

# Lagrangian versus Eulerian spectral estimates of surface kinetic energy over the global ocean

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## Key Points:

- The accuracy of Lagrangian high-frequency kinetic energy estimates is evaluated with a novel twin global numerical simulation experiment.
- Lagrangian velocity spectra are smoother than Eulerian counterparts at tidal frequency peaks, but not at near-inertial ones.
- Lagrangian and Eulerian tidal energies agree better in regions characterized by weak low-frequency kinetic energy and high drifter density.

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17 **Abstract**

18 In this study, we carried out a novel massive Lagrangian simulation experiment derived  
 19 from a global  $1/48^\circ$  tide-resolving numerical simulation of the ocean circulation. This first-  
 20 time twin experiment enables a comparison between Eulerian (fixed-point) and Lagrangian  
 21 (along-flow) estimates of kinetic energy (KE), and the quantification of systematic differences  
 22 between both types of estimations. This comparison represents an important step forward  
 23 for the mapping of upper ocean high-frequency variability from drifter database. Eulerian  
 24 KE rotary frequency spectra and band-integrated energy levels (e.g., tidal and near-inertial)  
 25 are considered as references, and compared to Lagrangian estimates. Our analysis reveals  
 26 that, apart from the near-inertial band, Lagrangian spectra are systematically smoother,  
 27 e.g., with wider and lower spectral peaks compared to Eulerian counterparts. Consequently,  
 28 Lagrangian KE levels obtained from spectra band integrations tend to underestimate Eu-  
 29 lerian levels on average at low-frequency and tidal bands. This underestimation is more  
 30 significant in regions characterized by large low-frequency KE. In contrast, Lagrangian and  
 31 Eulerian near-inertial spectra and energy levels are comparable. Further, better agreements  
 32 between Lagrangian and Eulerian KE levels are generally found in regions of convergent sur-  
 33 face circulation, where Lagrangian particles tend to accumulate. Our results demonstrate  
 34 that Lagrangian estimates may provide a distorted view of high-frequency variance. To  
 35 accurately map near-surface velocity climatology at high frequencies (e.g., tidal and near-  
 36 inertial) from Lagrangian observations of the Global Drifter Program, conversion methods  
 37 accounting for the Lagrangian bias need to be developed.

38 **Plain Language Summary**

39 Ocean surface currents play a pivotal role in transporting heat and energy across the  
 40 global ocean, and thus affect global climate patterns and marine ecosystems. Yet, despite  
 41 ocean currents' significant role in the Earth system, much of the rapid (high frequency)  
 42 ocean variability is not known accurately at the moment. In this study, we show that the  
 43 information derived from the movements of surface drifters, which track ocean currents,  
 44 may help fill this gap. This is demonstrated with global ocean numerical models, which are  
 45 now able to represent high-frequency variability associated with tides, winds and eddies,  
 46 and are therefore powerful tools to evaluate ocean multi-scale variability. We compare here  
 47 fixed-point (i.e., "Eulerian") and along-flow (i.e., "Lagrangian" or drifter) kinetic energy es-  
 48 timates. Our results show that the Lagrangian frame of reference can induce distortions of  
 49 rapid motion signals when compared to Eulerian frame of reference, particularly in regions  
 50 of large kinetic energy and low drifter density. Nevertheless, these two different perspec-  
 51 tives can be reconciled in the estimation of energy levels, as long as adequate frequency  
 52 bandwidths are chosen. This work highlights the potential of drifter-based observations in  
 53 enhancing our understanding of high-frequency ocean variability.

54 **1 Introduction**

55 The ocean circulation controls the transport and distribution of physical properties  
 56 and biochemical tracers across the global ocean. Ocean motions at horizontal scales smaller  
 57 than several hundreds of kilometers and temporal scales shorter than months account for a  
 58 dominant fraction of kinetic energy (KE; Ferrari & Wunsch, 2009). Its two main contributors  
 59 are quasi-geostrophic balanced motions, which include mesoscale eddies (horizontal scales of  
 60 20-300 km, periods of weeks to months) and submesoscale motions (horizontal scales of 0.2-  
 61 20 km, periods of hours to days), and unbalanced internal waves (horizontal scales <300 km  
 62 and periods <1 day). Mesoscale eddies account for most of the global ocean KE and play a  
 63 key role in the physical equilibrium and biogeochemical functioning of the ocean at climatic  
 64 scales (McWilliams, 2008; McGillicuddy et al., 2007; Treguier et al., 2014). Submesoscale  
 65 motions induce, on the other hand, a vigorous vertical circulation and determine the vertical  
 66 exchanges of heat, carbon, and nutrients (McWilliams, 2016; Lévy et al., 2018; Taylor &  
 67 Thompson, 2023). Internal waves are a major driver for turbulent mixing in the ocean,  
 68 which is of fundamental importance to the global overturning circulation (Whalen et al.,  
 69 2020). Internal waves are commonly organized around frequency, and are observed to have  
 70 energy peaks at tidal and near-inertial frequencies, and a continuous energy distribution  
 71 across higher frequencies, commonly known as the internal wave continuum.

72 Provided sufficient information is available along spatial and temporal dimensions, one  
 73 way of characterizing quasi-geostrophic balanced motions and unbalanced internal waves is  
 74 to estimate the distribution of surface KE as function of spatial and temporal scales. Torres  
 75 et al. (2018) examined for instance the distribution of surface KE in wavenumber-frequency  
 76 space from a high-resolution numerical simulation, and showed that lower-frequency motions  
 77 emanate from larger scales and spread to finer spatial and temporal scales. The emergence  
 78 of wide-swath altimetry and surface current measuring satellite missions has fostered efforts  
 79 aiming at improving our understanding of oceanic variability down to  $O(10\text{ km})$  and of its  
 80 manifestation on satellite and in situ observations (Morrow et al., 2019; Du et al., 2021).

81 An emerging dataset to proceed with in situ observational descriptions across scales is  
 82 that of the Global Drifter Program (GDP; Elipot et al., 2016). With the development of  
 83 satellite tracking system, the GDP dataset provides global velocity measurements at hourly  
 84 resolution, and thus enables studies of ocean variability at high frequencies. Yu et al. (2019)  
 85 compared frequency spectra estimated from GDP drifter data (i.e., Lagrangian) and out-  
 86 put from a high-resolution Massachusetts Institute of Technology general circulation model  
 87 (MITgcm) simulation (i.e., Eulerian), which enable to point towards inaccurate represen-  
 88 tations of tidal and near-inertial variability in the numerical model. Arbic et al. (2022)  
 89 performed a similar yet more detailed comparison based on Yu et al. (2019) datasets and  
 90 an additional global tide-resolving simulation of the HYbrid Coordinate Ocean Model (HY-  
 91 COM). In global maps and zonal averages, numerical models captured the low-frequency  
 92 and high-frequency variance qualitatively. HYCOM simulation, because of its more fre-  
 93 quently updated wind-forcing and a more finely tuned implementation of tidal variability,  
 94 was found closer to GDP drifter values compared to MITgcm simulation. However, both  
 95 studies questioned the equivalence between Eulerian and Lagrangian estimates, which has  
 96 not been demonstrated yet.

97 Further, GDP drifter observations have been extensively used to achieve global and  
 98 regional climatology of time-mean and mesoscale oceanic flows (Lumpkin & Johnson, 2013;  
 99 Lumpkin, 2016), while such mapping at high frequencies remains relatively understudied  
 100 (e.g., Liu et al., 2019). Understanding and quantifying the differences caused by Lagrangian  
 101 inherent sampling nature with respect to Eulerian is a key step to ensure the rationality  
 102 of the mapping of high-frequency variance using the GDP data. At semidiurnal frequen-  
 103 cies, Caspar-Cohen et al. (2022) recently demonstrated that the displacement of surface  
 104 drifters may distort low-mode internal tide signals which translated to wider spectral peaks,  
 105 a mechanism coined “apparent incoherence”.

106 In this work, we compare Lagrangian and Eulerian spectral decompositions of surface  
 107 KE at global scale, with the aid of output from a high-resolution ocean numerical model  
 108 (LLC4320 simulation; Yu et al., 2019). A central question addressed here is whether high-  
 109 frequency Eulerian KE levels can be accurately estimated from Lagrangian drifters. The  
 110 paper is organized as follows. Section 2 describes the LLC4320 simulation, the Lagrangian  
 111 numerical simulation experiments, and methods of spectral analysis and energy level esti-  
 112 mates. Comparisons between Eulerian and Lagrangian KE fields are described in Section  
 113 3. Discussions and conclusions are given in Sections 4 and 5, respectively.

## 114 2 Materials and Methods

### 115 2.1 LLC4320 simulation

116 The LLC4320 simulation was performed using MITgcm (Marshall et al., 1997) on a  
 117 global Latitude-Longitude-polar Cap (LLC) grid (Forget et al., 2015) for a period of 14  
 118 months between 10 September 2011 and 15 November 2012. The model has a horizontal grid  
 119 spacing of  $1/48^\circ$  (approximately 2.3 km at the equator and 0.75 km in the Southern Ocean),  
 120 and thereby resolves mesoscale eddies and permits submesoscale variability. The model time  
 121 step was 25 seconds, and model variables were stored at hourly intervals. The model was  
 122 forced by 6-hourly surface flux fields (including 10-m wind velocity, 2-m air temperature  
 123 and humidity, downwelling long- and short-wave radiation, and atmospheric pressure load)  
 124 from the European Centre for Medium-Range Weather Forecasts (ECMWF) operational  
 125 reanalysis, and included the full lunisolar tidal constituents that are applied as additional  
 126 external forcing. The LLC4320 uses a flux-limited monotonicity-preserving (seventh order)  
 127 advection scheme, and the modified Leith scheme of Fox-Kemper and Menemenlis (2008) for  
 128 horizontal viscosity. The K-profile parameterization (Large et al., 1994) is used for vertical  
 129 viscosity and diffusivity. In this study, we use a yearlong record of the instantaneous surface  
 130 fields at every hour, starting on 15 November 2011.

### 131 2.2 Lagrangian experiments

132 Lagrangian simulations are performed with LLC4320 hourly surface velocity outputs  
 133 using the ‘Parcels’ Python package (Lange & Van Sebille, 2017; Delandmeter & Van Se-  
 134 bille, 2019). Surface virtual drifters are initially released every 50 grid points of LLC4320  
 135 grid (about 50 to 100 km spacing), and drifter positions and velocity fields are stored at  
 136 hourly rate. The Lagrangian simulation is about one year long (from 15 November 2011  
 137 to 9 November 2012). Virtual drifters are released every 10 days at initial release positions  
 138 if no virtual drifter is present within a radius equal to the distance to closest neighbor at  
 139 initial release. This continuous seeding enables to maintain a continuous coverage through-  
 140 out the Lagrangian simulation. The number of virtual drifters is of about 60,000 at the  
 141 start, and reaches about 95,000 drifters at the end of the simulation. The Lagrangian par-  
 142 ticles in the LLC4320 simulation (i.e., virtual drifters) are scattered throughout the open  
 143 ocean worldwide (Figure 1; also see Movie S1 in Supporting Information), and their spatial  
 144 distribution is broadly in line with that of GDP drifters over the global ocean albeit with  
 145 an instantaneous drifter density larger by about two orders of magnitudes (Elipot et al.,  
 146 2016; Yu et al., 2019). Heavily sampled regions concentrate in flow convergence zones (e.g.,  
 147 the interior of subtropical gyres). In contrast, areas of flow divergence (e.g., the equatorial  
 148 region, upwelling areas) as well as polar and coastal regions are generally less sampled. As  
 149 a result, the number of 60-day particle trajectory segments at midlatitudes ( $30^\circ$ - $60^\circ$ N and  
 150 S) is at least a factor of 2 larger than that in the equatorial region ( $10^\circ$ S- $10^\circ$ N).

### 151 2.3 Frequency rotary spectrum and bandwidth selection for energy inte- 152 gration

153 For Eulerian estimates, hourly surface horizontal velocity time series are used to com-  
 154 pute rotary spectra of horizontal velocity at each model grid point. For Lagrangian esti-

155 mates, rotary spectra are computed from horizontal velocities along particle trajectories.  
 156 For both datasets, we first divide velocity time series into segments of 60 days overlapping  
 157 by 50% and linearly detrend over each segment, and then compute the 1D discrete Fourier  
 158 transform of complex-valued fields ( $u + iv$ , where  $u$  and  $v$  are zonal and meridional velocity,  
 159 respectively) multiplied by a Hanning window. Spectra are formed by multiplying Fourier  
 160 amplitudes by their complex conjugates and averaged over time for Eulerian estimates and  
 161 according to segment mean drifter's latitudes and longitudes for Lagrangian estimates (Fig-  
 162 ure 1b). Given the geographical distribution of particle trajectories, velocity data in polar  
 163 regions with latitude higher than  $60^\circ$  and in coastal waters with depth shallower than 500  
 164 m are not considered in the calculation for both datasets.

165 Rotary frequency spectral densities are integrated over four frequency bands to compute  
 166 KE components of interest, including high-frequency ( $>0.5$  cpd, absolute values here and  
 167 hereinafter), semidiurnal, near-inertial, diurnal bands. Total KE is estimated from temporal  
 168 averages of instantaneous velocity fields, and low-frequency KE is computed as total KE  
 169 minus high-frequency KE. We examine the sensitivity of the regression coefficient and root  
 170 mean square error between Eulerian and Lagrangian semidiurnal, near-inertial and diurnal  
 171 KE levels to different frequency bandwidths of integration (Figure 2). For semidiurnal  
 172 band, the closest match between Eulerian and Lagrangian energy levels is achieved for the  
 173  $\pm 0.3$  cpd bandwidth with a regression coefficient value closest to unity and a root mean  
 174 square error plateauing at approximately  $10^{-3} \text{ m}^2 \text{ s}^{-2}$  (equivalent to 15.6% of the averaged  
 175 Eulerian semidiurnal energy level). In contrast, for diurnal and near-inertial bands, the  
 176 narrowest bandwidth (i.e.,  $\pm 0.1$  cpd) yields the best comparison based on the two metrics.  
 177 Consequently, the semidiurnal, near-inertial, diurnal bands are respectively defined as 1.7-  
 178 2.3 cpd,  $0.9-1.1f$  and  $0.9-1.1$  cpd, where  $f$  is the Coriolis frequency.

179 To achieve a balance between drifter density and spatial variability of bin-averaged  
 180 diagnostics, a bin size of  $1^\circ$  latitude is employed to compare Eulerian and Lagrangian zonally  
 181 averaged rotary spectra and associated band integrals. For global maps, the band-integrated  
 182 KE estimates are averaged in  $1^\circ \times 1^\circ$  spatial bins. Finally, following Arbic et al. (2022), we  
 183 compute the ratio of Lagrangian KE divided by the sum of Lagrangian and Eulerian KE.  
 184 Note that a ratio of 0.5 indicates equality between Lagrangian KE and Eulerian KE, a ratio  
 185 exceeding 0.5 indicates Lagrangian KE overestimates Eulerian KE, and a ratio below 0.5  
 186 indicates Lagrangian KE underestimates Eulerian KE.

## 187 **3 Results**

### 188 **3.1 Zonally-averaged spectrum and KE**

189 Lagrangian and Eulerian zonally averaged spectra both show expected peaks at low,  
 190 near-inertial and tidal frequencies (Figures 3a and 3b). Along with Figures 3c and 3d,  
 191 Lagrangian spectral peaks appear to be systematically broader and weaker than Eulerian  
 192 ones, indicating a spreading of energy in the Lagrangian perspective. This spreading is  
 193 clear around main tidal peaks and increases with frequency such that Lagrangian higher  
 194 frequency tidal constituents (e.g. 3 cpd, 4 cpd, ...) are hardly noticeable unlike Eulerian  
 195 ones. The ratio of Lagrangian to Eulerian spectra consistently indicates that Lagrangian  
 196 peak values at tidal frequencies are lower but wider than Eulerian ones (Figure 3c). This is  
 197 in line with the findings of Zaron and Elipot (2021), which noted that the drifter tidal peaks  
 198 do not stand out above the background spectrum as strongly as in tide model predictions.  
 199 Caspar-Cohen et al. (2022) consistently demonstrated that the distortion of tidal internal  
 200 waves induced by surface drifter motions, a process coined as apparent incoherence, leads  
 201 to broader tidal peaks.

202 At subinertial frequencies, a similar mechanism may be invoked to explain the smooth-  
 203 ing of the low-frequency energy peak in Lagrangian diagnostics: Lagrangian particles sample  
 204 both spatial and temporal variability, which leads to shorter velocity decorrelation timescales

and broader spectra (Middleton, 1985; Davis, 1983; Lumpkin et al., 2002; LaCasce, 2008). At near-inertial frequencies, the smoothing of the peak is not visible in latitude dependent spectra (Figures 3a and 3b), and the ratio of Lagrangian to Eulerian spectra indicates values close to unity (Figure 3c). This suggests that drifter displacements do not distort the signature of near-inertial waves similarly to internal tides, in line with findings from Shakespeare et al. (2021). Another obvious contrast is that energy levels at the anticyclonic frequencies are substantially higher than those at the cyclonic frequencies, particularly below the semidiurnal frequency band (Figure 3d). This conforms expectations from the natural polarization of internal gravity waves which leads to a ratio between anticyclonic and cyclonic kinetic energies that scales as  $(\omega + f)^2/(\omega - f)^2$  (Gill, 1982; van Haren, 2003), where  $\omega$  is the frequency, and is consistent with the observational findings of elevated near-inertial KE in the anticyclonic domain (Elipot et al., 2010; Vic et al., 2021; Yu et al., 2022).

Zonally averaged low-frequency, semidiurnal, near-inertial, diurnal KE estimated from Eulerian velocity field and Lagrangian particle trajectories with (“2D binned”) and without (“raw”) spatial binning are displayed in Figure 4. The overall trends of the Eulerian and Lagrangian (with and without binning) estimates show good visual similarities. Raw Lagrangian estimates tend to underestimate KE compared to 2D binned Lagrangian estimates, particularly at low-frequency energy peaks. Low-frequency energy peaks near the equator, at 35°N and 55°S at the locations of Northern Hemisphere western boundary currents and the Antarctic Circumpolar Current respectively (Figure 4a). At 35°N, Lagrangian energies underestimate Eulerian ones, which will be argued to partly result from the unequal sampling of high vs low energy regions (Davis, 1985) and may be mitigated with alternative geographical binning (see Discussion section). Lagrangian zonally averaged semidiurnal KE is slightly lower than Eulerian KE at almost all low and mid-latitudes (Figure 4b). In contrast, Lagrangian zonally averaged near-inertial KE follow Eulerian estimates relatively well over most latitudes, except for a clear underestimation near 30°S, where the local inertial frequency coincides with diurnal frequencies (Figure 4c). For the diurnal KE, discrepancies are relatively larger, with a 15.4% difference in average (Figure 4d), compared to low-frequency (7%), semidiurnal (11.1%), and near-inertial (6.7%) bands. There are two substantial mismatches between Eulerian and Lagrangian diurnal KE, one is in 20°N, which may be associated with the less Lagrangian particles in Luzon strait, and one is in 30°S, in line with the underestimation in near-inertial KE.

### 3.2 Low-frequency KE maps

Global maps of low-frequency KE highlight prominent large-scale currents and energetic areas, including equatorial and western boundary currents such as the Gulf Stream and the Antarctic Circumpolar Current (Figures 5a and 5b). Low-frequency KE dominates total energy and therefore mimic total KE variations (cf. Figures 1a and 5a). Lagrangian and Eulerian low-frequency KE are generally in good agreement, with a mean value and standard deviation of the ratio of Lagrangian KE/(Lagrangian KE+Eulerian KE) about 0.49 and 0.07, respectively (Figures 5c and 5d). A noticeable difference is that Lagrangian estimates appear smoother than Eulerian ones around large-scale current features, which presumably results from the spatial advection of Lagrangian particle over the temporal window of energy integration.

We next examine the dependence of the ratio of Lagrangian KE/(Lagrangian KE+Eulerian KE) on two factors, the number of Lagrangian particles per bin (i.e., drifter density) and the intensity of low-frequency KE. As the number of Lagrangian particles increases, the mean ratio gradually approaches 0.5, and the ratio range is more concentrated (Figure 5e). This suggests that Lagrangian KE tends to align more closely with Eulerian KE in regions where Lagrangian particles accumulate, indicating convergence of the flow. Further, the ratio exhibits a more pronounced dependence on the intensity of low-frequency KE. In regions of strong low-frequency flow, the ratio is significantly reduced, with a mean value smaller than 0.4, indicating a substantial underestimation of low-frequency KE from a Lagrangian

perspective (Figure 5f). This underestimation results from a larger projection of spatial variability onto temporal one along particle trajectories in energetic regions (LaCasce, 2008; Caspar-Cohen et al., 2022). Indeed, nearby energetic current features, Lagrangian energy thus tends to underestimate Eulerian energy maxima in the core of these features (ratio below 0.5) and overestimate Eulerian energy on the surroundings (ratio above 0.5; Figure 5c).

### 3.3 Semidiurnal KE maps

Semidiurnal, diurnal and near-inertial KE are all an order of magnitude smaller than low-frequency KE. The comparison between Lagrangian and Eulerian semidiurnal KE estimates show significant similarities globally (Figures 6a and 6b). Both clearly display hotspots of internal tide generation, e.g., near Hawaii islands, the French Polynesian islands, the Aleutians island chain, 40°S and 40°N in the Atlantic as well as the western Pacific. The discrepancies at semidiurnal frequencies are relatively larger compared to low frequencies, with a mean energy ratio of 0.47 and standard deviation of 0.06. Figures 6c and 6d show that Lagrangian semidiurnal KE systematically underestimate Eulerian semidiurnal KE, particularly in the ocean's major current regions of high kinetic energy. Noticeable differences between Lagrangian and Eulerian estimates also occur near coastal areas, where the Lagrangian field may exhibit semidiurnal KE levels considerably larger than the Eulerian field. This overestimate is likely to result from Lagrangian particles crossing continental shelves, where tidal currents are faster, over the 60-day window of energy integration.

Interestingly, for semidiurnal tides, the dependence of the ratio on the drifter density is relatively weak, although there is a slight increase in the ratio towards 0.5 with increasing particle counts (Figure 6e). Instead, the ratio shows a clear decreasing and scattering trend as the low-frequency KE intensity increases (Figure 6f). That is, the ratio ranges from about 0.23 to 0.67 with a mean value somewhat smaller than 0.5 in areas of strong low-frequency KE, while the range of the ratio reduces to 0.34 and 0.61 with a mean value closer to 0.5 in areas of weak low-frequency KE. This indicates that the bandwidth tuned based on a global criterion is likely not sufficient in areas of strong low-frequency KE to account for the smearing of the semidiurnal tidal spectral peak (Figure 3d).

### 3.4 Diurnal KE maps

The global map of diurnal Lagrangian KE also closely reproduces the Eulerian KE visually (Figures 7a and 7b). The most prominent feature of diurnal KE is the enhancement around  $\pm 30^\circ$  latitudes, where the diurnal wind-forcing (sea breeze) aligns with the local inertial frequency. Similar to the semidiurnal band, the Lagrangian field shows larger values than the Eulerian field in several coastal regions (Figure 7c). These coastal regions are mostly located outside 30°S and 30°N, where diurnal internal tides are not expected to freely propagate, indicating that their differences may be caused by barotropic tides or trapped baroclinic tides. Over the global ocean, Lagrangian diurnal KE slightly underestimate Eulerian one (Figure 7d).

Similar to low-frequency motions, diurnal tides show a clear dependence on the drifter density and the intensity low-frequency KE (Figures 7e and 7f). For diurnal tides, the ratio of Lagrangian KE/(Lagrangian KE+Eulerian KE) gradually inclines towards 0.5 with the increase in Lagrangian particle counts, and becomes more focused on 0.5 with the decrease in low-frequency KE. In other words, Lagrangian diurnal KE matches Eulerian KE better in regions of weak and convergent flows, and tends to underestimate in regions of strong and divergent flows. This may explain the underestimation of Lagrangian diurnal KE in the Luzon Strait (approximately 20°N; Figure 4d), where the background flow is strong and divergent. Lastly, apparent incoherence is in general expected to be weaker at diurnal frequencies than at semidiurnal frequencies, due to the larger horizontal wavelength of diurnal tides (Caspar-Cohen et al., 2022).

### 3.5 Near-inertial KE maps

Lagrangian and Eulerian estimates of near-inertial KE show particularly similar spatial patterns across the global ocean (Figures 8a and 8b). Intensified near-inertial KE generally occurs at mid latitudes, with largest values concentrated in the North Pacific. This is broadly in line with storm-track regions and spatial distribution of wind work (Alford, 2003). Expected enhancements also occur at  $\pm 30^\circ$  latitudes where the local inertial frequency coincides with diurnal frequencies. Nearly meridionally oriented beams appear in the low to mid latitudes and are particularly evident in the Eulerian field, likely associated with individual tropical cyclones and storms in the model forcing fields. The mean value and standard deviation of the energy ratio are 0.49 and 0.07, respectively (Figure 8c). Differences between Eulerian and Lagrangian near-inertial KE are modest in global maps compared to those within semidiurnal and diurnal bands. And this also embodies in Figure 8d, in which Eulerian and Lagrangian near-inertial KE closely follow a 1-to-1 relationship. Nonetheless, Lagrangian energy slightly underestimates near-inertial KE over open ocean regions in the Southern Hemisphere, around  $30^\circ\text{S}$ . It is probably related to the influence of diurnal bands and a change in the nature of motions (larger contribution from internal tides for instance).

Similar to low-frequency and tidal motions, the ratio of Lagrangian KE/(Lagrangian KE+Eulerian KE) in the near-inertial band also shows a dependence on the drifter density (Figure 8e). The mean ratio approaches 0.5 and the entire range becomes more focused on 0.5 with the increasing number of Lagrangian particles. However, there is no apparent association between the ratio and the intensity of low-frequency KE (Figure 8f).

## 4 Discussion

In previous studies, ocean surface KE in high-resolution global simulations has been compared with KE from GDP surface drifters (Yu et al., 2019; Arbic et al., 2022). Model-drifter comparisons showed good qualitative agreement over a wide range of frequency bands but systematic discrepancies were also observed, and the pending question is whether these discrepancies could be attributed to Lagrangian/Eulerian biases. We find that the difference between Lagrangian and Eulerian KE levels in LLC4320 is significantly lower than the one observed in model-drifter analysis. As in the comparison between LLC4320 and GDP drifters, a deficit of low-frequency energy within the equatorial region was observed, with energy peak values reaching  $0.15 \text{ m}^2 \text{ s}^{-2}$  for the model and  $0.34 \text{ m}^2 \text{ s}^{-2}$  for GDP drifters (Yu et al., 2019). This difference (approximately  $0.2 \text{ m}^2 \text{ s}^{-2}$ ) is one order of magnitude larger than the Lagrangian-Eulerian difference near the equator, which is of order  $0.01 \text{ m}^2 \text{ s}^{-2}$  as shown in Figure 4a. Moreover, Yu et al. (2019) reported that the LLC4320 simulation exhibits KE four times higher in the semidiurnal band and three times lower in the near-inertial band compared with GDP drifter data. However, the global mean ratios of Lagrangian KE to Lagrangian KE+Eulerian KE obtained in this study are  $0.47 \pm 0.06$  for the semidiurnal band (Figure 6c) and  $0.49 \pm 0.07$  for the near-inertial band (Figure 8c), both of which are close to 0.5. This means that, on average, Lagrangian KE is nearly equal to Eulerian KE for the semidiurnal and near-inertial bands in the LLC4320 simulation. Therefore, our results suggest that Lagrangian/Eulerian biases are very likely not the main cause of the model-drifter discrepancies.

Arbic et al. (2022) identified the sensitivity of the Lagrangian semidiurnal energy estimate to the bandwidth of integration, which the present study corroborates. This sensitivity arises from apparent incoherence which leads to a widening of the semidiurnal tidal peak (Caspar-Cohen et al., 2022). A single common value (i.e., 1.7-2.3 cpd) for the bandwidth of integration that produced the best match between Lagrangian and Eulerian energy estimates was chosen in the present study. However, the bandwidth of integration may not be the same for Eulerian and Lagrangian energy diagnostics. Limiting bandwidth may be desirable in order to mitigate contamination from the background energy spectrum. Given the sharper shape of Eulerian semidiurnal peaks, smaller bandwidths may be afforded for

358 Eulerian diagnostics. The width of Eulerian semidiurnal peaks is related to internal tide  
 359 incoherent timescales, whose geographical variations may lead to geographically varying  
 360 choices for the bandwidth of integration of Eulerian energy. Caspar-Cohen et al. (2022)  
 361 theoretically predict that the intensity of apparent incoherence and thus the associated  
 362 widening of the Lagrangian spectrum depends on parameters that may vary geographically  
 363 such as the low-frequency energy level and decorrelation timescale or internal tide properties  
 364 (wavenumber, incoherent timescale). The bandwidth of integration of Lagrangian estimates  
 365 may thus be modulated geographically in order again to mitigate contamination from the  
 366 background energy spectrum. Such more advanced choices for bandwidth of energy inte-  
 367 gration would be good material for future studies, even though the present study indicates  
 368 this would be mostly relevant for the semidiurnal band which exhibits most sensitivity to  
 369 integration bandwidth.

370 Lastly, Lagrangian low-frequency KE estimates considerably underestimate Eulerian  
 371 ones in energetic regions (Figure 4a and 5f), likely due to the preferential sampling of  
 372 weak-energy regions by Lagrangian particles (Freeland et al., 1975). The deficit caused  
 373 by the inhomogeneous sampling of Lagrangian particles, in KE levels of up to 20%, can be  
 374 compensated by averaging Lagrangian diagnostics into longitude/latitudes bins. Our recom-  
 375 mendation is to geographically bin energy estimates prior to integration over larger domains  
 376 (e.g., zonally, globally) to mitigate such sampling biases. However, it should be noted that  
 377 along a similar line but at the bin level, the combination of spatio-temporal inhomogeneities  
 378 and energy variability within individual bins may also lead to systematic differences between  
 379 Eulerian and Lagrangian estimates (Davis, 1991), such as those observed nearby large cur-  
 380 rent systems. The role of such sampling bias in explaining observed differences has not  
 381 been investigated here but could constitute an interesting follow up study. Further, we have  
 382 chosen here a 60-day time window for spectral decompositions and energy estimates, and  
 383 this choice induces spatial smoothing compared to Eulerian estimates. Above-mentioned  
 384 study may be useful in order to identify whether statistical techniques enabling more local  
 385 (temporally and therefore spatially for drifters) estimates of high-frequency energy levels  
 386 should be devised.

## 387 5 Summary

388 In this study, we quantify the relationship between Eulerian and Lagrangian KE spectral  
 389 content and its geographical variability based on a novel twin global numerical simulation  
 390 experiment. A practical objective is to assess the extent to which Lagrangian particles can  
 391 estimate Eulerian KE levels, especially at high frequencies. To achieve this, we have com-  
 392 pared the surface KE estimated using the LLC4320 global ocean model, and the Lagrangian  
 393 simulations performed by the LLC4320 hourly surface velocity output. Our main findings  
 394 are summarized as follows:

395 1) Eulerian and Lagrangian KE exhibit broad qualitative similarities, with the domi-  
 396 nance of low-frequency motions and the presence of distinct spectral peaks at semidiurnal,  
 397 near-inertial, and diurnal frequencies. A common feature among all dominant frequency  
 398 bands is that Lagrangian spectra appear smoothed compared to Eulerian ones. This smooth-  
 399 ing is least pronounced in the near-inertial band and most pronounced in the semidiurnal  
 400 and low-frequency bands. At low frequencies, this smoothing is attributed to the simultane-  
 401 ous sampling of spatial and temporal variability by particles and the associated decrease in  
 402 the decorrelation timescale (Middleton, 1985; LaCasce, 2008). The widening of the semidi-  
 403 urnal peak is consistent with the mechanism of apparent incoherence (Caspar-Cohen et al.,  
 404 2022). The relatively minor difference between the Lagrangian and Eulerian near-inertial  
 405 frequency peaks remains to be explained.

406 2) With a tuned choice of bandwidth of integration, good agreements for semidiurnal  
 407 (1.7-2.3 cpd), near-inertial (0.9-1.1 $f$ ) and diurnal (0.9-1.1 cpd) KE can be achieved between  
 408 Eulerian and Lagrangian simulations. This implies that Lagrangian particles advected by

Eulerian field can qualitatively reproduce the original Eulerian high-frequency variance. Compared to Eulerian estimates, Lagrangian estimates are more sensitive to bandwidth, as expected from their character of broadened spectral peaks. Particularly, Lagrangian semidiurnal tides are featured with a wider bandwidth than other high-frequency motions. We have identified avenues to refine further this choice of bandwidth of integration.

3) The intensity of low-frequency motions affects Lagrangian KE estimates at low and tidal frequencies. Lowest Lagrangian to Eulerian energy ratio is observed in energetic and turbulent areas. Conversely, Lagrangian KE in near-inertial band has no clear connection with low-frequency KE. For all bands, Lagrangian and Eulerian KE levels have a better agreement in regions of convergent flows, where Lagrangian particles accumulate, than in regions of divergent flows, where Lagrangian particles scatter.

Our findings confirm that the drifter data may provide an estimate of high-frequency variance, such as tidal and near-inertial motions. Drifter and model differences, as shown in Yu et al. (2019) and Arbic et al. (2022), are not mainly caused by Lagrangian vs Eulerian sampling nature. This work may motivate future studies on particular aspects of the model-observation and model-model discrepancies, and is a substantial step towards the production of high frequency KE climatologies.

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### Open Research

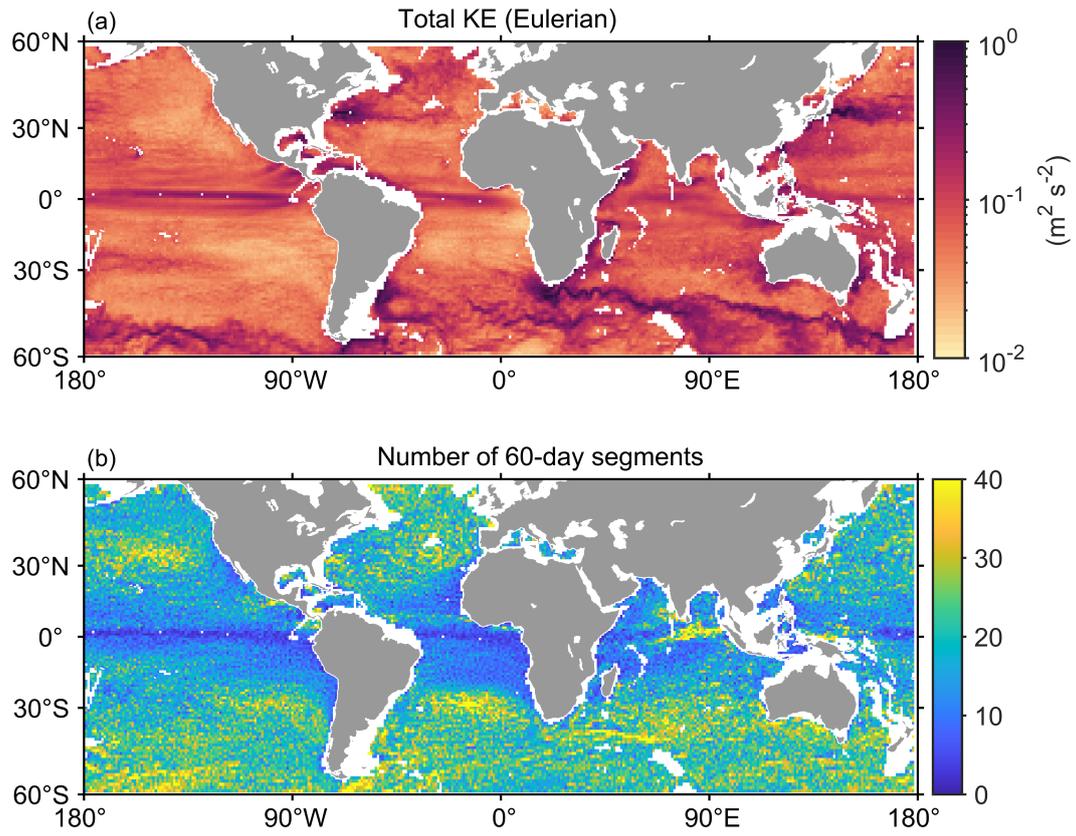
The LLC4320 simulation output is available at <https://data.nas.nasa.gov/ecco/data.php>.

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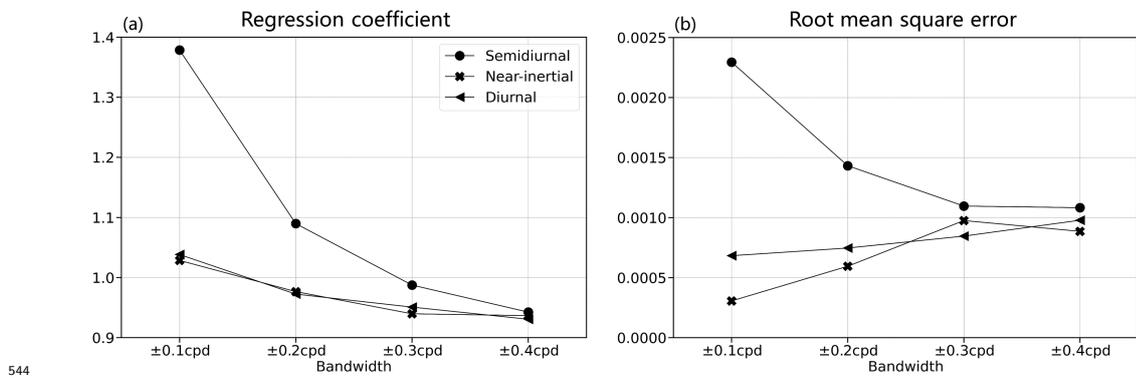
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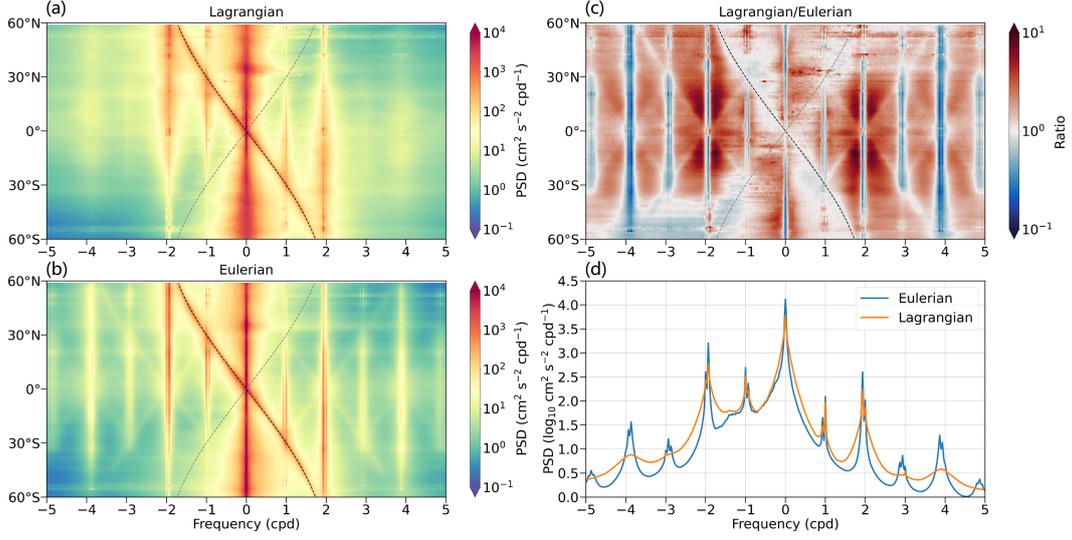
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542 **Figure 1.** (a) Global map of Eulerian total KE at the surface layer in  $1^\circ \times 1^\circ$  bins. (b) Distribu-  
 543 tion of the number of 60-day Lagrangian trajectory segments over the global ocean in  $1^\circ \times 1^\circ$  bins.

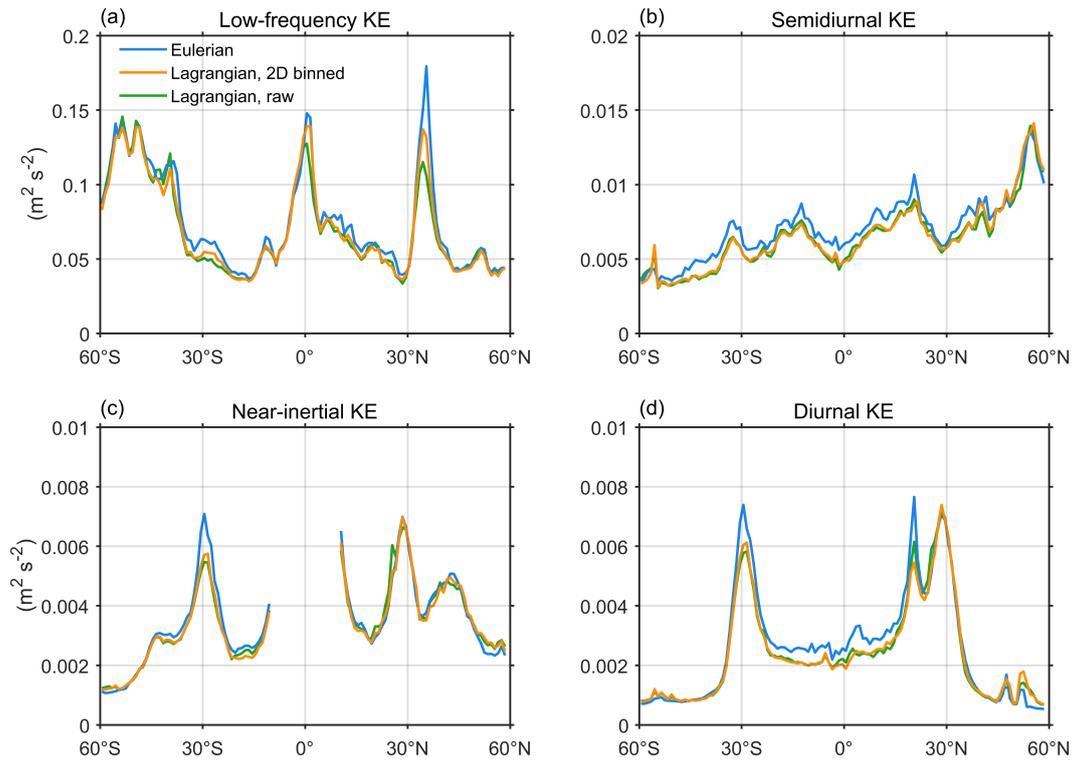


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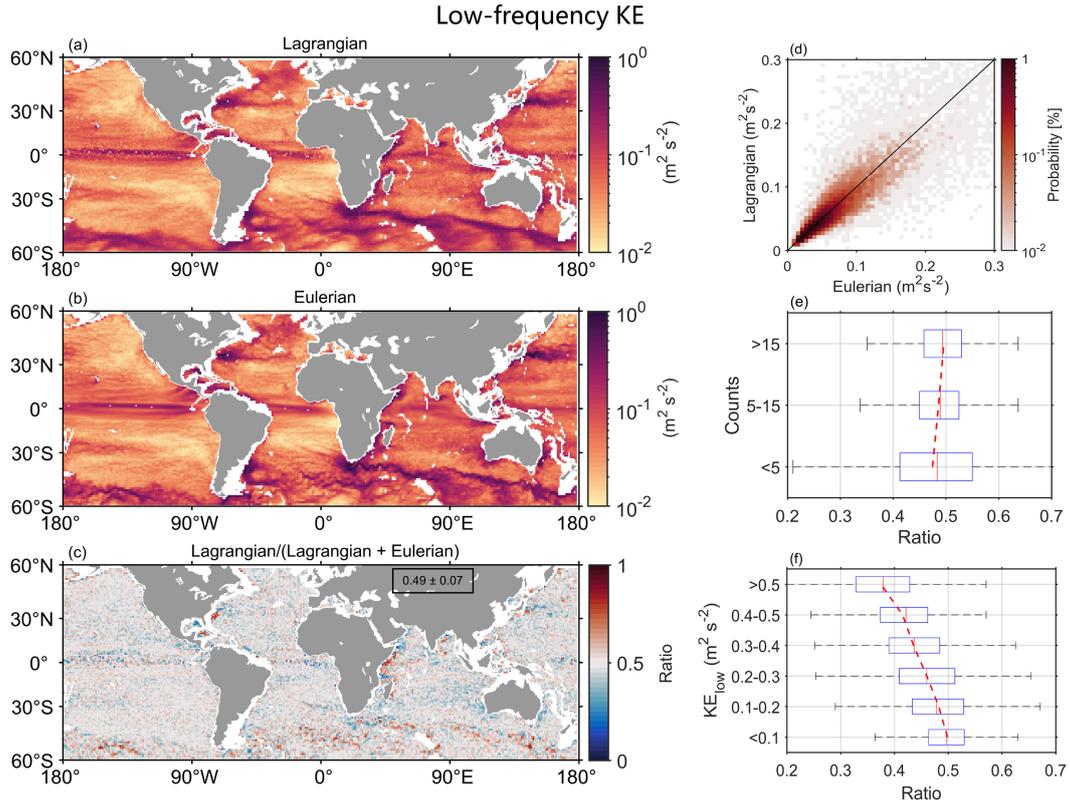
545 **Figure 2.** The (a) regression coefficient and (b) root mean square error ( $\text{m}^2 \text{s}^{-2}$ ) between  
 546 Lagrangian and Eulerian semidiurnal, near-inertial and diurnal KE as a function of bandwidths of  
 547  $\pm 0.1$  cpd,  $\pm 0.2$  cpd,  $\pm 0.3$  cpd,  $\pm 0.4$  cpd.



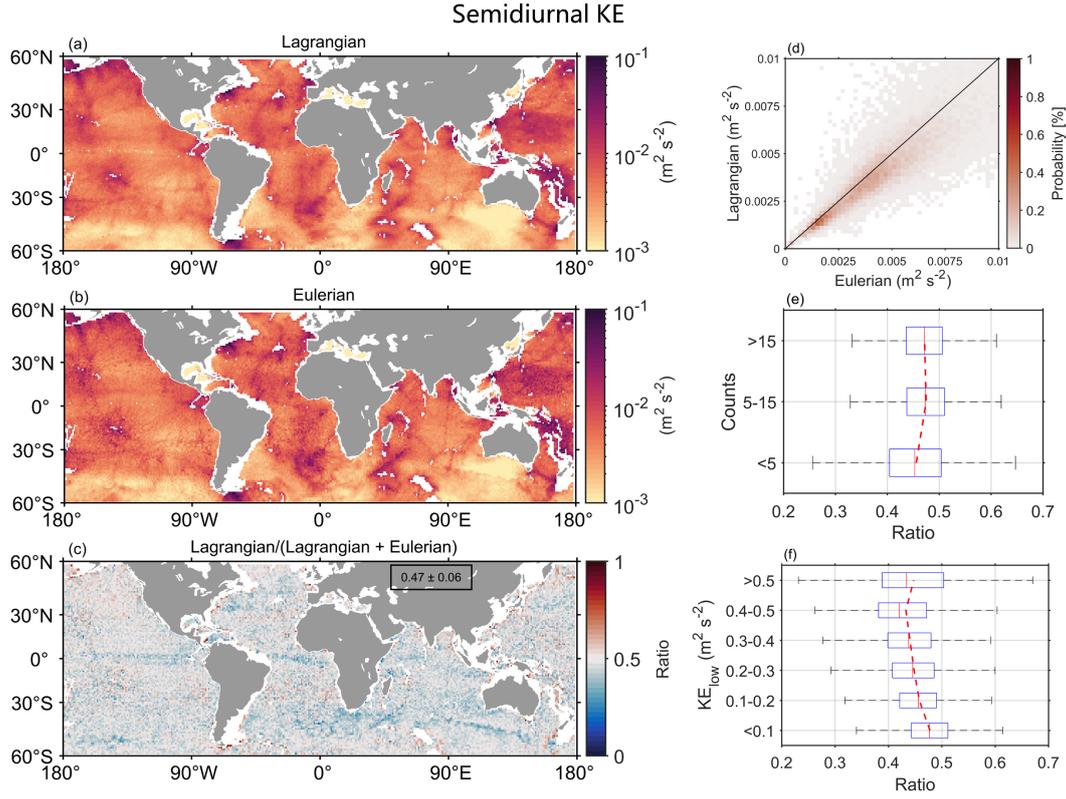
**Figure 3.** Zonally averaged rotary frequency spectra in  $1^\circ$  latitude bins from (a) Lagrangian and (b) Eulerian horizontal velocity fields at the surface layer and (c) their ratio, with positive (negative) frequencies corresponding to counterclockwise (clockwise) rotating motions, which are cyclonic (anticyclonic) in the Northern Hemisphere. The cyclonic inertial frequency ( $f/2\pi$  cpd) is indicated by the gray dashed line and the anticyclonic inertial frequency ( $-f/2\pi$  cpd) is indicated by the black dashed line. (d) Globally averaged anticyclonic (at negative frequencies) and cyclonic (at positive frequencies) spectra of the Eulerian (blue) and Lagrangian (orange) horizontal velocity fields.



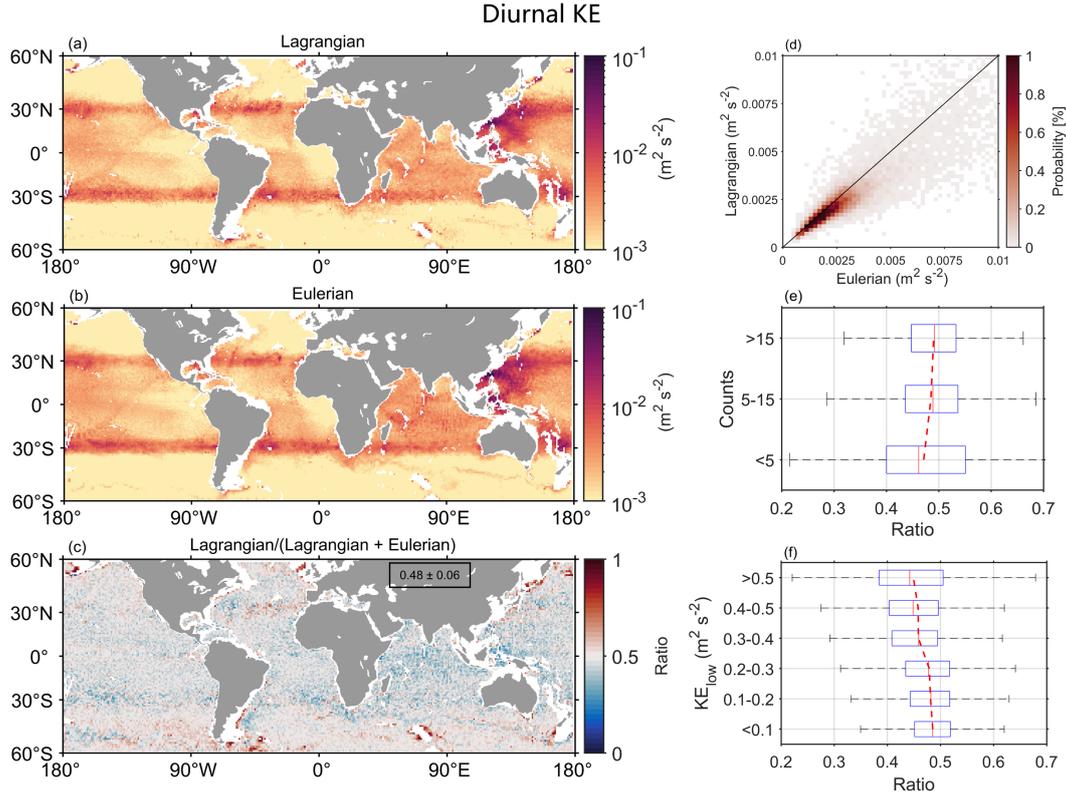
**Figure 4.** Zonally averaged (a) low-frequency, (b) semidiurnal, (c) near-inertial and (d) diurnal KE in  $1^\circ$  latitude bins estimated from Eulerian velocity field (blue) and Lagrangian particle trajectories with (orange) and without (green) binning.



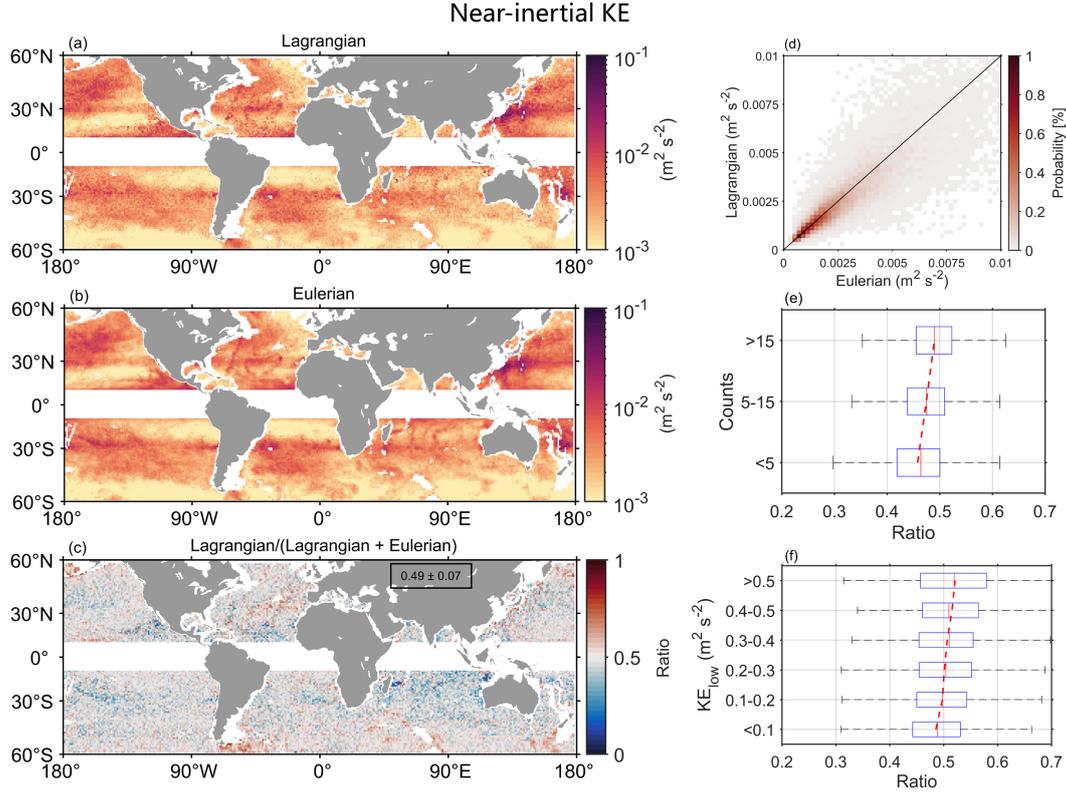
**Figure 5.** (a-c) Global maps of Lagrangian and Eulerian low-frequency KE at the surface layer and the ratio of Lagrangian KE/(Lagrangian KE+Eulerian KE) in  $1^\circ \times 1^\circ$  bins. Mean value and one standard deviation of the ratio are given in the black box in (c). (d) Joint plot of the comparison between Lagrangian and Eulerian low-frequency KE levels. (e) Box plot of the ratio under different ranges of counts of Lagrangian particles. (f) Box plot of the ratio under different ranges of low-frequency KE. The dashed red lines in (e) and (f) indicate the conditional means of the ratio.



**Figure 6.** (a-c) Global maps of Lagrangian and Eulerian semidiurnal KE at the surface layer and the ratio of Lagrangian KE/(Lagrangian KE+Eulerian KE) in  $1^\circ \times 1^\circ$  bins. Mean value and one standard deviation of the ratio are given in the black box in (c). (d) Joint plot of the comparison between Lagrangian and Eulerian semidiurnal KE levels. (e) Box plot of the ratio under different ranges of counts of Lagrangian particles. (f) Box plot of the ratio under different ranges of low-frequency KE. The dashed red lines in (e) and (f) indicate the conditional means of the ratio.



**Figure 7.** (a-c) Global maps of Lagrangian and Eulerian diurnal KE at the surface layer and the ratio of Lagrangian KE/(Lagrangian KE+Eulerian KE) in  $1^\circ \times 1^\circ$  bins. Mean value and one standard deviation of the ratio are given in the black box in (c). (d) Joint plot of the comparison between Lagrangian and Eulerian diurnal KE levels. (e) Box plot of the ratio under different ranges of counts of Lagrangian particles. (f) Box plot of the ratio under different ranges of low-frequency KE. The dashed red lines in (e) and (f) indicate the conditional means of the ratio.



**Figure 8.** (a-c) Global maps of Lagrangian and Eulerian near-inertial KE at the surface layer and the ratio of Lagrangian KE/(Lagrangian KE+Eulerian KE) in  $1^\circ \times 1^\circ$  bins. Mean value and one standard deviation of the ratio are given in the black box in (c). (d) Joint plot of the comparison between Lagrangian and Eulerian near-inertial KE levels. (e) Box plot of the ratio under different ranges of counts of Lagrangian particles. (f) Box plot of the ratio under different ranges of low-frequency KE. The dashed red lines in (e) and (f) indicate the conditional means of the ratio.