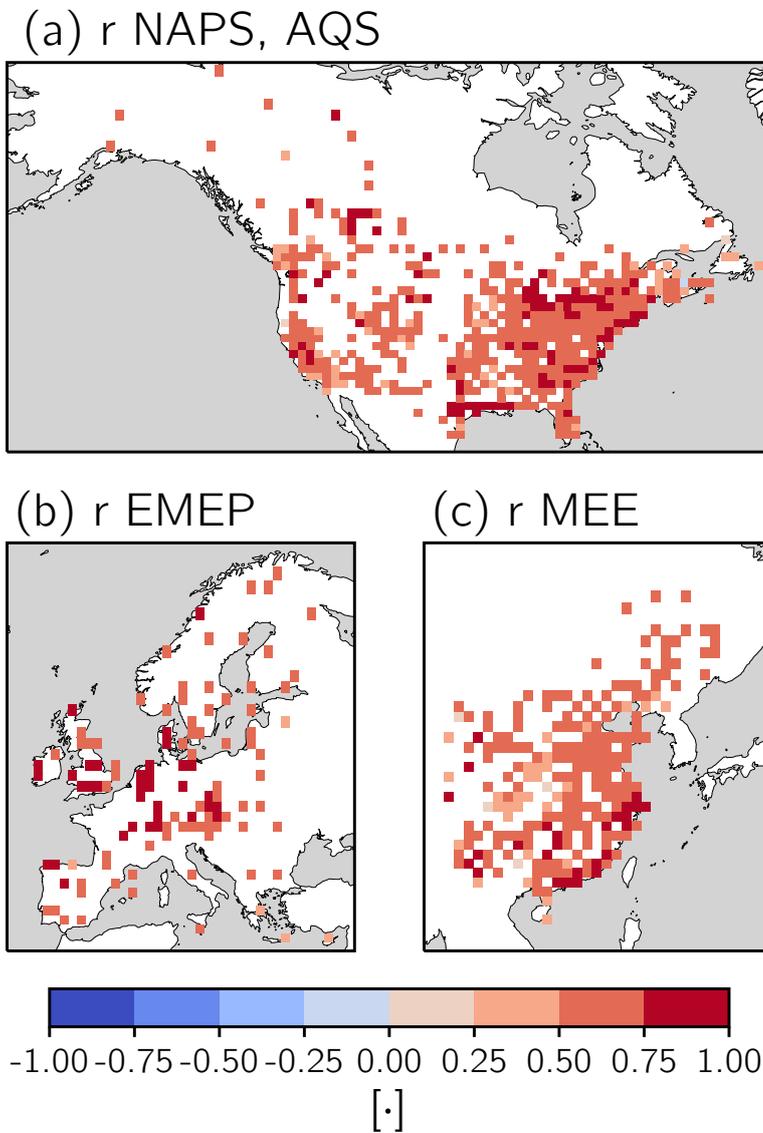
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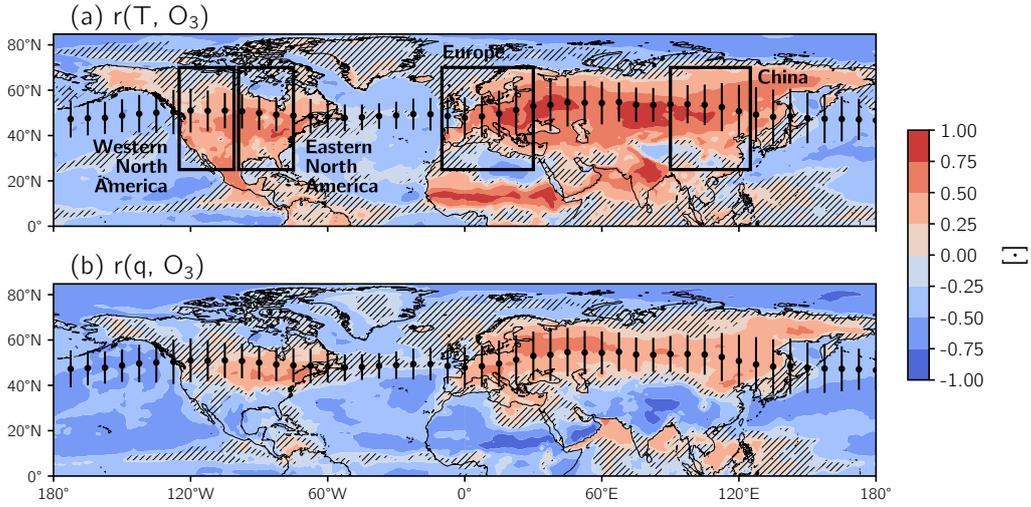
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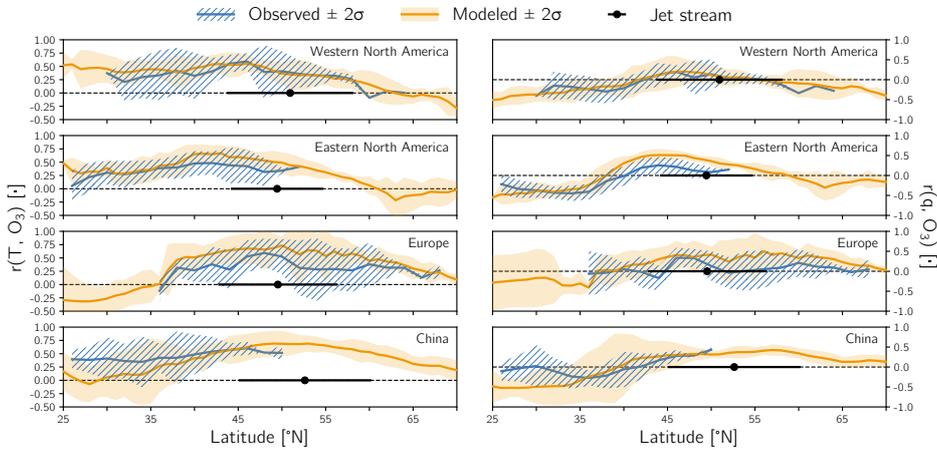
**Figure 1.** (a) Time-averaged O<sub>3</sub> from the surface-level of the GMI CTM (coloblack shading). Black contours indicate O<sub>3</sub> variability (standard deviation): thin dashed contour, 8 ppbv; thick contour, 10 ppbv. (b) Time-averaged anthropogenic NO<sub>x</sub> emissions from EDGAR. Scatter points and vertical bars in (a-b) specify the mean position and variability of the jet stream, respectively.



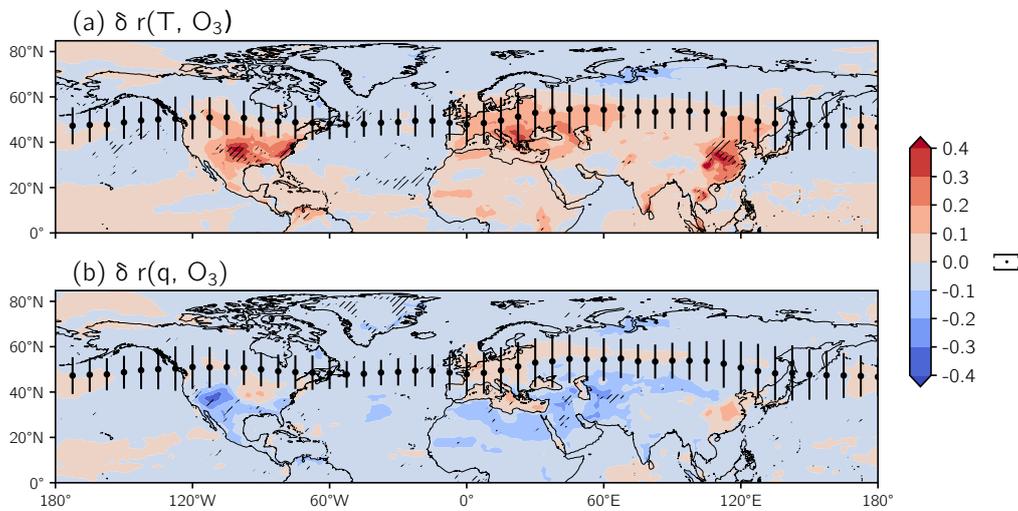
**Figure 2.** The correlation coefficient calculated between daily modeled  $O_3$  from the GMI CTM and observed  $O_3$  for model grid cells containing *in-situ* monitor(s). If there is  $> 1$  monitor in a grid cell, all  $O_3$  observations are averaged to produce a grid cell average prior to computing the correlation coefficient. The networks in (a) North America, (b) Europe, and (c) China from which monitor-based observations have been derived are indicated in the subplots' titles. Note that the time period for the model-observation comparison in (a-b) is 2008 – 2010 but is 2016 – 2017 in (c), due to limited observations in China during earlier years.



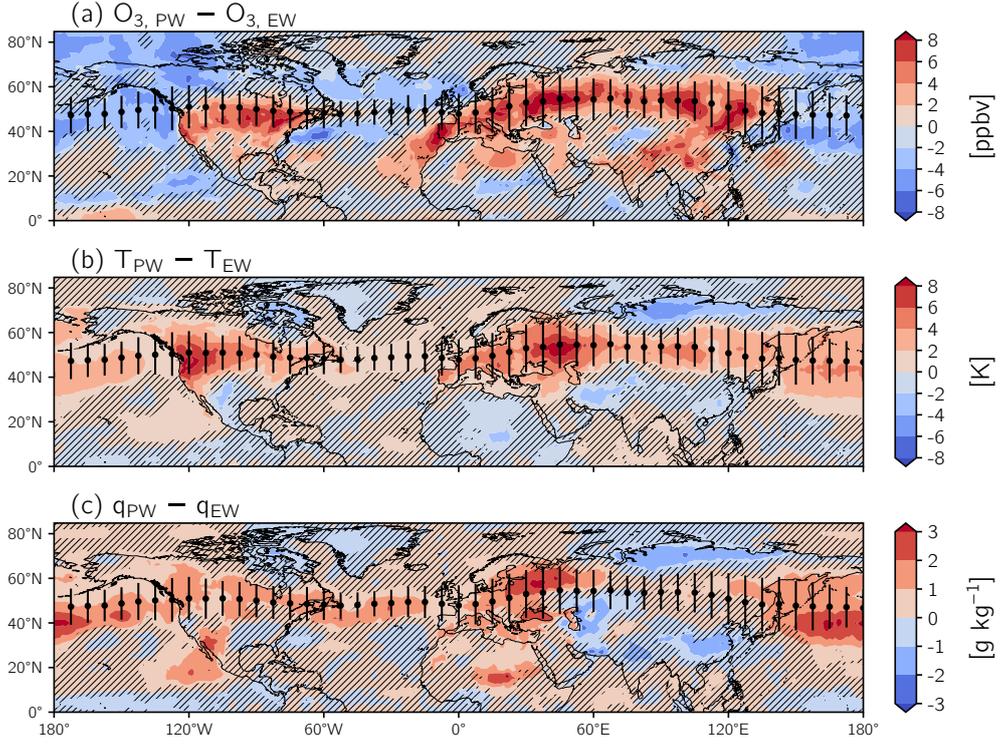
**Figure 3.** (a) The correlation coefficient calculated between  $O_3$  from the GMI CTM and MERRA-2 temperature,  $r(T, O_3)$ . Hatching denotes regions where the correlation is not statistically significant, determined using moving block bootstrap resampling to estimate the 95% confidence interval. (b) Same as (a) but for the correlation coefficient calculated between  $O_3$  and MERRA-2 specific humidity,  $r(q, O_3)$ . Scatter points and vertical bars in (a-b) specify the mean position and variability of the jet stream, respectively. Black boxes in (a) outline the regions over which zonal averages were performed in Figure 4.



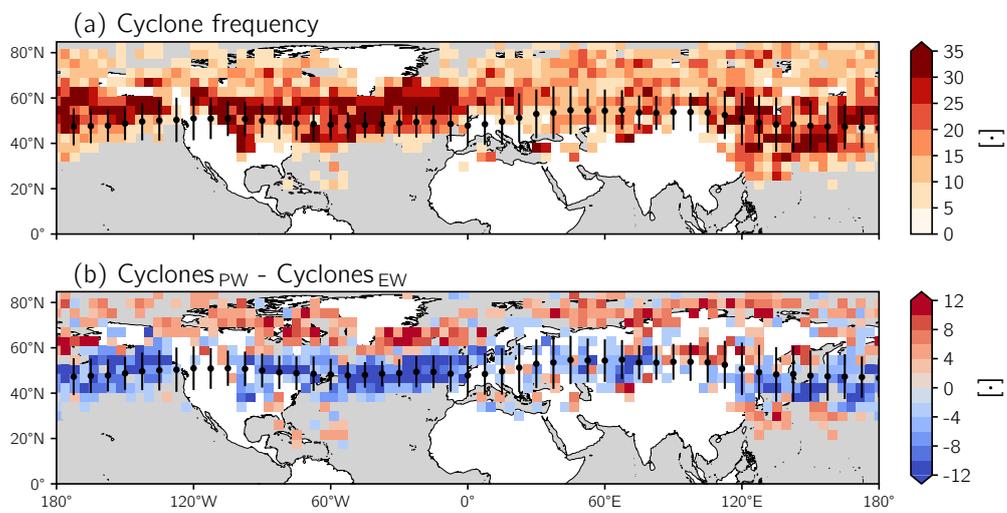
**Figure 4.** Zonally-averaged observed and modeled (left)  $r(T, O_3)$  and (right)  $r(q, O_3)$  in four regions: Western North America ( $125^\circ - 100^\circ W$ ), Eastern North America ( $100^\circ - 65^\circ W$ ), Europe ( $10^\circ W - 30^\circ E$ ), and East Asia ( $90 - 125^\circ E$ ). These regions are also outlined in Figure 3a. Zonally-averaged modeled relationships consider only grid cells over land, and the observed relationships are binned by latitude to compute the zonal average. The dashed grey lines delineate positive from negative values of the  $O_3$ -meteorology relationships, and the scatter points and vertical bars corresponding to the jet and its variability are the same as in Figure 1 but averaged over each region.



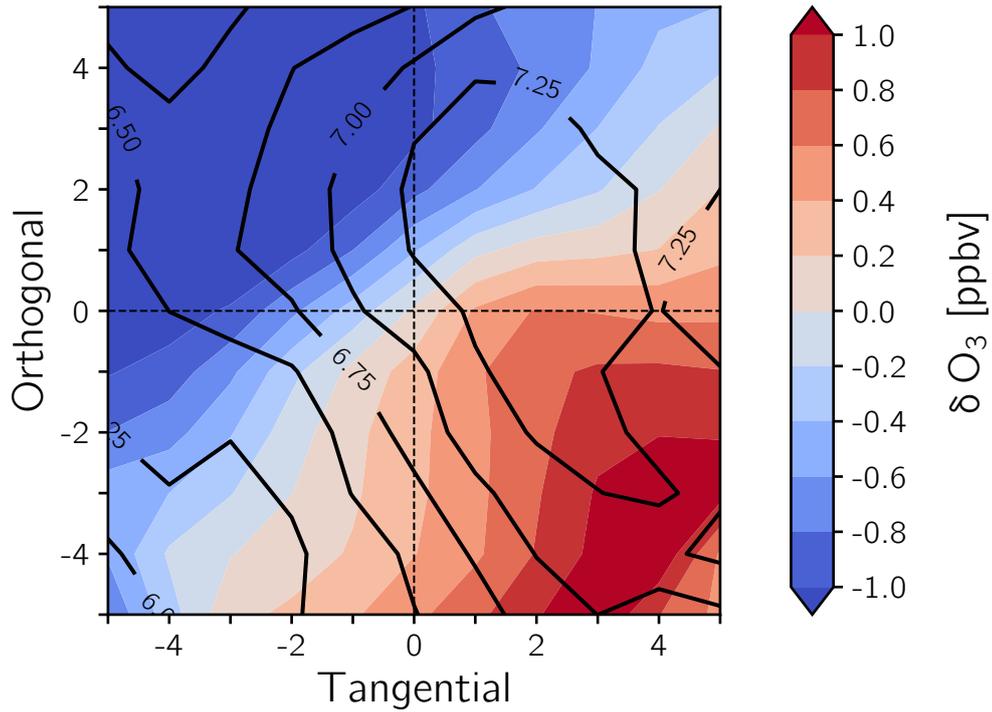
**Figure 5.** Differences in (a)  $r(T, O_3)$  and (b)  $r(q, O_3)$  calculated between the control and transport-only CTM simulations (i.e., control – transport-only). To assess their relative importance, differences should be compared with values from the control simulation (Figure 3). Hatching indicates regions with significant  $r(T, O_3)$  or  $r(q, O_3)$  in the control simulation that are not statistically significant in the transport-only simulation. Scatter points and vertical bars in (a-b) specify the mean position and variability of the jet stream, respectively.



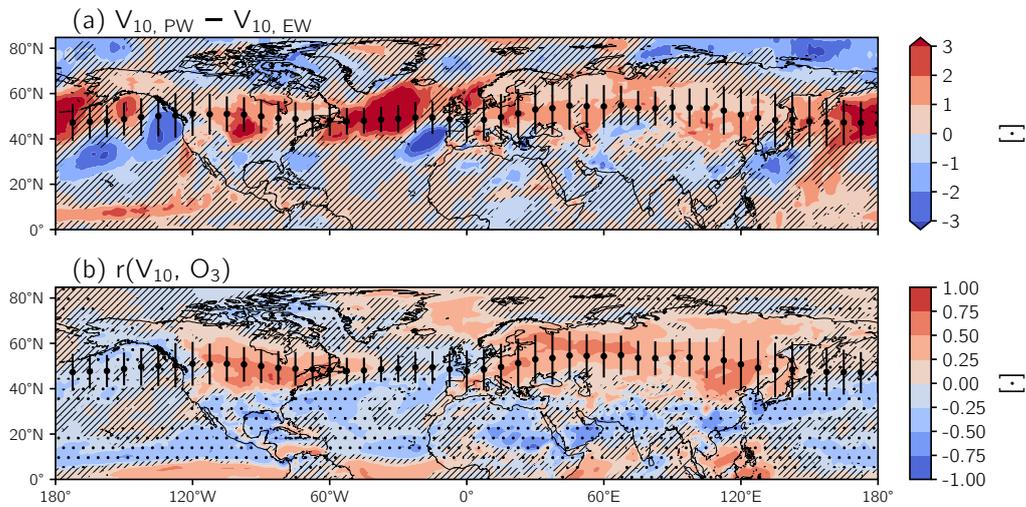
**Figure 6.** The difference in composites of (a)  $O_3$ , (b) temperature, and (c) specific humidity on days when the jet is in a poleward (PW) and equatorward (EW) position. Composites are formed for the PW (EW) case by determining the value of each field in (a-c) averaged over all days when the position of the jet stream ( $\phi_{jet}$ ) exceeds the 70th (is less than the 30th) percentile for each longitude. Hatching indicates regions where the correlation between each field and the distance from the jet is not statistically significant. The distance from the jet,  $\phi_{jet} - \phi$ , is defined as the difference, in degrees, between the latitude of the jet and the local latitude. Scatter points and vertical bars in (a-c) specify the mean position and variability of the jet stream, respectively.



**Figure 7.** (a) Total number of cyclones detected by MCMS on sub-daily (six-hourly) time scales binned to a  $\sim 4^\circ \times 4^\circ$  grid. (b) The difference in the total number of cyclones calculated between days when the jet is in a poleward (PW) and equatorward (EW) position. Scatter points and vertical bars in (a-b) specify the mean position and variability of the jet stream, respectively.



**Figure 8.** The average  $\text{O}_3$  anomaly (color shading) and standard deviation of the anomalies (solid black contours) within five grid cells ( $\sim 5^\circ$ ) of the position of the cyclones. From the cyclones shown in Figure 7, we only consider cyclones occurring over land and detected for  $\geq 2$  time steps and subsequently rotate the cyclones following the direction of their propagation such that they move to the right of the figure. Dashed black lines divide the cyclone composites into quadrants.



**Figure 9.** (a) The difference in composites of  $V_{10}$  on days when the jet is in a PW and EW position. (b) The correlation coefficient calculated between  $O_3$  and  $V_{10}$  (coloblack shading) and regions where latitudinal gradient of  $O_3$  ( $dO_3/d\phi$ ) is positive (stippling). Scatter points and vertical bars in (a-b) specify the mean position and variability of the jet stream, respectively. Hatching denotes regions where the correlation between  $V_{10}$  and (a) the distance from jet and (b)  $O_3$  are not statistically significant.

# Supporting Information for “Surface ozone-meteorology relationships: Spatial variations and the role of the jet stream”

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1. Text S1 to S2
2. Figures S1 to S5

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July 8, 2020, 3:30am

**Text S1: Planetary boundary layer (PBL) dynamics**

Variations in the height of the PBL (*PBLH*) could connect the jet to surface-level  $O_3$ , temperature, and humidity. *PBLH* determines vertical mixing and the dilution of surface-level pollutants (Dawson et al., 2007) and responds directly to the flux of heat into the PBL. Previous studies have used both *PBLH* and mixing height to assess the impact of PBL dynamics on surface-level pollutants (e.g., Jacob & Winner, 2009; Reddy & Pfister, 2016), and here we use daily mean MERRA-2 *PBLH*, detailed in Section 2.3 of the main text.

An analysis of the (PW - EW) *PBLH* composites shows that the daily north-south movement of the jet stream is not significantly associated with *PBLH* variability over a majority of the continental regions of the Northern Hemisphere (Figures S4a, S5a). Over the oceans, northward movement of the jet stream tends to be associated with a more shallow boundary layer; but, in general, there is no consistent sign associated with the variability of the jet with *PBLH* (Figures S4a, S5a). This result is robust whether daily mean *PBLH* is used as we have here, or if the jet-*PBLH* relationship is derived using *PBLH* averaged over subsets of the day (e.g., daytime, afternoon).

Although there is no jet-*PBLH* relationship, it is possible that *PBLH* may influence ozone independently of the jet stream. To examine this we evaluate the correlation between *PBLH* and  $O_3$ . The sign of this correlation is varied, and its strength is largely not statistically significant across the mid-latitudes (not shown). There are some regions where  $r(PBLH, O_3)$  is positive and significant, but this implies that a deeper PBL results in higher  $O_3$ , which goes against simple dilution arguments. These findings agree with

other studies: Jacob and Winner (2009) pointed out that the effect of mixing depth on  $O_3$  is weak or variable (while the effect of mixing depth on  $PM_{2.5}$  is consistently negative).

### **Text S2: Near-surface zonal and total wind**

Another possible mechanism for the jet- $O_3$  relationship is changes in surface-level flow. We form additional (PW - EW) composites and correlations for surface-level eastward ( $U_{10}$ ) and total ( $\overline{U_{10}}$ ) winds (Figures S4b-c, S5b-c).

The composites in Figure S4b-c are less meaningful unless placed in the context of the time-averaged direction and magnitude of  $U_{10}$  and  $\overline{U_{10}}$ . Time-averaged  $U_{10}$  is generally positive (eastward) over both land and ocean in the mid-latitudes ( $40 - 60^\circ\text{N}$ ) with a magnitude of  $\sim 1$  m/s. On the other hand,  $\overline{U_{10}}$  has a magnitude of  $< 4$  m/s over land and  $\sim 6$  m/s over the oceans.

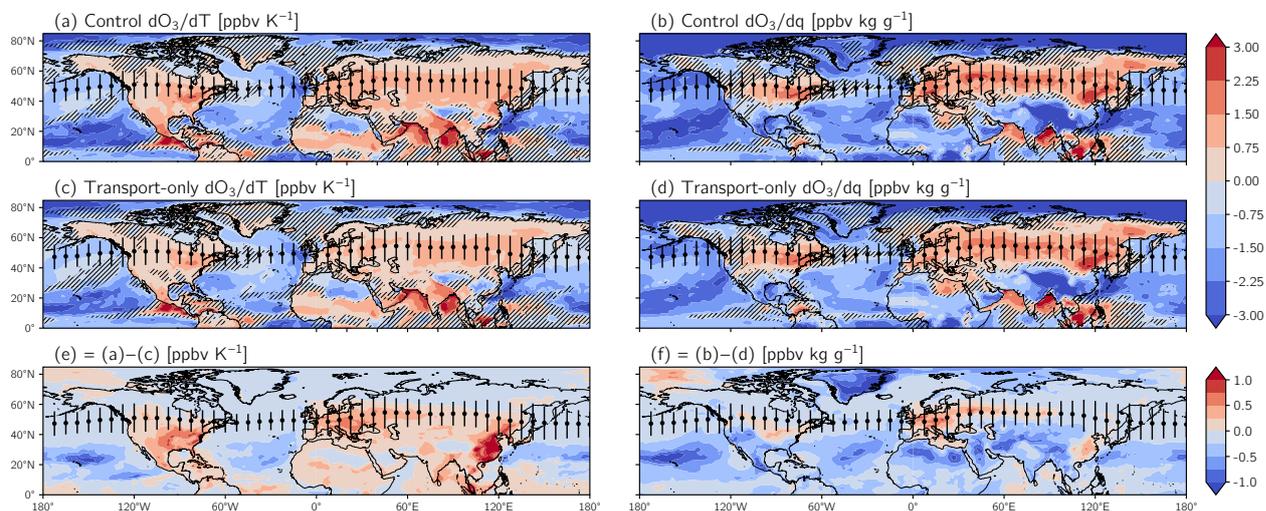
In a  $\sim 20^\circ$  latitudinal band north of the mean position of the jet, the poleward movement of the jet significantly increases  $U_{10}$  by up to 4 m/s (Figures S4b, S5b). It is worth noting the largest areal extent of changes (both increases and decreases) in  $U_{10}$  is centered over the oceans (Figure S4b). However,  $U_{10}$  and  $O_3$  are not correlated with each other (not shown), which rules out the surface-level zonal wind as the mechanism connecting the position of the jet stream with  $O_3$ .

We investigated the relationship between  $\phi_{jet}$  and  $\overline{U_{10}}$ , a proxy for stagnation (Figures S4c and S5c). Differences in  $\overline{U_{10}}$  between days with a poleward- versus equatorward-shifted jet were weak and variable in sign, and the correlation was not statistically significant across virtually the entire hemisphere. As we did with  $PBLH$  and  $U_{10}$ , we considered the impact that  $\overline{U_{10}}$  has on  $O_3$  independently of the jet, as weak flow can inhibit the ventilation of the PBL (Mickley, 2004). We found that  $O_3$  and  $\overline{U_{10}}$  were generally anticorrelated in

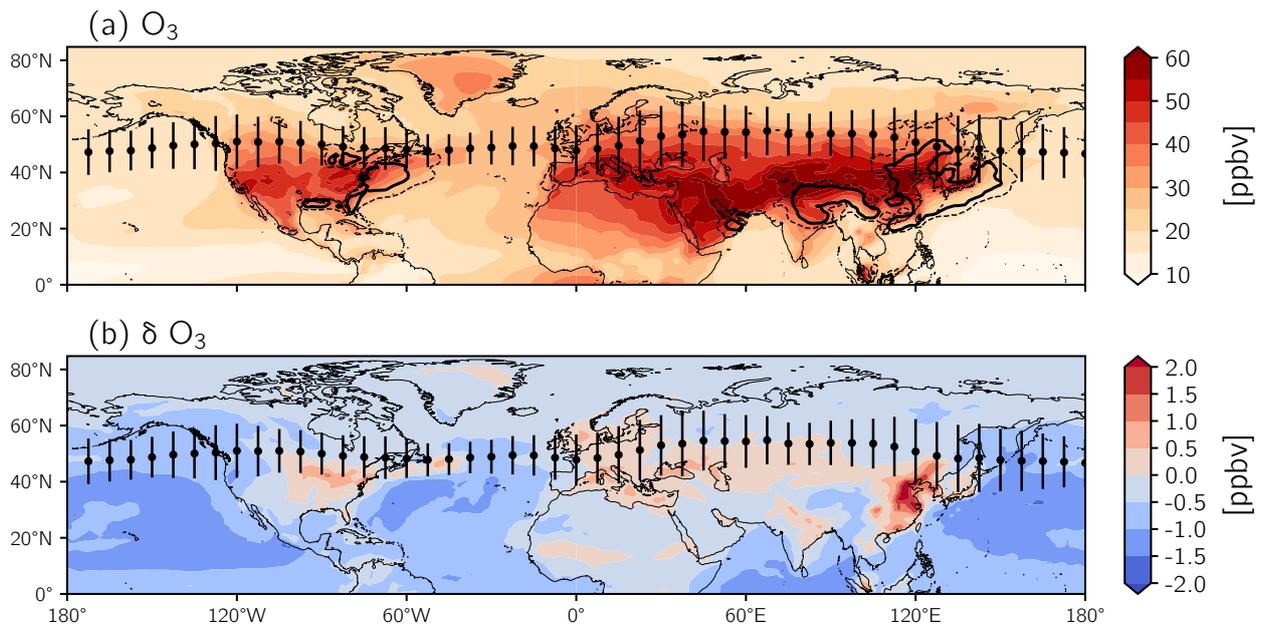
the mid-latitudes (not shown); however, these correlations were weak and not significant. There were also parts of the mid-latitudes with positive correlations between  $O_3$  and  $\overline{U_{10}}$ , implying that higher wind speeds and therefore increased ventilation are associated with higher concentrations of  $O_3$ .

## References

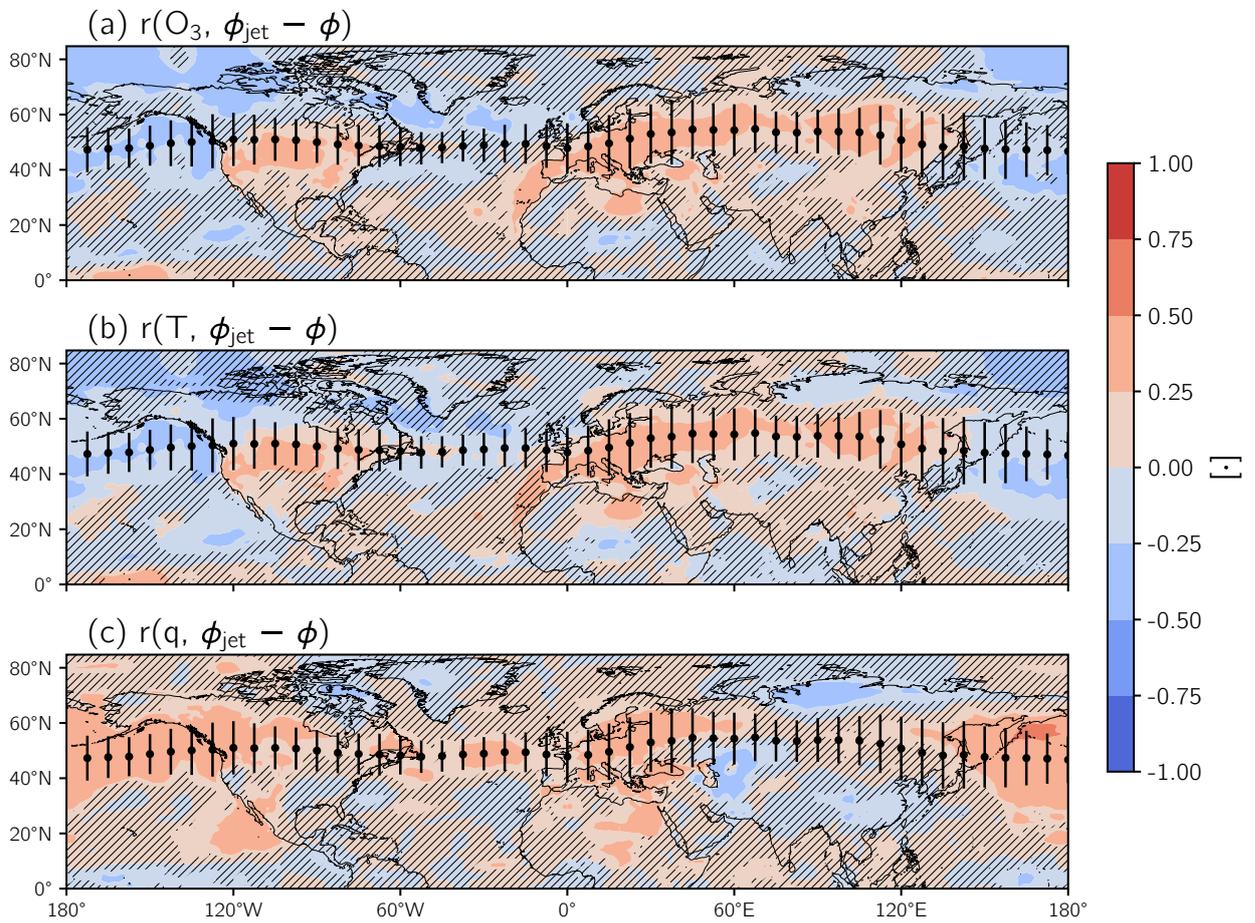
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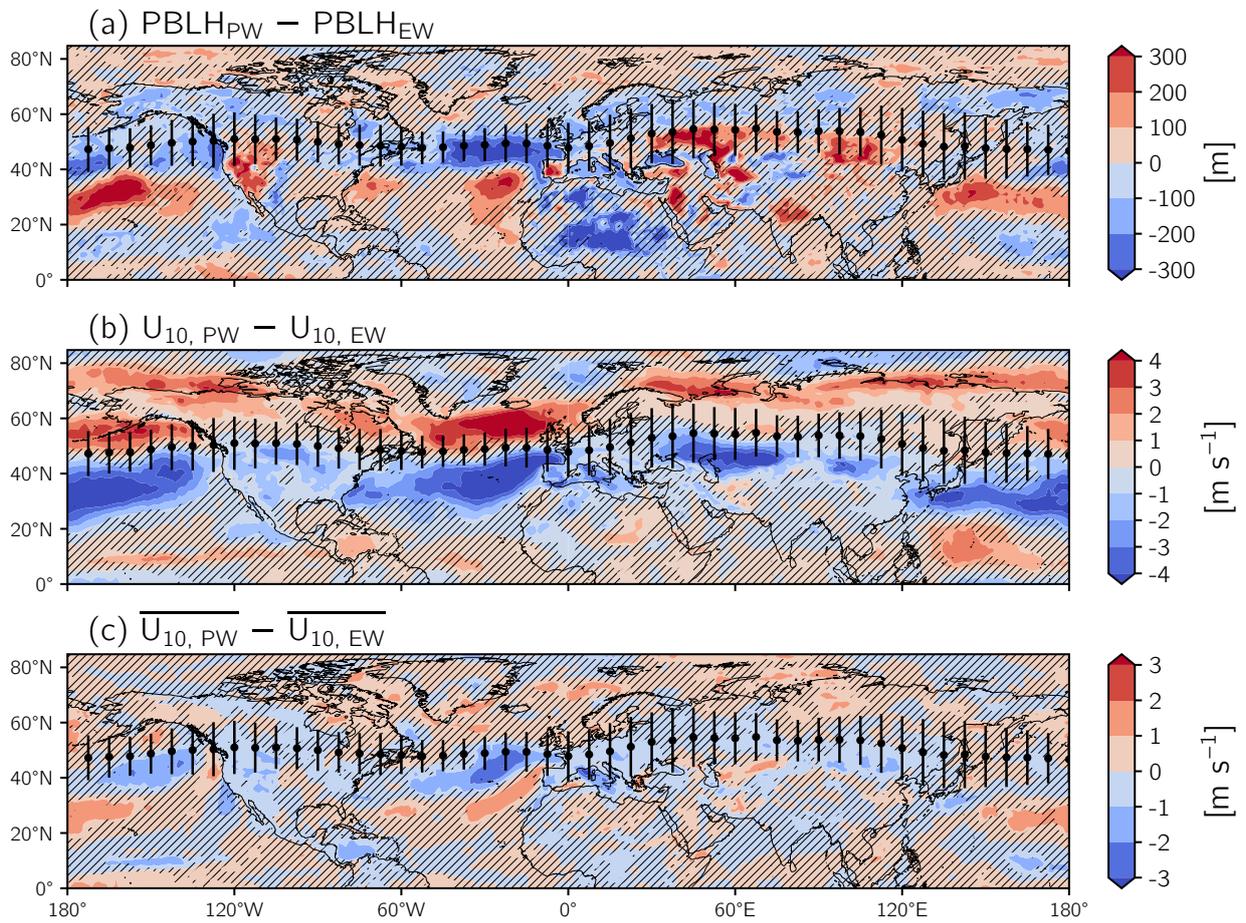
**Figure S1.** (a) The slope of the ordinary least squares regression of O<sub>3</sub> from the control simulation versus temperature,  $dO_3/dT$ . Hatching denotes regions where the correlation between O<sub>3</sub> and temperature is not statistically significant. (b) Same as (a) but for O<sub>3</sub> from the control simulation versus humidity,  $dO_3/dq$ , with hatching showing correlations between O<sub>3</sub> and humidity that are not statistically significant. (c-d) Same as (a-b) but with O<sub>3</sub> from the transport-only simulation. (e-f) The difference in  $dO_3/dT$  and  $dO_3/dq$  between the two simulations. Scatter points and vertical bars in (a-f) specify the mean position and variability of the jet stream, respectively.



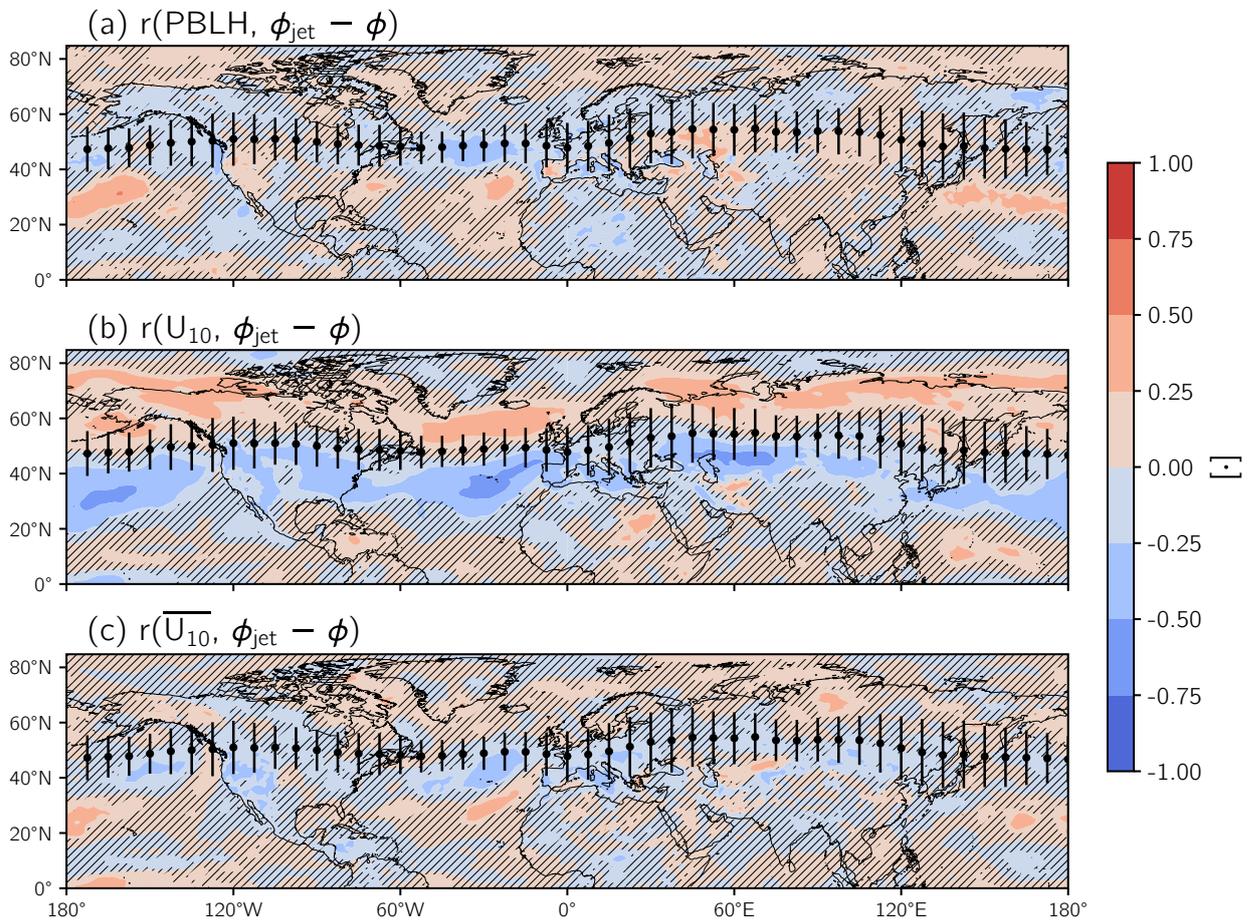
**Figure S2.** (a) Same as Figure 1a in the main text but for O<sub>3</sub> from the transport-only simulation. (b) The difference (i.e., control – transport-only) in mean O<sub>3</sub> concentrations. Scatter points and vertical bars in (a-b) specify the mean position and variability of the jet stream, respectively.



**Figure S3.** Colored shading shows the correlation coefficient calculated between distance from the jet stream and (a)  $\text{O}_3$ , (b) temperature, and (c) humidity. Hatching is the same as in Figure 6, and scatterpoints, and vertical bars are the same as in Figure 3.



**Figure S4.** Same as Figure 6 in the main text but for (a)  $PBLH$ , (b)  $U_{10}$ , and (c)  $\overline{U_{10}}$ .



**Figure S5.** Same as Figure S3 but for (a)  $PBLH$ , (b)  $U_{10}$ , and (c)  $\overline{U_{10}}$ .